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# Inflammation and lymphocyte infiltration are associated with shorter survival in patients with high-grade glioma

Eliana Marinari<sup>a,b\*</sup>, Mathilde Allard<sup>a,b\*</sup>, Robin Gustave<sup>a,b</sup>, Valérie Widmer<sup>a,b</sup>, Géraldine Philippin<sup>a,b</sup>, Doron Merkler<sup>c</sup>, Petros Tsantoulis D<sup>b,d</sup>, Valérie Dutoit<sup>a,b§</sup>, and Pierre-Yves Dietrich<sup>b,d§</sup>

<sup>a</sup>Laboratory of Tumor Immunology, Faculty of Medicine, University of Geneva, Geneva, Switzerland; <sup>b</sup>Center for Translational Research in Onco-Hematology, Division of Oncology, Geneva University Hospitals and University of Geneva, Geneva, Switzerland; Department of Pathology and Immunology, Division of Clinical Pathology, Faculty of Medicine, University of Geneva, Geneva, Switzerland; <sup>d</sup>Oncology Service, Geneva University Hospitals, Geneva, Switzerland

#### ABSTRACT

Glioma represents a serious health burden in terms of morbidity and mortality. The prognostic significance of the lymphoid and myeloid infiltrates in glioma is not clearly determined. Moreover, the characterization of different leukocyte subsets in the tumor microenvironment relies mainly on immunohistochemistry observations, and data about their association with prognosis are contradictory. Here, we performed acomprehensive study of both the tumor-infiltrating and circulating immune compartments of patients with high-grade glioma. Nineteen tumor biopsies and 30 PBMC samples were analyzed by RNA sequencing. Validation was performed on The Cancer Genome Atlas (TCGA) RNA sequencing data from glioma and on additional 39 tumor biopsies analyzed by flow cytometry. We identified prognostic tumor and peripheral immune signatures, which associate increased inflammation, immune infiltration and activation with shorter overall survival in high-grade glioma patients. Importantly, we confirmed our observations by flow cytometry analysis and validated the tumor-signature using the TCGA dataset. In addition, both tumor genotype and grade associated with the degree of glioma immune infiltration. Unlike in the majority of cancers, lymphocyte infiltration at the tumor site is anegative prognostic factor in glioma, suggesting the ambivalent pro-tumorigenic role of immune responses in glioma.

#### **ARTICLE HISTORY**

Received 3 December 2019 Revised 12 May 2020 Accepted 3 June 2020

#### **KEYWORDS**

Glioma; prognostic gene signature; immune; microenvironment; lymphocyte

# Introduction

Glioma represents a serious health burden in terms of morbidity and mortality. Patients with high-grade glioma (WHO grade III and IV tumors) have a very poor prognosis, the median survival (interquartile range) for grade IV glioma being 16.0 (9.3-27.5) months.<sup>1,2</sup> The lack of a robust and effective antitumor immune response, possibly due to the presence of an immunosuppressive microenvironment, may contribute to the clinical aggressiveness of the disease. In particular, the composition and activity of the immune infiltrate at the tumor site may yield information relevant to prognosis. The tumor microenvironment is a spatially organized landscape, and the relative abundance of different immune cell types has been associated with prognosis in different cancers.<sup>3</sup>

Macrophages are a major component of the tumor microenvironment and are often classified as M1 and M2 polarized macrophages. M1-like macrophages produce mainly proinflammatory factors, such as TNF-alpha, and are associated with acute inflammation; M2-like macrophages are associated with chronic inflammation and produce pro-angiogenic and cell growth-promoting factors such as IL-6 and IL-1<sup>3</sup>. M2-like macrophages are generally associated with poor prognosis in several cancers, such as melanoma, breast, bladder, ovarian and

gastric cancer.<sup>3</sup> In glioma, tumor-associated macrophages (TAMs) comprise two populations: brain-resident microglial cells and macrophages that differentiate from bone marrowderived monocytes and migrate to the brain upon inflammation.<sup>4</sup> Single-cell RNA profiling showed a significant increase in glioblastoma (GBM) versus lower-grade glioma in blood-derived (but not microglial) TAMs, characterized by coexpression of both M1 and M2 -like genes.<sup>5</sup> Another study demonstrated a correlation between survival and degree of microglial cell infiltration in tissues from GBM patients using immunohistochemistry (IHC) staining, and suggested as well M1/M2 polarization of glioma-associated mixed macrophages.<sup>6</sup> The prognostic relevance of TAMs in highgrade glioma was shown to be positive, negative or absent in different studies,<sup>7</sup> but, generally, M2-like markers were positively associated with grade of malignancy and with poor survival in glioma.7-9

