

REVIEW

Obesity paradox in cardiovascular disease: where do we stand?

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Abstract: Obesity is associated with an increased risk of developing cardiovascular disease (CVD), particularly heart failure (HF) and coronary heart disease (CHD). The mechanisms through which obesity increases CVD risk involve changes in body composition that can affect hemodynamics and alters heart structure. Pro-inflammatory cytokines produced by the adipose tissue itself which can induce cardiac dysfunction and can promote the formation of atherosclerotic plaques. When obesity and HF or CHD coexist, individuals with class I obesity present a more favorable prognosis compared to individuals who are normal or underweight. This phenomenon has been termed the "obesity paradox." Obesity is defined as an excess fat mass (FM), but individuals with obesity typically also present with an increased amount of lean mass (LM). The increase in LM may explain part of the obesity paradox as it is associated with improved cardiorespiratory fitness (CRF), a major determinant of clinical outcomes in the general population, but particularly in those with CVD, including HF. While increased LM is a stronger prognosticator in HF compared to FM, in patients with CHD excess FM can exert protective effects particularly when not associated with increased systemic inflammation. In the present review, we discuss the mechanisms through which obesity may increase the risk for CVD, and how it may exert protective effects in the setting of established CVD, with a focus on body composition. We also highlight the importance of measuring or estimating CRF, including body composition-adjusted measures of CRF (ie, lean peak oxygen consumption) for an improved risk status stratification in patients with CVD and finally, we discuss the potential non-pharmacologic therapeutics, such as exercise training and dietary interventions, aimed at improving CRF and perhaps clinical outcomes. **Keywords:** obesity, cardiovascular disease, obesity paradox, body composition,

cardiorespiratory fitness

Introduction

The prevalence of obesity has increased over the last few decades reaching epidemic proportions. Obesity is defined as an excess fat mass (FM) that impairs health, which is most commonly defined by determination of a body mass index (BMI) >30 kg/m². Obesity is often subdivided into classes (Class I: BMI=30.0-34.9, Class II: BMI=35.0-39.9, Class III: BMI \geq 40.0) to further stratify health risk.² Using BMIbased diagnostic criteria, 39.8% of the US population meets the definition of obesity with 7.7% having class III obesity or severe obesity, defined as a BMI\ge 40.0 kg/m^{2.3} Furthermore, there exists race and ethnic disparities with regard to obesity as the prevalence of obesity is 47.0% of Hispanics and 46.8% of non-Hispanic blacks as opposed to only 37.9% and 12.7% of non-Hispanic whites and Asians. The incidence of obesity is increasing and is alarming as the excess FM characteristic of obesity

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increases the risk of most cardiovascular (CV) diseases (CVD), metabolic diseases such as type-2 diabetes mellitus (T2DM), and their related risk factors (ie, metabolic syndrome).^{5,6} The financial burden and healthcare utilization from morbidity and mortality resulting from complications of obesity and CVD is also increasing at an alarmingly rate.⁷

Obesity is a strong independent predictor of CVD even in the absence of other risk factors, however, interestingly after onset of CVD the relationship between higher BMI and clinical outcomes is not linear. Obesity increases the risk for CVD in primary prevention,⁸ and as such clinicians and researchers have historically assumed that excess body mass would also be detrimental in secondary prevention settings. Contrary to this line of thinking, this assumption is not necessarily correct as several retrospective and prospective epidemiologic studies have demonstrated a potentially protective effect of obesity when it coexists with CVD; a phenomenon termed the "obesity paradox."9-11 The obesity paradox has been investigated the most in patients with heart failure (HF) and coronary heart disease (CHD), however, more recent data implicates the paradox also in other CVD, such hypertension, 12,13 atrial fibrillation, 14,15 pulmonary arterial hypertension, ¹⁶ and congenital heart disease. ¹⁷

In this review article, we will discuss the role of obesity and particularly of body composition compartments (ie, fat mass, fat-free mass, lean mass), on the CV system. We will also review the evidence suggesting the presence of an obesity paradox in patients with established CHD and HF and discuss the potential mechanisms through which obesity may exert such protective effects, with a focus on the role of body composition compartments. We will also discuss the data regarding cardiorespiratory fitness (CRF) as a measure to improve risk stratification in obesity and CVD, and conclude by discussing the role of lifestyle measures (eg, exercise training, dietary interventions and weight loss) in affecting prognosis and outcomes.

