



Efficacy and Safety of Ertugliflozin in Patients with Type 2 Diabetes Inadequately Controlled by Metformin and Sulfonylurea: A Sub-Study of VERTIS CV

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ABSTRACT

Introduction: VERTIS CV is the cardiovascular outcome trial for the sodium–glucose cotransporter 2 (SGLT2) inhibitor ertugliflozin. A sub-study was conducted to assess the efficacy and safety of ertugliflozin in patients with type 2 diabetes mellitus (T2DM) inadequately glycemic-controlled on metformin and a sulfonylurea (SU).

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Methods: Patients with T2DM, established atherosclerotic cardiovascular disease (ASCVD), and an HbA1c of 7.0–10.5% on stable metformin (≥ 1500 mg/day) and moderate to high SU doses were randomly assigned to once-daily ertugliflozin (5 or 15 mg) or placebo. The primary sub-study objectives were to assess the effect of ertugliflozin on HbA1c compared with placebo and to evaluate safety following 18 weeks of treatment. Key secondary endpoints included changes in fasting plasma glucose (FPG), body weight (BW), blood pressure (BP), and the proportion of patients achieving HbA1c $< 7\%$.

Results: Of the 8246 patients enrolled in VERTIS CV, 330 were eligible for this sub-study (ertugliflozin 5 mg, $n = 100$; ertugliflozin 15 mg, $n = 113$; placebo, $n = 117$). This subgroup had a mean (SD) age of 63.2 (8.4) years and T2DM duration of 11.4 (7.4) years. At week 18, ertugliflozin 5 mg and 15 mg were each associated with significantly greater least squares (LS) mean reductions from baseline in HbA1c relative to placebo (placebo-adjusted LS mean [95% CI] -0.66% [$-0.89, -0.43$] and -0.75% [$-0.98, -0.53$], respectively, $p < 0.001$ for each dose vs placebo). Ertugliflozin significantly reduced FPG and BW compared with placebo ($p < 0.001$), but not systolic BP. Adverse events were reported in 48.0%, 54.9%, and 47.0% of patients in the ertugliflozin 5 mg and 15 mg, and placebo groups. The incidences of symptomatic hypoglycemia were 11.0% (5 mg),

12.4% (15 mg), and 7.7% (placebo), and of severe hypoglycemia 2.0% (5 mg), 1.8% (15 mg), and 0.9% (placebo).

Conclusions: In patients with T2DM and ASCVD, ertugliflozin added to metformin and SU improved glycemic control, reduced BW, and was generally well tolerated.

Trial Registration: VERTIS CV ClinicalTrials.gov identifier, NCT01986881.

Keywords: Ertugliflozin; Glycemic control; HbA1c; Metformin; SGLT2 inhibitor; Sulfonylurea; Type 2 diabetes mellitus

Key Summary Points

Why carry out this study?

Metformin and sulfonylureas (SUs) remain a commonly used combination for the treatment of type 2 diabetes mellitus (T2DM). If additional glycemic control is required and a decision is made to add a third oral agent to that regimen, the glycemic efficacy and extra-glycemic effects of sodium–glucose cotransporter 2 (SGLT2) inhibitors, including their associated effects on reduction in body weight and blood pressure and cardiorenal benefits, make them a potentially attractive choice.

VERTIS CV was the cardiovascular outcome study for the SGLT2 inhibitor ertugliflozin, conducted in patients with T2DM and established atherosclerotic cardiovascular disease. As part of VERTIS CV, a sub-study was conducted to assess the efficacy and safety of ertugliflozin (5 mg and 15 mg) as add-on therapy to metformin (≥ 1500 mg/day) and a SU.

What was learned from the study?

Ertugliflozin as add-on therapy to metformin and SU resulted in significantly greater reductions in HbA1c, fasting plasma glucose, and body weight than placebo.

Ertugliflozin was generally well tolerated with a safety profile consistent with the SGLT2 inhibitor drug class.

Ertugliflozin is a suitable candidate add-on therapy in patients with T2DM who are inadequately controlled with metformin and SU.

DIGITAL FEATURES

This article is published with digital features, including a summary slide, to facilitate understanding of the article. To view digital features for this article go to <https://doi.org/10.6084/m9.figshare.13950776>.

INTRODUCTION

Type 2 diabetes mellitus (T2DM) is a progressive disease, and with disease progression, metformin and sulfonylureas (SUs) remain a commonly used combination therapy because of their glycemic efficacy, low cost, and complementary mechanisms of actions [1, 2]. The major pharmacologic actions of metformin are to decrease hepatic glucose production, decrease intestinal absorption of glucose, and improve insulin sensitivity [3, 4]; whereas, SUs are insulin secretagogues [5]. While SUs are effective in reducing glycosylated hemoglobin (HbA1c) when used with metformin, they are associated with weight gain and a higher incidence of hypoglycemia than other antihyperglycemic agents (AHAs) [6, 7]. When additional glycemic control is needed and a decision is made to add a third oral AHA to the existing metformin + SU regimen, sodium–glucose transporter 2 (SGLT2) inhibitors may be an attractive option.

SGLT2 inhibitors represent a class of AHAs that decrease renal glucose reabsorption from the proximal tubule of the kidney, thereby enhancing urinary glucose excretion and reducing plasma glucose and HbA1c [8]. Unlike SUs, SGLT2 inhibitors act independently of beta cell function and insulin sensitivity, are not

associated with hypoglycemia when used as monotherapy, and are associated with weight loss [9–12]. SGLT2 inhibitors have also been demonstrated to have cardiovascular (CV) and renal benefits [13–19]. Because of their cardiorenal benefits, recent society guidances and consensus statements are recommending the use of SGLT2 inhibitors earlier in the treatment algorithms for patients with T2DM with established or at an increased risk of CV disease and in those with chronic kidney disease [20, 21].

Ertugliflozin is a selective SGLT2 inhibitor that has been evaluated as both monotherapy and in combination with other AHAs in the phase 3 VERTIS (eValuation of ERTugliflozin efficacy and Safety) clinical program [22–29]. Data from the VERTIS suite of studies have shown that ertugliflozin (5 mg and 15 mg) results in clinically meaningful reductions in HbA1c, is associated with reductions in body weight and blood pressure, and is generally well tolerated with a safety profile consistent with other SGLT2 inhibitors [22–29]. However, the addition of ertugliflozin as third-line therapy on a background of metformin and SU has not been previously studied. The VERTIS CV trial was conducted to evaluate the effects of ertugliflozin on CV and renal outcomes in patients with T2DM and established atherosclerotic CV disease (ASCVD) [19, 30]. The VERTIS CV trial included sub-studies to assess the efficacy of ertugliflozin in combination with other AHAs on glycemic and metabolic endpoints. The results reported here describe the efficacy and safety in patients who were inadequately controlled with metformin and a SU.

