

Imperfect symmetry facilitated the evolution of specificity and high-order stoichiometry in vertebrate hemoglobin

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Competing Interest Statement: The authors declare no competing interests.

Classification: Major classification - Biological Sciences Minor classifications – Biochemistry/Evolution

Keywords: protein evolution, molecular complexes, multimeric proteins, heterodimers, evolution of specificity, gene duplication, isology

This PDF file includes:

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1 **ABSTRACT**

2 Many proteins form paralogous multimers – molecular complexes in which evolutionarily related
3 proteins are arranged into specific quaternary structures. Little is known about the mechanisms
4 by which they acquired their stoichiometry (the number of total subunits in the complex) and
5 heterospecificity (the preference of subunits for their paralogs rather than other copies of the
6 same protein). Here we use ancestral protein reconstruction and biochemical experiments to
7 study historical increases in stoichiometry and specificity during the evolution of vertebrate
8 hemoglobin (Hb), a $\alpha_2\beta_2$ heterotetramer that evolved from a homodimeric ancestor after a gene
9 duplication. We show that the mechanisms for this evolutionary transition was simple. One
10 hydrophobic substitution in subunit β after the gene duplication was sufficient to cause the
11 ancestral dimer to homotetramerize with high affinity across a new interface. During this same
12 interval, a single-residue deletion in subunit α at the older interface conferred specificity for the
13 heterotetrameric form and the *trans*-orientation of subunits within it. These sudden transitions in
14 stoichiometry and specificity were possible because the interfaces in Hb are isologous –
15 involving the same surface patch on interacting subunits, rotated 180° relative to each other –
16 but the symmetry is slightly imperfect. This architecture amplifies the impacts of individual
17 mutations on stoichiometry and specificity, especially in higher-order complexes, and allows
18 single substitutions to differentially affect heteromeric vs homomeric interactions. Many
19 multimers are isologous, and symmetry in proteins is always imperfect; our findings therefore
20 suggest that elaborate and specific molecular complexes may often evolve via simple genetic
21 and physical mechanisms.

22 **Significance statement**

23 Many molecular complexes are made up of proteins related by gene duplication, but how these
24 assemblies evolve is poorly understood. Using ancestral protein reconstruction and biochemical
25 experiments, we dissected how vertebrate hemoglobin, which comprises two copies each of two
26 related proteins, acquired this architecture from a homodimeric ancestor. Each aspect of this
27 transition – from dimer to tetramer and homomer to heteromer – had a simple genetic basis: a
28 single-site mutation in each protein drove the changes in size and specificity. These rapid
29 transitions were possible because hemoglobin’s architecture is symmetric, which amplified the
30 effect of small biochemical changes on the assembly of the complex. Many protein complexes
31 are symmetrical, suggesting that they too may have evolved via simple genetic mechanisms.

32 INTRODUCTION

33 Protein multimers – associations of multiple protein subunits arranged in specific quaternary
34 architectures – carry out most biochemical functions in living cells (1, 2). The mechanisms by
35 which these complexes evolved their stoichiometry and specificity present some puzzling
36 questions (2-10). Multimers assemble via interfaces that typically contain dozens of sterically
37 and electrostatically complementary residues, and higher-than-dimeric stoichiometries
38 (tetramers, octamers, etc.) use several such interfaces on each subunit (11). This seems to
39 imply that many sequence substitutions would be required for a new multimeric assembly to
40 originate during evolution.

41 A second complication is that many multimers are composed of paralogs -- proteins related to
42 each other by gene duplication. Paralogs are genetically and structurally indistinguishable when
43 generated by duplication, so initially they assemble indiscriminately into homomers and
44 heteromers. Most complexes, however, have evolved specificity for either the homomeric or
45 heteromeric form, with the latter being the most common outcome (12). How specificity evolves
46 is unclear, because mutations that affect multimerization are expected to cause correlated
47 effects on the affinities of homomerization and heteromerization (6,12,13). The structural
48 similarity of paralogs seems to imply that substitutions in both paralogs are required to confer
49 any specificity at all. This complication is magnified for higher-order paralogous multimers, in
50 which one might expect that every interface must evolve specificity to mediate assembly into the
51 complex's particular architecture.

52 A critical factor in the evolution of specificity and high-order stoichiometry may be whether a
53 multimer assembles through symmetrical interfaces. In many complexes, identical or
54 paralogous subunits bind each other using an isologous interface – a form of symmetry in which
55 a surface patch on one subunit binds to the same patch on its partner but rotated 180 degrees
56 relative to each other (1). Isologous complexes might, in principle, have the potential to evolve
57 changes in stoichiometry and specificity through simpler mechanisms than nonisologous head-
58 to-tail interfaces. A single substitution appears twice across the interface(s) of an isologous
59 homodimer or heterotetramer, four times in a homotetramer, etc. (Fig. 1A). Mutations that
60 weakly affect affinity on their own can therefore confer large effects on the assembly of
61 isologous multimers (1,5,9,14-16). Isology also changes the way that mutations can affect
62 specificity. In a nonisologous interface, specificity requires mutations on both surfaces so that
63 the tails are recognizably different from each other and each head prefers one tail over the
64 other. In an isologous interface, however, a substitution on the surface of just one subunit has
65 the potentially to differentially affect the affinity of each kind of complex, because it will appear
66 twice in the interface of a homomer, once in the heteromer, and not at all in the other homomer
67 (Fig. 1A).

68 Little is known about the historical evolution of heterospecific complexes or the role of symmetry
69 in this process, especially in high-order complexes. Biochemical and protein engineering studies
70 have addressed the determinants of binding affinity in both homomeric and heteromeric
71 interfaces of extant proteins (19-25). But the genetic and structural mechanisms by which those

72 interactions were acquired long ago are often different from their derived forms in the present
73 (26). Ancestral sequence reconstruction (ASR) can address this limitation by experimentally
74 characterizing the effects of historical sequence changes when introduced into ancestral
75 proteins. ASR has been used to understand the evolution of specificity after duplication in head-
76 to-tail paralogous heteromers (17,18) and in multimers composed of unrelated proteins, which
77 are by definition asymmetrical (25). But we know of no studies that have addressed how
78 isologous heteromers historically evolved their specificity or how specificity in high-order
79 complexes was acquired. A recent in silico analysis predicted that it should be possible for
80 specificity in heterodimers to evolve rapidly after gene duplication through small perturbations in
81 binding energy (27), but the underlying mechanisms and historical relevance of this
82 phenomenon are unknown.

83 Here we use ASR to study the evolution of higher-order stoichiometry and specificity in
84 vertebrate hemoglobin (Hb), the major carrier of oxygen in the blood of jawed vertebrates. Hb is
85 a paralogous $\alpha_2\beta_2$ heterotetramer ((16), Fig. 1B), assembly of which is mediated by two distinct
86 and isologous interface patches (IF1 and IF2). Each subunit of the tetramer uses its IF1 to bind
87 IF1 of a paralogous subunit; two of these heterodimers bind to each other using the IF2 on each
88 subunit ((28), Fig. 1B). Hb α and Hb β descend from a gene duplication deep in the vertebrate
89 lineage (Fig. 1C), and their sequences retain sufficient phylogenetic signal to allow high-
90 confidence reconstruction of ancestral Hb protein sequences. Using ASR, we recently showed
91 experimentally that extant Hb evolved its heterotetrameric architecture in two phases from a
92 monomeric precursor via a homodimeric intermediate (16). In the first phase, prior to the gene
93 duplication that yielded paralogous α and β lineages, a monomeric ancestor evolved the
94 capacity to homodimerize with moderate affinity across IF1. In the second phase – after the
95 gene duplication but before the last common ancestor of all vertebrates – binding across IF2
96 was acquired, yielding the tetrameric stoichiometry, and specificity for the heteromeric form $\alpha_2\beta_2$
97 also evolved (Fig. 1C).

98 Here we characterize the genetic and physical mechanisms that mediated the evolutionary
99 transition from homodimer to heterotetramer in this second phase. By experimentally
100 characterizing reconstructed ancestral hemoglobin subunits and the effects of historical
101 sequence changes on them, we address the following questions: 1) How many substitutions
102 were required to confer tetramerization across IF2, and what thermodynamic and structural
103 mechanisms mediated their effects? 2) Did the evolution of specificity for the heterotetrameric
104 form require sequence changes at one or both interfaces, in one or both subunits, and what
105 physical mechanisms drove the acquisition of this specificity? 3) How did the symmetry of Hb's
106 two interfaces affect this evolutionary transition to a high-order, heterospecific architecture?
107 Does a mutational propensity favor increased molecular complexity during the evolution of
108 isologous complexes?

109 RESULTS

110 **Evolution of tetrameric stoichiometry.** We first sought to identify the historical substitutions
111 that conferred tetramerization after duplication of the ancestral homodimer Anc $\alpha\beta$. We

112 previously identified two potentially important substitutions, both of which occurred on the
113 branch leading from the duplication of Anca β to Anc β (the Hb β subunit in the last common
114 ancestor of jawed vertebrates), which heterotetramerizes with Anca (the Hb α subunit in the
115 jawed vertebrate ancestor); like extant Hb β s, Anc β also homotetramerizes with itself.
116 Introducing these substitutions together into Anca β was sufficient to confer high-affinity
117 assembly into homotetramers (16). One of these (q40W) is buried in the IF2 interface, whereas
118 the other (t37V) makes contacts across both IF1 and IF2 (Fig. 1D. 4, using lower and upper
119 case to denote ancestral and derived amino acids, respectively). Here we isolated the individual
120 contributions of each amino acid by introducing them singly into Anca β and characterizing their
121 effect on assembly into tetramers using size-exclusion chromatography (SEC) and native mass
122 spectrometry (nMS) (29,30).

123 We found that substitution q40W alone is sufficient to recapitulate the evolution of Hb's
124 tetrameric stoichiometry. Anca β forms only dimers in SEC at 100 μ M of total protein subunits;
125 by contrast, the mutant Anca β _{q40W} is tetrameric, with occupancy of the tetramer similar to that
126 observed in the derived Anca + Anc β complex and human Hb (Fig. 1F). We then used nMS
127 across a titration series to measure the affinity with which dimers associate into tetramers and
128 found that the tetramerization affinity of Anca β _{q40W} (Kd 10 μ M) is stronger than that of Anca +
129 Anc β (61 μ M) and human Hb (41 μ M) (Fig. 1E). Substitution q40W is therefore sufficient to
130 confer biologically relevant tetramerization on the ancestral Hb complex. This conclusion is
131 robust to statistical uncertainty about the ancestral reconstruction, because the same
132 experiments using alternative ancestral proteins yield almost identical results (Fig. S1).

133 The other historical substitution, t37V, is not sufficient to confer tetramerization. Mutant
134 Anca β _{t37V} confers no detectable tetramer occupancy by SEC, even at 1 mM (Fig. 1G), and it
135 displays no measurable affinity to form tetramers using nMS (Fig. 1H). When combined with
136 substitution q40W, however, t37V does increase affinity of the dimer-tetramer transition by a
137 factor of 6 compared to the effect of q40W alone (Fig. 1D; Fig. S2).

138 Substitutions could also facilitate tetramerization by increasing affinity of the monomer-to-dimer
139 transition, thus increasing the effective concentration of dimers, which would produce more
140 tetramers even if affinity of the dimer-tetramer transition were unchanged. Using nMS, we found
141 that t37V improves the monomer-dimer affinity of Anca β by >100-fold (Fig. 1H; Fig. S2).
142 Substitution q40W, in contrast, has no effect on monomer-dimer affinity. These findings are
143 consistent with the structural location of t37V at both IF1 and IF2 and that of q40W at IF2 only,
144 and they explain why t37V further increases the impact of q40W but on its own does not confer
145 tetramerization.

146 A likely physical mechanism for the effect of q40W is that tryptophan's bulky hydrophobic side
147 chain nestles into a hydrophobic divot on the IF2 surface of the facing subunit, and further
148 strengthened by a hydrogen bond to 102D (31). To test this hypothesis, we identified alternative
149 amino acid replacements with similar biochemical properties and measured whether they also
150 could have caused Anca β to evolve into a tetramer. Like tryptophan, the bulky hydrophobic
151 residues phenylalanine or tyrosine at this position confer tetramerization, albeit at affinity slightly

152 worse than q40W but similar to that of Anc α +Anc β and human Hb. Leucine, in contrast, which
153 has a smaller volume and no hydrogen bonding capacity, confers no measurable
154 tetramerization (Fig. 1I). High-affinity homotetramerization could therefore have evolved via any
155 of three different aromatic replacements at site 40. The greater affinity of tryptophan may be due
156 to its longer side chain, which buries more hydrophobic surface area across the interface. Our
157 results suggest that the hydrogen bond with 102D is not necessary, because phenylalanine
158 confers tetramerization but provides no hydrogen bond donor.

159 Taken together, these data indicate that a substitution at a single amino acid position was
160 sufficient to confer tetramerization, and numerous alternative mutations at this site that could
161 have caused this increase in stoichiometry during Hb evolution.

162 **Isology facilitated IF2 evolution.** How could a single amino acid replacement cause such a
163 dramatic change in stoichiometry? The Hb tetramer can be viewed as two heterodimers, each of
164 which is mediated by isologous assembly across IF1 (the larger interface); these heterodimers
165 then bind to each other isologously across IF2. We hypothesized that this doubly symmetrical
166 architecture allowed substitution q40W to confer the dimer-tetramer evolutionary transition,
167 because isology causes the derived amino acid to appear four times in the homotetramer and
168 twice in the heterotetramer.

169 If this hypothesis is correct, then assembly across IF2 by the derived Hb protein should require
170 assembly across IF1 to multiply the intrinsic affinity of IF2 (Fig. 1A). We tested this prediction by
171 introducing q40W into Anc $\alpha\beta$ but doing so under conditions that prevent assembly across IF1.
172 We first compromised dimerization across IF1 genetically by reverting the IF1 surface to the
173 ancestral states of the monomeric ancestor AncMH; these mutations abolish dimer occupancy,
174 leaving a monomers-only population at 20mM (Fig. 2A). We then introduced q40W into these
175 IF1-ablated mutants and assessed stoichiometry using nMS. As predicted, these proteins do not
176 form any observable dimers or tetramers (detection limit $\sim 1\mu\text{M}$) (Fig. S3). The dependence of
177 IF2 formation on an effective IF1 is also apparent when using t37V/q40W to confer IF2-
178 mediated assembly, and when IF1 is compromised by introducing mutation P127R, a non-
179 historical mutation that introduces an unsatisfied charged residue into IF1 (Fig. 2B). The IF2
180 mutations do not compromise heme binding or solubility, because the mutant proteins are
181 purifiable and heme-bound in nMS.

