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REVIEW ARTICLE

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Autophagy involvement in oncogenesis

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1 | INTRODUCTION

Autophagy is a cellular mechanism that degrades subcellular components, including proteins, lipids, and even organelles. Because autophagy is required for the maintenance of cellular homeostasis, its failure triggers various disease mechanisms, including oncogenesis. In this Review, we briefly summarize the molecular mechanisms of autophagy and then describe the relationship between autophagy

2 | MOLECULAR MECHANISMS OF AUTOPHAGY

Autophagy is a catabolic process that degrades intracellular contents after enclosing them within autophagic membranes.¹⁻⁴ This constitutive process maintains cellular homeostasis by degradeing superfluous or damaged proteins and organelles. Autophagy is also activated to protect cells against a variety of cellular stressors, such as nutrient starvation and DNA damage. In some specific

Abstract

Various clinical and experimental findings have revealed the causal relationship between autophagy failure and oncogenesis, and several mechanisms have been suggested to explain this relationship. We recently proposed two additional mechanisms: centrosome number dysregulation and the failure of autophagic cell death. Here, we detail the mechanical relationship between autophagy failure and oncogenesis.

KEYWORDS

alternative autophagy, autophagic cell death, autophagy, centrosome, oncogenesis

cases, the hyperactivation of autophagy has occasionally led to cell death. $^{\rm 5,6}$

Autophagy is driven by over 30 autophagy-related proteins (Atgs), which are well conserved from yeasts to mammals.¹⁻⁴ Unc51-like kinase 1 (Ulk1), a serine/threonine kinase, forms the Ulk1 complex together with Fip200, Atg13, and Atg101. In healthy conditions, Ulk1 is phosphorylated and inactivated by mammalian target of rapamycin complex 1 and AMP-activated protein kinase. Upon starvation, Ulk1 is dephosphorylated by protein phosphatase 2A and subsequently translocates to pre-autophagosomal membranes, which are the initial platforms of the phagophore membrane (Figure 1). Autophagy is also regulated by phosphatidylinositol 3-kinase (PI3K) class III, which promotes the invagination of the membrane at domains rich in phosphatidylinositol-3-phosphate and generates phagophores. The phagophore membrane originates from the endoplasmic reticulum (ER) or mitochondria-associated ER membranes (MAM).^{7,8} The subsequent expansion and closure of phagophores generate autophagosomes via two ubiquitin-like conjugation pathways, namely, the Atg5-Atg12 pathway and the microtubule-associated protein 1 light chain 3

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FIGURE 1 Hypothetical model of autophagy. There are at least two modes of autophagy, namely, canonical and alternative autophagy. Canonical autophagy requires autophagy-related protein (Atg)-5 and originates from the endoplasmic reticulum (ER) membrane. In contrast, alternative autophagy occurs independently of Atg5 and originates from the Golgi membrane. LC3, microtubuleassociated protein 1A/1B-light chain 3; PI3K, phosphatidylinositol 3-kinase; Rab9, Ras-related protein 9; Ulk1, Unc51-like kinase 1

Alternative autophagy (GOMED)

	Canonical type	Alternative type
Morphology	Autophagosome/Autolysosome	Autophagosome/ Autolysosome
Phylogenetic conservation	Yeast to Mammals	Yeast to Mammals
Membrane source	ER, MAM	Golgi membrane
Inducible condition	Starvation, Rapamycin	Golgi stress
Specific substrate	p62, LC3 Parkin-dependent mitophagy	Insulin granules, Erythrocyte mitophagy

TABLE 1A comparison betweencanonical and alternative types ofautophagy

(LC3)-phosphatidylethanolamine (PE) pathway. In the former pathway, Atg7 is required for the conjugation of Atg12 to Atg5 as an E1-like enzyme. Conjugation of phosphatidylethanolamine to LC3 is mediated by the actions of Atg3 and the Atg5-Atg12 complex, as E2-like and E3-like enzymes, respectively. Because autophagosome formation is largely disturbed in cells lacking Atg5 and Atg7, they are considered an essential molecule for autophagy (Figure 1). The modification of LC3 to LC3-PE and its translocation from the cytosol to the autophagic membrane are considered reliable autophagy markers.