METHODS

Objectives

The primary objectives of the present sub-study were to assess the effects of 18 weeks of treatment with ertugliflozin (5 mg and 15 mg) on HbA1c compared with placebo, and to evaluate the safety and tolerability of ertugliflozin. As secondary objectives, the effects of ertugliflozin on fasting plasma glucose (FPG), body weight, proportion of patients with HbA1c < 7%, and

systolic and diastolic blood pressure (SBP and DBP, respectively) were also assessed.

Study Design

VERTIS CV was a multicenter, randomized, double-blind, placebo-controlled, parallel-group, event-driven study that was initiated in 2013. The main study was enrolled in two sequential cohorts (cohort 1 and cohort 2). On the basis of evolving information about the SGLT2 inhibitor class, the study population was expanded by a protocol amendment in 2016 to enable the assessment of VERTIS CV cardiorenal endpoints. The metformin + SU sub-study included patients from cohort 1 who were on metformin + SU at baseline.

The metformin + SU sub-study was conducted during the first 18 weeks of the double-blind treatment period in patients with T2DM and ASCVD who had inadequate glycemic control with metformin and SU at moderate to high doses. A computer-generated code based on the method of random permuted blocks was used to randomly assign patients 1:1:1 to receive once-daily, orally administered 5 mg or 15 mg ertugliflozin or matching placebo. To maintain double-blinding, placebo tablets matched the ertugliflozin 5 mg and 10 mg tablets, and patients were instructed to take two tablets daily of ertugliflozin/placebo.

Doses of all background AHAs were to remain constant during the 18-week treatment period. However, patients were to receive glycemic rescue therapy if FPG increased to > 270 mg/dL (15.0 mmol/L) between randomization and week 6, > 240 mg/dL (13.3 mmol/L) during weeks 6–12, or > 200 mg/dL (11.1 mmol/L) during weeks 12–18. Participants who received glycemic rescue therapy remained in the study and continued to receive blinded study medication (ertugliflozin or matching placebo) for the duration of the study. In addition, investigators were permitted to reduce or discontinue the background AHAs (metformin and/or SU) in response to a clinically significant hypoglycemic event, and to adjust medications for CV disease (e.g., for hypertension, dyslipidemia) as needed. Patients were counseled according to

local dietary and lifestyle guidelines and asked to adhere to these guidelines throughout the study.

The protocol and informed consent documents were approved by the institutional review board or independent ethics committee at each participating site (Table S1). The study was conducted in accordance with the ethical principles of the Declaration of Helsinki and in compliance with all International Council for Harmonisation Good Clinical Practice Guidelines. All participants signed informed consent forms (that were witnessed) before any screening procedures were conducted.

Patient Sample

Women and men aged ≥ 40 years were eligible for the main VERTIS CV trial if they had a diagnosis of T2DM according to the American Diabetes Association guidelines, an HbA1c of 7.0–10.5% (53–91 mmol/mol) at screening, a body mass index (BMI) ≥ 18.0 kg/m², and a history or evidence of coronary artery disease, ischemic cerebrovascular disease, or peripheral arterial disease. Protocol-specified definitions of these conditions have been published previously [30]. Patients taking blood pressure or lipid-lowering medications were required to be on a stable dose for ≥ 4 weeks prior to randomization. Patients who met the aforementioned criteria were included in the present sub-study if they had been receiving metformin (≥ 1500 mg/day) and SU (gliclazide [immediate- or modified-release], glimepiride, glipizide, glyburide [micronized and non-micronized], acetohexamide, tolbutamide, or tolazamide) at stable doses above a prespecified minimum for at least 8 weeks prior to screening and randomization. Individuals were excluded if they had a history of type 1 diabetes or ketoacidosis, screening FPG or fingerstick glucose measurement > 270 mg/dL (15 mmol/L), unstable weight ($\geq 5\%$ change in body weight in previous 6 months), or estimated glomerular filtration rate (eGFR) < 55 mL/min/1.73 m² or a screening serum creatinine ≥ 1.3 mg/dL (115 μ mol/L) in men or ≥ 1.2 mg/dL (110 μ mol/L) in women.

Sub-Study Endpoints and Assessments

The primary efficacy endpoint was change in HbA1c from baseline at week 18. Secondary endpoints included changes from baseline at week 18 in FPG, body weight, SBP, and DBP, as well as the proportion of patients with HbA1c $< 7.0\%$ (53 mmol/mol) at week 18. The proportion of patients who received glycemic rescue therapy was also assessed. Efficacy measurements were conducted at baseline and weeks 6, 12, and 18. All clinical laboratory assessments, including HbA1c and FPG, were performed at a central laboratory. Body weight was measured in duplicate using a standardized, digital scale. Sitting blood pressure was measured in triplicate using an automated, oscillometric blood pressure measuring device.

Treatment safety and tolerability were evaluated according to the reporting of assessments that included adverse events (AEs), serious AEs (SAEs), deaths, and discontinuations due to AEs. Prespecified AEs of special interest included genital mycotic infection (GMI) by gender, urinary tract infection (UTI), symptomatic hypoglycemia (event with clinical symptoms reported by the investigator as hypoglycemia), and hypovolemia. Other AEs of interest included documented hypoglycemia (episodes with a glucose level ≤ 70 mg/dL [3.9 mmol/L] with or without symptoms) and severe hypoglycemia (episodes that required second party assistance). To monitor safety during the study, AEs were reviewed contemporaneously by study team members, by formal review of aggregate and trends by the study team monthly, and unblinded interim safety data were periodically provided to an external data monitoring committee.

Statistical Methods

To ensure sufficient power to demonstrate superiority of ertugliflozin over placebo in reducing HbA1c during the 18-week treatment period, the sub-study was planned to enroll at least 260 patients (ca. 86 per treatment arm). This sample size was calculated to provide approximately 96% power to detect a treatment

difference of 0.6% using a two-sided 0.05 alpha level of significance, assuming a standard deviation (SD) of 1.0% and a 10% rate of loss to follow-up. With the actual sample size of 330, the power was > 99%. The statistical analyses were conducted using SAS v9.3 (Cary, NC, USA).

Analysis of Efficacy Endpoints

The primary and secondary efficacy endpoints were tested using the null hypothesis of no difference between ertugliflozin and placebo, and controlling for the type I error rate using a fixed testing sequence. Separate hypotheses were set for each efficacy endpoint—one for the 15 mg dose and one for the 5 mg dose, which was tested only if the hypothesis for the 15 mg dose reached statistical significance ($p < 0.05$). Endpoints were tested in the following order, HbA1c, FPG, body weight, proportion of patients with HbA1c < 7.0%, SBP, DBP; testing was advanced to the next endpoint in the sequence until the first p value was ≥ 0.05 . Efficacy endpoints were analyzed using the full analysis set (FAS), which comprised all randomized patients who received at least one dose of study medication and had at least one measurement of the analysis endpoint. Efficacy data obtained after the initiation of glycemic rescue therapy were censored (i.e., treated as missing) to avoid the confounding effects of these treatments (referred to as excluding rescue approach).

