

# Neonatal Enterovirus Infection: Case Series of Clinical Sepsis and Positive Cerebrospinal Fluid Polymerase Chain Reaction Test with Myocarditis and Cerebral White Matter Injury Complications

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## Abstract

### Keywords

- ▶ enterovirus
- ▶ immunoglobulin intravenous
- ▶ meningoencephalitis
- ▶ myocarditis
- ▶ neonate
- ▶ periventricular leukomalacia

**Objective** We describe five neonates with enteroviral (EV) infection to demonstrate central nervous system (CNS) and cardiac complications and report successful treatment of myocarditis with immunoglobulin intravenous (IVIG) in two.

**Study Design** Case series identified during three enteroviral seasons in one neonatal intensive care unit (NICU) by cerebral spinal fluid (CSF) reverse transcriptase polymerase chain reaction (PCR) testing for EV in neonates suspected to have sepsis, but with sterile bacterial cultures.

**Results** Cases were identified in each of three sequential years in a NICU with 800 to 900 admissions/year. Two cases were likely acquired perinatally; all were symptomatic with lethargy and poor feeding by age 5 to 10 days. All had signs of sepsis and/or meningitis; one progressed to periventricular leukomalacia and encephalomalacia. Two recovered from myocarditis after treatment that included IVIG 3 to 5 g/kg.

**Conclusion** Neonates who appear septic without bacterial etiology may have EV CNS infections that can be diagnosed rapidly by CSF PCR testing. Cases may be underdiagnosed in the early neonatal period if specific testing is not performed. Neonates with EV infection should be investigated for evidence of periventricular leukomalacia, screened for myocarditis, and considered for IVIG treatment.

Suspicion for neonatal sepsis and/or meningitis prompts evaluations in as many as 69 to 82% of preterm infants  $\leq$  3 days age and 6 to 50%  $\geq$  3 days age, varying with gestational age. However, less than 1% of evaluations identify a bacterial

or fungal etiology at  $\leq$  3 days and less than 12% at  $\geq$  3 days.<sup>1,2</sup> Viruses also cause sepsis and meningitis in neonates, but are less commonly identified etiologies, in part because viral cultures and specific diagnostic tests are not consistently

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performed.<sup>3</sup> Specific diagnosis of neonatal enterovirus (EV) illness is important because of the need to screen for and treat complications and for infection control.

Included among the family *Picornaviridae* genus *Enterovirus* are viruses formerly identified as Coxsackieviruses, echoviruses, numbered enteroviruses, and polioviruses.<sup>4</sup> EV that cause illness in humans were reclassified in 2013 as Enteroviruses A, B, C, and D on the basis of genetic similarity. The three poliovirus serotypes now belong to the species Enterovirus C. Human *Parechoviruses*, which belong to the same family of *Picornaviridae* as EV, were until 1999 classified as enteroviruses.

Nonpolio EV have been recognized as the most common etiology of meningitis in infants<sup>5</sup> and as a cause of neonatal myocarditis.<sup>6,7</sup> The acquisition of the virus and the presentation of the broad spectrum of illness in neonates have been described in epidemiologic studies,<sup>7,8</sup> case series,<sup>9</sup> and reviews.<sup>10-12</sup> However, perhaps because of the seasonal prevalence of EV and delay in reporting viral culture results, in clinical practice these viruses are not consistently considered in the evaluation of neonates with suspected sepsis and/or meningitis who have negative bacterial cultures.

We present five neonates with EV clinical sepsis without bacterial etiology who were diagnosed during August to October between 2011 to 2013 on the basis of a positive result of a cerebrospinal fluid (CSF) reverse transcriptase polymerase chain reaction (PCR) test for EV (Xpert EV Assay, Cepheid, Sunnyvale, CA) after that test became available in our hospital in 2011.<sup>13</sup> Each patient had evidence of EV RNA in CSF, but not all had CSF pleocytosis or abnormal neuroimaging. In a neonatal intensive care unit (NICU) with 800 to 900 annual admissions, these five cases over three non-epidemic EV seasons suggested to us that EV is a more common etiology of neonatal sepsis and/or meningitis than previously recognized and prompted an inquiry regarding the incidence of EV illness in neonates. As their hospital courses evolved, two patients developed myocarditis and recovered after aggressive immunoglobulin intravenous (IVIG) therapy, an outcome different from those reported in published EV myocarditis case series when unspecified IVIG doses were administered. Moreover, in the absence of a history of intraventricular hemorrhage or hypoxic-ischemic insult, one patient developed periventricular leucomalacia (PVL) that progressed to encephalomalacia and associated developmental delay, adding to the reported cases of this etiology of PVL.