Other cell types, such as myeloid-derived suppressor cells (MDSCs), have been described predominantly in the invasive margins of glioma samples, and MDSCs presence was shown to correlate with an unfavorable outcome.<sup>3</sup> Recent studies in glioma patients<sup>10-12</sup> have characterized gene signatures related to single immune markers and reported a correlation between myeloid marker expression and poor prognosis in GBM;

CONTACT Pierre-Yves Dietrich 🖾 Pierre-Yves.Dietrich@hcuge.ch 💽 Department of Oncology, Geneva University Hospitals, Geneva, 1211, Switzerland \*Equal contribution

<sup>§</sup>these authors share senior authorship

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however myeloid cell marker expression did not correlate with grade in glioma.<sup>13</sup>

Typically, the presence of T-lymphocyte subsets correlates with a favorable prognosis in several cancers.<sup>3</sup> Furthermore, a high density of CD3<sup>+</sup> T cells in the tumor microenvironment, often associated with the presence of CD8<sup>+</sup> T cells, correlates with progression-free survival in patients with ovarian cancer and is associated with a favorable prognosis in many cancers such as breast, melanoma, pancreatic and colorectal cancer.<sup>14</sup> However, this has not been shown for renal cell carcinoma.<sup>3</sup> In glioma, data on the prognostic value of tumor-infiltrating lymphocyte (TILs), performed mainly in GBM, are contradictory: lymphocytic infiltration was either associated with longer survival, or no correlation<sup>3,7</sup> was observed. Similarly, elevated numbers of effector T cells either correlated with longer survival in GBM,<sup>15</sup> or no association was found.<sup>16</sup> On the contrary, Zhai et al.<sup>17</sup> showed that infiltrating human T cells directly increase indoleamine 2,3-dioxygenase 1 (IDO1) expression in GBM, correlating with decreased survival. Furthermore, the prognostic value of regulatory T cells is inconsistent across different studies, and no association<sup>18,19</sup> or a negative correlation with prognosis<sup>16,19</sup> was described.

Finally, the characterization of different leukocyte subsets in the tumor microenvironment in glioma relies mainly on IHC observations, and data about their association with prognosis are contradictory.

Here, combining RNA sequencing (RNAseq) and flow cytometry profiling of patients biopsies, we identified a signature negatively associated with prognosis in gliomas, which exclusively depends on the immune contexture of tumors, especially lymphoid infiltrates. Importantly, the lymphocyte component is an independent predictor of patient outcome.

# Results

# A gene signature enriched in inflammatory and immune infiltrate markers is associated with poor prognosis in high-grade glioma patients

To understand how inter-tumor heterogeneity influences the prognosis of glioma patients, we analyzed a cohort of 19 highgrade glioma patients by collecting and profiling their biopsies before chemoradiation treatment (Supplementary Figure 1 and Supplementary Table 1). The samples were grouped based on median overall survival (OS): 1513 genes were differentially expressed between patients with OS above 24 months (good prognosis) and patients with shorter survival (poor prognosis). We then analyzed which pathways were enriched using DAVID Functional Annotation Clustering.<sup>20,21</sup> The most enriched cluster comprised immune response pathways (Cluster 1, Enrichment Score = 1.96, Supplementary File 1). Using GSEA,<sup>22</sup> we estimated which major subpopulations of immune cells were enriched in each of the two groups of patients, using previously defined sets of genes specific of 26 classes of immune subsets.<sup>23</sup> Poor prognosis patients had a greater immune infiltration, particularly lymphocyte infiltration (activated CD4 T cells) (Figure 1a). Similar results were obtained when checking the immunophenotype by plotting the immunophenogram<sup>23</sup> of each patient (Supplementary Figure 2).