182 We also tested whether assembly across IF2 could have been acquired before dimerization
183 across IF1 evolved. We introduced t37V/40W into the ancestral monomer AncMH – which
184 existed before the evolution of dimerization -- and tested whether dimer assembly across IF2
185 can be conferred in this background. As predicted, only monomers were observed, with no
186 dimers or higher stoichiometries detected (Fig. 2C). These data establish that acquisition of
187 multimerization across IF2 by q40W and by the pair t37V/q40W depends on the prior evolution
188 of dimerization via IF1.

189 Our observations can be explained by a simple model that arises from the symmetrical structure
190 of the hemoglobin tetramer. A single iteration of IF2 is too weak to confer significant binding of

191 two monomers into a dimer; however, if the stronger IF1 mediates dimer assembly, each such
192 dimer presents two iterations of the IF2 surface patch, and these are sufficient to mediate
193 assembly of dimers into tetramers. This simple model implies that the energy of dimer-tetramer
194 binding using IF2 should be twice that of monomer-dimer binding using the same interface, and
195 the K_d of tetramerization should be the square of the K_d of dimerization (Fig. 2D). Our results
196 are consistent with this prediction. The K_d of the dimer-tetramer transition by $\text{Anc}\alpha\beta_{t37V/q40W}$
197 across IF2 is 1 mM, which predicts that the affinity of IF2-mediated monomer-dimer transition
198 when IF1 is compromised should be $\sim 1\text{mM}$. Consistent with this prediction, we detected no
199 dimer occupancy by $\text{Anc}\alpha\beta_{t37V/q40W; IF1\text{reverted}}$ using an assay that can quantify K_d up to 400 μM
200 (see Methods). We cannot rule out the possibility that IF1 binding may also allosterically modify
201 IF2 and increase its affinity beyond the additive effect conferred by isologous repetition alone;
202 however, any such effect must be relatively small, because the simple additive model explains
203 most – and possibly all -- of the difference in affinity conferred when IF2 is doubled in the
204 symmetrical tetramer.

205 Taken together, these data indicate that the isologous architecture of IF1 and IF2 facilitated the
206 evolution of the Hb tetramer via substitution q40W. Without this doubly symmetrical architecture,
207 IF2 would have been too weak to mediate multimerization. The dependence of q40W's effect on
208 the presence of IF1 also creates contingency and order-dependence in the evolution of the Hb
209 complex. We previously showed that IF1 evolved before the duplication of the dimeric ancestor
210 $\text{Anc}\alpha\beta$ (16). Our present results show that if that IF1-mediated dimer had never evolved,
211 substitution q40W at IF2 would not have been sufficient to drive the acquisition of the tetrameric
212 stoichiometry, and the ancestral Hb protein would have remained a monomer. If events had
213 occurred in the opposite order – with the affinity-enhancing substitution at IF2 occurring first –
214 this intermediate ancestor would have been a monomer; when the substitutions that confer
215 binding across IF1 did occur, they would have triggered an immediate evolutionary transition
216 from monomer to tetramer.

217 **Heteromeric specificity evolved at a single interface.** We next focused on understanding the
218 evolution of Hb's specificity for the heterotetrameric form, which was acquired during the same
219 phylogenetic interval after the duplication of $\text{Anc}\alpha\beta$. Our first question was whether specificity for
220 heteromeric interactions was conferred by sequence changes at IF1, IF2, or both. Our
221 previously published experiments suggest that evolutionary changes at IF2 confer no specificity:
222 when all historical substitutions that occurred at the IF2 surface during the post-duplication
223 interval are introduced into $\text{Anc}\alpha\beta$ and this protein is coexpressed with $\text{Anc}\alpha$, an indiscriminate
224 mixture of homotetramers, $\alpha_1\beta_3$ heterotetramers, and $\alpha_2\beta_2$ heterotetramers is produced (16). We
225 therefore hypothesized that heterospecificity of the Hb tetramer is encoded entirely by IF1, such
226 that $\text{Anc}\alpha$ and $\text{Anc}\beta$ specifically heterodimerize across IF1, and these heterodimers then bind to
227 each other via a nonspecific IF2, yielding $\alpha_2\beta_2$ heterotetramers.

228 This hypothesis makes two predictions: 1) IF1 mediates specific assembly of α and β subunits
229 into heterodimers, and 2) this specificity is sufficient to account for the heterospecificity of $\alpha_2\beta_2$
230 heterotetramer. To test the first hypothesis, we characterized the specificity of hetero- vs

231 homodimer assembly by IF1 under two different conditions in which no binding across IF2
232 occurs. First, we diluted a coexpressed mixture of Anca and Ancβ to concentrations at which
233 dimers rather than tetramers assemble: at 50 μM, only heterodimers and heterotetramers form;
234 at 5 μM, only heterodimers are observed (Fig. 3A). IF2 does not mediate assembly of
235 monomers into dimers in the absence of IF1 (Fig. 2A, 2B), so these heterodimers must be IF1-
236 mediated, indicating that IF1 is heterospecific (Fig. 3A). Second, we expressed Anca and Ancβ
237 separately and mixed them at equal and moderate concentration (rather than coexpressing
238 them); under these conditions, only IF1 dimers form, and these are predominantly heterodimers
239 (Fig. 3B, Fig. S4). Finally, we engineered protein Ancβ' – a variant of Ancβ in which all IF2
240 residues that were substituted between Ancaβ and Ancβ are reverted to the ancestral state,
241 thus abolishing binding across IF2– and found that it also forms predominantly heterodimers
242 when mixed with Anca (Fig. 3C, Fig. S5). Together, these data indicate that the derived IF1 is
243 specific, preferentially mediating assembly into heterodimers.

244 To test the second prediction – that the degree of heterospecificity mediated by IF1 is sufficient
245 to drive specific assembly of α₂β₂ heterotetramers even if IF2 is nonspecific – we measured the
246 affinities of homomerization and heteromerization across IF1 and used these measurements to
247 predict their effects on tetramer specificity in the absence of any specificity at IF2. Using nMS
248 and Ancβ', we found that IF1's heterodimerization affinity (K_d=0.5 μM) is slightly worse than its
249 homodimerization affinity (0.2 μM), but both are far better than the Anca homodimer (21 μM)
250 (Fig. 3D, S5, S6, S7). We then used these IF1 affinities to predict the occupancy of hetero- and
251 homodimers and tetramers as the concentration of globin subunits changes, assuming that IF2
252 mediates tetramerization at K_d=30 μM, as measured in Anca + Ancβ, with no preference for
253 homomeric or heteromeric binding (Fig. 1D). At low concentrations, the system produces only
254 IF1-mediated dimers, because the affinity of IF2 is weak, and these are almost all heterodimers.
255 The predominance of heterodimers is attributable of Anca's weak propensity to homodimerize;
256 the excess of unbound Anca subunits causes Ancβ subunits to preferentially heterodimerize
257 rather than homodimerize at equilibrium, even though Ancβ's homodimerization affinity is
258 slightly stronger than its heterodimerization affinity (Fig. 3D). As protein concentration increases,
259 these dimers begin to assemble with each other across IF2 into tetramers, and the strong
260 excess of heterodimers over homodimers means that the vast majority of these are
261 heterotetramers, even though IF2 itself does not distinguish between these forms. At
262 physiologically relevant concentrations of 3mM total Hb subunits (32), the population is
263 dominated by α₂β₂ heterotetramers, with a small fraction of heterodimers and virtually no
264 homotetramers (Fig. 3d; right panel).

265 Taken together, these data establish that the measured specificity of IF1 alone mediates highly
266 specific assembly of Anca+ Ancβ into heterotetramers, even when IF2 is entirely nonspecific --
267 which our previous experiments suggest is the case – because IF1 is a much stronger interface
268 than IF2. The historical acquisition of heterospecificity across IF1 after the Ancaβ gene
269 duplication is therefore sufficient to account for the evolution of Hb's heterotetrameric
270 architecture.

271 **Heteromeric specificity evolved primarily by reducing homodimerization affinity of Anca.**

272 Given our finding that heterospecificity evolved at the IF1 interface, we next sought to
273 characterize whether the acquisition of specificity was driven by evolutionary changes in the α
274 subunit, the β subunit, or both.

275 The heterospecificity of a pair of dimerizing proteins can be quantified in energetic terms as the
276 difference in the ΔG of binding between the heterodimer and the mean of the two homodimers
277 ($\Delta\Delta G_{\text{spec}}$). If $\Delta\Delta G_{\text{spec}} = 0$, then the fractional occupancy of the heterodimer at saturating and
278 equal concentrations of subunits will be 50%, as will the sum of the homodimers; if the
279 homodimer ΔG s are very different from each other and the heterodimer ΔG is halfway between
280 them, then the two homodimers may have different occupancies but will still add to 50%. By
281 contrast, if $\Delta\Delta G_{\text{spec}} < 0$, then heterodimers will account for the majority of dimers, and if
282 $\Delta\Delta G_{\text{spec}} > 0$, homodimers together will predominate (Fig. 4A-C). Hetero- or homospecificity thus
283 arises when two paralogs contribute nonadditively to dimerization.

284 We used this approach to quantify the heterospecificity of Anca and Anc β at IF1. We used nMS
285 to measure the homodimer and heterodimer affinities of Anca and Anc β '; the latter protein
286 contains all substitutions that occurred along the Anc β branch except those that mediate
287 tetramerization across IF2, which allows us to isolate specificity effects at IF1 by preventing
288 tetramerization. We calculated the ΔG of binding and expected fractional occupancy of each
289 dimer at high and equal concentration of subunits. We found that $\Delta\Delta G_{\text{spec}} = -1.54$ (in units of kT)
290 and heterodimer occupancy of 82% (Fig. 4D). This represents the total specificity acquired by
291 the two diverging paralogs after the duplication of Anca β , which by definition had no
292 specificity. This specificity was acquired because of evolutionary changes in all three relevant
293 affinities. Relative to the ancestral dimerization affinity of Anca β , Anca's energy
294 homodimerization became worse ($\Delta\Delta G = 0.85$) while homodimerization by Anc β improved
295 substantially ($\Delta\Delta G = -3.72$). The heterodimer affinity improved less than the Anc β homodimer
296 did ($\Delta\Delta G = -2.97$) but by more than the average of the two homodimers ($\Delta\Delta G = -1.44$), yielding
297 the observed strong preference for the heterodimer.

298 We next sought to isolate the contribution to this acquired specificity of the evolutionary changes
299 that occurred along each of the two branches. To measure the specificity acquired along the
300 branch leading to Anca, we measured affinities and calculated $\Delta\Delta G_{\text{spec}}$ when Anca is mixed with
301 the ancestor Anca β . This pair of proteins is heterospecific, with $\Delta\Delta G_{\text{spec}} = -1.19$ (expected
302 heterodimer occupancy 76%). Changes in the α subunit alone therefore account for ~77% of the
303 total specificity that was acquired by the entire Anca+Anc β system. This specificity was acquired
304 via a 2.2-fold reduction in homodimerization affinity by Anca relative to the Ancab ancestor and
305 a 1.8-fold improvement in heterodimer affinity (Fig. 4E; Fig. S4C & D).

306 To isolate the contribution to IF1 specificity of evolutionary changes that occurred along the
307 branch to Anc β , we measured affinities when Anc β ' is mixed with Anca β . This pair of proteins is
308 weakly heterospecific, with $\Delta\Delta G_{\text{spec}} = -0.34$ and expected heterodimer occupancy of just 58%.
309 This small change arises because both the homodimer and heterodimer improved in affinity,

310 and the deviation of the heterodimer from the average of the homodimers is small. (Fig. 4F; Fig.
311 S4A & B).

312 Finally, we assessed whether the evolutionary changes in the α subunit and those in the β
313 subunit interacted with each other nonindependently. If the changes affect specificity entirely
314 independently, $\Delta\Delta G_{\text{spec}}$ should equal the sum of the $\Delta\Delta G_{\text{spec}}$ acquired on each of the two
315 branches, or $-1.19 + -0.34 = -1.53$. The observed $\Delta\Delta G_{\text{spec}} = -1.54$, indistinguishable from this
316 expectation (Fig. 4G).

317 Taken together, these data indicate that the specificity acquired by the derived complex Anca +
318 Anc β is primarily attributable to substitutions in the α subunit, with substitutions in the β subunit
319 making a much smaller contribution and nonadditive interactions between the two sets of
320 changes having no role. The most important factor was that Anca became much worse at
321 binding itself than at binding Anc β . Anc β , by contrast, became slightly worse at binding Anca
322 than binding itself (Fig. 4G).

323 **A one-residue deletion was the primary evolutionary cause of heterospecificity.** We next
324 sought to identify the particular historical substitutions in Anca that conferred this heteromeric
325 specificity on IF1. Only three sequence changes occurred on the branch from Anca β to Anca: a
326 single-residue deletion of a histidine at site 2 (ΔH2), a five-residue deletion in helix D (ΔD), and
327 an amino acid replacement (v140A). ΔH2 is on the protein's N-terminal loop near IF1, and ΔD
328 directly contributes to the interface. Substitution v140A is biochemically conservative and far
329 away from the interface. The deletions are strictly conserved in Hb α subunits throughout the
330 jawed vertebrates, whereas the amino acid at site 140 varies. We therefore focused first on the
331 effects of the deletions.

332 To isolate the contribution of each deletion to the evolution of specificity, we introduced each
333 one singly into Anca β and measured its effect on affinity and specificity when the mutant protein
334 is mixed with Anca β . We found that introducing ΔH2 alone confers substantial specificity,
335 recapitulating >80% of Anca's acquired heterospecificity for Anca β ($\Delta\Delta G_{\text{spec}} = -0.99$ out of a
336 total $\Delta\Delta G_{\text{spec}} = -1.19$ acquired along this branch) and about two-thirds of the total specificity
337 acquired by the entire Anca+Anc β complex (Figs. 5A, C). ΔH2 enhances specificity by
338 improving heterodimer affinity and reducing homodimer affinity, with both Kds very similar to
339 those of Anca (Fig. 5A; Fig. S8A & B).

340 The other deletion, ΔD , removes several residues that directly interact with the other subunit
341 across IF1, but introducing this change into Anca β had a much weaker effect on specificity
342 ($\Delta\Delta G_{\text{spec}} = -0.39$, Fig. 5B; Fig. S8C & D). When the contributions of ΔH2 and ΔD to specificity
343 are added together, they slightly exceed the specificity of Anca, suggesting either a weak
344 negative epistatic interaction between them or a small countervailing effect of the third change
345 v104A. Taken together, these results indicate that ΔH2 was a large-effect historical sequence
346 change that accounted for most of the specificity historically acquired by the derived Hb
347 complex.