3 | NONCANONICAL AUTOPHAGY

The importance of Atg5 in autophagy has been widely accepted, as described. However, two types of Atg5-independent autophagy have been reported. One is a residual canonical autophagy that is slowly driven via the standard autophagy mechanism and does not involve Atg5.⁹ The other is an alternative type of autophagy, namely, alternative autophagy or Golgi membrane-associated degradation (GOMED), which is driven via a different mechanism to canonical autophagy¹⁰⁻¹³ (Figure 1). Alternative autophagy originates from the Golgi membrane instead of from the ER or MAM,^{10,11} and with the exception of Ulk1 and PI3K, the molecules involved are different from those of canonical autophagy (Table 1). Ulk1 is an essential molecule

for both types of autophagy, and we recently identified the mechanism whereby Ulk1 regulates each type¹⁴ (Figure 2). Ulk1 function is largely dependent on its phosphorylation status, and its dephosphorylation at serine⁶³⁷ is required for the activation of both autophagy types. Subsequent phosphorylation at serine⁷⁴⁶ determines the type of autophagy; that is, serine⁷⁴⁶ phosphorylation induces alternative autophagy, but if this does not occur, canonical autophagy occurs. Importantly, phosphorylated Ulk1 at serine⁷⁴⁶ is entirely located on the Golgi membrane, where phagophores originate in alternative autophagy. p-Ulk1⁷⁴⁶ induces the elongation of ministacked Golgi membranes. Then, Golgi membranes are elongated to become pahogophore membranes via PI3K-dependent manner. The subsequent closure of phagophores generate autophagosomes via fusion between trans-Golgi-derived membranes and endosomal vesicular membranes. In these steps, Ras-related protein 9 and Dram1 are utilized instead of Atg5, Atg7, and LC3 (Figure 1). Finally, Golgi membrane-derived autophagosomes fuse with lysosomes to generate autolysosomes.

Alternative autophagy is a common cell function that is phylogenetically conserved from yeasts to humans,¹¹ and it is not a compensatory mechanism for canonical autophagy. Almost all cells possess canonical and alternative autophagy mechanisms, and the type of autophagy depends on the substrate or type of cellular stress. For example, starvation stimulus mostly induces canonical autophagy, whereas genotoxic stress activates both autophagy types¹⁰



FIGURE 2 Mechanism of genotoxic stress-induced autophagy (modified from ref.¹⁴). (A) Genotoxic stress-induced alternative autophagy is activated by phosphorylated Unc51-like kinase 1 (p-Ulk1)⁷⁴⁶, which is entirely localized on the Golgi membrane. The indicated mouse embryonic fibroblasts were treated with etoposide and immunostained with anti-p-Ulk1⁷⁴⁶ and anti-GS28 antibodies. Representative images of p-Ulk1⁷⁴⁶ (upper panels) and merged images (lower panels) are shown. Arrowheads indicate p-Ulk1⁷⁴⁶ signals on the Golgi. (B) Schematic model of genotoxic stress-induced autophagy. Genotoxic stress induces Ulk1 dephosphorylation at serine⁶³⁷ in a p53/protein phosphatase magnesium-dependent 1D, delta isoform (PPM1D)-dependent manner. This step is essential for both autophagy types. The subsequent phosphorylation of Ulk1 at serine⁷⁴⁶ by p53/RIPK3 is essential for the induction of alternative autophagy

(Table 1). Canonical autophagy selectively degrades p62 and LC3, but these molecules are not degraded by alternative autophagy. In contrast, mitochondrial elimination during erythrocyte maturation is mediated by alternative autophagy.¹⁵ The presence of different degrading substrates indicates different biological functions (Table 1). Until now, alternative autophagy has been reported as involved in erythrocyte maturation,¹⁵ the regulation of insulin secretion,¹¹ neuroprotection,¹⁶ heart protection,¹⁷ and the inhibition of inflammatory bowel diseases.¹⁸

CANCER AND AUTOPHAGY 4

Various clinical and experimental findings regarding the relationship between cancer and autophagy have been reported. A deficiency of phosphatase and tensin homolog (PTEN), a protein inhibitor of PI3K, was found to cause cancer in mice.¹⁹ Autophagy was considered to be involved in this mechanism of oncogenesis because PTEN inhibits autophagy-inducing PI3K-protein kinase B-mammalian target of rapamycin (mTOR) signaling.²⁰ Several experimental studies have also suggested the involvement of autophagy in cancer. For example, liver-specific Atg5 (or Atg7)-deficient mice were found prone to benign tumors,^{21,22} and the hetero-knockout of Beclin 1, a key molecule for autophagy, resulted in cancer-prone mice. In a xenograft cancer model, Beclin 1-deficient cancer cells showed accelerated tumor growth that was suppressed by exogenously transfected Beclin 1.^{23,24} Although Beclin 1 is involved in autophagy and in membrane trafficking, the involvement of autophagy in oncogenesis was proven by detailed analyses.