The differential expression analysis showed 146 immune gene candidates that were significantly more expressed in patients with poor prognosis and that belonged to the following families: inflammation (TLRs, proinflammatory cytokines and receptors and associated signaling pathways), leukocyte recruitment (common leukocytes markers and chemokines ligands and receptors), antigen presentation (MHC and antigen processing), myeloid cells (neutrophils, monocytes, macrophages and dendritic cells specific markers), lymphoid cells (T, B lymphocyte and NK cell specific markers) and vessel coagu-(endothelial and hemodynamic lation markers) (Supplementary File 2). We then defined a gene signature by selecting the top candidates of our differential expression analysis (Figure 1b). This signature encompasses genes implicated in the signaling and production of the proinflammatory cytokines IL-18 (IL18RAP and IL18R1) and IL-1 (GBP5 and IL1R2) and of type I and II interferons (IRF4 and ZBP1), but also in the adhesion (AMICA1 and SLAMF7) and homing of both myeloid (CCL13, CXCR2 and CCR2) and lymphoid cells (CXCL9 and CXCL10). It also includes various subset-specific genes attesting the diversity of the immune infiltrate, comprising neutrophils (FCGR3B), monocyte-derived myeloid cells (CD209, CLEC12A and CLEC4E), but also B (MZB1, CD79A, JCHAIN, FCER2, NUGGC and IKZF3) and cytotoxic (ZNF683, ITK, LAX1, ICOS, TNFRSF9, HSH2D, CD27 and CD3G) lymphocytes, as well as genes associated with both pro- (IDO1) and anti- (GZMA) tumoral immune function. The genes in the signature were grouped into four components (inflammation, leukocyte, lymphocyte and myeloid) on the basis of their ontology and function (Figure 1b). Importantly, the expression of representative myeloid markers significantly correlated with the expression of both T (Figure 1(c) and B (Figure 1d) lymphocyte markers, suggesting both myeloid and lymphoid subsets are enriched in the tumor infiltrate of poor prognosis patients.

# The immune signature correlates with specific genomic and clinical features in glioma and the lymphocyte component is predictive of survival in glioma

To confirm our data, we used The Cancer Genome Atlas (TCGA) glioma dataset as a validation cohort (n = 669).<sup>24</sup> As in our patient cohort, the four components (inflammation, leukocyte, lymphocyte and myeloid) of our immune signature were highly correlated in the tumor infiltrate of each patient from the TCGA dataset (p < .001) (Figure 2a). We then analyzed the association of the signature with prognostic genotypes, such as IDH mutation and TERT expression, and biomarkers predicting treatment response, such as MGMT promoter methylation.<sup>25</sup> Absence of IDH mutations and expression of TERT were associated with high expression of the signature (Figure 2b). These results suggest that patients with these particular clinicopathologic features present a distinct tumor microenvironment, characterized by high inflammation and increased leukocyte infiltration, both of the lymphocyte and myeloid subsets. However, patients with IDH mutation had low signature values even when methylation of the MGMT promoter was present (Figure 2b). In addition, the signature was correlated with tumor grade (Figure 2c). Altogether, by combining genotype profiling and grade, we showed differential



**Figure 1. Immune gene families are enriched in poor prognosis patients**. (a) Plot showing the enrichment of immune cell types and immune signaling pathways in good prognosis patients (green, n = 10) and poor prognosis patients (orange, n = 9) calculated based on Normalized enrichment score (NES) from the GSEA and the  $-\log_{10}(p$ -value) for each of the families tested. (b) Heatmap showing the Z score of immune candidates differentially expressed (FDR $\leq 0.15$ ) between good prognosis (green bar) and poor prognosis (orange bar) patients, grouped by immune gene families. The waterfall graph shows the log<sub>2</sub> fold change in expression between good prognosis and poor prognosis patients for each gene. (c) and (d) Spearman correlation between log<sub>10</sub> (1 + average normalized counts per million) of T cell markers (*CD3E, CD3G, CD3D, CD274*) and myeloid markers (*IDO1, ITGAM*) (c), B cell markers (*BLK, CD19, CD79A, CD79B, FCER2, FCRLA, JCHAIN, MS4A1*) and myeloid markers (d).



Figure 2. Validation of the identified signature with the TCGA cohort. (a) Correlation matrix (Spearman r) between average gene expression of the four immune signature components (all correlations, p < .001). (b) and (c) heatmaps showing the Z score of the signature gene expression according to the genotype (b) and grade (c). (d) Survival curves from patients with high and low expression of the signature; p < .001, Log Rank test (Mantel-Cox). Black curve: median survival = 25.9 months, gray: median survival = 93.2 months. The Hazard ratio (HR) between high and low expression of the signature was 3.05 (95% Cl, 2.318 to 4.013, p < .001).

expression of the signature according to distinct clinicopathologic features (Supplementary Figure 3), which reflects important differences in the immune microenvironment and could help explain tumor heterogeneity in glioma.

In order to explore the prognostic value of the components (inflammation, leukocyte, lymphocyte and myeloid) of our immune signature, Cox regression analysis was carried out for each component, together with *IDH* mutation, *TERT* expression status, and grade, previously shown to be prognostic molecular markers in glioma.<sup>25</sup> All parameters were significantly associated with patient survival in univariable analysis (Table 1). However, the multivariable regression model revealed that only the lymphocyte component was an independent predictor of survival in glioma when *IDH* status, grade and *TERT* status were considered (HR = 1.581, p = .004, Table 1).