Nutritional status assessment in obesity: BMI and body composition

The World Health Organization (WHO) defines obesity as an excess FM that negatively affects health. Due to the lack of population-specific cut-offs to define excess FM, the WHO proposes the use of BMI for an initial nutritional status assessment. However, the WHO cautions against absolute reliance on BMI as a measure of FM and recommends its use as an imprecise measure to guide nutritional assessment since it may misclassify severity of FM. Body

mass index does not take into consideration whether excess body weight results from different body composition compartments, 18,19 and such limitation is extremely relevant in those conditions in which body weight changes may reflect changes in different body composition compartments and distribution. Nevertheless, particularly in those individuals without CVD, increased BMI is highly correlated with an increase in FM, paralleled by an increase in fatfree mass (FFM), 20,21 and it maintains a strong prognostic role.²² Of note, the term FFM is often used in the literature to define lean mass (LM) and skeletal muscle mass (SMM), however, they all define different body composition compartments.²³ FFM accounts for most of the total body mass as it includes total body water (intra- and extracellular water), bone, and SMM. The FFM without bone defines LM, which is perhaps the most commonly used body composition compartment to estimate SMM in individuals with and without CVD.

The distribution of FM has diverse effects on the CV system and metabolism,²⁴ thus determining its location is a crucial step as it helps to identify individuals with similar BMI and FM, but with different CVD risk profiles. Accumulation of visceral FM has been recognized as a major cardiometabolic risk factor, 25,26 which favors the production of pro-inflammatory cytokines and adipokines with cardiodepressant and pro-atherosclerotic properties.^{27–} ²⁹ In contrast, the association between increased subcutaneous FM and cardiometabolic risk is not necessarily as linear as for visceral FM.³⁰ Due to the important prognostic role of visceral FM, its clinical assessment is typically performed indirectly (eg. waist circumference [WC])³¹ and should be encouraged in routine clinical and research settings. The cut-offs for WC recommended for men and women are 102 cm and 88 cm respectively, suggesting that those individuals with greater values have a substantially increased cardiometabolic risk, independent of their BMI and total FM.31 Furthermore, an additional assessment of hip circumference allows us to further stratify the risk, by calculating the waist-to-hip ratio (WHR) with cut-offs for men and women of ≥ 0.90 and ≥ 0.85 , respectively.³¹ Importantly, the proposed cut-off for WC may vary depending on the race and ethnicity of the population investigated because despite similar WC and WHR, the cardiometabolic risk of individuals can differ.31

The BMI also does not quantify LM, much like it is unable to quantitate the severity and distribution of FM. While the classical definition of obesity focused solely on excess FM, emerging evidence acknowledges that the

classical obese phenotype is associated with a parallel increase in both FM and LM, including the SMM compartment.²¹ In populations with limited physical activity, obesity may also be associated with reduction in the amount and/or functionality of LM. A reduction of the amount and/or functionality of LM alone is defined as sarcopenia³² and when excess FM (ie, obesity) accompanies sarcopenia, it is defined as sarcopenic obesity (Figure 1).^{9,33–35} Sarcopenia and sarcopenic obesity are associated with worse prognosis and functional capacity in several chronic diseases, particularly in cancer and more recently also in HF.^{9,32,35–37}