From a clinical aspect, a high frequency of Beclin 1 genomic mutations has been reported in ovarian (75%), breast (50%), and

prostatic cancer (40%).²⁴ The involvement of gene mutations of Atg5, LC3, and FAK family kinase-interacting protein of 200 kDa, have been reported in leukemia, glioblastoma, and breast cancer, respectively.^{25,26} Excess accumulation of p62, which is induced by autophagy dysfunction, is also frequently found in hepatocellular carcinoma and glioma.²¹ These findings imply the causal relationship between autophagy failure and oncogenesis.

In contrast with autophagy failure-induced oncogenesis, autophagy acceleration is considered important for tumor growth. For example, the central region of solid tumors is hypoxic and undernourished. In such circumstances, autophagy-mediated nutritional supplies are essential for cancer cell proliferation,²⁷ meaning that autophagy contributes to the survival and proliferation of cancer cells in suboptimal environments. The involvement of autophagy in cancer cell migration, metastasis, and dissemination has also been reported.²⁸ Thus, the role of autophagy in cancer development is dependent on the cell context.

5 | INVOLVEMENT OF AUTOPHAGY FAILURE IN ONCOGENESIS

How does autophagy failure contribute to oncogenesis? It is widely accepted that oncogenesis is primarily triggered by multiple gene alterations caused by point mutations, recombination, amplifications, and deletions, and hence, genotoxic stress plays an important role. Genotoxic insults include a wide variety of factors, such as DNA replication errors, spontaneous and UV-induced mutations, toxic molecules, and reactive oxygen species. One of the most reasonable scenarios explaining autophagy failure-induced oncogenesis is the hypergeneration of these genotoxic insults by autophagy failure.²⁹ 3996

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In healthy cells, damaged or aged organelles, such as mitochondria and peroxisomes, are usually degraded by autophagy, which is evident because most cells contain abnormal organelles in autophagydeficient mice. Thus, in cells with reduced autophagic activity, damaged organelles are insufficiently degraded, resulting in the generation of toxic intra-organellar molecules or oxygen radicals, which become genotoxic insults. Alternatively, autophagy failure increases necrotic cells due to the blockage of autophagy-mediated nutrition supplies.²⁷ Necrotic cells are thought to release danger-associated molecular patterns that trigger an inflammatory response, which may promote genotoxic stress.

Various studies have shown the involvement of p62 in cancer development.^{21,30} p62 is a well-known autophagy substrate that accumulates in cells showing decreased autophagy activity. p62 plays a role in protecting cells from cellular stress via several different signaling pathways. For example, p62 binds to kelch-like ECH-associated protein 1 to prevent it from trapping nuclear factor erythroid 2-related factor 2 (Nrf2), the master transcription factor of the anti-oxidative response, resulting in Nrf2 stabilization.^{31,32} p62 also activates nuclear factor kappalight-chain-enhancer of activated B cells and mTOR via direct interaction with tumor necrosis factor receptor-associated factor 6/receptor-interacting serine/threonine-protein kinase (RIPK)-1 and mammalian mitogen-activated protein kinase kinase kinase/ regulatory-associated protein of mTOR, respectively.³³ The former signal regulates multiple aspects of immune functions and inflammatory responses and also inhibits apoptosis signaling, whereas the latter signal regulates the nutrient response, both of which results in cell tolerance to various stressors. These mechanisms should contribute to cancer cell survival and promote tumor progression. The crucial role of p62 in cancer progression is evident in hepatocellular carcinoma because p62 accumulation is markedly observed in clinical liver tumors.^{31,32} This is also supported by experimental findings, in which liver tumors that were observed in liver-specific Atg5 (or Atg7)deficient mice were largely reduced by the concomitant loss of p62.²¹

6 | ALTERNATIVE MECHANISMS OF AUTOPHAGY FAILURE-INDUCED ONCOGENESIS

In addition to the mechanisms described above, we recently identified two additional mechanisms that explain autophagy failureinduced oncogenesis: centrosome number dysregulation and the failure of autophagic cell death.