348 **Structural mechanisms for the gain in specificity.** We next considered the structural
349 mechanisms by which $\Delta H2$ conferred specificity by increasing heterodimer affinity and reducing
350 homodimer affinity. For a mutation to have these opposite effects, it must yield favorable
351 interactions when introduced into one side of the interface (in the heterodimer) but have
352 deleterious effects when introduced twice (in the homodimer). Two kinds of mechanisms could
353 cause these opposite effects. Either 1) the mutated residue interacts directly with the same
354 residue on the other subunit favorably when one is in the derived state but unfavorably when
355 both are, or 2) the symmetry of the interface is imperfect, such that introducing the mutation on
356 one side of the interface is favorable but introducing it again onto the other side is net-
357 unfavorable. The first scenario does not pertain in this case. Residue H2 is part of the N-
358 terminal loop, which does not participate directly in IF1 but instead packs against helix H, which
359 does contribute to IF1. But neither helix H nor the N-terminal loop contact the same elements in
360 the other subunit across the interface (Fig. 5D). Asymmetry in the interface is therefore the likely
361 of cause $\Delta H2$'s differential effects on heterodimer vs. homodimer specificity.

362 To gain insight into the possible nature of this asymmetry and the mechanism by which $\Delta H2$
363 affects specificity, we modeled the structures of the Anca β homodimer, the Anca $\beta_{\Delta H2}$
364 homodimer, and the heterodimer of these two proteins. The Anca β homodimer itself begins with
365 a subtle asymmetry: on one end of IF1, residue 130H on helix H sits close to 33R on the
366 opposite subunit, which allows a cross-interface hydrogen bond to form; on the other end of the
367 interface, the two residues are slightly further away from each other, leaving their hydrogen-
368 bonding potential unsatisfied when bound (Fig. 5E). In the heterodimer, deleting His2 from one
369 subunit repairs this unfavorable interaction. Specifically, the deletion shortens the N-terminal
370 loop and changes its packing interaction against helix H, which causes helix H to slide along the
371 interface by ~ 1 Å compared to its position in the unmutated Anca β homodimer (Figs. 5D, 5G).
372 130H moves closer to 37T on the other subunit, allowing it to form a new hydrogen bond across
373 the interface, and several other interactions across the interface are also enhanced. On the
374 other end of the isologous interactions, the favorable interactions found in the homodimer
375 remain intact. This provides a potential structural explanation for how $\Delta H2$ improves
376 heterodimer affinity (Figs. 5D, G).

377 The modeled Anca $\beta_{\Delta H2}$ homodimer structure is notably asymmetric and suggests why
378 introducing $\Delta H2$ into both subunits reduces affinity (Fig. 5H). One side displays the favorable
379 new cross-interface interactions caused by $\Delta H2$ in the heterodimer, including the 130H-37T
380 hydrogen bond. On the other side, however, the effect of the deletion is very different: $\Delta H2$
381 again causes helix H to slide along the interface, but on this side the movement of 130H breaks
382 the ancestral 130H-33R hydrogen bond, and 37T is also too far away to interact favorably. This
383 leaves the side chains of both 130H and 33R unsatisfied, reducing homodimer affinity. In total,
384 the homodimer of Anca $\beta_{\Delta H2}$ contains three unsatisfied hydrogen-bond donors/acceptors at these
385 sites, whereas only one and two are unsatisfied in the heterodimer and the ancestral
386 homodimer, respectively.

387 This mechanism appears to have persisted over time. The same pattern of interactions are
388 found in the modeled structures of the hetero- and homodimers of An α + An β (Fig. S9). It is
389 also partially present in the crystal structure of the human Hb heterotetramer, where 33R also
390 hydrogen bonds across IF1 to residue 130, but this interaction is again lacking in the homodimer
391 of human Hb α , leaving 33R unsatisfied and explaining the weak homomeric affinity of Hb α (Fig.
392 S9). At least some of the mechanisms of heterodimer specificity suggested by the structural
393 models of the ancestral proteins are therefore present in the known structures of its present-day
394 descendants.

395 **Multiple historical sets of substitutions could have conferred heterospecificity.** If
396 specificity in an isologous interface can evolve simply by causing nonadditive impacts on the
397 binding energies of heterodimer and homodimers, then there should be many mutations that
398 have the potential to make the interface specific in one direction or another. Indeed, if the
399 interface's symmetry is imperfect, then most mutations that affect affinity should impart
400 specificity to some degree.

401 To test this hypothesis, we measured the effect on specificity of subsets of changes that
402 occurred along the An β lineage, which the results above show had strong effects on affinity
403 when introduced all together. First, we tested the five substitutions that that occurred at the IF1
404 surface (Fig. 5E & 5F). We introduced these changes into An $\alpha\beta$ (creating protein An $\alpha\beta_{IF1}$) and
405 measured affinity and specificity when this protein is mixed with An $\alpha\beta$. These substitutions
406 yield a highly heterospecific complex ($\Delta\Delta G_{\text{spec}} = -2.18$, heterodimer occupancy 90%, Fig. 6A;
407 Fig. S10A-C). Unlike the An α substitutions, the An β_{IF1} substitutions confer heterospecificity by
408 improving both homodimer and heterodimer affinity, but they improve the latter by more than the
409 former.

410 Because An $\alpha\beta_{IF1}$ is specific in complex with An $\alpha\beta$, we wondered whether it would also be
411 specific with An α . We found that this complex is weakly heterospecificity ($\Delta\Delta G_{\text{spec}} = -0.07$, Fig.
412 6B), implying that other substitutions on the branch leading to An β but not on the interface
413 must have contributed to the evolution of specificity between An $\alpha\beta_{IF1}$ and An α . We therefore
414 introduced an additional set of five historical substitutions that occurred in An β but one
415 structural layer away from IF1 (see ref. 16). This protein (An $\alpha\beta_{IF1+\text{Adjacent}}$) has strong
416 heterospecificity when mixed with An α ($\Delta\Delta G_{\text{spec}} = -1.93$, heterodimer occupancy >85%, Fig.
417 6D; Fig. S11D-F), because these mutations together in both heterodimer and the homodimer
418 affinity, but with a larger improvement in the heterodimer. It is also moderately heterospecific
419 when mixed with An $\alpha\beta$ ($\Delta\Delta G_{\text{spec}} = -0.89$).

420 Finally, we tested the effect of the adjacent substitutions on their own and found that they confer
421 moderate specificity when mixed with An $\alpha\beta$ ($\Delta\Delta G_{\text{spec}} = -0.85$). These mutations impart
422 specificity by causing almost identical changes in homo- and heterodimer affinity. They also
423 confer some heterospecificity when An $\alpha\beta_{\text{Adjacent}}$ is mixed with An α ($\Delta\Delta G_{\text{spec}} = -0.57$, Fig.
424 S11A-D).

425 There are therefore several distinct sets of substitutions that occurred during history, and which
426 can be sufficient to confer heterospecificity on their own (and in various combinations), and they
427 do so via distinct patterns of effects on affinity. This degeneracy of mechanisms for evolving
428 specificity arises because there are many ways in which the energy of binding can change
429 nonadditively between heterodimer and homodimer. In every case, heteromeric specificity
430 rather than preference for the homomer was the result.

431 **DISCUSSION**

432 This work provides a mechanistic history of the evolutionary transition from the ancestral Anca β
433 homodimer to the derived Hb heterotetramer, illuminating the mechanisms for the evolution of
434 tetramerization and the acquisition of heterospecificity from the ancestral non-specific dimer.
435 Each transition was driven by a very simple genetic mechanism: a single substitution at IF2
436 conferred high affinity tetramerization, and a single amino acid deletion at IF1 conferred
437 heteromeric specificity. Both evolutionary transitions were facilitated by the isologous
438 architecture of Hb's two interfaces, which creates a mutational propensity to increase
439 stoichiometry and acquire heterospecificity.

440 **Symmetry facilitated evolution of the tetrameric stoichiometry.** We found that
441 tetramerization across IF2 was driven primarily by a single replacement to a bulky hydrophobic
442 amino acid (q40W). In biochemical studies of extant protein interfaces, much of the free energy
443 change in protein-protein binding is attributable to interactions of bulky hydrophobic residues
444 with hydrophobic surface indentations (33), and mutations to bulky hydrophobic amino acids
445 can drive assembly into high-order multimers (9,34-37). Similar substitutions during history may
446 have been driving mechanisms during the evolution not only of Hb but of other molecular
447 complexes, as well.

448
449 The majority of complexes assemble through isologous interfaces (38), and it has been
450 suggested that this must mean that isology confers some selective benefit by improving protein
451 function (1). Our results suggest an alternative explanation. If mutations are much more likely
452 to produce isologous complexes than nonisologous ones, then isologous complexes will
453 predominate in nature, even if there is no systematic fitness difference between the two types of
454 multimer. We found that although IF2 is intrinsically weak and mutation q40W cannot confer
455 dimerization on its own, it can drive tetramerization if its effects are multiplied in an isologous
456 higher-order complex. By contrast, if the interfaces were non-isologous -- with q40W interacting
457 with a hydrophobic divot on some other surface of the facing subunit -- then this favorable
458 interaction would appear only once, and it would be insufficient to substantially improve binding
459 energy and confer meaningful tetramer occupancy. Mutational propensity favors acquisition of
460 isologous interfaces compared to head-to-tail associations. Isologous complexes are simply
461 easier to produce by mutation, not more likely to be fixed by selection once they are generated,
462 as has been suggested (1).

463

464 It has been observed that in high-order multimers, the interface with higher affinity usually
465 evolves before the lower-affinity interface(s) (39-42), and a leading proposal is that this pattern
466 reflects selection on complexes to assemble via pathways that prevent misassembly into
467 anomalous high-order architectures (39,40). Hb evolution displays this pattern -- with the
468 stronger interface IF1 evolving before IF2 (16) – but our work here suggests a different
469 explanation: a low-affinity interface can mediate assembly into a higher-order stoichiometry only
470 if a high-affinity interface is already present to multiply its effects. Mutational propensity
471 therefore favors the evolution of complexes in which one interface is stronger than others;
472 trajectories in which the stronger interface evolves first are far more likely than interfaces being
473 acquired in the opposite order.

474
475 **One interface confers specificity on a higher-order multimer.** Our experiments show that
476 evolutionary change at just one of Hb's interfaces was sufficient to confer specific assembly into
477 heterotetramers. Specificity at IF1 alone was sufficient to mediate the heterospecificity of the
478 tetramer because this interface is so much stronger than IF2: IF1 mediates the specific
479 assembly of heterodimers, which assemble into heterotetramers across IF2, even though IF2
480 itself confers little or no specificity.

481
482 The specificity of IF1 and the isology of the complex also explains the *trans* conformation of
483 Hb's quaternary structure, in which each Hb α subunit binds one Hb β subunit across IF1 and a
484 different Hb β across IF2. The alternative *cis* conformation -- in which Hb α is paired with an Hb α
485 (and Hb β with Hb β) across one of the interfaces – is never observed. Although IF2 imposes little
486 or no specificity, its isologous orientation necessarily means that the two IF1-mediated
487 heterodimers must be rotated 180° relative to each other, placing each Hb α across IF2 from the
488 Hb β of the other heterodimer. In the *cis* conformation, the heterodimers would not be rotated
489 180° relative to each other, and all the favorable interactions that IF2 comprises would not form;
490 residue 40W, for example, would not face the hydrophobic divot on IF2 across the interface.
491 Given the heterospecificity of IF1, isology constrains the Hb tetramer to its *trans* $\alpha_2\beta_2$
492 architecture.

493
494 These observations suggest a simple and potentially general mechanism for the evolution of
495 specificity in the quaternary structures of high-order multimers. Specificity need not evolve at
496 every interface in the complex, especially if the interfaces are isologous. Rather, mutations need
497 only make the stronger interface specific to confer assembly into particular high-order
498 architectures.

499
500 **Imperfect symmetry allowed specificity to evolve in one subunit.** We found that a single
501 genetic change in one paralog – a one residue deletion in Anc α -- was sufficient to confer IF1's
502 heterospecificity. This result contrasts with prior studies of nonisologous complexes, in which
503 heterospecificity evolved because of genetic changes in both interacting subunits
504 (7,12,17,18,20,23-25).

505

506 This difference in historical genetic mechanism reflects the opportunities presented by the two
507 different types of multimeric architecture. In asymmetric complexes, a mutation in the “head” of
508 one duplicate gene will not be sufficient to distinguish between its own tail and that of its paralog
509 (unless it somehow changes the conformation of both distinct surfaces). In an isologous
510 complex like Hb, however, a change in one subunit can confer specificity, because it makes the
511 interface different between the heterodimer, the mutated homodimer, and the unmutated
512 homodimer.

513
514 Acquiring specificity in an isologous interface does require the mutation to nonadditively change
515 the affinity of the heterodimer relative to the homodimers. If the symmetry of such interfaces
516 were perfect, a mutation in one subunit would affect interactions across the interface identically
517 on each side of the interface, resulting in additive effects on affinity. Nonadditivity would arise
518 only if mutations affect sites that interact with each other across the rotated interface. This
519 would require either a mutation at the precise axis of rotational symmetry or multiple mutations
520 at several sites.

521
522 If the symmetry is imperfect, however, a single mutation (like $\Delta H2$ in $\text{Anc}\alpha$) can affect
523 interactions differently when it appears twice in the homodimer versus when it occurs once in
524 the heterodimer. This observation is likely to have general relevance to the evolution of
525 specificity. Virtually all isologous interfaces contain subtle asymmetries (43). This imperfection
526 arises for two reasons: perfect symmetry is entropically unfavorable, and amino acids near the
527 axis seldom face each other with perfect symmetry, because each amino acid itself is
528 asymmetrical, and this asymmetry propagates elsewhere in the interface (43,44). Extant human
529 hemoglobin is one of many examples of isologous interfaces in which asymmetry is imperfect
530 (45). Isologous interfaces therefore provide a nearly universal starting point for homo- or
531 heterospecificity to be acquired by substitutions in a single subunit.

532
533 **Specificity evolved through a single mutation.** We found that a single mutation – deletion of
534 residue His2 in the alpha subunit – conferred most of the heterospecificity of $\text{Anc}\alpha + \text{Anc}\beta$. This
535 simple mechanism was possible because only a small change in relative binding energy is
536 required to yield substantial changes in specificity. The IF1 of $\text{Anc}\alpha + \text{Anc}\beta$ occupies 90%
537 heterodimer at equal and saturating concentrations, but its $\Delta\Delta G_{\text{spec}} = -1.54$; $\Delta H2$ alone caused
538 most of this shift, conferring heterodimer occupancy of almost 80% via a $\Delta\Delta G_{\text{spec}} = -0.99$. These
539 differences in binding energy are less than that of a typical hydrogen bond or burial of a large
540 hydrophobic residue. Our structural models reveal differences in hydrogen bonding and other
541 interactions across the homodimer vs. heterodimer interfaces that could easily yield energetic
542 differences of this magnitude. These results are consistent with recent *in silico* findings that
543 small differences in ΔG can cause large differences in occupancy between homodimers and
544 heterodimers (27).