## Cases

The characteristics of the patients are presented in the ► **Table 1**. Two were males. All were born during the late summer or fall. One was term, one was early preterm and three were late preterm, that is, 34 to < 37 week gestational age. Birth weights were 1.7 to 3.7 kg. Apgar scores were robust. One was born to a mother with a febrile illness associated with severe pleurodynia and chorioamnionitis that was thought to be of viral etiology; another was born to a mother with a prepartum respiratory tract infection; and a third was a water birth at home, where a sibling had very recently had diarrhea. Of four born in hospital, only the < 34 week gestational age

infant required an initial hospitalization > 2 days, and three had been home prior to readmission. All presented with poor feeding and lethargy by age 10 days. Two were hypothermic and one was febrile. Only one developed an exanthem, none had a seizure and none developed hepatitis.

Serum C-reactive protein was increased in all patients during the acute illness. White blood count was abnormal in only one neonate whose value was low. Two infants had transient thrombocytopenia. CSF examination was remarkable for minimal or no pleocytosis, but all samples were positive for EV nucleic acid as tested by PCR assay.<sup>13</sup> CSF PCR for herpes simplex virus (HSV) was negative in four, and CSF HSV cultures were sterile in the fifth. Bacterial cultures of blood and CSF in all and urine in three were sterile. No other sample types (i.e., stool, throat swabs, blood) were tested with the PCR for EV test, nor were they cultured for viruses.

With supportive therapy, each neonate gradually improved and all survived. However, two were diagnosed with myocarditis by age 16 to 24 days; one of these had been treated for hypotension beginning at age 7 days. Electrocardiographic telemetry demonstrated ventricular ectopy, which prompted further cardiac evaluation in this patient and the subsequent ones. Echocardiograms in two patients revealed decreased ventricular function. These two patients were treated with IVIG at 3 to 5 g/kg total, milrinone,  $\beta$  blockers, and digoxin, with clinical and echocardiographic improvement. One patient who did not have myocarditis was treated prophylactically with IVIG 0.75 g/kg total.

The preterm infant who was treated for hypotension beginning at age 7 days and who subsequently was diagnosed to have myocarditis was an outborn infant who had good Apgar scores and was treated for respiratory distress syndrome before transfer to our institution. At age 16 days the patient had a normal head ultrasound, but by age 23 days, the head ultrasound examination revealed early changes of PVL, which by age 3 months progressed to encephalomalacia. This patient was developmentally delayed at age 9 months.

During the 25 months when these 5 neonates were admitted, NICU physicians elected to obtain a CSF EV PCR test on a total 26 patients; the test was negative in 21.

## Discussion

Incidence of neonatal EV infections: These five patients with EV infection, who were diagnosed during three EV seasons in one NICU using a newly available CSF PCR test for EV, led us to examine the discrepancy between the relatively low identification of EV as a cause of neonatal illness in clinical practice and the more frequent identification of neonatal EV infection when it is more rigorously sought.

Two prospective epidemiologic studies demonstrated relatively high incidences of neonatal sepsis of viral etiology. In one, a 13-month Finnish population-based prospective survey of 137 late preterm and term newborn infants with suspected systemic infection in which viral cultures were obtained in all, 4 (3%) had positive bacterial blood cultures and 11 (8%) had adenovirus or EV infections proven by culture of blood ( $n = 7$ ) and/or feces ( $n = 4$ ).<sup>3</sup> In the second study, a