Between-group changes from baseline at week 18 were evaluated using a constrained longitudinal data analysis (cLDA) model that accounted for treatment, visit (categorical), treatment by visit interaction, and baseline eGFR (continuous). The cLDA model assumes a common mean across treatment groups at baseline and a different mean for each treatment at each of the post-baseline time points. Logistic regression analysis was used to evaluate the proportion of patients with HbA1c < 7.0% at week 18. The statistical model included terms for treatment (categorical), baseline HbA1c (continuous), and baseline eGFR (continuous). For missing data at week 18, the analysis uti-

lized multiple imputation based on cLDA modeling. The proportion of patients requiring glycemic rescue therapy up to week 18 was analyzed by treatment using log-rank tests comparing the time-to-event distribution of each dose of ertugliflozin versus placebo.

Analysis of Safety Endpoints

Safety data were analyzed using the all subjects as treated (ASaT) population which comprised all randomized patients who received at least one dose of study medication. Two sets of data were considered—data pertaining to the treatment period and data pertaining to the all post-randomization follow-up period. The treatment period dataset included all data from randomization through week 18. For patients who discontinued study medication prior to week 18, the treatment period dataset included all data from randomization to 14 days after the final dose of study medication for AEs and 2 days after the final dose of study medication for laboratory endpoints and electrocardiograms. The all post-randomization follow-up period dataset included all available data after randomization, with week 18 as the upper limit on the follow-up window for the sub-study. Analyses of SAEs included all post-randomization follow-up data through week 18.

The AEs of UTI, GMI, symptomatic hypoglycemia, and hypovolemia were analyzed according to incidence, risk difference, 95% confidence interval (CI), and p values (not adjusted for multiplicity). With the exception of hypoglycemia, the safety analyses included data obtained after the initiation of rescue therapy (referred to as including rescue approach). For other AEs of interest, 95% CIs were calculated.

Percentage changes from baseline in high-density lipoprotein cholesterol (HDL-C) and low-density lipoprotein cholesterol (LDL-C) were analyzed using the cLDA method described for HbA1c. Mean changes from baseline for other reported clinical laboratory tests (serum magnesium, phosphate, and uric acid, and hematocrit and hemoglobin) were summarized descriptively.

RESULTS

Patient Disposition and Baseline Characteristics

A total of 330 patients were randomized to receive ertugliflozin (5 mg, $n = 100$; 15 mg, $n = 113$) or placebo ($n = 117$) and 313 (94.8%) patients completed study treatment (5 mg, $n = 96$; 15 mg, $n = 108$; placebo, $n = 109$) (Fig. 1). Patient withdrawal was the most common reason for treatment discontinuation (Fig. 1). Study discontinuation rates were low; one patient in the placebo group withdrew from the study and one patient in the 15 mg group died, with all others remaining in the study to completion.

Treatment groups were generally similar with regard to patient demographics and other baseline characteristics (Table 1). Overall, the majority of patients were male (74.8%), had a

mean (SD) age of 63.2 (8.4) years and BMI of 31.7 (5.2) kg/m^2 , and were notably European (58.8%). Patients had been diagnosed with T2DM a mean (SD) of 11.4 (7.4) years prior to entering the study. Baseline HbA1c and FPG values were similar across treatment groups. A higher percentage of patients treated with ertugliflozin (9.0% [5 mg] and 10.6% [15 mg]) than placebo (2.6%) had eGFR values between 30 and $< 60 \text{ mL}/\text{min}/1.73 \text{ m}^2$. Medications with evidence of CV benefit in this secondary prevention population were highly utilized, including renin–angiotensin–aldosterone system inhibitors (80.3%), lipid-modifying agents (78.8%), aspirin (69.1%; in the analgesics category), beta-blockers (68.5%), diuretics (35.5%), and antithrombotic agents (34.2%).

At randomization, all patients were taking metformin $\geq 1500 \text{ mg}$ daily; the median (range) dose at baseline was 2000 (1500–3000) mg/day (mean [SD], 2077.5 mg/day [410.3])

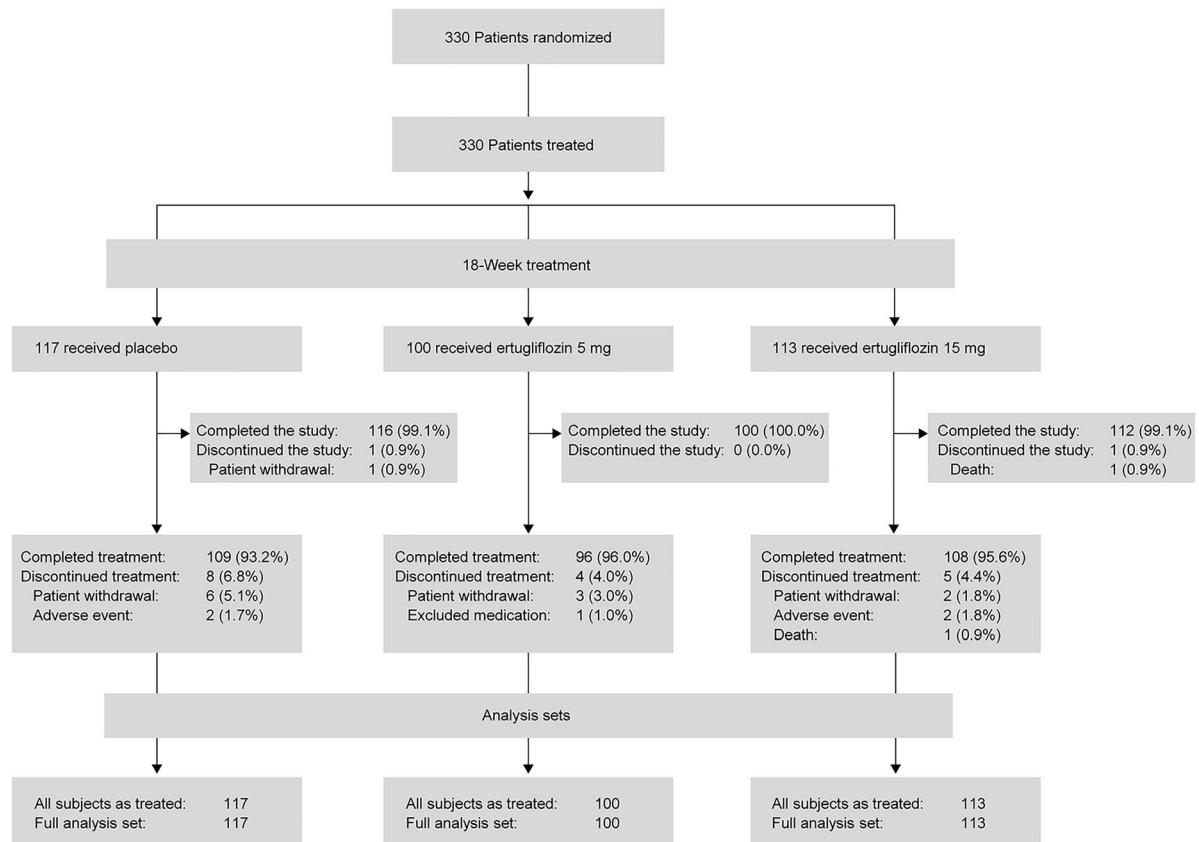


Fig. 1 Study flow diagram

Table 1 Patient demographics and baseline characteristics (all patients treated)