545 Why do such subtle changes in energy cause large effects on specificity? Mutations that cause
546 a modest deviation in binding energies can cause large changes in occupancy because of the
547 nonlinear Boltzmann relationship between these quantities (Fig. 4C). Moreover, specificity is

548 determined by the deviation from additivity between homodimers and heterodimer, so small
549 differences in the free energy of binding propagate into even larger changes in specificity. We
550 therefore predict that the evolution of specificity in paralogous complexes with symmetrical
551 interfaces will often be attributable to one or a few genetic changes with relatively subtle
552 structural and energetic effects. That specificity can evolve so easily also implies that paralog
553 interference after gene duplication (46,47) may often be easily resolved through one or a few
554 mutations.

555 If specificity can be acquired by small deviations from energetic additivity in either direction, one
556 might expect that homomeric and heteromeric specificity would be equally likely to evolve. But
557 empirical observations suggest that heteromers evolve much more frequently after gene
558 duplication (12,48). Our findings suggest a plausible explanation for this pattern. We observed
559 that the critical mutation for conferring specificity on Hb does so because imperfect asymmetry
560 in the interface creates a kind of antagonistic pleiotropy: a favorable interaction occurs when the
561 mutation is introduced once in the heteromer, but it fails to produce the same favorable contact
562 and even disrupts a different favorable contact when introduced again on the other side of the
563 interface in the homomer. Heterospecificity will result whenever asymmetry causes this kind of
564 antagonistic pleiotropy, such that a favorable interaction can be optimized when it is iterated
565 once but not twice. In contrast, homomeric specificity requires a mutation to be even more
566 favorable the second time it is introduced on the other side of an interface. For this to occur,
567 imperfect symmetry must synergistically enhance the interactions caused by the two iterations
568 of the mutation in the homodimer. This scenario seems far less likely than an antagonistic
569 effect, because favorable interactions are constrained in many ways, requiring fairly precise
570 compatibility of polarity, size, angle, etc. The imperfect symmetry of isologous interfaces may
571 therefore create a mutational propensity that favors the evolution of heteromeric over
572 homomeric specificity.

573 Taken together, our observations contribute to a growing body of evidence that complex
574 multimeric complexes can evolve through simple genetic mechanisms (5, 14, 34, 36,49-53). In
575 Hb evolution, a single substitution in one of the duplicated genes was sufficient to cause a
576 doubling in stoichiometry from dimer to tetramer, and a single-residue deletion at one interface
577 in the other subunit was sufficient to confer strong preference for the $\alpha_2\beta_2$ heterotetrameric form.
578 Although other substitutions enhanced these effects, and others may have permitted or
579 entrenched them (5,54), our data indicate that discrete evolutionary increases in complexity can
580 occur by very short mutational paths from simpler ancestral forms. The single-mutation
581 evolutionary jumps in the stoichiometry and specificity of the Hb complex were possible
582 because they took place in the context of an isologous complex in which symmetry is slightly
583 imperfect. Because many multimers share similar structural properties, we predict that, when
584 other multimeric complexes are studied in detail, simple mechanisms will be found to have
585 driven their historical elaboration.

586 METHODS

587 **Sequence data, alignment, phylogeny, and ancestral sequence reconstruction.** The
588 reconstructed ancestral sequences used here are the same as those reported previously (16).
589 Briefly, 177 amino acid sequences of hemoglobin and related paralogs were collected and
590 aligned. The maximum likelihood (ML) phylogenetic tree was inferred using the AIC best-fit
591 model, LG+G+F (55,56). The phylogeny was rooted using as outgroups neuroglobin and globin
592 X, which are found in both deuterostomes and protostomes and diverged prior to the gene
593 duplications that produced vertebrate myoglobin and the hemoglobin subunits. Ancestral
594 sequence reconstruction was performed using the empirical Bayes method (57), given the
595 alignment, ML phylogeny, ML branch lengths, and ML model parameters. Reconstructed
596 ancestors that were used in this study have been deposited previously in GenBank (IDs
597 MT079112, MT079113, MT079114, MT079115).

598
599 The historical mutations that we introduced into those ancestral proteins are the following. For
600 the set *IF1-reverted*, all sites in IF1 that were substituted on the branch leading to Ancb are
601 reverted to the ancestral state found in Ancab; the mutations introduced are V36t, Y38h, V115a,
602 V119e, H130r, D134e. For the set *IF2-reverted*, all sites that were substituted in IF2 on the
603 branch leading to Ancb are reverted to the ancestral state found in Ancab; the mutations
604 introduced are T37v, W40q, R43t, H100r, E104h. For the set *IF1*, all sites at IF1 that were
605 substituted between Ancab and Ancb are changed to the derived state found in Ancb; the
606 mutations introduced are t37V, k58M, r107K, h130Q, d134Q4. For the set *Adjacent*, five sites
607 adjacent to IF1 that were substituted between Ancab and Ancb are changed to the derived state
608 found in Ancb; the mutations introduced are h47S, s60N, q62K, a96S, h97E. The set
609 *IF1+Adjacent* is the union of the sets *IF1* and *Adjacent*. Deletion DD deletes residues a54, e55,
610 a56, i57, and k58 from Ancab.

611
612 **Recombinant protein expression.** Coding sequences for reconstructed ancestral proteins
613 were optimized for expression in *Escherichia coli* using IDT Codon Optimization and
614 synthesized *de novo* as gBlocks (IDT). Coding sequences were cloned by Gibson assembly into
615 vector pLIC (58) under control of a T7 polymerase promoter. For co-expression of Anc α +Anc β ,
616 a polycistronic operon was constructed under control of a T7 promoter and separated by a
617 spacer containing a stop codon and ribosome binding site, as described in (59).

618
619 BL21 (DE3) *Escherichia coli* cells (New England Biolabs) were heat-shock transformed and
620 plated onto Luria broth (LB) containing 50 ug/mL carbenicillin. For the starter culture, a single
621 colony was inoculated into 50 mL of LB with 1:1000 dilution of working-stock carbenicillin and
622 grown overnight. 5 mL of the starter culture were inoculated into a larger 500-mL terrific broth
623 (TB) mixture containing the appropriate antibiotic concentration. Cells were grown at 37° C and
624 shaken at 225 rpm in an incubator until they reached an optical density at 600 nm of 0.6-0.8.

625
626 For expression of single globin proteins, 100 uM of isopropyl- β -D-1-thiogalactopyranoside
627 (IPTG) and 25 mg/500 mL of hemin were added to each culture. Expression of single proteins in
628 culture were done overnight at 22° C. Cells were collected by centrifugation at 4,000g and
629 stored at -80° C until protein purification. Coexpressed proteins were induced using 500 mM

630 IPTG expression with 25 mg/500 mL hemin for 4 hours at 37°C. Cells were collected by
631 centrifugation at 4,000g, immediately followed by purification.

632
633 Human hemoglobin was bought commercially (Sigma-Aldridge) and resuspended in PBS.

634
635 We attempted to co-express and purify Anca $\beta_{\Delta H2}$ in complex with Anca β_{40W} , but we were not
636 able to identify conditions at which the two species could be expressed and purified to near-
637 equal concentrations.

638
639 **Protein purification by ion exchange.** All singly expressed proteins (all ancestral globins
640 except Anca+Anc β) were purified using ion exchange chromatography. All buffers were vacuum
641 filtered through a 0.2 μ M PFTE membrane (Omnipore). After expression, cells were
642 resuspended in 30 mL of 50 mM Tris-Base (pH 6.88). The resuspended cells were placed in a
643 10 mL falcon tube and lysed using a FB505 sonicator (1s on/off for three cycles, each 1 minute).
644 The lysate was saturated with CO, transferred to a 30 mL round bottom tube, and centrifuged at
645 20,000g for 60 minutes to separate supernatant from non-soluble cell debris. The supernatant
646 was collected and syringe-filtered using HPX Millex Durapore filters (Millipore) to further remove
647 debris. A HiTrap SP cation exchange (GE) column was attached to an FPLC system (Biorad)
648 and equilibrated in 50 mM Tris-Base (pH 6.88). The lysate was passed over the column. 50 mL
649 of 50 mM Trise-Base (pH 6.88) was run through the SP column to remove weakly bound non-
650 target soluble products. Elution of bound ancestral Hbs was performed with 100-mL gradient of
651 50mM Tris-Base 1 M NaCl (pH 6.88) buffer which was run through the column from 0% to
652 100%. 1.5 mL fractions were captured during the gradient process, all fractions containing red
653 eluant were put into an Amicon ultra-15 tube and concentrated by centrifugation at 4,000g to a
654 final volume of 1 mL. For additional purification, concentrated sample was injected into a HiPrep
655 16/60 Sephacryl S-100 HR size exclusion chromatography (SEC) column. The column was
656 equilibrated in phosphate buffered saline (PBS) at pH 7.4. Purified ancestral globins elute at
657 different volumes depending on the protein's complex stoichiometry: 48-52 for tetramers, 56-60
658 for dimers, and 65-67 for monomers. The purified proteins were concentrated as mentioned
659 above and then flash frozen with liquid nitrogen.

660
661 **Protein purification by zinc affinity chromatography.** Coexpressed proteins Anca + Anc β
662 were purified using zinc-affinity chromatography, which was performed using a HisTrap metal
663 affinity column (GE) on a Biorad NGC Quest. Nickle ions were stripped from the column (buffer
664 100 mM EDTA, 100 mM NaCl, 20 mM TRIS, pH 8.0), followed by five column volumes of water.
665 To attach zinc to the column, 0.1 M ZnSO₄ was passed over until conductance was stable,
666 approximately 5 column volumes, followed by five column volumes of water. After expression,
667 cells were resuspended in a 50 mL lysis buffer (20 mM Tris, 150 mM Nacl, 10% glycerol (v/v),
668 1mM BME, 0.05% Tween-20, and 1 Roche Protease EDTA-free inhibitor tablet, pH 7.40),
669 sonicated as described above, and the lysate passed through the prepared column. To remove
670 non-specifically bound protein, the column was washed with 50 mL of lysis buffer. Bound protein
671 was then eluted across a gradient of imidazole concentrations (0 to 500 mM) in a total of 100
672 mL elution buffer (20 mM Tris, 150 mM NaCl, 500 mM imidazole, 10% glycerol, and 1 mM BME,
673 pH 7.4). 1 mL fractions were collected. The fraction corresponding to the second peak of UV

674 absorbance at 280 nm has a visible red color and was collected and concentrated as described
675 above. The concentrated solution was injected into a Biorad ENrich 650 10 x 300 columns for
676 additional purification and eluted in PBS buffer.

677
678 **Size exclusion chromatography assay.** For protein concentrations from 0 to 500 μ M, size
679 exclusion chromatography was performed using a Superdex 75 increase 10/300 GL column
680 (GE) equilibrated in PBS, then injected with 250 μ L of sample using a 2 mL injection loop on an
681 Biorad NGC Quest FPLC and monitored by absorbance at 280 nm. For proteins at
682 concentration 1 mM, a HiPrep 16/60 Sephacryl S-100 HR was equilibrated in PBS using an
683 AKTAprime FPLC, then injected with 1mL sample and monitored by absorbance at 280 nm.

684
685 **Native Mass Spectrometry.** Protein samples were buffer exchanged into 200mM ammonium
686 acetate using either a centrifugal buffer exchange device (Micro Bio-Spin P-6 Gel, Bio-Rad) or a
687 dialysis device (Slide-A-Lyzer MINI Dialysis Unit, 10000 MWCO, Thermo) prior to native MS
688 experiments. Samples were loaded into gold-coated glass capillaries made in-house and
689 introduced to Synapt G1 HDMS instrument (Waters corporation) equipped with a 32k RF
690 generator (29). The instrument was set to a source pressure of 5.47 mbar, capillary voltage of
691 1.75 kV, sampling cone voltage of 20 V, extractor cone voltage of 5.0 V, trap collision voltage of
692 10 V, collision gas (Argon) flow rate of 2 mL/min (2.65×10^{-2} mbar), and T-wave settings
693 (velocity/height) for trap, IMS and transfer of 100 ms $^{-1}$ /0.2 V, 300 ms $^{-1}$ /16.0 V, and 100 ms $^{-1}$
694 /10.0 V, respectively. The source temperature (70 $^{\circ}$ C) and trap bias (30 V) were optimized. Part
695 of the native MS experiments were conducted by Thermo Scientific Exactive Plus Orbitrap with
696 Extended Mass Range (EMR) with tuning as follow: source DC offset of 15 V, injection flatapole
697 DC to 13 V, inter flatapole lens to 5, bent flatapole DC to 4, transfer multipole DC to 3 and C
698 trap entrance lens to 0, trapping gas pressure to 5.0 with the CE to 10, spray voltage to 1.50 kV,
699 capillary temperature to 100 $^{\circ}$ C, maximum inject time to 100 ms. Mass spectra were acquired
700 with a setting of 8750 resolution, microscans set to 1 and averaging set to 100. Mass spectra
701 were deconvoluted using Unidec (60).