**Table 1** Neonatal enterovirus infection case series

	Case 1	Case 2	Case 3	Case 4	Case 5
<b>History</b>					
Maternal diagnoses; family illness	Pleural pain with viral illness symptoms; negative CT for PE; chorioamnionitis	Diamniotic/dichorionic twin gestation with assisted reproductive technology	Equivocal rubella screen	URI; group B streptococcus positive vaginal culture	Healthy multigravida; sibling ill with diarrhea 1 week before
Delivery location; mode	Inborn; induced VD	Outborn; CS	Outborn; VD	Outborn; repeat CS for PROM	Home water birth; VD
<b>Presentation of illness</b>					
Postnatal age (d)	5	7	7	10	3
Clinical features	Breastfeeding poorly since discharge on DOL 2; weight 15% < birth weight; readmitted on DOL 5; hypothermic, lethargic, obtunded, flaccid	Admitted to NICU for lethargy, poor feeding, and desaturation episodes	Transferred to NICU on DOL 1 for RDS; hypotension treated 5 days with hydrocortisone; developed systolic murmur; DOL 7 repeat sepsis evaluation	After discharge on DOL 2, became lethargic, fed poorly; DOL 10 hypothermic, readmitted; DOL transferred to NICU	Feeding poorly; irritable, fever of 39°C, and hypertonic
Meningitis with or without CSF pleocytosis; or meningoencephalitis	Probable	Yes	Yes	Yes	No
Exanthem	DOL 7–9 maculopapular rash on trunk	No	No	No	No
Myocarditis	No	No	Yes	Yes	No
Hepatitis	No	No	No	No	No
CSF EV PCR	Positive	Positive	Positive	Positive	Positive
CSF HSV PCR	Negative	Negative	Not done	Negative	Negative
CSF HSV culture	Not done	Not done	Negative	Not done	Not done
CSF nucleated cells/RBC, n per µL/n per µL	36/1,899	110/12	172/52	548/154,000	5/3,000
CSF neutrophils/lymphocytes, n per µL/n per µL	13/4	Not reported	103/7	27/395	3/0
CSF glucose, mg/dL	34	Not reported by referring hospital	52	30	72

Table 1 (Continued)

	Case 1	Case 2	Case 3	Case 4	Case 5
CSF protein, mg/dL	182	Not reported by referring hospital	158	311	64
Maximum CRP, mg/dL	6.7	9.5	2.4	1.6	7.2
Peripheral WBC, n/ $\mu$ L	19,900	3,900	12,200	9,900	11,600
Platelets, $n \times 10^3/\mu$ L	75	264	228	102	117
Blood and CSF bacterial cultures	No growth	No growth	No growth	No growth	No growth
Central nervous system imaging	HUS normal	HUS normal	HUS normal on DOL 16; on DOL 23 and DOL 27 evolution of PVL, communicating with lateral ventricles; encephalomalacia	MRI on DOL 15 revealed tiny hemorrhagic foci in occipital horns of both lateral ventricles	Not done
Echocardiogram	Normal SF and EF on 3 evaluations; patent foramen ovale; bilateral branch peripheral pulmonary stenosis	Not done	DOL 24 dilated LV, SF 21.4%, and EF 33.3%; DOL 26 SF 28%, EF 54%, and hypokinesis; DOL 32 SF 27%, EF 49%, mild mitral valve regurgitation and small pericardial effusion; DOL 38 SF 38%, EF 57%, effusion; Age 6 months no effusion, SF 39%, EF 73%	DOL 16 dilated LV, SF 22%, and moderate pericardial effusion; DOL 17 SF 24%, EF 42%, and moderate effusion; Age 5 months no effusion, mild LV dilatation, SF 32%, EF 59%	DOL 8 normal; SF 40%
Electrocardiogram	Not done	DOL 15 normal	DOL 24 LVH by voltage, multiple premature ventricular complexes; DOL 33: LAD, Q waves in I, II, AVL, abnormal ST segment in anterior precordial leads; Age 3 months bilateral prominent Q waves, possible left septal hypertrophy; Age 6 months borderline LAD	DOL 16 RAD, RVH, abnormal R wave progression across left chest leads, low voltage; DOL 42 normal; age 5 months normal	DOL 8 prominent right ventricular forces for age

(Continued)

**Table 1** (Continued)

Treatment	Case 1	Case 2	Case 3	Case 4	Case 5
Antibiotics, any doses	Yes	Yes	Yes	Yes	Yes
Acyclovir, any doses	Yes	Yes	Yes	Yes	Yes
Assisted ventilation, O <sub>2</sub>	No	No	Yes	Yes	No
Hydrocortisone	No	No	Yes	No	No
Enalapril/captopril	No	No	Yes	Yes	No
Milrinone	No	No	Yes	Yes	No
Digoxin	No	No	Yes	Yes	No
Immunoglobulin intravenous, g/kg total	None	None	Yes, 3	Yes, 5	Yes, 0.75
Outcome	Developing normally at age 2 years	Unavailable	Developmentally delayed at age 9 months; increased tone in left leg	Developing normally at age 9 months	Developing normally at age 4 months