	Placebo (<i>n</i> = 117)	Ertugliflozin 5 mg (<i>n</i> = 100)	Ertugliflozin 15 mg (<i>n</i> = 113)	Total (<i>n</i> = 330)
Gender, <i>n</i> (%)				
Male	91 (77.8)	72 (72.0)	84 (74.3)	247 (74.8)
Female	26 (22.2)	28 (28.0)	29 (25.7)	83 (25.2)
Age, years	63.7 (8.9)	62.7 (8.0)	63.2 (8.3)	63.2 (8.4)
Age ≥ 65 years, <i>n</i> (%)	54 (46.2)	45 (45.0)	48 (42.5)	147 (44.5)
Race, <i>n</i> (%)				
White	102 (87.2)	82 (82.0)	104 (92.0)	288 (87.3)
Black/African American	2 (1.7)	3 (3.0)	3 (2.7)	8 (2.4)
Asian	10 (8.5)	9 (9.0)	3 (2.7)	22 (6.7)
Other ^a	3 (2.6)	6 (6.0)	3 (2.7)	12 (3.6)
Ethnicity, <i>n</i> (%)				
Hispanic or Latino	13 (11.1)	9 (9.0)	14 (12.4)	36 (10.9)
Not Hispanic or Latino	104 (88.9)	91 (91.0)	99 (87.6)	294 (89.1)
Region, <i>n</i> (%)				
North America	30 (25.6)	18 (18.0)	18 (15.9)	66 (20.0)
South America	7 (6.0)	8 (8.0)	13 (11.5)	28 (8.5)
Europe	62 (53.0)	60 (60.0)	72 (63.7)	194 (58.8)
Asia	7 (6.0)	7 (7.0)	1 (0.9)	15 (4.5)
South Africa	10 (8.5)	7 (7.0)	7 (6.2)	24 (7.3)
Australia/New Zealand	1 (0.9)	0 (0.0)	2 (1.8)	3 (0.9)
Duration of T2DM, years	11.6 (7.5)	11.6 (7.5)	11.1 (7.2)	11.4 (7.4)
Weight, kg	90.4 (17.5)	91.9 (20.4)	92.8 (17.2)	91.7 (18.3)
BMI, kg/m ²	31.0 (5.1)	32.0 (5.5)	32.3 (5.0)	31.7 (5.2)
HbA1c, %	8.3 (1.0)	8.4 (1.0)	8.3 (1.0)	8.3 (1.0)
mmol/mol	66.9 (10.9)	68.2 (10.5)	67.2 (10.5)	67.4 (10.6)
FPG, mg/dL	177.3 (45.6)	183.5 (49.6)	174.0 (52.8)	178.0 (49.3)
mmol/L	9.8 (2.5)	10.2 (2.8)	9.7 (2.9)	9.9 (2.7)
SBP, mmHg	135.0 (14.0)	133.6 (13.9)	133.9 (15.2)	–
DBP, mmHg	77.7 (8.3)	78.0 (7.7)	76.7 (9.0)	–

Table 1 continued

	Placebo (<i>n</i> = 117)	Ertugliflozin 5 mg (<i>n</i> = 100)	Ertugliflozin 15 mg (<i>n</i> = 113)	Total (<i>n</i> = 330)
eGFR, mL/min/1.73 m ²	85.5 (17.7)	84.8 (18.0)	80.2 (17.4)	83.5 (17.8)
30 to < 60, <i>n</i> (%)	3 (2.6)	9 (9.0)	12 (10.6)	24 (7.3)
60 to < 90, <i>n</i> (%)	72 (61.5)	53 (53.0)	73 (64.6)	198 (60.0)
≥ 90, <i>n</i> (%)	42 (35.9)	38 (38.0)	28 (24.8)	108 (32.7)
Prior medications				
ACE inhibitors and ARBs	95 (81.2)	77 (77.0)	93 (82.3)	265 (80.3)
Beta-blockers	80 (68.4)	62 (62.0)	84 (74.3)	226 (68.5)
Calcium channel blockers	35 (29.9)	30 (30.0)	42 (37.2)	107 (32.4)
Diuretics	33 (28.2)	37 (37.0)	47 (41.6)	117 (35.5)
Lipid-modifying agents	94 (80.3)	75 (75.0)	91 (80.5)	260 (78.8)
Antithrombotic agents	41 (35.0)	33 (33.0)	39 (34.5)	113 (34.2)
Analgesics ^b	79 (67.5)	73 (73.0)	84 (74.3)	236 (71.5)

Data presented as mean (SD) unless otherwise stated

ACE angiotensin-converting enzyme, ARB angiotensin receptor blocker, BMI body mass index, DBP diastolic blood pressure, eGFR estimated glomerular filtration rate, FPG fasting plasma glucose, HbA1c glycated hemoglobin, SBP systolic blood pressure, SU sulfonylurea, T2DM type 2 diabetes mellitus

^a Includes American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, and Multiple

^b Includes acetaminophen (*n* = 19, 5.8% of the total population) and aspirin (*n* = 228, 69.1% of the total population)

(Table 2). The most commonly prescribed SUs (median dose [range]) at randomization were gliclazide (90 mg/day [60.0–320.0]), glimepiride (4.0 mg/day [4.0–8.0]), glipizide (15.0 mg/day [10.0–40.0]), and glyburide (glibenclamide) (10.0 mg/day [7.0–20.0]) (Table 2).

Efficacy

Treatment with ertugliflozin resulted in a progressive decrease in HbA1c levels over 18 weeks (Fig. 2). Ertugliflozin 5 mg and 15 mg were each associated with a significantly greater least squares (LS) mean reduction from baseline in HbA1c relative to placebo at week 18 ($p < 0.001$ for both comparisons; Table 3, Fig. 3a). Additionally, greater proportions of patients in the ertugliflozin groups achieved HbA1c < 7.0% by

week 18 compared with placebo (Fig. 3b). Accordingly, patients in the ertugliflozin treatment groups had significantly higher odds ratios of achieving HbA1c < 7.0% than those who received placebo (5 mg: 6.0 [95% CI 2.9, 12.5]; 15 mg: 4.1 [95% CI 2.0, 8.4]). In a subgroup analysis, both doses of ertugliflozin reduced HbA1c relative to placebo irrespective of baseline HbA1c, age, gender, or race (Fig. 4).