702
703 **Calculating multimerization affinity of homomers.** To estimate Kd of the monomer-to-
704 homodimer transition of singly expressed proteins, we performed nMS at variable protein
705 concentrations (P_{tot}). The occupancy of each oligomeric state at each concentration was
706 calculated as the proportion of all globin subunits in that state, based on the summed areas
707 under the corresponding peaks in the native MS spectrum. The fraction of subunits assembled
708 into dimers (F_d) includes dimers and tetramers and is defined as

709
710
$$F_d = \frac{2x_d + 4x_t}{(x_m + 2x_d + 4x_t)},$$

711
712 where x_m , x_d , and x_t are the total signal intensities of all peaks corresponding to the
713 monomeric, dimeric and tetrameric stoichiometries, respectively. Nonlinear regression was used
714 to find the best-fit value of Kd of dimerization using the equation:

715

716

$$F_d = \frac{1}{P_{tot}} * \frac{(4P_{tot} + K_d) - \sqrt{(4P_{tot} + K_d)^2 - 16P_{tot}^2}}{4}$$

717

718 To estimate the Kd of the dimer–tetramer transition, the fraction of subunits assembled into
719 tetramers is defined as

720

721

$$F_t = \frac{4x_t}{(2x_d + 4x_t)}$$

722

723 The concentration of all dimers is defined as

724

725

$$P_d = F_d \times P_{tot}$$

726

727 Nonlinear regression was then used to find the Kd of tetramerization using the equation:

728

729

$$F_t = \frac{1}{P_d} * \frac{(4P_d + K_d) - \sqrt{(4P_d + K_d)^2 - 16P_d^2}}{4}$$

730

731 **Calculating multimerization affinity of heteromers.** To determine the Kd of
732 heterodimerization, we used nMS to measure stoichiometries across a titration series in which
733 one protein's concentration was held constant at 50 mM and the other was added at variable
734 concentration (1 to 50 mM). From the nMS spectrum, we estimated the proportion of the
735 heterodimer and the two homodimers as

736

737

$$F_{\alpha\alpha} = \frac{2x_{\alpha\alpha}}{(2x_{\alpha\alpha} + 2x_{\alpha\beta} + 2x_{\beta\beta} + x_{\alpha} + x_{\beta})}$$

738

$$F_{\alpha\beta} = \frac{2x_{\alpha\beta}}{(2x_{\alpha\alpha} + 2x_{\alpha\beta} + 2x_{\beta\beta} + x_{\alpha} + x_{\beta})}$$

739

$$F_{\beta\beta} = \frac{2x_{\beta\beta}}{(2x_{\alpha\alpha} + 2x_{\alpha\beta} + 2x_{\beta\beta} + x_{\alpha} + x_{\beta})}$$

740

741 where each x represents the signal intensity of all peaks corresponding to the species denoted
742 in the subscript. The dissociation constant for each dimer is defined as $Kd_1 = \frac{x_{\alpha}^2}{x_{\alpha\alpha}}$, $Kd_2 = \frac{x_{\beta}^2}{x_{\beta\beta}}$,
743 and $Kd_3 = \frac{x_{\alpha}x_{\beta}}{x_{\alpha\beta}}$. By substitution, $F_{\alpha\beta}$ can be expressed as

744

745

$$F_{\alpha\beta} = \frac{\sqrt{Kd_1 * Kd_2 * F_{\alpha\alpha} * F_{\beta\beta}}}{Kd_3}$$

746

747 Kd_3 was estimated using this equation by nonlinear regression, where $F_{\alpha\alpha}$, $F_{\alpha\beta}$ and $F_{\beta\beta}$ were
748 measured using the titration series, and the affinities Kd_1 and Kd_2 were assigned the values
749 estimated in the homodimerization experiments described above.

750
751 **Prediction of homodimer and heterodimer occupancy at high concentrations.** The
752 occupancy of each dimer at physiologically relevant concentrations (1 mM total globin subunits)
753 was predicted as follows, because nMS is limited to concentrations <100mM. In a mixture of two
754 types of globins A and B, the total concentration of each subunit can be expressed in terms of
755 the concentration of monomers [A] and [B] in the mixture:

756
757
$$[A]_{\text{tot}} = [A] + [AB] + 2[AA] = [A] + \frac{[A][B]}{Kd_3} + \frac{2[A]^2}{Kd_1}$$

758
$$[B]_{\text{tot}} = [B] + [AB] + 2[BB] = [B] + \frac{[A][B]}{Kd_3} + \frac{2[B]^2}{Kd_2}$$

759
760 We used these equations to predict [A] and [B] at any value of C_A and C_B given the
761 experimentally estimated Kds. The concentration of each dimer was then estimated using the
762 equations $[AA] = \frac{[A]^2}{Kd_1}$, $[BB] = \frac{2[A][B]}{Kd_3}$, and $[BB] = \frac{[B]^2}{Kd_2}$.

763
764 **Establishing the upper limit of IF2 Kd.** We estimated the minimum Kd of assembly across IF2
765 by Anca $\beta_{37V+40W}$; IF1 removed, because no homotetramer was observed using nMS at a protein
766 concentration of 20 mM. The minimum detection limit for dimers in the nMS assay is 1 mM. Kd
767 is defined as $Kd = \frac{[M]^2}{[D]}$, where [M] and [D] are the concentrations of monomer and dimer,
768 respectively. Therefore

769
770
$$Kd_{\text{min}} = \frac{(20 * 10^{-6})^2 M}{1 * 10^{-6} M} = 400 \mu M$$

771
772 **Determining $\Delta\Delta G$ of specificity.** Specificity for heterodimer assembly between two paralogs
773 can be defined as the difference between the additive affinity of the heterodimer and the
774 measured affinity of the heterodimer, using ΔG s derived measured dimerization affinity for two
775 homodimers and their respective heterodimer. The additive affinity of the heterodimer is defined
776 as the averaged ΔG of both homodimers:

777
778
$$\Delta G_{\text{heterodimer}}^{\text{additive}} = \frac{\Delta G_{\text{homodimer 1}} + \Delta G_{\text{homodimer 2}}}{2}$$

779
780 Specificity is then the difference between the additive and measured heterodimer ΔG .

781
782
$$\Delta\Delta G_{\text{spec}} = \Delta G_{\text{heterodimer}}^{\text{measured}} - \Delta G_{\text{heterodimer}}^{\text{additive}}$$

783

784 This metric is analogous to the coupling energy, which expresses the deviation of the measured
785 DG for a double mutant from that expected given the DGs of two single mutants assuming
786 additivity (62-64).

787
788 **Quantifying non-additive effect on specificity between Anc α and Anc β .** The non-additive
789 effect on specificity can be defined as the difference between the predict and measured $\Delta\Delta G$ of
790 the derived complex Anc α + Anc β .

$$791 \Delta\Delta G = \Delta\Delta G_{\alpha+\beta} - (\Delta\Delta G_{\alpha} + \Delta\Delta G_{\beta}).$$

792
793
794 **Prediction of monomer, dimer, and tetramer occupancies with no IF2 specificity.** The
795 occupancy of monomers, dimers, and tetramers between 1 mM and 4 mM predicted was
796 calculated as follows. The concentration of subunit in each stoichiometric species can be
797 expressed in terms of the concentration of monomers [A] and [B]:

$$798 [A]_{\text{tot}} = [A] + [AB] + 2[AA] + [ABBB] + 2[AABB]$$
$$799 = [A] + \frac{[A][B]}{Kd_3} + \frac{2[A]^2}{Kd_1} + \frac{\frac{[A][B]^3}{Kd_2 * Kd_3}}{Kd_4} + \frac{\frac{2[A]^2[B]^2}{Kd_2^2}}{Kd_4}$$

$$800$$
$$801$$
$$802$$
$$803$$
$$804 [B]_{\text{tot}} = [B] + [AB] + 2[BB] + 2[AABB] + 3[ABBB] + 4[BBBB]$$
$$805$$
$$806 = [B] + \frac{[A][B]}{Kd_3} + \frac{2[B]^2}{Kd_2} + \frac{\frac{2[A]^2[B]^2}{Kd_2^2}}{Kd_4} + \frac{\frac{3[A][B]^3}{Kd_2 * Kd_3}}{Kd_4} + \frac{\frac{4[B]^4}{Kd_3^2}}{Kd_4}$$

807
808 We used these equations to predict [A] and [B] across a range of [A]_{tot} and [B]_{tot} values given
809 previously measured equilibrium constants. Predicted [A] and [B] concentrations were used to
810 calculate the concentration of homodimers and heterodimers as described above, and the
811 concentration of tetramers were calculated using the following equations:

$$812 [BBBB] = \frac{\frac{[B]^4}{Kd_2^2}}{Kd_4}$$

$$813$$
$$814 [ABBB] = \frac{\frac{[A][B]^3}{Kd_2 * Kd_3}}{Kd_4}$$

$$815$$
$$816 [AABB] = \frac{\frac{[A]^2[B]^2}{Kd_3^2}}{Kd_4}$$

817

22

818 where [BBBB] corresponds to the concentration of homotetramer, [ABBB] is concentration of
819 $\alpha_1\beta_3$ tetramers, and [AABB] is the concentration of $\alpha_2\beta_2$ heterotetramers.

820
821 **Homology models.** SWISS-Model was used to generate a structural model of the An $\alpha\beta_{q40W}$
822 homotetramer using the crystal structure of the human Hb β homotetramer (PDB 1CMB) as
823 template, which was then refined using Rosetta's Fast Relax protocol, which energetically
824 minimizes the initial structure via small adjustments to the backbone and side chain torsion
825 angles (61). PyMOL V2.1 was used to visualize the proteins and capture images.

826
827 IF1-mediated homodimers were generated by the same procedure, except for homodimers of
828 An α or An $\alpha\beta_{\Delta D}$, for which the homodimer of human Hb α (PDB 3S48) was used as template.
829 IF1-mediated heterodimers were generated by the same procedure but using the
830 heterotetramer of human Hb (PDB 4HHB).

831

832

833 **Acknowledgements and funding sources**

834 We thank members of the Thornton and Laganowsky labs for helpful advice and comments.
835 Supported by NIGMS R01GM131128, R35 GM14533601, 1T32GM13978201.

836

837 **Author Contributions:** CRCR: project conception and design, protein expression and
838 purification, chromatography experiments, structural modeling, data analysis, and writing (initial
839 draft and revisions). JL: design, execution, and analysis of mass spectrometry experiments, and
840 writing (revisions). AP: project conception and design, protein expression and purification,
841 chromatography experiments, data analysis, writing (initial draft and revisions). AL: design and
842 analysis of mass spectrometry experiments, writing (revisions). JWT: project conception and
843 design, data analysis, project management, funding acquisition, writing (initial draft and
844 revisions).

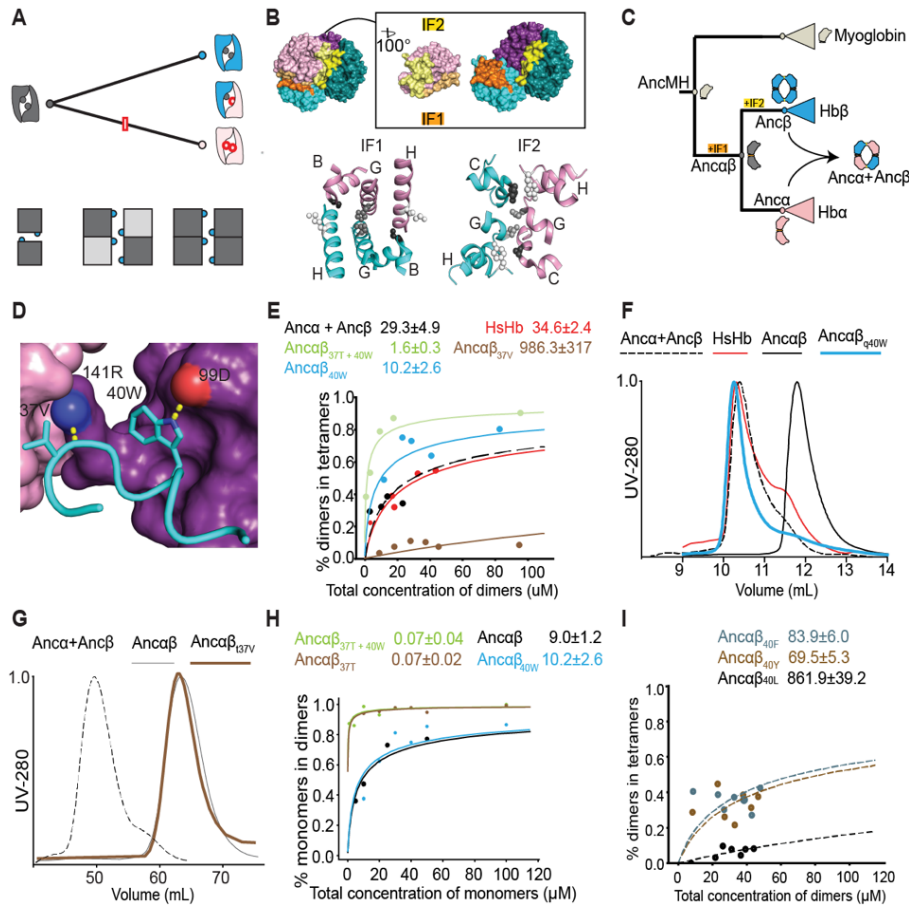
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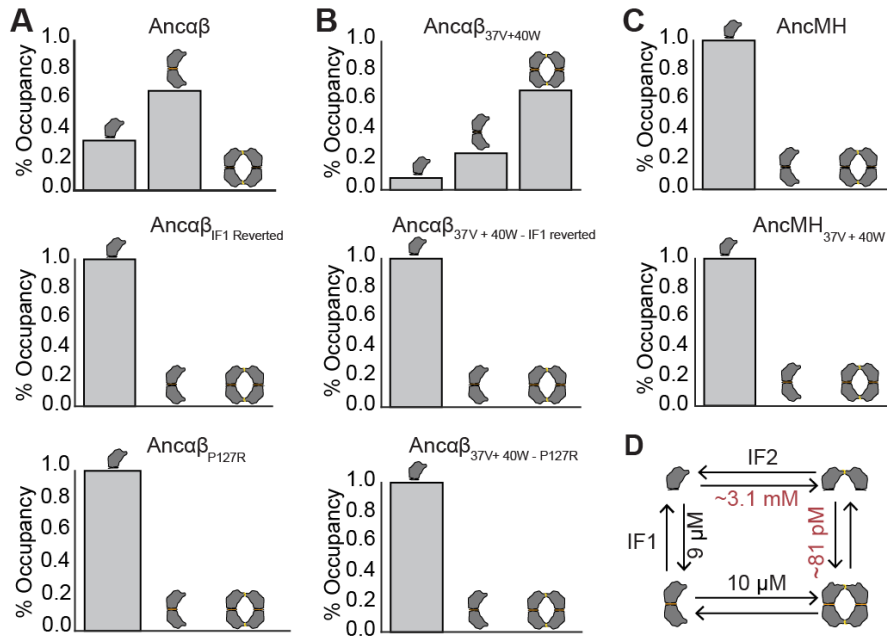
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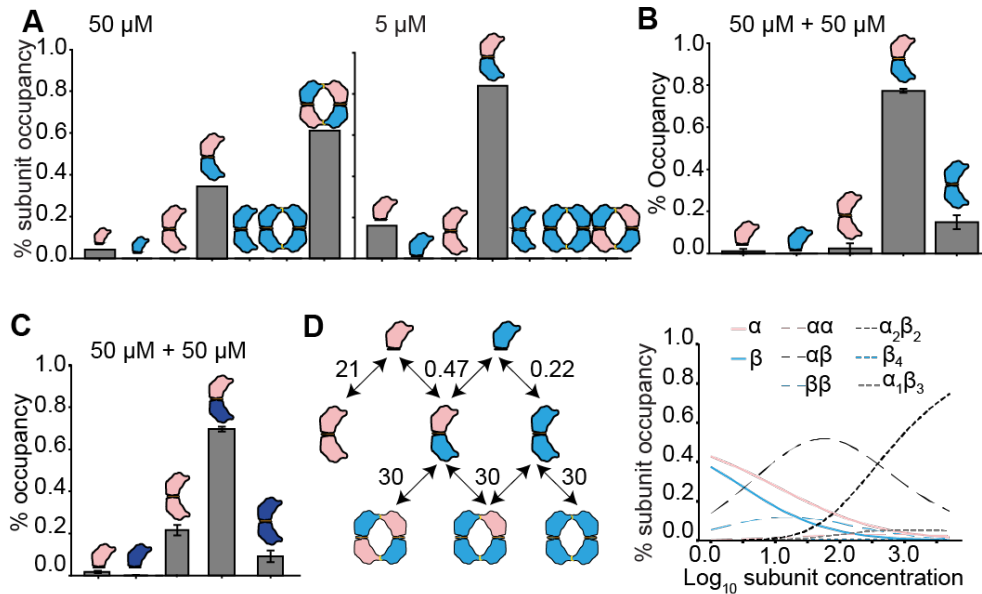