Obesity increases HF risk

Data resulting from the Framingham study initially suggested that overweight and obesity increase the risk of developing HF.³⁸ While obesity remains a strong risk factor for all forms of HF, recent data suggest that obesity specifically increases the risk of a specific form of HF, termed heart failure with preserved ejection fraction (HFpEF) due to HF signs/symptoms in the presence of a normal left ventricular ejection fraction (LVEF) (Figure 2).³⁹ HFpEF accounts for nearly half of all HF diagnoses and with limited therapeutic options. It has been recently proposed that because overweight/obesity are so prevalent in this population,⁴⁰ targeted therapeutics for patients with concomitant obesity and HFpEF should be developed to improve outcomes.^{41–43}

The exact mechanisms of obesity-induced HF are incompletely understood, however, the excess FM and FFM, resulting from both increased SSM and body water (ie, LM) play a central role (Figure 3).9 As mentioned, increased FM, particularly visceral FM, can induce the synthesis of several adipokines and pro-inflammatory cytokines, responsible for the characteristic low-grade systemic inflammation seen in patients with obesity.²⁹ Many of the products of adipose tissue, namely interleukin (IL)-1ß and IL-18, have cardiodepressant properties.²⁹ In animal models, these cytokines induce a reversible cardiac dysfunction when administered to healthy mice, 44-46 which have prompted investigation of therapeutics targeting these cytokines in clinical trials in patients with HF. 47,48 Furthermore, obesity is typically associated with a Westernized diet rich in saturated fats and sugars, 49 which can further contribute to the proinflammatory state of patients, particularly since these macronutrients can activate pro-inflammatory pathways similar to those described above. 50,51 In addition to the detrimental effects of excess FM, the excess amount of LM in patients with obesity can further increase the risk for cardiac dysfunction and ultimately HF. Due to its high blood-flow requirements, LM is responsible for the typical increase in plasma volume in individuals with obesity,⁵² causing an increase in preload and stroke volume. Although an increased stroke volume can initially be considered potentially beneficial as it increases cardiac output, when such increase persists over time, the cardiac workload may result in an initial LV dilation

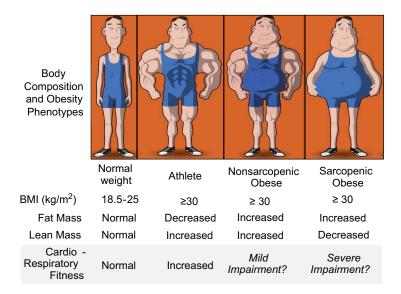
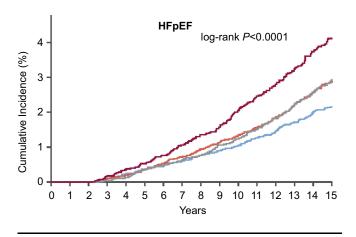


Figure 1 Obesity phenotypes, cardiac function and cardiorespiratory fitness. The figure highlights the proposed major role of lean mass in the development of cardiac dysfunction and cardiorespiratory fitness, suggesting that individuals with similar body mass index (BMI) can present a different body composition, resulting in different cardiac function and cardiorespiratory fitness. Reprinted from Mayo Clin Proc., 92(2), Carbone S, Lavie CJ, Arena R. Obesity and heart failure: focus on the obesity paradox, 266–279, Copyright (2017), with permission from Elsevier.⁹

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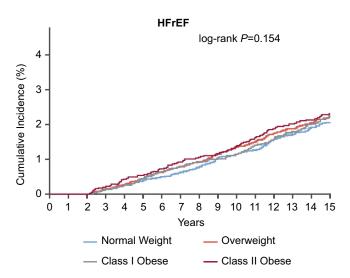


Figure 2 Obesity and risk for heart failure. Obesity increases the risk of heart failure (HF), particularly of HF with preserved ejection fraction (HFpEF) (top panel) compared to HF with reduced ejection fraction (HFrEF) (bottom panel). Reprinted from J Am Coll Cardiol, 69(9), Pandey A, LaMonte M, Klein L, et al, Relationship between physical activity, body mass index, and risk of heart failure,:1129–1142, Copyright (2017), with permission from Elsevier.³⁹

followed by a compensatory concentric remodeling and concentric LV hypertrophy, ultimately leading to an increased risk for HF. 42,53–55 These cardiac structure and hemodynamic abnormalities can cause cardiac impairments, most typically diastolic in the presence of a preserved LVEF (ie, HFpEF). Obesity is also associated with increased LV end-diastolic pressure as well as right atrial pressure and pulmonary wedge pressure. Finally, obesity increases several risk factors for HF. Weight gain in particular is associated with an increase in blood pressure leading to arterial hypertension, which is a leading cause of HF. 12