At week 18, LS mean reductions from baseline in FPG (Fig. 3c) and body weight (Fig. 3d) were significantly greater for the ertugliflozin 5 mg and 15 mg groups compared with placebo ($p < 0.001$ for all comparisons). The placebo-adjusted LS mean (95% CI) change in body weight was – 1.6 kg (– 2.3, – 0.8) and – 1.9 kg (– 2.7, – 1.2) for ertugliflozin 5 mg and 15 mg, respectively. LS mean reductions from baseline

Table 2 Summary of metformin and sulfonylurea doses at randomization (all patients treated)

	Placebo (<i>n</i> = 117)	Ertugliflozin 5 mg (<i>n</i> = 100)	Ertugliflozin 15 mg (<i>n</i> = 113)	Total (<i>n</i> = 330)
Metformin				
Patients on metformin at randomization, <i>n</i>	117	100	113	330
Patients with data	117	100	113	330
Dose of metformin (mg/day)				
Mean (SD)	2036.3 (368.7)	2018.0 (363.0)	2172.8 (472.3)	2077.5 (410.3)
Median (range)	2000.0 (1500–3000)	2000.0 (1500–3000)	2000.0 (1500–3000)	2000.0 (1500–3000)
Distribution of doses, <i>n</i> (%)				
1500	9 (7.7)	14 (14.0)	8 (7.1)	31 (9.4)
> 1500 and < 2000	22 (18.8)	11 (11.0)	18 (15.9)	51 (15.5)
2000	64 (54.7)	56 (56.0)	50 (44.2)	170 (51.5)
> 2000 and < 3000	15 (12.8)	15 (15.0)	17 (15.0)	47 (14.2)
3000	7 (6.0)	4 (4.0)	20 (17.7)	31 (9.4)
Sulfonylurea				
Patients on sulfonylurea at randomization, <i>n</i>	117	100	113	330
Gliclazide (mg/day)				
Patients with data	48	48	44	140
Median dose (range)	120.0 (60.0–320.0)	60.0 (60.0–320.0)	105.0 (60.0–320.0)	90.0 (60.0–320.0)
Distribution of doses, <i>n</i> (%)				
60 to < 160	35 (29.9)	37 (37.0)	29 (25.7)	101 (30.6)
≥ 160 to 320	13 (11.1)	11 (11.0)	15 (13.3)	39 (11.8)
Glimepiride (mg/day)				
Patients with data	36	29	50	115
Median dose (range)	4.0 (4.0–8.0)	4.0 (4.0–8.0)	4.0 (4.0–8.0)	4.0 (4.0–8.0)
Distribution of doses				
4	23 (19.7)	15 (15.0)	26 (23.0)	64 (19.4)
> 4	13 (11.1)	14 (14.0)	24 (21.2)	51 (15.5)

Table 2 continued

	Placebo (<i>n</i> = 117)	Ertugliflozin 5 mg (<i>n</i> = 100)	Ertugliflozin 15 mg (<i>n</i> = 113)	Total (<i>n</i> = 330)
Glipizide (mg/day)				
Patients with data	18	12	8	38
Median dose (range)	20.0 (10.0–40.0)	12.5 (10.0–20.0)	15.0 (10.0–20.0)	15.0 (10.0–40.0)
Distribution of doses, <i>n</i> (%)				
10–20	15 (12.8)	12 (12.0)	8 (7.1)	35 (10.6)
> 20 to 40	3 (2.6)	0 (0.0)	0 (0.0)	3 (0.9)
Glyburide (glibenclamide) (mg/day)				
Patients with data	15	11	11	37
Median dose (range)	10.0 (7.0–20.0)	15.0 (10.0–20.0)	10.0 (10.0–20.0)	10.0 (7.0–20.0)
Distribution of doses, <i>n</i> (%)				
6–10	9 (7.7)	4 (4.0)	6 (5.3)	19 (5.8)
> 10	6 (5.1)	7 (7.0)	5 (4.4)	18 (5.5)

For entry into study, the minimum daily dose of metformin was ≥ 1500 mg, and the following for specific SUs: gliclazide (immediate-release) ≥ 160 mg; gliclazide (modified-release) ≥ 60 mg; glimepiride ≥ 4 mg; glipizide ≥ 10 mg; glyburide (glibenclamide) ≥ 10 mg; micronized glyburide ≥ 6 mg. Calculations of median dose and distribution of doses for gliclazide and glyburide make no adjustment for any potential formulation differences

SD standard deviation

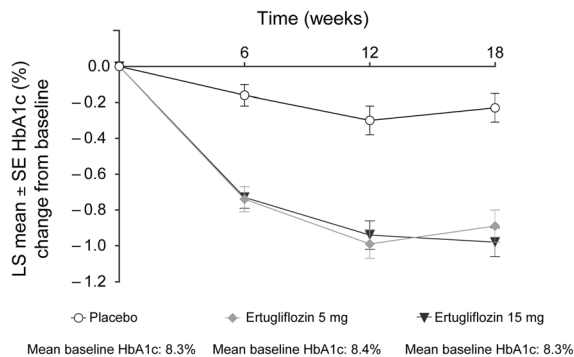


Fig. 2 LS mean change from baseline in HbA1c over time. *HbA1c* glycated hemoglobin, *LS* least squares, *SE* standard error of the mean

SBP (Fig. 3e) and to a lesser extent DBP (Fig. 3f) at week 18 were observed with ertugliflozin 5 mg and 15 mg compared with placebo, but

the effect on SBP with ertugliflozin 15 mg was not significant, hence further hypothesis testing of remaining secondary endpoints (blood pressure assessments) was stopped. Glycemic rescue therapy was required by fewer patients in the ertugliflozin 5 mg (7.0%) and 15 mg (2.7%) groups through week 18 relative to the placebo group (10.3%).

Safety

Overall AE Summary

AEs, SAEs, and discontinuations due to an AE occurred at similar rates across treatment groups (Table 4). The number of patients who discontinued treatment because of an AE was low (*n* = 5); two were in the placebo group (abdominal distension, upper respiratory tract infection) and three were in the ertugliflozin

Table 3 Change from baseline in HbA1c at week 18 (FAS)

Treatment	Baseline		Week 18		Change from baseline at week 18		
	<i>n</i>	Mean (SD)	<i>n</i>	Mean (SD)	<i>n</i>	Mean (SD)	LS mean (95% CI) ^a
Placebo	116	8.3 (1.0)	96	8.0 (1.1)	117	− 0.22 (0.90)	− 0.23 (− 0.39, − 0.06)
Ertugliflozin 5 mg	99	8.4 (1.0)	82	7.4 (1.0)	100	− 0.95 (0.95)	− 0.89 (− 1.06, − 0.71)
Ertugliflozin 15 mg	113	8.3 (1.0)	103	7.3 (0.9)	113	− 0.98 (0.89)	− 0.98 (− 1.14, − 0.82)
Pairwise comparison				Difference in LS means (95% CI) ^a		<i>P</i> value	
Week 18 ertugliflozin 5 mg vs placebo				− 0.66 (− 0.89, − 0.43)		< 0.001	
Week 18 ertugliflozin 15 mg vs placebo				− 0.75 (− 0.98, − 0.53)		< 0.001	

For baseline and week 18, *n* is the number of patients with non-missing assessments at the specific time point; for change from baseline at week 18, *n* is the number of patients in the FAS (i.e., randomized subjects who took at least 1 dose of study medication and had at least 1 assessment at or after baseline). The mean and SD for the change from baseline is based on non-missing values

CI confidence interval, *cLDA* constrained longitudinal data analysis, *eGFR* estimated glomerular filtration rate, *FAS* full analysis set, *HbA1c* glycated hemoglobin, *LS* least squares, *SD* standard deviation

^a Based on a cLDA model with fixed effects that included terms for treatment (categorical), visit (categorical), treatment by visit interaction, and baseline eGFR (continuous)

15 mg group (genital fungal infection, depression, dysuria). Incidences of SAEs were similar across treatment groups; none were considered related to study drug. One AE resulting in death (due to multiple organ failure associated with a hemorrhagic stroke) was reported in the sub-study in the ertugliflozin 15 mg group.