986
 987 **Figure 1. A single substitution confers tetramerization on an ancestral dimer. (A)** A substitution in
 988 one subunit can potentially affect specificity and stoichiometry in an isologous interface. *Top:* After
 989 duplication of an isologous homodimer (gray), a substitution that occurs in one paralog (red box) appears
 990 twice in the interface of a homodimer (red circles), once in a heterodimer, and not at all in the other
 991 homodimer (blue). *Bottom:* One substitution (blue circle) in an isologous interface appears twice in a
 992 homodimer (*left*), twice in a heterotetramer (*middle*), and four times in a homotetramer (*right*), multiplying
 993 its effects on affinity. Dark and light gray, paralogous subunits. **(B)** *Top:* Interfaces in the human Hb
 994 heterotetramer (PDB 4HHB). Pink, H β α ; blue, H β β ; α_1 and β_1 are in lighter hues than α_2 and β_2 . IF1
 995 surfaces (orange) mediate α_1 - β_1 and α_2 - β_2 interactions; yellow surfaces (IF2) mediate α_1 - β_2 and α_2 - β_1
 996 interactions. Only interfaces involving α_1 are shown. Inset, α_1 subunit rotated away from the rest of the
 997 tetramer to show IF1 and IF2. *Bottom:* Isology of IF1 and IF2. Helices contributing to each interface are
 998 shown and labeled. Balls and sticks: on each helix, one residue's side chain is shown to visualize
 999 symmetry. **(C)** Evolution of tetrameric stoichiometry on the phylogeny of Hb and related globins. Icons,
 1000 oligomeric states determined by experimental characterization of reconstructed ancestral proteins (16).
 1001 Acquisition of interfaces of IF1 and IF2 is shown (16). **(D)** Key residues V37 and W40 that were
 1002 substituted in Anc β . Cyan cartoon helix, β_1 subunit. Pink and violet surfaces, α subunits that interact with
 1003 β_1 via IF1 and IF2, respectively. Dotted lines to red or blue spheres, hydrogen bonds to oxygen or nitrogen
 1004 atoms, respectively (PDB 4HHB). **(E)** Dimer-to-tetramer affinity of reconstructed ancestral Hb subunits
 1005 containing historical substitutions q40W and t37V, measured by native mass spectrometry across a
 1006 titration series. Points, fraction of dimers that are incorporated into tetramers. Lines, best-fit binding
 1007 curves. Estimated K $_d$ and SE are shown. **(F, G)** Effect of historical substitutions on stoichiometry, as
 1008 measured by size exclusion chromatography. The ancestral dimer Anca β and the tetramers Anca+Anc β
 1009 and human hemoglobin (HsHb) are shown for comparison. Protein concentration at 100 mM (E) or 1 mM
 1010 (F). **(H)** Effect of historical substitutions on monomer-dimer affinity measured by native MS. **(I)** Effect on
 1011 dimer-tetramer affinity of nonhistorical hydrophobic mutations in at residue 40, measured by native MS.

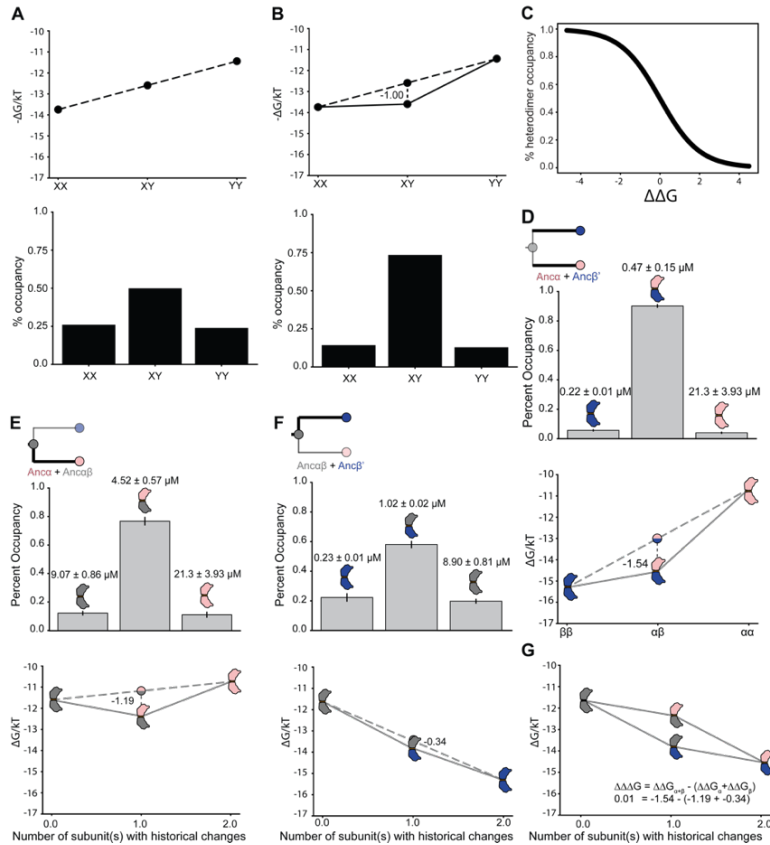


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Figure 2. Multimerization across IF2 requires IF1. (A) IF1-mediated dimerization can be compromised by mutations. Relative occupancy of each stoichiometry as measured by native MS at 20 mM total protein is shown for the ancestral dimer Anca β (top), Anca β_{IF1} reverted (middle, a variant of Anca β in which all IF1 residues are reverted to the ancestral state found in AncMH), and Anca β_{P127R} (bottom, in which a mutation known to compromise IF1-mediated dimerization has been introduced). (B) Compromising IF1 prevents assembly across IF2. Relative occupancy of Anca $\beta_{40W+37V}$ with and without mutations that compromise IF1-mediated dimerization. (C) AncMH, which does not dimerize across IF1, cannot multimerize across IF2, even when mutations sufficient to confer IF2-mediated multimerization in Anca β are introduced. (D) Observed (black) and expected (red) affinities of Anca β +q40W interfaces. Expected K_d of a single iteration of IF2 (top) equals the square root of the measured apparent K_d when two iterations are present (bottom). Expected apparent K_d of two iterations of IF1 (right) equals the square of the measured K_d of a single IF1 (left).

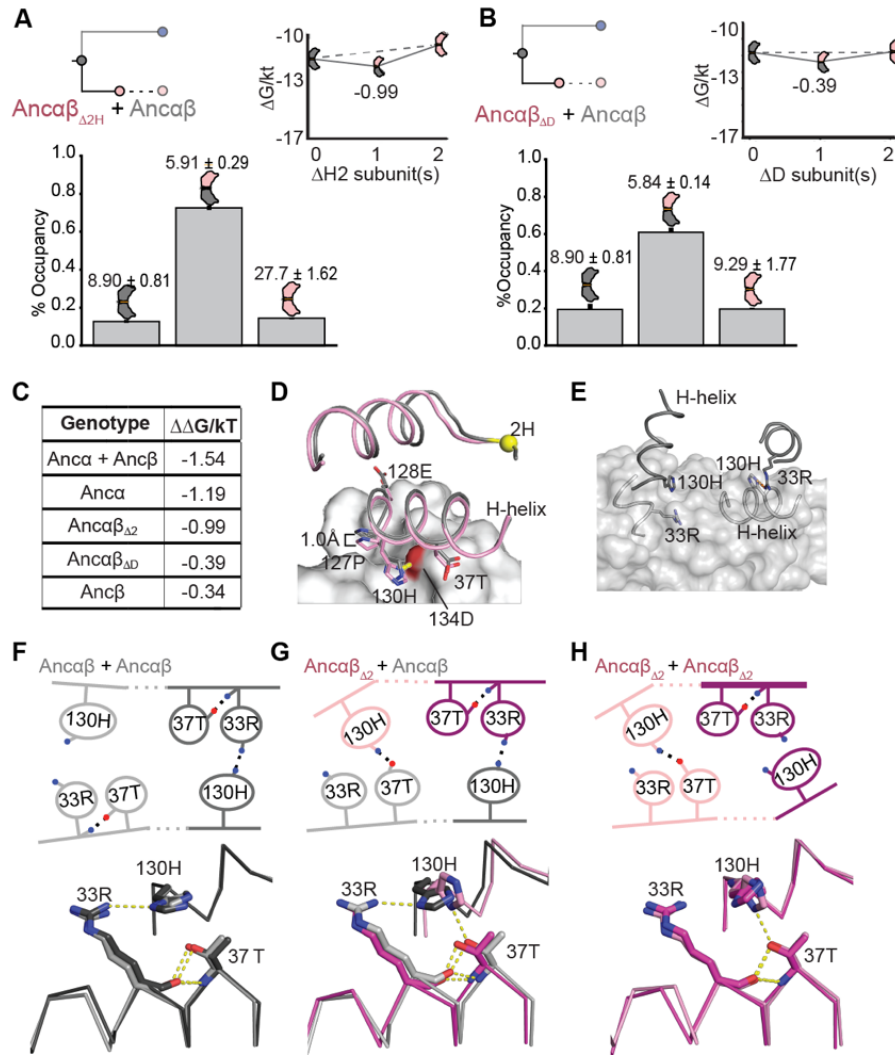


1027
 1028 **Figure 3. Heterotetramer specificity is conferred by specificity at IF1.** (A) Occupancy (as
 1029 fraction of all Hb subunits) when Anca + Anc β are coexpressed, measured by native MS. At 50 μM
 1030 total protein, heterotetramers and heterodimers predominate (left). At 5 μM (right) – at which
 1031 assembly occurs only across the high-affinity interface (IF1) – all dimers are heterodimers. (B)
 1032 Occupancy of subunits in stoichiometries as measured by nMS when Anca and Anc β are separately
 1033 expressed and then mixed at 50 μM each; IF2-mediated tetramer assembly does not occur under
 1034 these conditions, and dimers are predominantly heterodimers. Error bars represent standard error of
 1035 measurement. (C) Percent occupancy of stoichiometries when Anca and Anc β' (Anc β with all
 1036 derived IF2 surface residues reverted to the state in Anca β) are expressed separately and then
 1037 mixed at 50 μM . Error bars, SEM over three replicates. (D) Predicted occupancy of multimeric
 1038 stoichiometries if IF1 is specific and IF2 is nonspecific. Left: binding scheme with experimentally
 1039 estimated Kds (in μM) for IF1 and IF2-mediated multimerization by Anca + Anc β , assuming that all
 1040 IF2 Kds are equal (for Kds, see Fig. 4D and 1D). Right: expected occupancies of each monomer,
 1041 dimer, and tetramer, given the binding scheme at left. Occupancies are expressed as the fraction of
 1042 all subunits in each species.

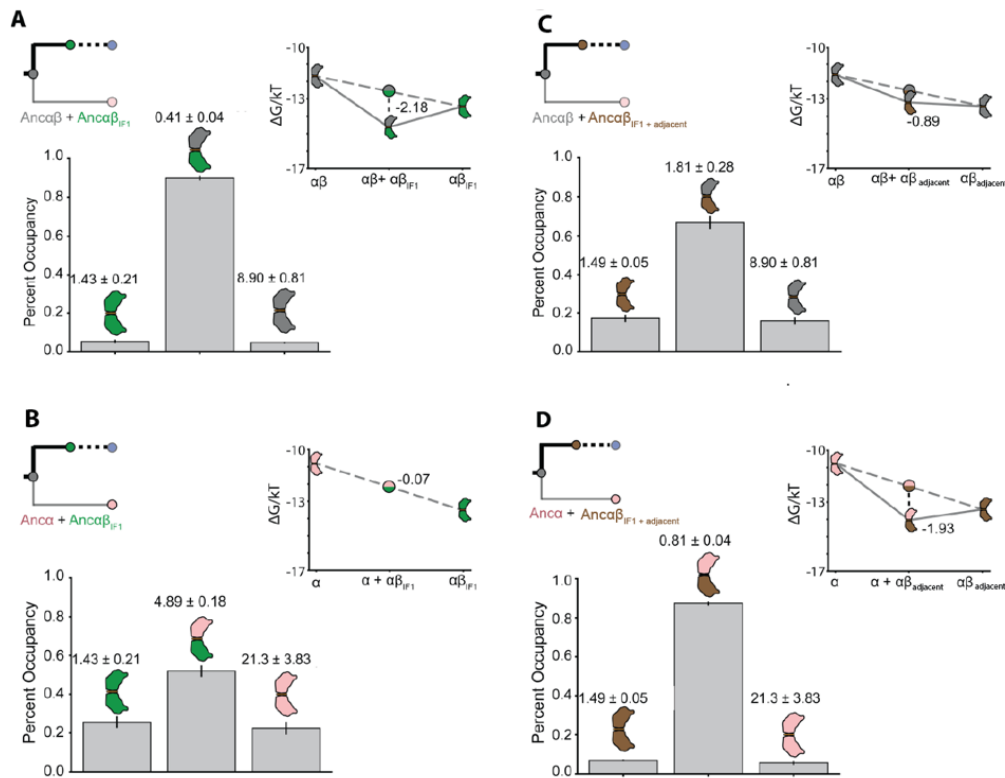


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Figure 4. Contribution of historical changes in each subunit to the acquisition of heterospecificity. (A) Theoretical example of affinities and occupancy in a system of dimers with no specificity. Top: ΔG of dimerization for homodimers (XX and YY) and heterodimers (XY), in units of kT. In the absence of specificity, ΔG of the heterodimer equals the average of the homodimers (dotted line). Bottom: expected fractional occupancies of dimers at 1 mM per subunit and dissociation constants (Kd), given the ΔG s in the top panel. In the absence of specificity, heterodimer occupancy = 50%. (B) Example of a system with preference for the heterodimer. $\Delta\Delta G$ (the deviation of the heterodimer ΔG from the average of the homodimers) is shown. Bottom: Kd and predicted occupancy of each dimer at 1 mM. (C) Relationship between $\Delta\Delta G$ and heteromeric occupancy at 1 mM per subunit, assuming the ΔG s of homodimerization for as shown in panel A. (D) Specificity of IF1 dimerization in system of Anca+Anc β '. Top: expected fractional occupancies at 1 mM, given measured Kds by nMS (shown above each bar). Bottom: ΔG s and $\Delta\Delta G$ given measured Kds. (E) Specificity of IF1 acquired on the branch leading from Anca β to Anca α , shown as occupancy and ΔG s of the Anca β + Anca system. (F) Specificity of IF1 acquired on the branch leading from Anca β to Anc β ', shown as occupancy and ΔG s of Anca β + Anc β '. (G) Interaction effect on specificity when evolutionary changes leading from Anca β to Anca α (pink) and Anc β ' (blue) are combined. Homodimer of Anca β (gray) and each heterodimer are plotted by their ΔG . The observed $\Delta\Delta G$ of each heterodimer in combination Anca β is shown (see panels D-F). If the specificity acquired in the two subunits affects heterodimerization independently, then $\Delta\Delta G$ of Anca α +Anc β ' will equal the sum of the $\Delta\Delta G$ s, yielding a parallelogram. The deviation from this expectation is shown.