TCGA samples were classified based on the lymphocyte component of the signature with a median cutoff. Patients with high expression of the lymphocyte signature had shorter survival; on the opposite, patients with low expression of the signature had longer survival, and the difference in survival between the groups was significant, (p < .001, Log-rank Mantel-Cox test, Figure 2d), suggesting that high expression of lymphocyte genes is associated with poor prognosis in glioma patients. The expression of the lymphocyte signature correlated with distinct clinicopathologic features in glioma (Supplementary Table 4). In addition, we observed similar results when comparing survival in stratified cohorts according to *IDH* status (HR = 2.223, p < .0001 in *IDH* wildtype cohort; HR = 1.608, p < .05 in *IDH* mutant cohort).

In conclusion, the lymphocyte component of the signature is associated with unfavorable prognosis, even when known predictors of survival are taken into account.

# Flow cytometry analysis confirms that immune cell infiltration is enriched in poor prognosis glioma patients

We used flow-cytometry analysis of tumor-infiltrating leukocytes to confirm our results in a cohort of 39 patients with

Table 1. Univariable and multivariable cox regression model in TCGA. Univariable and multivariable cox regression analysis of average expression score of distinct immune families on patient survival, grade, *IDH* mutation and *TERT* expression status.

	Univariable cox		Multivariable cox	
Variable	HR	p-value	HR	p-value
IDH status	0.100	<.001	0.257	<.001
Mutant vs WT				
Grade	4.723	<.001	2.184	<.001
TERT status	2.419	<.001	1.558	.008
Expressed vs Not Expressed				
Lymphocyte component	1.971	<.001	1.581	.004
Mean expression lymphocyte genes				
Myeloid component	1.560	<.001	1.046	.668
Mean expression myeloid genes				
Inflammation component	1.542	<.001	0.875	.242
Mean expression inflammation genes				
Leukocyte component	1.647	<.001	0.895	.422
Mean expression leukocyte genes				

grade II, III and IV glioma (median OS: 24 months) (Supplementary Figure 4 and 5). Immune cell marker expression correlated with cell counts measured by flow cytometry in matching samples from the same patient (Supplementary Figure 6).

According to previous reports, we found that patients with poor survival have a statistically significant (p = .01) increase in the number of myeloid cells infiltrating the tumor (Figure 3a). In addition, we observed a significant enrichment of lymphoid subsets generally involved in anti-tumor immune responses, such as  $CD8^+$  (Figure 3b, p = .02) and  $CD4^+$  helper (Figure 3c, p = .002) T cells and NK cells (Figure 3f, p = .02) in poor prognosis patients. No difference in T regulatory cell counts was observed (Figure 3d). Moreover, activated (CD69<sup>+</sup>) T (Figure 3g, p = .004), B (Figure 3h, p = .001) and NK (Figure 3i, p = .003) cells were also significantly enriched in tumor biopsies of patients with poor OS. Interestingly, statistically significant associations were also observed between the aforementioned lymphoid cell subsets and tumor grade (Supplementary Figure 7). Finally, as in our gene-expression data, we observed highly significant correlations between the intra-tumoral cell counts of the myeloid and main (T, B or NK cells) lymphoid subset (Figure 3j-o, p < .0001). Overall, these data demonstrate that in glioma patients, both myeloid and lymphoid infiltrates increase with tumor grade and are significantly associated with shorter OS.

# Inflammation and immune markers are enriched in peripheral immune cells of poor prognosis patients in high-grade glioma

In order to investigate whether an informative profile could be detected in the peripheral immune compartment, we analyzed PBMCs obtained from 30 patients with high-grade tumors using RNAseq. Samples were classified based on a median OS cutoff (median OS: 21 months) to investigate the genes differentially expressed between patients with poor and good prognosis. As for tumor biopsies, we estimated which pathways were enriched in the two groups of patients, using previously defined sets of genes specific of 26 different subpopulations of immune subsets<sup>23</sup> as well as selected hallmark gene sets.<sup>22</sup> GSEA<sup>22</sup> showed an increased inflammatory response in poor

prognosis patients: IL-6 signaling was significantly enriched in poor prognosis patients (Figure 4a); GSEA also suggested enhanced vascular remodeling with increased TGF-beta signaling, angiogenesis, coagulation and hypoxia in poor prognosis patients. Finally, both innate (complement) and adaptive (IL2/ STAT5 signaling) responses were also upregulated in poor prognosis patients.