Prespecified and Other AEs of Interest

Symptomatic hypoglycemic events (excluding rescue therapy use) were numerically higher in the ertugliflozin groups, occurring among 7.7%, 11.0%, and 12.4% of patients who received placebo, ertugliflozin 5 mg, and ertugliflozin 15 mg, respectively (estimate of difference [95% CI] 3.3% [− 4.6, 11.9] and 4.7% [− 3.2, 13.0] for ertugliflozin 5 mg and 15 mg, respectively) (Table 4). The incidence of documented hypoglycemia (symptomatic and asymptomatic) was higher in the ertugliflozin 15 mg group (26.5%) than the placebo group (14.5%) (estimate of difference [95% CI] 12.0% [1.6, 22.5]) but not in the ertugliflozin 5 mg group (20.0%; estimate of difference [95% CI] 5.5% [− 4.6, 16.0]) (Table 4). Severe hypoglycemia episodes were infrequent across treatment groups (Table 4). The incidence of UTI was low and similar

across treatment groups (Table 4). No serious UTI AEs were reported for any patients; all were mild to moderate in intensity and only one led to discontinuation of study medication. GMI was experienced by significantly higher proportions of male patients who received ertugliflozin 5 mg and 15 mg (4.2% and 4.8%, respectively) compared with placebo (0.0%; *p* ≤ 0.05 for both comparisons) and by a numerically, but not significantly, higher proportion of female patients who received ertugliflozin 15 mg compared with placebo (10.3% vs 3.8%; *p* = 0.36) (Table 4). No serious GMI AEs were reported for any patients and only one led to discontinuation of study medication. One patient in the ertugliflozin 15 mg group experienced an AE associated with hypovolemia (orthostatic hypotension) compared with none in the other groups (Table 4).

Laboratory Assessments

At week 18, increases in the LS mean percentage change from baseline in LDL-C and HDL-C were observed in the ertugliflozin 15 mg group relative to the placebo group (Table S2). Small mean increases from baseline in serum magnesium (< 0.2 mEq/L) and serum phosphate (< 0.2 mg/

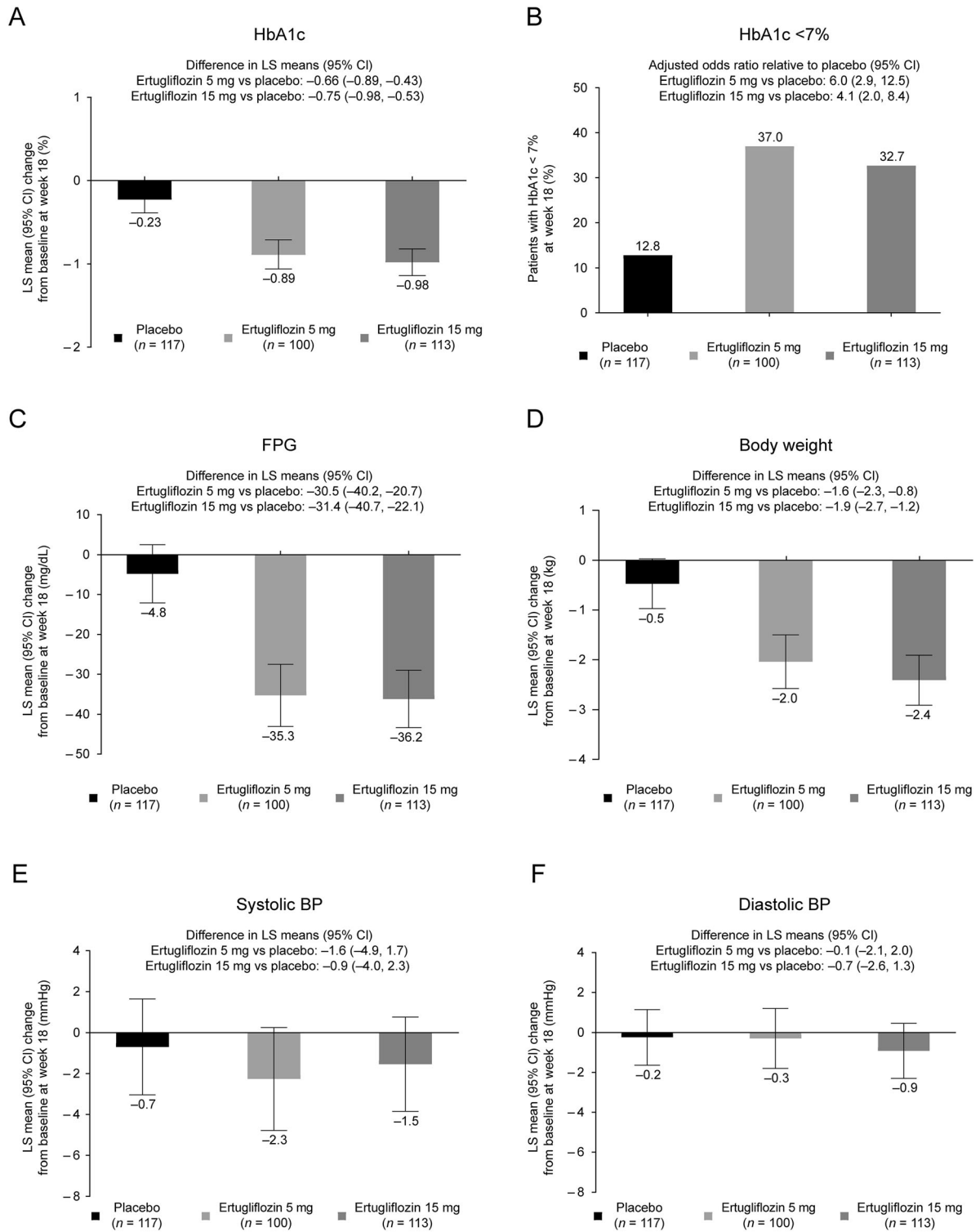


Fig. 3 Primary and secondary efficacy outcomes. **a** LS mean change from baseline in HbA1c at week 18; **b** Proportion of patients with HbA1c < 7% at week 18; **c** LS mean change from baseline in FPG at week 18; **d** LS mean change from baseline in body weight at week 18; **e** LS mean change from baseline in systolic BP at week 18; **f** LS mean change from baseline in diastolic BP at week 18. *BP* blood pressure, *CI* confidence interval, *FPG* fasting plasma glucose, *HbA1c* glycated hemoglobin, *LS* least squares

dL) and small mean decreases in serum uric acid (< 0.4 mg/dL) were observed at week 18 in both ertugliflozin groups (Table S3). Small increases from baseline in hematocrit ($\leq 2.6\%$) and hemoglobin (≤ 0.6 g/dL) were observed at week 18 in both ertugliflozin groups (Table S3).