Abbreviations: AVL, augmented vector left; CRP, c-reactive protein; CS, cesarean section; CSF, cerebrospinal fluid; CT, computed tomography; DOL, day of life; EF, ejection fraction; EV, enterovirus; HSV, herpes simplex virus; HUS, head ultrasound; LAD, left axis deviation; LV, left ventricle; LVH, left ventricular hypertrophy; MRI, magnetic resonance imaging; NICU, neonatal intensive care unit; O<sub>2</sub>, supplemental oxygen; PCR, polymerase chain reaction; PE, pulmonary embolus; PROM, premature rupture of membranes; PVL, periventricular leukomalacia; RAD, right axis deviation; RBC, red blood cells; RDS, respiratory distress syndrome; RVH, right ventricular hypertrophy; SF, shortening fraction; URI, upper respiratory infection; VD, vaginal delivery; WBC, white blood count.

PCR analysis of serum samples from 139 consecutive neonates who were admitted during 3 years to hospitals in Kuwait for sepsis, but whose blood cultures were negative for bacteria, identified EV ribonucleic acid in 34 (24%).<sup>14</sup>

Yet, in a retrospective analysis of clinical practice over 12 years, only 51 of 5,396 (1%) Dutch infants of < 32 weeks gestational age or < 1,500 g birth weight who were admitted for suspected sepsis/meningitis were diagnosed with a viral infection by viral culture and/or PCR test that had been obtained at the discretion of caregivers.<sup>15</sup> Of these, 20 (39%) were infections associated with EV or human parechovirus and 2 (4%) were HSV infections. In the United States, a retrospective analysis of culture-proven etiologic agents in neonatal meningitis at a single institution from 1974 to 1988 identified EV in 10% overall, with increasing incidence over the period.<sup>16</sup>

EV infections are not reportable nationally, and the current incidence of EV illness among neonates is unknown, but a minimum frequency can be estimated from two sources. First, the National Enterovirus Surveillance System, a voluntary, passive reporting system maintained by the Centers for Disease Control and Prevention, monitors trends in circulating EV identified in CSF, respiratory, and/or fecal specimens by various methods and reported.<sup>17</sup> The reports reflect a summer-fall seasonality, with 78% occurring between June and October, inclusive.<sup>18</sup> Of 22,348 reports of nonpolio EV and human parechovirus detection during 1983 to 2003 that included age of patient (84% of total), 2,544 (11%) occurred in neonates.<sup>19</sup> That estimates an average of approximately 120 to 125 EV and human parechovirus laboratory specimen identifications per year among neonates.

The second data source, the 2009 Kids' Inpatient Database survey database of pediatric hospitalizations in the United States, permits estimation of the annual number of hospital diagnoses of neonatal EV central nervous system (CNS) or myocardial infection in the United States.<sup>20</sup> A weighted analysis of the database estimates that 7,469 hospitalized neonates had a primary or secondary diagnosis of a viral infection. Aseptic meningitis due to specified nonbacterial agents was diagnosed in an estimated 477 neonates, and aseptic meningitis due to unspecified nonbacterial agents, which would include EV, was diagnosed in an estimated 952 neonatal patients. The survey frequency of cases of aseptic meningitis attributed to Coxsackie or echo viruses is too low (<10) to estimate the weighted number. Encephalitis due to specified cause, including viruses, was diagnosed in an estimated 26 neonates. Myocarditis caused by Coxsackievirus was estimated to have occurred in 29 neonates, and another 26 were estimated to have been diagnosed with viral or other specified acute myocarditis.

HSV infections may present as neonatal sepsis and/or meningitis, and the incidence has been estimated at 1,500 cases/year.<sup>21</sup> Neonatologists have a heightened awareness of HSV and routinely obtain CSF for HSV PCR testing in neonates with suspected sepsis and/or meningitis, but they less frequently diagnose or exclude EV infection using a CSF molecular test during the EV season, although the annual incidence of serious, but undiagnosed, EV infection is likely greater than the incidence of HSV infection.<sup>8,22</sup>

**Diagnosis and illness spectrum:** Diagnosing neonatal EV infection is important for several reasons, including avoidance of inappropriate antibiotic therapy; the imperative to detect the complications of myocarditis, hepatitis, and periventricular encephalomalacia; and for infection control. A rapid PCR test for EV in CSF samples makes identification of EV timely.<sup>13</sup> The test has a sensitivity of 95%, specificity of 100%, positive predictive value of 100%, and negative predictive value of 98%. The test does not distinguish among the serotypes of EV. It also does not detect nucleic acid from human parechovirus type 3, which may present similarly in the neonatal period and for which there is a different PCR test.<sup>23</sup> The EV PCR test has become the gold standard for diagnosing EV CNS infections.<sup>24,25</sup> Cultures of blood and CSF identify EV, but the delay in identification does not help acute management. PCR of blood may be performed, but yields positive results less frequently than does PCR of CSF in young infants with EV infection.<sup>26</sup>