The centrosome is an organelle that plays an essential role in the organization of the microtubule network during mitosis. During prophase, two centrosomes move to opposite poles of the cell to properly segregate chromosomes. If there are more than two centrosomes, mis-segregation occurs, which leads to genomic instability and may trigger oncogenesis³⁴ (Figure 3). The centrosome number was considered to be regulated by the ubiquitin-proteasome pathway. However, we recently found that autophagy also participates in the regulation of centrosome number.³⁵

First, we noticed that many Atg5-deficient cells contained three or more centrosomes (Figure 4A). This finding was confirmed using different Atg5- and Atg7-deficient cells. Furthermore, chemical inhibition of autophagy also increased the number of centrosomes, confirming the involvement of autophagy in regulating the number of centrosomes. Therefore, autophagy failure generates multiple centrosomes, resulting in oncogenesis.

In order to elucidate the mechanism how autophagy failure generates multiple centrosomes, we first hypothesized that autophagy directly degraded excess centrosomes when aberrant centrosomes were generated. However, this proposal was disproved because we did not find any mature centrosomes in the autophagic vacuoles. After careful analysis, we identified centrosomal protein 63 (Cep63) as an autophagy substrate responsible for regulating the number of centrosomes. Cep63 usually localizes on the centrosome and plays an essential role for centrosome replication. However, this molecule is often abnormally generated in the cytosol and occasionally makes aberrant centrosomes (Figure 4B). But, autophagy degrades cytosolic Cep63 and hence prevents abnormal centrosome generation in healthy cells. In contrast, in



FIGURE 3 Mechanism of oncogenesis from an excess number of centrosomes. During prophase, two centrosomes move to opposite poles of the cell to properly segregate chromosomes. Excess centrosomes cause chromosome missegregation, leading to genomic instability that may trigger oncogenesis



FIGURE 4 Autophagy regulates centrosome number (modified from ref.¹⁵). (A) Autophagy-related protein 5 (Atg5)-deficient cells contain excess centrosomes. Centrosomes were immunostained with an anti- γ -tubulin antibody. Arrows indicate cells with excess centrosomes. (B) Atg5-deficient cells contain many aberrant centrosomal protein 63 (Cep63) puncta. Atg5^{-/-} mouse embryonic fibroblasts were immunostained with anti- γ -tubulin antibody (green; left) and anti-Cep63 antibody (red; right) and were examined by fluorescence microscopy. Arrows and arrowheads indicate mature centrosomes and extra Cep63 dots, respectively. (C) Schematic model of autophagy failure-induced centrosome overproduction. In healthy cells, the centrosome number remains normal (n = 2) because cytosolic Cep63 dots are degraded into autophagosomes via p62. In autophagy-deficient cells, the centrosome number increases because many Cep63 dots exist in the cytosol



FIGURE 5 Involvement of Jun amino-terminal kinase (JNK) in autophagic cell death. (A) Representative electron micrograph of autophagic cell death. Mouse embryonic fibroblasts (MEFs) were treated with etoposide for 24 h. There are many autophagic vacuoles (arrows) present in the cytosol, and the organelles are almost normal. (from ref.⁵). (B) Reduction of etoposide-induced death by the inhibition of JNK. MEFs were treated with etoposide in the presence of JNK inhibitor SP600125 for 24 h, and then examined by phase-contrast microscopy (modified from ref.³⁷)

autophagy-deficient cells, abnormal centrosomes are generated from undigested cytosolic Cep63. This conclusion was obtained from the following findings: (a) multiple Cep63 dots appeared in autophagy-deficient cells or in cells treated with autophagy inhibitors, (b) there were multiple Cep63 dots within autolysosomes when substrate degradation was blocked by E64d (lysosomal cysteine proteinase inhibitor) and pepstatin A (an inhibitor for lysosomal aspartic proteinases), (c) Cep63 interacted with p62 and was incorporated into autophagosomes, and (d) enforced expression of Cep63 generated aberrant centrosomes. Therefore, autophagy failure increases the expression level of cytosolic Cep63, resulting in the generation of aberrant centrosomes (Figure 4C), which may facilitate oncogenesis via chromosome mis-segregation. It must be noted that Cep63 is degraded by canonical autophagy, not alternative autophagy, because Cep63 degradation occurs via its association with p62.