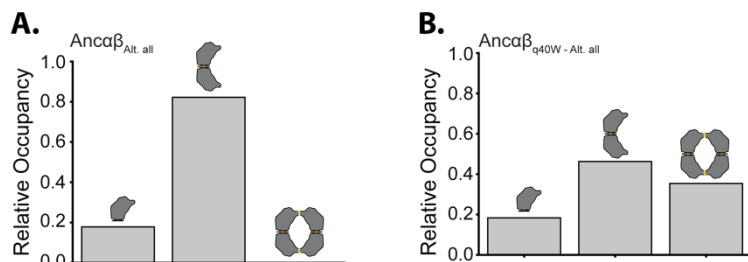
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 1066 **Figure 5. Effect of historical sequence changes on specificity.** (A) Specificity of Anca_{Δ2} with
 1067 Ancaβ, as in Fig. 4. (B) Specificity of Anca_{ΔD} with Ancaβ. (C) Gain in specificity caused by various
 1068 sets of historical mutations, relative to Ancaβ. Anca+Ancβ, all changes on both post-duplication
 1069 branches. Anca, all changes on the branch leading to Anca. ΔH2 and ΔD, deletions that occurred on
 1070 the Anca branches. (D) Models of Ancaβ homodimer and Ancaβ_{Δ2} + Ancaβ heterodimer. The N-
 1071 terminal helix and the portion of IF1 involving helix H is shown. Grey surface, Ancaβ subunit
 1072 common to both models. Grey cartoon, other Ancaβ subunit in the homodimer; pink cartoon,
 1073 Ancaβ_{Δ2} subunit in the heterodimer. Yellow, 2H residue deleted in Ancaβ_{ΔH2}. Helix H side chains in
 1074 the interface are shown as sticks. The hydrogen bond in the heterodimer from 130H to 37T (red
 1075 surface) is shown (dotted line). (E) A portion of IF1 in the Ancaβ homodimer model, showing the
 1076 isologous interactions with imperfect symmetry between 130H and 33R. Orange dashed-line,
 1077 hydrogen bond. The two subunits are colored different shades of gray. The surface of the light-gray
 1078 subunit is shown. (F, G, H) Key residues in IF1 with hydrogen bonds that are affected by ΔH2 in the
 1079 homodimers and heterodimer of Ancaβ and Ancaβ_{ΔH2}. Top, cartoon of key contacts. The two
 1080 iterations of these interactions across the isologous interface are shown, one each in light or dark
 1081 hue. Blue and red, nitrogen and oxygen atoms, respectively. Dotted lines, hydrogen bonds. The
 1082 change in position of the H-helix caused by ΔH2 is shown. Bottom, structural alignment of the two
 1083 iterations of the isologous interface in each dimer. Each dimer structure was duplicated exactly and
 1084 then aligned to the original by targeting one subunit of the copy to align to the other subunit of the
 1085 original. Hues correspond to the isologous iterations in the cartoon above.



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Figure 6. Other subsets of historical substitutions confer heterospecificity on IF1. Affinities measured by nMS, predicted occupancy based on those Kds at 1 mM each subunit, and $\Delta\Delta G_{\text{spec}}$ are shown for A) Ancaβ + Ancaβ_{IF1}, which contains the five substitutions at the IF1 surface that occurred in the Ancaβ lineage; B) Ancaβ + Ancaβ_{IF1} + adjacent, which also includes 4 additional substitutions in Ancaβ near but not on the interface; C) Anca + Ancaβ_{IF1}, and D) Anca + Ancaβ_{IF1} + adjacent.

1094 **SUPPLEMENTARY FIGURES**

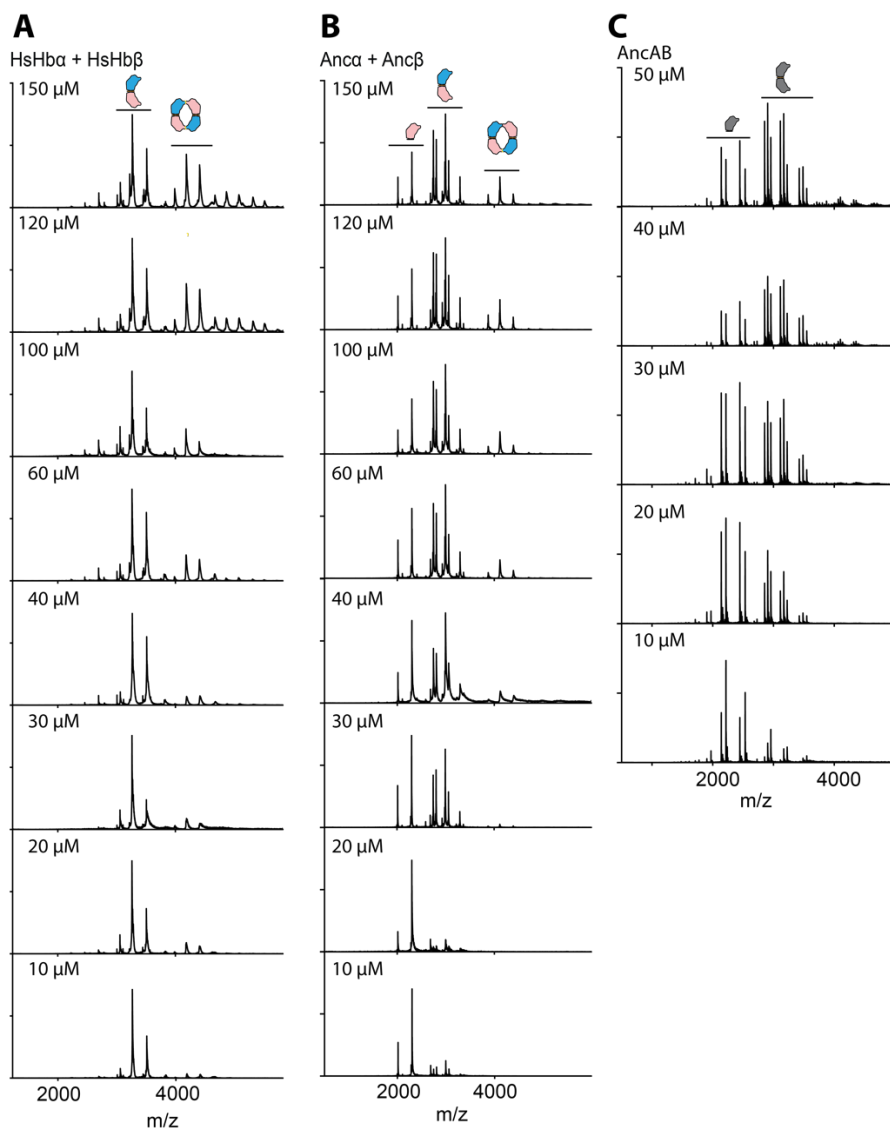


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1097 **Fig. S1. Effect of q40W tetramerization is robust to statistical uncertainty.** (A) Relative
1098 occupancy of monomer, dimer, and tetramer of Ancaβ_{Alt.all}, an alternative reconstruction of
1099 Ancaβ that contains the second most likely state at all ambiguously reconstructed sites,
1100 measured at 20 μM total protein using native MS. (B) Relative occupancy Ancaβ_{Alt.all} with
1101 substitution q40W.
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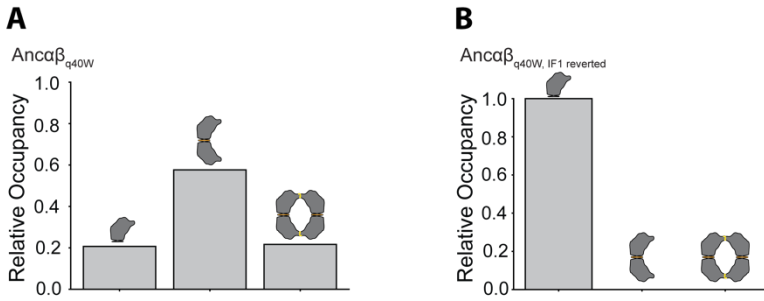
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Fig. S2. Native mass spectrometry spectra. nMS spectra across a concentration series is shown for A) human Hb, B) Anca + Ancb, and (C) Ancab. Peaks corresponding to monomers, dimers, and tetramer are labeled.

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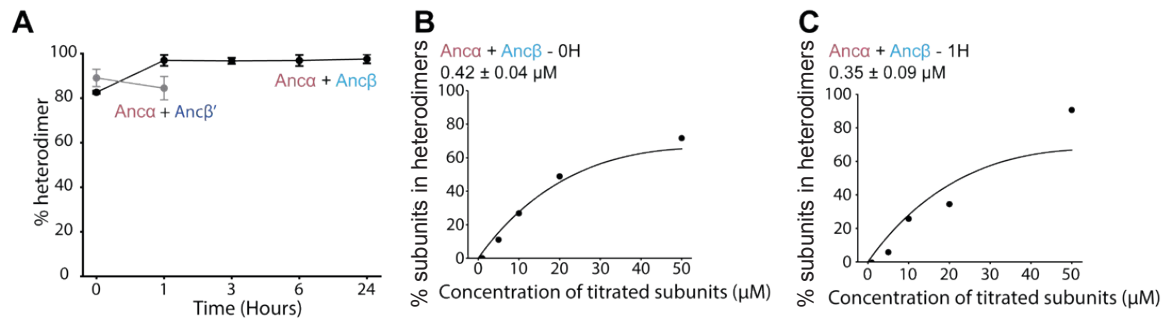
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Fig. S3. The effect of q40W on tetramerization depends on IF1. (A) Relative occupancy of Ancaβ_{q40W}, measured by native MS at 20 μM total protein. (B) Relative occupancy of Ancaβ_{q40W_IF1-reverted}, which contains mutation q40W, as well as reversions to the ancestral state found in AncMH of all residues that were substituted between AncMH and Ancaβ.

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1120 **Fig. S4. Heterodimer occupancy of Anca and Ancβ is near equilibrium after mixing.** (A)

1121 The percent of all dimers that are heterodimers, measured by nMS when proteins are mixed at

1122 50 μM each and allowed to incubate for 0, 1, 3, 6, or 24 hours. Black line and points, Anca +

1123 Ancβ (which only dimerize when expressed separately and then mixed). Grey line and points,

1124 Anca + Ancβ' (Ancβ in which IF2 surface substitutions are reverted to their ancestral state in

1125 Ancaβ, thus preventing tetramerization). Each dot shows the mean of three replicates; error

1126 bars, standard error of measurement. (B) Affinity of monomer-to-heterodimer assembly

1127 measured by nMS immediately upon mixing of Anca and Ancβ. Anca was kept constant at 50

1128 μM , while the concentration of Ancβ varied. Points, fraction of all subunits in the mixture that are

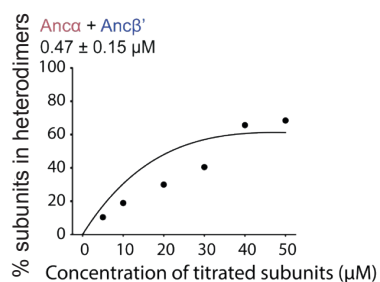
1129 incorporated into heterodimers. Line, best-fit binding curve. Estimated K_d and 95% confidence

1130 interval are shown. (C) Estimated heterodimerization affinity measured as in panel B, but 1 hour

1131 after mixing.

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1137 **Fig. S5. Heterodimerization by Anca+Ancβ'.** Monomer-to-heterodimer assembly measured

1138 by nMS. Anca was kept constant at 50 µM while Ancβ' was at variable concentration. Points,

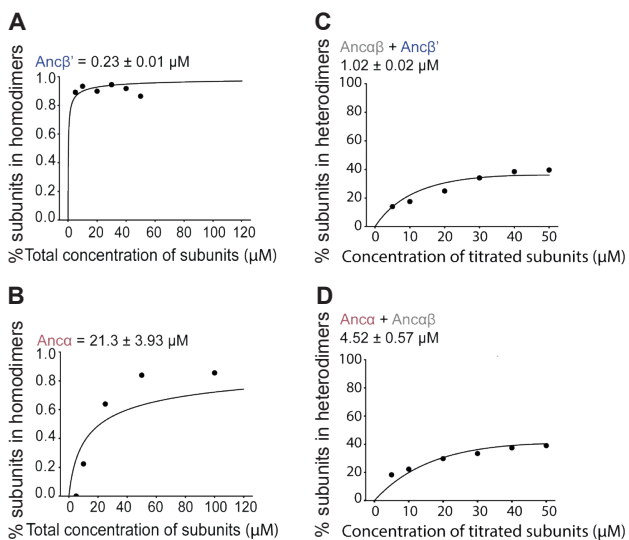
1139 fraction of all subunits in the mixture that are incorporated into heterodimers at each

1140 concentration. Line, best-fit binding curve. Estimated Kd and 95% confidence interval are

1141 shown.

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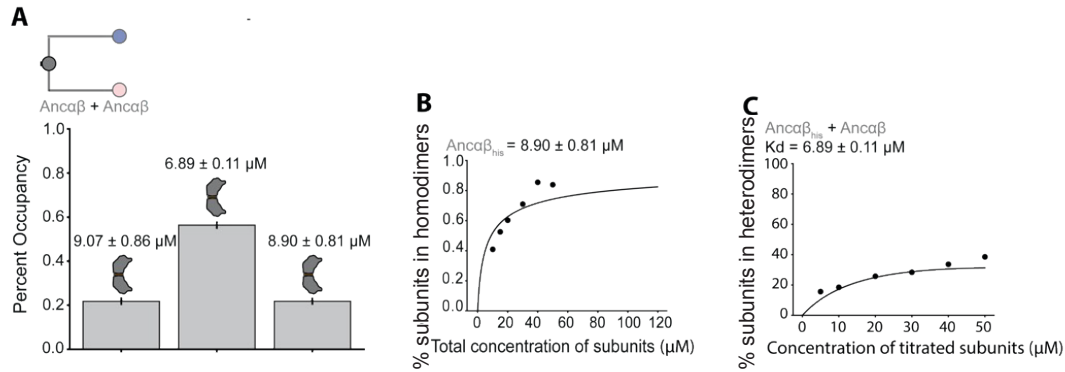


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1145 **Fig. S6. Dimerization by Anca and Anc β'** (A-B) Homodimerization by Anc β' (panel A) and by
1146 Anca (B). measured by nMS across a titration series. Each point shows the fraction of subunits
1147 incorporated into dimers as the concentration of protein varied. Best-fit binding curve, K_d , and
1148 95% confidence interval are shown. (C-D) Heterodimerization by mixtures of Anca $\beta + Anc\beta'$ (C)
1149 and Anca $\beta + Anca$ and Anca + Anca β (D). Each point shows the fraction of all subunits
1150 incorporated into heterodimers. In each case, one protein was held constant at 50 mM while the
1151 other was varied.