This differential expression analysis showed candidates belonging to similar gene families as the signature obtained from the tumor biopsies: inflammation, leukocyte recruitment, myeloid cells, and coagulation, which were more expressed in patients with a worse prognosis. The top candidates of our analysis (Figure 4b) included master proinflammatory cytokines (IL6 and IL1B) and associated signaling pathways (IL1R2, NR4A3, NLRP3, and RIPK2) and targets (PTGES, NAMPT, CTH, BCL2A1, SOCS1 and TSC22D3). In addition, we found several chemokines (CCL20, CXCL3, CXCL2, CXCL8 and CXCL1) or receptor (CCRL2) mostly implicated in the attraction and migration of myeloid cells (i.e. dendritic cells, neutrophils, monocytes/macrophages and MDSCs), as well as various genes associated with myeloid cell development (LRG1 and MAFB) or responses to inflammation and danger signals (IRG1, CH25 H, AREG, TNFAIP6, MAOA, GPR84, VSIG4, FFAR2 and FCAR). Finally, several genes associated with coagulation (F3, SERPINB2, and THBD), hypoxia response and vascular remodeling (EREG, THBS1, FLT1, ADM, HIF1A) were also more expressed within poor prognosis patients. Altogether, the results in the peripheral immune cells are consistent with the tumor microenvironment signature associating increased inflammation and immune activation markers in patients with a worse prognosis. We also observed an enrichment of MDSCs in the PBMC of patients with poor prognosis (Figure 4c). However, we did not see an enrichment in the lymphocyte compartment as seen in the tumor, and this was confirmed by flow cytometry analysis of circulating, B, T, CD4 and CD8 T cell subsets (not shown).

To further confirm our results, ELISA analysis of plasmatic IL-1 $\beta$ , IL-6, CCL20 and CXCL3 were conducted in a cohort of 40 patients with grade II, III and IV glioma (median OS: 24 months). IL-1 $\beta$  and CCL20 levels where too low to allow proper detection. A statistically significant association was observed between plasmatic CXCL3 protein levels and patient prognosis (Figure 4d), as well as tumor grade (Supplementary Figure 7 J), but it was not the case for IL-6.

#### Discussion

In our study, we profiled the transcriptome of patients with high-grade glioma to explore the differences in the tumor and the peripheral immune compartment of patients with different outcomes. We identified a prognostic gene expression signature, which is associated with lower survival in high-grade patients and is based exclusively on immune genes. Importantly, we validated our cell infiltration estimates from the transcriptome analysis by flow cytometry analysis.

Our tumor-derived signature suggests that poor prognosis patients present a more infiltrated, pro-inflammatory microenvironment, characterized by expression of both lymphoid markers including effector molecules such as granzymes, as



Figure 3. Flow cytometry analysis of glioma leukocyte infiltration according to patient prognosis. Quantitative analysis of myeloid cells (a), CD8 T cells (b), CD4 T cells (c), regulatory T cells (d), B cells (e), NK cells (f), CD69-positive T cells (g), CD69-positive B cells (h) and CD69-positive NK cells (i) in patients with poor (mOS < 24 months) and good (mOS  $\ge$  24 months) prognosis. Data are expressed as counts per million of total cells and depicted as box (25th to 75th percentiles) and whisker (10th to 90th percentiles) with the middle line representing the median. Samples for which a specific cell subset was not detected are depicted as "no count". Correlations between myeloid (j-l), T (j, mand n), B (k, mand o) and NK (l, nand o) cell counts per million. Numbers of glioma samples (n), as well as Mann-Whitney test and Spearman correlation ( $\alpha = 0.05$ )-derived p values are indicated in the graph.



**Figure 4. Immune and inflammation pathways are enriched in peripheral immune cells of poor prognosis patients**. (a) Plot showing the enrichment of immune cell types and immune signaling pathways in good prognosis patients (green, n = 16) and poor prognosis patients (orange, n = 14) calculated based on Normalized enrichment score (NES) from the GSEA and the  $-\log_{10}(p$ -value) for each of the families tested. (b) Heatmap showing the Z score of immune candidates differentially expressed (FDR≤0.1) between good prognosis (green bar) and poor prognosis (orange bar) patients, grouped by immune gene families. The waterfall graph shows the log2 fold change in expression between good prognosis and poor prognosis patients for each gene. (c) Percentage of MDSCs in PBMCs of patients with poor (mOS < 21 months) and good (mOS ≥ 21 months) prognosis. Data are expressed as %lin-HLA-DR-CD3+ CD11b+CD14+ cells and depicted as box (25th to 75th percentiles) and whisker (10th to 90th percentiles) with the middle line representing the median. Numbers of glioma samples (n), as well as Mann-Whitney test-derived *p* value are expressed as pg per g of total plasmatic proteins and depicted as box (25th to 75th percentiles) and whisker (10th to 90th percentiles) with the middle line representing the median. Numbers of glioma samples (n), as well as Mann-Whitney test-derived *p* value are expressed as pg per g of total plasmatic proteins and depicted as box (25th to 75th percentiles) and whisker (10th to 90th percentiles) with the middle line representing the median. Numbers of glioma samples (n), as well as Mann-Whitney test-derived *p* values are indicated in the graph.