DISCUSSION

In this sub-study of the VERTIS CV trial, patients with T2DM and established ASCVD on

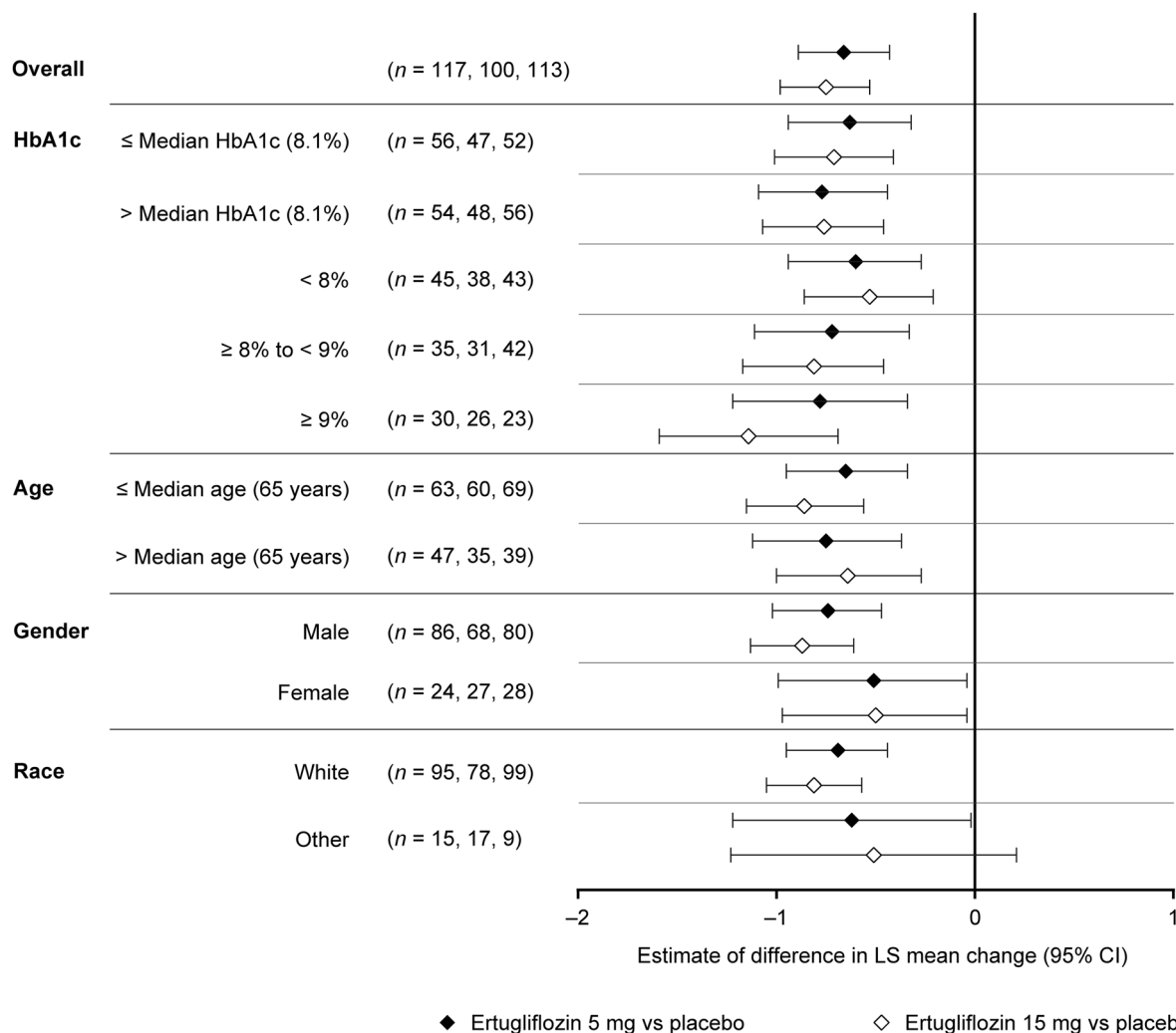


Fig. 4 Estimate of placebo-adjusted change from baseline in HbA1c at week 18 by subgroup category (FAS population, excluding rescue approach). Point estimate and 95% CIs are shown. The median age (65 years) and median HbA1c (8.1%) were derived from the overall

patient population of the main study. Values in parentheses are *n*'s for placebo, ertugliflozin 5 mg and 15 mg groups, respectively. *CI* confidence interval, *FAS* full analysis set, *HbA1c* glycated hemoglobin, *LS* least squares

Table 4 AEs of interest (all patients treated)

Event	Placebo (<i>n</i> = 117)	Ertugliflozin 5 mg (<i>n</i> = 100)	Ertugliflozin 15 mg (<i>n</i> = 113)
≥ 1 AE	55 (47.0)	48 (48.0)	62 (54.9)
≥ 1 SAE	6 (5.1)	7 (7.0)	8 (7.1)
Treatment discontinuation due to AE	2 (1.7)	0 (0.0)	3 (2.7)
AE leading to death ^a	0 (0.0)	0 (0.0)	1 (0.9)
Prespecified AEs of interest			
GMI (women) ^b	1 (3.8)	0 (0.0)	3 (10.3)
GMI (men) ^c	0 (0.0)	3 (4.2)	4 (4.8)
UTI	4 (3.4)	2 (2.0)	4 (3.5)
Symptomatic hypoglycemia ^{d,e}	9 (7.7)	11 (11.0)	14 (12.4)
Hypovolemia	0 (0.0)	0 (0.0)	1 (0.9)
Other AEs of interest			
Documented hypoglycemia ^{e,f}	17 (14.5)	20 (20.0)	30 (26.5)
Severe hypoglycemia ^{e,g}	1 (0.9)	2 (2.0)	2 (1.8)

Data are number (%) of patients with AE. Patients with multiple occurrences of an AE are counted once

AE adverse event, GMI genital mycotic infection, SAE serious adverse event, UTI urinary tract infection

^a One patient in the ertugliflozin 15 mg group died from multiple organ dysfunction syndrome on day 89; the patient was a 66-year-old, white male with history of myocardial infarction and atrial fibrillation and background acenocoumarol use

^b *n* = 26 for placebo, 28 for ertugliflozin 5 mg, and 29 for ertugliflozin 15 mg

^c *n* = 91 for placebo, 72 for ertugliflozin 5 mg, and 84 for ertugliflozin 15 mg

^d Event with clinical symptoms reported by the investigator as hypoglycemia (biochemical documentation not required)

^e To avoid the confounding effects of glycemic rescue therapy, only data obtained prior to the initiation of rescue therapy were included

^f Episodes with a glucose level ≤ 70 mg/dL (≤ 3.9 mmol/L) with or without symptoms

^g Episodes of hypoglycemia requiring medical or non-medical assistance

a background regimen of metformin and SU achieved greater reductions in HbA1c with once-daily ertugliflozin 5 mg and 15 mg than with placebo. Additionally, ertugliflozin was associated with greater improvements in FPG and body weight, and higher proportions of patients achieved HbA1c < 7%, compared with placebo. Glycemic rescue medication was required by a higher percentage of placebo patients relative to ertugliflozin, further supporting the glycemic efficacy of ertugliflozin. The changes in HbA1c were consistent across all

subgroups analyzed, including baseline HbA1c, age, gender, and race. The glycemic efficacy observed with ertugliflozin in this sub-study is comparable with that observed in studies of other SGLT2 inhibitors where those agents were added on to a background of metformin and SU dual therapy [31–33].