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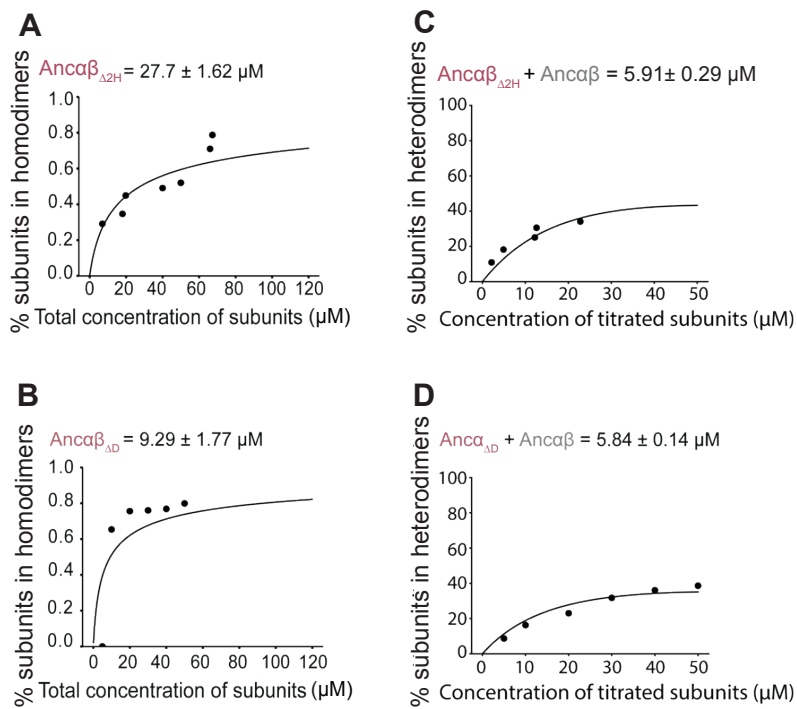


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1156 **Fig. S7. Dimerization affinities and occupancy of Ancaβ.** (A) Expected fractional
1157 occupancies of homodimer and heterodimers when Ancaβ is mixed at equal concentrations with
1158 Ancaβ_{his} (500 nM each), given the measured dimerization affinities (shown above each column,
1159 with 95% confidence interval). Ancaβ_{his} is Ancaβ with an N-terminal polyhistidine tag, which
1160 allows the masses of the three kinds of dimer to be distinguished. (B-C) Homodimerization by
1161 Ancaβ_{his} and heterodimerization by affinity of Ancaβ+ Ancaβ_{his}, measured and represented as in
1162 Fig. S5.

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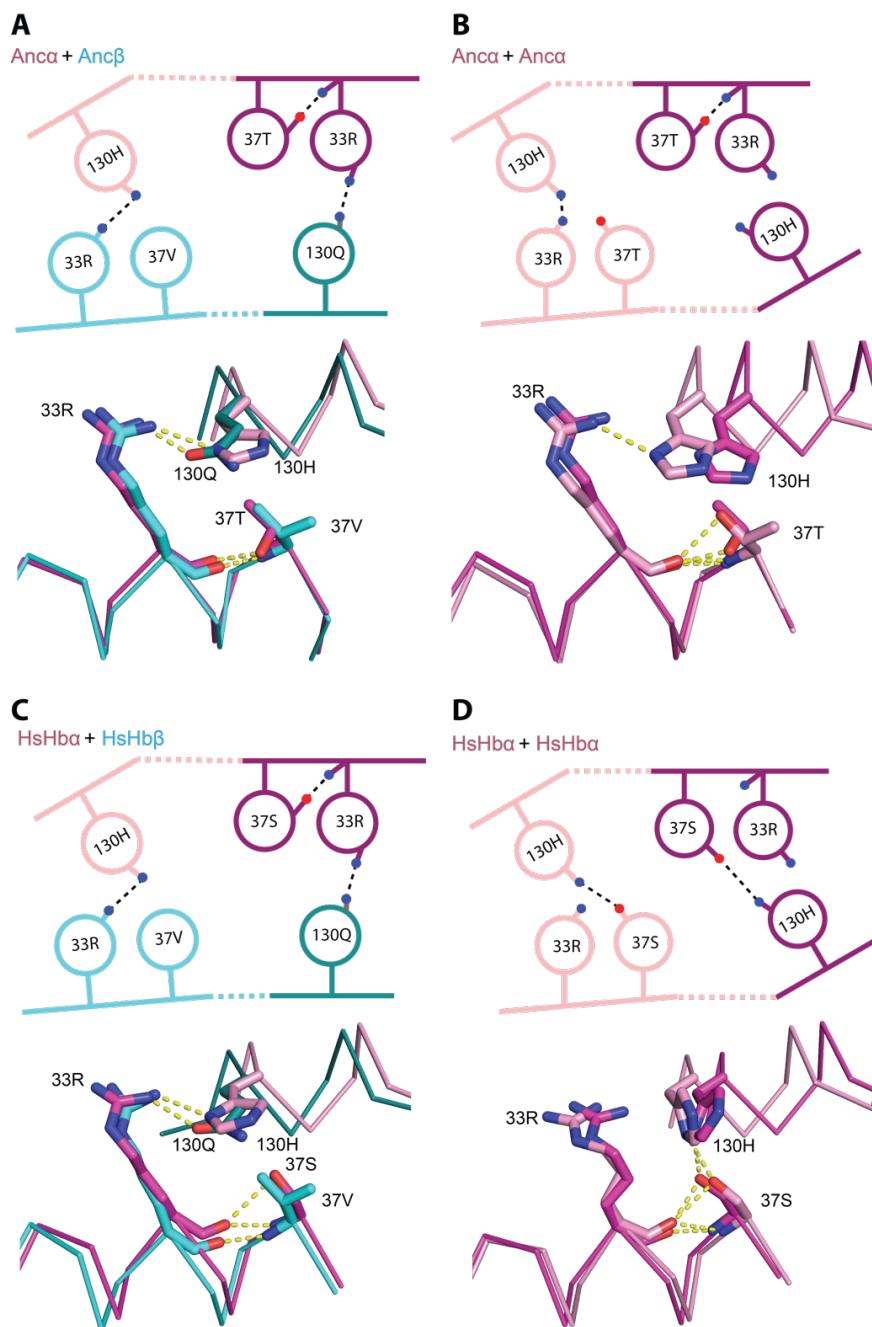
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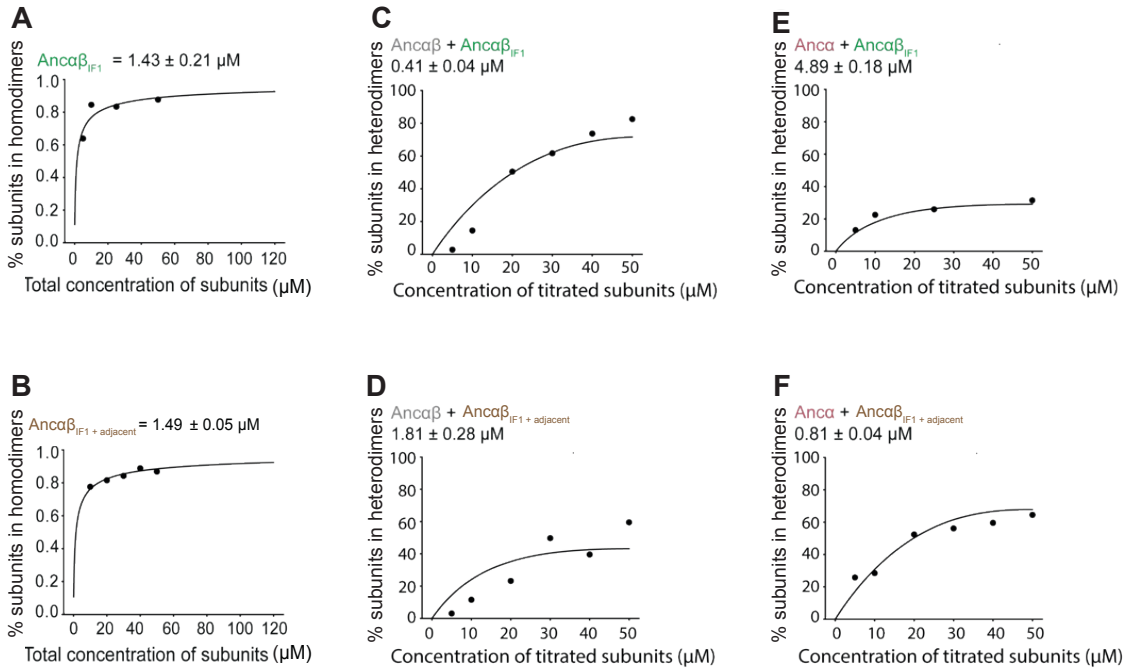
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Fig. S8. Effect of historical deletions on dimerization. (A-B) Homodimerization and (C-D) Heterodimerization by mixtures, measured and represented as in Fig. S5.



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 1170 **Fig. S9. Nonadditive interactions that contribute to specificity are conserved in derived Hb**
 1171 **complexes.** In the modeled homodimers and heterodimers of Anca+Anc β (panels A, B) and X-ray crystal
 1172 structure of human hemoglobin (PDB 4HHB and 3S48), the figure shows the key IF1 residues with
 1173 nonadditive interactions in Anca β +Anca $\beta_{\Delta H2}$ (see Fig. 5G for comparison). Top, cartoon of key contacts.
 1174 The two iterations of these interactions across the isologous interface are shown, one each in light or dark
 1175 hue. Blue and red, nitrogen and oxygen atoms, respectively. Dotted lines, hydrogen bonds. Bottom,
 1176 structural alignment of the two iterations of the isologous interface in each dimer. Each dimer structure
 1177 was duplicated exactly and then aligned to the original by targeting one subunit of the copy to align to the
 1178 other subunit of the original. Hues correspond to the isologous iterations in the cartoon above

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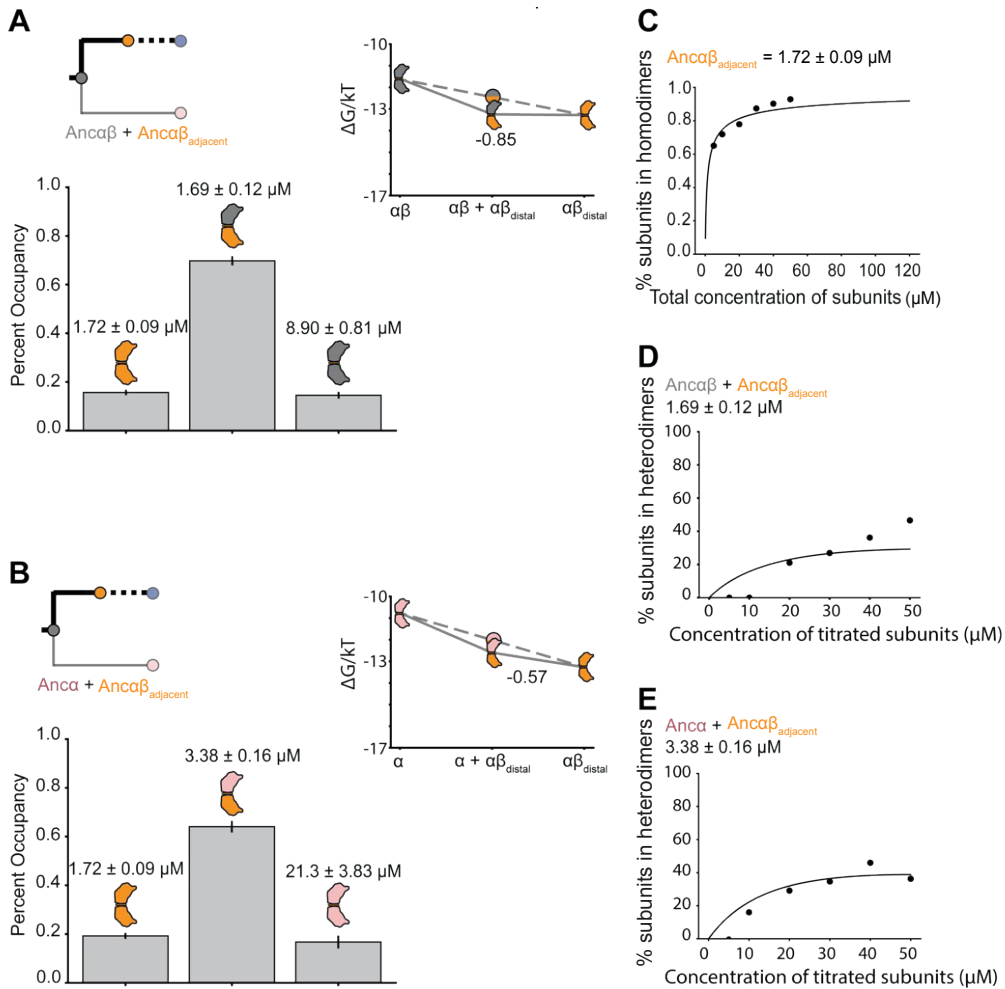
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1182 **Fig. S10. Homodimerization by $Anca\beta_{IF1}$ and $Anca\beta_{IF1 + Adjacent}$ (A,B) and heterodimerization by**
1183 **those proteins when mixed with $Anca\beta$ (C,D) or $Anca$ (E,F). Measurements and representation**
1184 **as in Fig. S5.**

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1189 **Fig. S11. Dimerization affinity and occupancies for $Anca\beta_{Adjacent}$.** Expected fractional
 1190 occupancies of homodimer and heterodimers when $Anca\beta_{Adjacent}$ is mixed with $Anca\beta$ (A) or
 1191 $Anca$ (B), each at (500 mM), given the measured dimerization affinities (shown above each
 1192 column, with 95% confidence interval). Inset, ΔG of each dimerization (measured in units of kT),
 1193 with ΔG_{spec} of the heterodimer shown. (C,D,E) Measurement of binding affinities, measured and
 1194 represented as in Fig. S5.

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