well as immunosuppressive factors such as IDO1 associated with pro-tumoral myeloid cells.<sup>26</sup> Interestingly, lymphoid cells (T, B, and NK lymphocyte-specific markers), whose presence in the tumor microenvironment correlates with a favorable prognosis in several cancers,<sup>3</sup> are enriched in glioma tumors with poor prognosis, as previously described in renal cell carcinoma.<sup>3</sup> This is in contrast to what has been shown in several studies in glioma,<sup>7</sup> although rare reports suggested a possible association between some immunological parameters and bad prognosis.<sup>17,27</sup>

The expression of lymphocyte-related genes was an independent predictor of survival in glioma patients, in a multivariable regression model including grade, *IDH* mutation, and *TERT* expression status. Lymphocytic infiltration increased with grade, together with the expression of myeloidrelated genes. Hence, GBM presented a more intense immune response than grade II and III tumors, in agreement with previous findings from Chen et al.<sup>28</sup>

Our observations about the association between the genotypes and immune phenotypes suggest that the microenvironment is strongly influenced by the genomic profile of the tumor. Several studies have previously characterized the association of the immune composition of gliomas with distinct transcriptional subtypes, showing that the mesenchymal GBM subtype presented an enrichment of inflammation and immune response-related gene expression,<sup>11,29</sup> in particular of tumor-associated glial and microglia cells.<sup>30,31</sup> In addition, other molecular alterations have important clinical implications for glioma prognosis. IDH1/2 status has been well established as a major molecular prognostic marker in gliomas, together with TERT expression.25 Previous studies showed that IDH mutated samples had a lower macrophage<sup>12,30,32</sup> and CD4<sup>+</sup> T-cell component, <sup>33-36</sup> consistent with our findings that patients with IDH mutated tumors exhibit a lower inflammatory and immune response-related gene expression. Moreover, in the present study, we showed that expression of TERT was associated with higher immune infiltration and high expression of the lymphocyte signature, which constituted an independent prognostic factor and could refine the classification of glioma and its association with prognosis. On the other hand, MGMT promoter methylation<sup>37</sup> per se did not correlate with signature expression, suggesting that it is a factor predictive to treatment response rather than a prognosis factor.

Our data suggest that the intra-tumoral lymphocyte infiltrate predicts survival of patients with glioma. However, tumor biopsies are not always available. Furthermore, as GBM tends to be a heterogeneous cancer, relying on a sample from a single location has limitations. Thus, blood- and serum-based biomarkers represent attractive candidates due to ease of access and relative lack of invasiveness to the patient. Using PBMCs, we identified an immune gene expression signature that was associated with glioma prognosis. Similar to the tumor-derived signature, patients with shorter survival showed a peripheral increase in expression of markers of inflammation, immune and vascular activation, yet with higher activation of the myeloid compartment and a detectable increase in circulating MDSC. Leukocyte markers were enriched in worse prognosis patients, without an increase of specific lymphocyte genes as seen in the tumor.

Interestingly, this peripheral signature encompasses several soluble factors (IL-6, Il-1beta, CCL20, CXCL3, CXCL2, CXCL8, and CXCL1) that could be measured in the serum for patient monitoring. Accordingly, increases in IL-6, CXCL8 peripheral expression,<sup>38</sup> and IL-6 serum concentration<sup>39</sup> have already been reported in glioma patients compared with healthy donors. Furthermore, a previous study reported an association between the OS of glioma patients and the serum concentration of IL-6.40 Our study highlights a statistically significant association between CXCL3 plasmatic levels and patient prognosis, as well as tumor grade. Besides seric markers, increased expression of monocytes chemo-attractant proteins, as well as genes implicated in myeloid cell development and activation, suggests that considerable changes occur within the peripheral myeloid compartment. This observation is consistent with previous studies describing the prognostic value of elevated circulating neutrophils<sup>41-44</sup> and MDSCs<sup>45-48</sup> in glioma patients. Whether such systemic changes reflect variable levels of immune cell tropism and sequestration in the bone marrow, as recently described,<sup>49</sup> was not possible to explore in this study in the absence of bone marrow samples.