7 | THE ROLE OF AUTOPHAGIC CELL DEATH IN ONCOGENESIS

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Autophagy is activated by most cellular stressors and plays a protective role against cellular stressors in most cases. However, autophagy can be utilized as a mechanism of cellular suicide,^{5,36} which is referred to as autophagic cell death (Figure 5A). The simple association of autophagy with cell death is insufficient for autophagic cell death, and it is essential that the suppression of cell death by autophagy inhibitors (eg, 3-methyl adenine) or by the genetic ablation of autophagy (eg, knockout or small interfering RNA silencing of essential autophagy genes) is also demonstrated. Thus, if autophagy inhibition does not prevent cell death, this process should not be referred to as autophagic cell death. The molecular mechanism of autophagic cell death has yet to be fully elucidated. However, it requires not only autophagy induction but also Jun amino-terminal kinase (JNK) activation, because JNK inhibition largely suppresses autophagic cell death³⁷ (Figure 5B).

A large body of evidence indicates that apoptosis inhibition is critical for tumorigenesis, but the elimination of cancer cells may also be mediated by autophagic cell death because this occurs in normal cells (eg, fibroblasts or thymocytes) but not in most cancer cells.³⁷ Furthermore, in some cancer cells, the magnitude of JNK activation, which is a crucial factor for autophagic cell death, is significantly lower compared with that in normal cells following exposure to cellular stress.³⁷ Thus, in these cancer cells, the JNK activity level may not attain the threshold level required to induce autophagic cell death. This conclusion is supported by evidence that the enforced expression of activated JNK in cancer cells induced autophagic cell death.³⁷ Taken together, it is likely that the failure of autophagic cell death by insufficient JNK activation enables the survival of precancerous cells, leading to malignant cancer.

8 | P53, AUTOPHAGY, AND ONCOGENESIS

p53 is a tumor suppressor gene. It is the most frequently mutated gene in cancers, and its mutations cause oncogenesis. The p53 gene encodes a transcription factor that mediates various cellular stresses, particularly genotoxic stress. Because a wide variety of factors cause genotoxic stress, the ratio of DNA damage occurs at a rate of 10 000-1 000 000 molecular lesions per cell per day.³⁸ p53 plays a role in surveying and correcting gene mutations, and the lack of p53 increases DNA damage and triggers oncogenesis. p53 also regulates a wide variety of cellular responses against genotoxic stress, and most of them contribute to the avoidance of oncogenesis. For example, cells with severe DNA damage are killed by apoptosis in a p53-dependent manner. This mechanism is driven by the transcriptionally upregulated Puma, Noxa, Bim, and Bax pro-apoptotic proteins in a p53-dependent manner,³⁹ and is important to eliminate cancer-prone cells with severe DNA damage. p53 is also involved in autophagy in response to genotoxic stress. Genotoxic stress almost equivalently activates canonical and alternative autophagy in a p53-dependent manner. We recently identified how p53 activates genotoxic stress-induced autophagy. One mechanism is the transcriptional upregulation of Ulk1.¹⁰ As described, this molecule functions in the initial step of both types of autophagy (Figure 1), but its upregulation alone is insufficient to induce autophagy, and an additional factor, Ulk1 dephosphorylation at serine⁶³⁷, is required (Figure 2). The responsible phosphatase is protein phosphatase magnesium-dependent 1D, delta isoform, which is also transcriptionally upregulated by p53.⁴⁰ Accordingly, autophagy is activated by the concomitant upregulation and dephosphorylation of Ulk1. Thus, genotoxic stress-induced autophagy mediated via the p53-Ulk1 axis should be useful to prevent oncogenesis.

9 | CLOSING REMARK

As described, autophagy is an important cellular function against oncogenesis. However, the relationship between autophagy activity and oncogenesis or cancer development from a clinical aspect has not been fully elucidated. Such an approach appears to require an understanding of the precise role of autophagy in cancer. Furthermore, autophagy-based cancer chemotherapeutics could be promising.

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DISCLOSURE

The authors declare no conflicts of interest associated with this manuscript.

ETHICAL APPROVAL

The Tokyo Medical and Dental University Ethics Committee for Animal Experiments approved all experiments in this study, and all experiments were performed according to their regulations.

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Cancer Science - WILEY

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