The obesity paradox in HF

Although it is undeniable that obesity poses a major risk for HF and HF-related risk factors, after HF diagnosis, obesity exerts some protective effects. This paradoxical relationship is particularly evident in individuals with class I obesity. The obesity paradox in HF was initially observed in patients with advanced disease where coexistence of overweight or obesity was associated with improved prognosis compared to normal weight or underweight patients.⁶² Subsequent studies have confirmed the obesity paradox in both HF with reduced LVEF (HFrEF) and HFpEF.⁶³ The mechanisms through which obesity improves prognosis in patients with HF are incompletely understood, however several hypotheses have been proposed. 9,10,64 Particularly, the increased LM in obese individuals may play a crucial role as it has been associated with improved long-term outcomes. Once HF has been established, excess LM may allow a higher CRF (Figure 3), 9,34,35 which is associated with improved prognosis in HF and several other chronic non-communicable diseases.

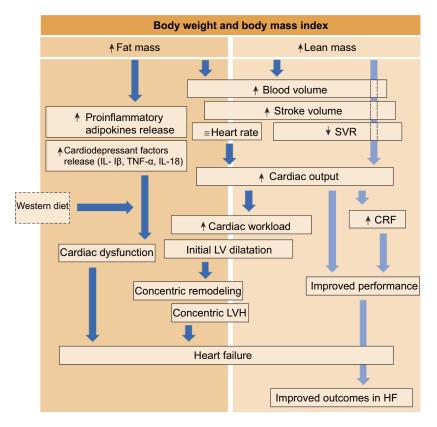


Figure 3 Body composition and heart failure. Proposed mechanisms driving obesity to heart failure (HF) and to the obesity paradox once HF is diagnosed. The dark blue arrows indicate the potential detrimental effects of body composition components (fat mass and lean mass) on cardiac function and eventually HF development. The light blue arrows indicate the potential mechanisms by which body composition improves cardiorespiratory fitness (CRF). Reprinted from Mayo Clin Proc., 92(2), Carbone S, Lavie CJ, Arena R. Obesity and heart failure: focus on the obesity paradox, 266–279, Copyright (2017), with permission from Elsevier. Abbreviations: IL, interleukin; LV, left ventricular; LVH, LV hypertrophy; SVR, systemic vascular resistance; TNF-α, tumor necrosis factor α.

In patients with a peak oxygen consumption (VO₂) >14 mL•kg⁻¹•min⁻¹ or 4 Metabolic Equivalents of Task (MET; 1 MET equals 3.5 mLO₂•kg⁻¹•min⁻¹), the obesity paradox has not been reported, 65-67 positioning obesity as a protective factor only in those with low CRF. Peak VO₂ relative to body weight (mL•kg⁻¹•min⁻¹) as it is most commonly expressed may underestimate CRF levels in obese patients. 68,69 To overcome this limitation, the FFMadjusted peak VO2 (ie, lean peak VO2) has been proposed in the literature and the value of 19 mL•kg_{lean mass}⁻¹•min⁻¹ has been found to be superior to the previously defined 14 mL•kg⁻¹•min.⁻¹⁷⁰Of note, this cut-off was established decades ago when patients with HF and a peak VO2>14 mL•kg⁻¹•min⁻¹ presented a survival that was similar to those who underwent heart transplantation due to advanced HF.⁷¹ More recent evidence has also confirmed that peak VO₂ is more strongly dependent of lean mass and not body mass, even in individuals without HF. 72 The exact mechanisms through which increased LM may improve CRF and possibly prognosis are still largely unclear. Because the O2 pathway utilized to calculate peak oxygen consumption