In conclusion, our study associated increased inflammation, immune infiltration and immune activation with unfavorable prognosis and higher tumor grade in patients with glioma. Our data identified a prognostic signature associated with shorter survival, which comprises lymphocyte-specific genes in the tumor infiltrate. These findings strengthen the concept that the immune environment supports tumor progression in glioma. Indeed, previous studies have shown that inflammatory cytokines (IL-1β, IL-6, and IL-8) promote glioma carcinogenesis and/or progression through activation of proliferative mechanisms and signaling pathways involved in migration, invasiveness and angiogenesis.<sup>50</sup> In addition, analysis of tumor samples collected after CAR T cell therapies showed dramatic adaptive immune changes favoring tumor escape, and confirming that immune response is a delicate dynamic balance promoting pro- and anti-tumor effects.<sup>51</sup> Together, these data show that targeting the glioma inflammatory environment will be critical to reach therapeutic benefit. Considering the limited impact of each individual strategy, combining different immunotherapeutic approaches will certainly be required. Indeed, vaccines are not yet practice changing<sup>52,53</sup> and the potential of immune checkpoint inhibitors alone is debated. Recent studies showed immunomodulation of the tumor microenvironment by anti-PD1 treatment,<sup>54,55</sup> although these results were questioned by another report demonstrating that anti-PD1-responding patients displayed higher levels of immunosuppressionrelated genes following immunotherapy.<sup>56</sup> In this regard, our study shows the importance of understanding the immune microenvironment of patients before therapeutic intervention, and encourages the development of noninvasive dynamic imaging tools to capture the status of the tumor immune microenvironment and help design prognostic models in cancer patients receiving immunotherapies.

# **Materials and methods**

# **Patient samples**

Tumor biopsies and peripheral blood samples were collected from grade II-IV glioma patients at the time of surgery before chemoradiation. Tumor biopsies were snap-frozen for RNAseq, or mechanically and enzymatically digested (collagenase IA, Sigma-Aldrich) and cryopreserved in 10% DMSO (AppliChem) for flow cytometry and stored in liquid nitrogen until further use. Peripheral blood mononuclear cells (PBMCs) were isolated on a Lymphoprep (Axis-Shield) density gradient, cryopreserved in 10% DMSO and stored in liquid nitrogen until further use. Plasma samples were isolated on a Lymphoprep (Axis-Shield) density gradient and stored at -80°C until further use. Biological sample and health-related data collection was approved by the Ethics Committee of the state of Geneva. All participating patients provided signed informed consent. The study was conducted in accordance with the 1975 Declaration of Helsinki. Patient clinical characteristics are summarized in Supplementary Table 1 (Tumor Biopsies used for RNAseq), Supplementary Table 2 (Tumor biopsies used for flow cytometry analysis), Supplementary Table 3 (PBMCs samples used for RNAseq) and Supplementary Table 5 (Plasma samples used for ELISA). Whenever possible, matching samples were used from the same patients for different analyses (Supplementary Figure 1A).

## **RNAseq gene expression analysis**

RNA was extracted using the RNeasy Plus MiniKit (Qiagen). RNA-seq (TruSeq Stranded mRNA protocol, Illumina) was performed in our patient cohorts (n = 19 tumor biopsies, and n = 30PBMC samples). Reads (100 bp) were mapped using TopHat.<sup>57</sup> Biological quality control and summarization were done with PicardTools v1.80. Counts (total number of reads aligning to each genomic feature) were generated by HTSeq v.0.6.1 (http:// www.huber.embl.de/users/anders/HTSeq/). MDS analysis was also used to check the quality of the samples. The normalization and differential expression analysis were performed with the R/ Bioconductor package edgeR v.3.4.2.58 The data were filtered and normalized by the library size and gene length, differentially expressed genes were estimated using the GLM approach (Generalized Linear Model). TCGA glioma patient data (https://www.cancer.gov/tcga), downloaded from GlioVis (http://gliovis.bioinfo.cnio.es/), were analyzed as a validation cohort. Heatmaps were generated through Morpheus (https:// software.broadinstitute.org/morpheus). Waterfalls were generated with R version 3.3.2 (https://www.R-project.org/). The signature value was calculated as the average expression of the genes in each component. Maximally selected rank statistics was used to determine the optimal cutoff for survival analysis of continuous variables, as provided in the 'survminer' package.