Weight loss is an important consideration in the treatment of T2DM [20]. In the context of the weight gain typically seen with SU therapy [7, 24], the reductions in body weight observed in the present sub-study represent an additional

benefit to patients on metformin and SU combination therapy. In VERTIS CV, the reduction in body weight observed with ertugliflozin, compared with placebo, in the overall population was remarkably stable over the multi-year duration of the study [19].

SGLT2 inhibitors, including ertugliflozin, have been shown to be associated with modest reductions in blood pressure, particularly systolic blood pressure [7, 10, 34, 35]. The lack of a significant reduction in systolic blood pressure with ertugliflozin, compared with placebo, in the present sub-study is inconsistent with other ertugliflozin studies, including the main VERTIS CV trial, where changes in blood pressure were observed to be comparable in magnitude to those observed with the drug class. In the main VERTIS CV trial, in the overall population, the changes from baseline in systolic blood pressure at week 18 in the ertugliflozin groups relative to placebo were greater than observed in this sub-study (LS mean change 0.05 mmHg [placebo], -2.42 mmHg [ertugliflozin 5 mg], -2.76 mmHg [ertugliflozin 15 mg]).

The safety findings in this sub-study were consistent with those of previous VERTIS phase 3 studies, in which ertugliflozin was generally well tolerated. GMI is a recognized adverse event with SGLT2 inhibitor therapy [36, 37]. In the present sub-study, a significantly higher AE rate in male GMI was observed in both ertugliflozin groups compared with the placebo group. UTIs were reported at similar rates across treatment groups and no serious UTIs were reported; however, in the main study, more non-serious UTIs were seen in the ertugliflozin groups than in the placebo group [19]. Changes from baseline in laboratory parameters including lipids, hematocrit and hemoglobin, and analytes (magnesium, phosphate, uric acid) observed in this sub-study were consistent with the findings in other VERTIS program studies [38]. The increases in hemoglobin and hematocrit and decreases in uric acid may be important mediators of the beneficial effects of SGLT2 inhibitors on heart failure, CV death, and kidney events [39–41].

The pattern of hypoglycemia observed in this sub-study and similar studies with other SGLT2 inhibitors suggests that the addition of

SGLT2 inhibitors to a background of metformin and SU (a dual combination therapy associated with hypoglycemia because of the SU component) may be associated with an increased risk of hypoglycemia. In this sub-study a numerically higher incidence of symptomatic hypoglycemia occurred in the ertugliflozin groups compared with the placebo group and a significantly higher incidence of documented hypoglycemia occurred in the ertugliflozin 15 mg group compared with the placebo group. The limited number of severe hypoglycemia events that occurred in this sub-study limits conclusions that can be drawn about severe hypoglycemia. In a 24-week study where empagliflozin 10 mg or 25 mg was added on to a background of metformin and SU, confirmed hypoglycemic AEs were reported more frequently in the empagliflozin 10 mg ($n = 36$; 16.1%) and empagliflozin 25 mg ($n = 25$; 11.5%) groups compared with the placebo group ($n = 19$; 8.4%); none of the events required assistance [31]. In a 24-week study where dapagliflozin 10 mg or placebo was added on to a background of metformin and SU, the incidence of hypoglycemia was significantly higher in the dapagliflozin group compared with the placebo group (12.8% vs 3.7%; $p = 0.024$); no major episodes were reported [33]. In a 52-week study where canagliflozin 100 mg and 300 mg or placebo was added on to a background of metformin and SU, a significantly increased incidence of documented hypoglycemia was observed with canagliflozin groups compared with the placebo group; differences (95% CI) vs placebo were 15.8% (5.6, 26.0) and 18.6% (8.3, 28.9) for canagliflozin 100 mg and 300 mg, respectively, with one episode of severe hypoglycemia in each treatment group [32].

Limitations

A potential criticism is that the duration of the study was restricted to 18 weeks; however, this represents sufficient time to observe the effects of ertugliflozin on background metformin and SU as evidenced by the plateau in HbA1c. Long-term evaluation was limited by modification

allowed to background AHAs after that time. Although patients who participated in the sub-study were not specifically followed as a separate analyzed cohort beyond 18 weeks, the long-term effect of ertugliflozin on glycemic control was observed in the overall VERTIS CV population [19]. Although these results are in a population with T2DM and established ASCVD, the efficacy observed in the present sub-study is consistent with previous ertugliflozin studies where patients were not selected for ASCVD [22–29], and therefore is likely generalizable to patients with T2DM without prevalent ASCVD.

CONCLUSIONS

In patients with T2DM and established ASCVD with inadequate glycemic control on metformin and a SU, the addition of ertugliflozin treatment (both 5 mg and 15 mg) versus placebo for 18 weeks resulted in clinically meaningful reductions from baseline in HbA1c and FPG, and a greater proportion of patients achieving HbA1c < 7.0%. Ertugliflozin also reduced body weight relative to placebo, but did not provide statistically significant improvements in blood pressure. Ertugliflozin was generally well tolerated and exhibited a safety profile consistent with previous studies of ertugliflozin and the SGLT2 inhibitor class.

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Compliance with Ethics Guidelines. The protocol and informed consent documents were approved by the institutional review board or independent ethics committee at each participating site. The study was conducted in accordance with the ethical principles of the Declaration of Helsinki and in compliance with all International Council for Harmonisation Good Clinical Practice Guidelines. All participants signed informed consent forms (that were witnessed) before any screening procedures were conducted.

Data Availability. Upon request, and subject to certain criteria, conditions, and exceptions (see <https://www.pfizer.com/science/clinical-trials/trial-data-and-results> for more information) Pfizer will provide access to individual de-identified participant data from Pfizer-sponsored global interventional clinical studies conducted for medicines, vaccines, and medical devices (1) for indications that have been approved in the USA and/or EU or (2) in programs that have been terminated (i.e., development for all indications has been discontinued). Pfizer will also consider requests for the protocol, data dictionary, and statistical analysis plan. Data may be requested from Pfizer trials 24 months after study completion. The de-identified participant data will be made available to researchers whose proposals meet the research criteria and other conditions, and for which an exception does not apply, via a secure portal. To gain access, data requestors must enter into a data access agreement with Pfizer.

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