Neonatal EV infections may be acquired from an infected mother, another contact, or as a nosocomial infection.<sup>5,18,27</sup> The illness spectrum in neonates includes a range of severity from inapparent to an acute sepsis-like syndrome, meningitis or meningoencephalitis, and hepatitis.<sup>5</sup> There is usually a paucity of abnormal CSF findings in EV neonatal sepsis and/or meningitis, often including no pleocytosis or hypoglycemia, and there may be no peripheral blood abnormality except for elevated C-reactive protein and thrombocytopenia, and less often neutropenia.<sup>25,28</sup>

There is no proven antiviral therapy for EV infection. A multicenter randomized placebo-controlled trial of pleconaril (VP63843, ViroPharma, Inc., Exton, PA) for treatment of infants with enterovirus meningitis that was prematurely terminated precluded demonstration of efficacy.<sup>29</sup> More recently, enrollment has been completed in a phase 2, multicenter, randomized, placebo-controlled trial of pleconaril for EV sepsis syndrome with severe hepatic involvement, myocardial involvement, and/or coagulopathy, but results have not been published.<sup>30</sup> In case reports of older patients with chronic EV meningoencephalitis who were concurrently treated with IVIG, pleconaril effectiveness has been associated with in vitro susceptibility of clinical isolates.<sup>31</sup> Other candidate antiviral therapies against EV have not been tested in neonates.<sup>32</sup>

**Myocarditis IVIG treatment:** In two of the five patients in this series myocarditis developed; in one it was not clinically apparent, but was diagnosed by echocardiographic screening. The prognosis for neonates with EV myocarditis is poor: 31 to 83% die, and among survivors 66% develop severe cardiac damage.<sup>6,33</sup> Following aggressive supportive treatment that included large doses of IVIG, the myocarditis in our patients resolved. One patient was treated with IVIG in an attempt to prevent myocarditis and did not develop it.

There has been a randomized controlled trial of immunoglobulin therapy with 0.75 g/kg in nine neonates with early EV infections, which found no effect on clinical outcomes,<sup>34</sup> and there is a case report of an infant with Coxsackievirus B1 meningitis who was treated with 0.45 g/kg IVIG, but subsequently developed myocarditis.<sup>35</sup> However, there has been no randomized controlled trial of IVIG therapy of EV in neonates

with myocarditis. Case reports of unspecified doses of IVIG therapy for EV myocarditis in two neonates have shown inconclusive outcomes.<sup>33</sup> One case report of IVIG 2 g/kg treatment of human parechovirus myocarditis in a 5-month old infant had a favorable outcome.<sup>36</sup> The effectiveness of IVIG treatment in reducing viremia is a function of the titer of EV type-specific neutralizing antibodies in the IVIG preparation for the infecting EV type; larger doses of IVIG may compensate for lower titers when type-specific neutralizing antibodies are present, but at relatively low titers.<sup>31</sup> The two patients with neonatal EV myocarditis we report represent successful therapy with IVIG 3 to 5 g/kg total dose.

Meningoencephalitis complications: Patient 3 had no documented cerebral hypoxia or hypoperfusion, but developed PVL that progressed to encephalomalacia. PVL or more extensive cerebral white matter injury has been reported as a complication of EV meningoencephalitis in at least eight patients,<sup>37–42</sup> as well as in at least 11 neonatal parechovirus infections,<sup>39,43</sup> which is clinically indistinguishable from neonatal EV sepsis and/or meningitis.<sup>44</sup>

## Conclusion

Neonates who appear septic without bacterial etiology during EV seasons may have EV sepsis and/or meningitis that can be diagnosed rapidly by CSF PCR testing. Cases may be underdiagnosed in the early neonatal period if specific testing is not performed. In the absence of EV PCR testing or cultures, the lack of CSF pleocytosis in the EV-infected neonate may lead physicians to fail to make a correct diagnosis and subsequently not screen for serious complications. Patients should be followed for periventricular leukomalacia, signs of myocarditis, and considered for treatment with large total doses of IVIG.

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