Statistical analysis was performed using SPSS software, version 25.0. The differences in survival between groups were calculated by the log-rank test. Baseline TCGA patient characteristics were compared using the Chi-square test for categorical variables and Mann-Whitney U test for continuous variables. All statistical tests were two-tailed at an  $\alpha$  of 0.05.

# GSEA analysis and immunophenoscore

Gene set enrichment analysis (GSEA) was performed as previously described.<sup>22</sup> The following gene sets were tested: i) selected gene sets (Supplementary file 3) from the Molecular Signatures Database (MSigDB) hallmark gene set collection<sup>59</sup> and ii) subsets of genes representative for specific immune cell types,<sup>23</sup> and filtered by size after being restricted to the specific dataset (minimum size = 15, maximum = 500). The immunophenoscore (IPS) was calculated based on the sum of the weighted averaged Z score and an immunophenogram was constructed for each patient, as previously described.<sup>23</sup>

# Flow cytometry analysis

Thawed digested tumor samples (n = 39) were washed, Fcblocked (BioLegend), split into two and stained for 15 min at RT in PBS 0.05%NaN<sub>3</sub> with mouse anti-human BV711 anti-CD69 (BD Horizon), or with the corresponding isotype. Samples were washed with PBS 0.05%NaN<sub>3</sub> and stained for 15 min at RT in PBS 0.05%NaN3 with a second panel of antibodies: BB515 anti-CD3, BV510 anti CD4, BUV737 anti-CD19, BV421 anti-CD45, A647 anti-CD127 (BD Horizon), PECy5.5 anti-CD8 (BD Pharmingen), PECy7 anti-CD11b (Beckman Coulter), BB700 anti-CD16/56 (BD OptiBuild) and PE anti-CD25 (BD Biosciences). Finally, samples were washed, stained with DRAQ7 (BD Pharmingen) and acquired on a LSRFortessa (BD Biosciences) analyzer. Leukocyte subset counts and statistics were analyzed using FlowJo (v.10.4.2) and Prism (v7.02) software by Mann-Whitney (2 groups) or Kruskal-Wallis (3 groups) tests. The associated p-values and sample sizes are indicated throughout. The number of total cells per sample ranged from 53'482 to 13'900'000 (median 3'630'000). Samples with fewer than 50'000 cells were not considered. The number of CD45<sup>+</sup> leukocytes per sample ranged from 372 to 231'411 (median 6823).

PBMCs were similarly stained in two different panels with BV421 anti-CD45, PE anti-CD3, PE-CF594 anti-CD4, FITC anti-CD19 (BD Pharmingen) and PE-Cy7 anti-CD11b (Beckman Coulter) or APC anti-CD16, PerCPCy5.5 anti-HLA-DR, PE-Cy7 anti-CD11b and FITC anti-CD14 (BD Pharmingen), APC anti-CD3, APC anti-CD19, APC anti-CD56 and APC-A750 anti-CD33 (Beckman Coulter).

# **ELISA analysis**

IL-1 $\beta$ , IL-6, CCL20 (MIP3A), CXCL3 protein were measured in thawed plasma samples using the corresponding Human ELISA Kits (Abcam) and according to the manufacturer's instructions. Levels were normalized to total plasma protein as assessed using the BCA Protein Assay Kit (Thermo Scientific), and statistics were analyzed using Prism (v7.02) software by Mann-Whitney (2 groups) or Kruskal-Wallis (3 groups) tests. The associated *p*-values and sample sizes are indicated throughout.

# Authorship

Conception and design of the project: EM, MA, VD, PYD; analysis and interpretation of the data: EM, MA, PT, VD, PYD; generation and acquisition of data: EM, MA, VW, RG, GP; writing of the manuscript: EM, MA, VW, RG, GP, VD, PYD; revision of the manuscript: EM, MA, VW, RG, GP, DM, PT, VD, PYD.

#### **Acknowledgments**

We thank the core genomic facility of the University of Geneva (iGE3 platform support from Mylène Docquier, Didier Chollet and Natacha Civic) for advice and assistance and Carole Verdan for technical help. We thank Paul Walker and Erika Cosset for helpful comments and discussions

# **Disclosure of interest**

The authors report no conflict of interest

# Funding

This work was supported by Swiss National Science Foundation (Grant PMPDP3\_164482 to E.M.), Fondation Privée des Hôpitaux Universitaires de Genève, Fondation Lionel Perrier, Association Marietta, Association Frédéric Fellay and Fond'action (to P.Y.D.). The work of P.T. is funded by the "Ligue Genevoise contre le Cancer."FNS [PMPDP3\_164482].

# ORCID

Petros Tsantoulis D http://orcid.org/0000-0003-3613-6682

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