(VO₂) (ie, CRF) highly relies on muscle diffusion capacity and mitochondrial respiration capacity at the skeletal muscle level, increased LM may result in increasing both of these variables.⁷³ In addition, increased LM has been associated with greater skeletal muscle strength, a strong predictor for adverse outcomes even when assessed in adolescents several years before the occurrence of CVDrelated deaths.⁷⁴ Even in patients with HF the assessment of muscular strengths provides crucial information for improved risk status stratification, and it has been proposed to be even superior than peak VO2, at least in patients with HFrEF, 75 which could represent another mechanism through which increased LM may exert beneficial effects. In HFpEF lower LM, particularly appendicular LM has been associated with lower muscle strength, ⁷⁶ however, its role in predicting clinical outcomes requires further study.

Obesity increases CHD risk

Obesity increases the risk for CHD, by increasing the load of the atherosclerotic plaques, characterized by greater macrophage infiltration and plaque instability.^{77,78} The

chronic low-grade systemic pro-inflammatory status in patients with obesity seems to be responsible, at least in part, for the increased CHD risk. Systemic inflammation (eg, high-sensitivity C-reactive protein [hsCRP]) has long been implicated in the pathophysiology of atherosclerosis. Recently, an IL-1β targeted anti-inflammatory therapy was proven to be effective in reducing major adverse CVD events in patients with elevated systemic inflammation and established atherosclerotic CVD. However, nontargeted anti-inflammatory therapies may not be efficacious and perhaps could be even detrimental. In addition to the inflammatory hypothesis which may drive obesity to CHD, obesity is also associated with several major risk factors for CHD, like T2DM and dyslipidemia, which can, in turn, increase the risk for CHD further.

The obesity paradox in CHD

Although obesity is a major risk factor for CHD, patients with established CHD and a higher BMI have a more favorable prognosis, forming an obesity paradox similar to that seen in HF.^{81–83} Although the mechanisms through which obesity may be protective in patients with established CHD are not completely understood, epidemiologic studies

suggest that the changes in body composition compartments in obesity may mediate some of the reported benefits.

Similar to that described in patients with HF, increased LM in obese individuals seems to exert protective effects. However, in addition to the increased LM, the excess adiposity may also be protective in patients with established CHD as greater amounts of LM and concomitant increases in FM present a more favorable prognosis when compared to individuals with high LM and low FM.⁸⁴ This finding is extremely interesting as it suggests that excess adiposity when accompanied by increased LM may, in fact, be protective in the setting of CHD. The increased adiposity seems to be particularly beneficial in those individuals with low systemic inflammation defined as hsCRP <3 mg/L (Figure 4).85 Although the exact trigger responsible for the increased hsCRP in obese individuals is unknown, the adipose tissue itself may propagate production of pro-inflammatory cytokines and increase in systemic low-grade systemic inflammation. This is supported in the literature, showing that patients with CHD that have high FM and low hsCRP have the most favorable prognosis suggesting that excess total adiposity may not be as detrimental when it is not accompanied by increased systemic inflammation. As such, one could speculate that

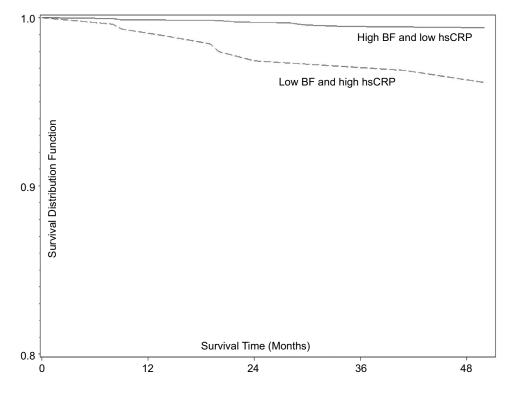


Figure 4 Body fat and systemic inflammation in coronary heart disease. Survival estimates (Kaplan–Meier) by body fat (BF) and high-sensitivity C-reactive protein (hsCRP) strata: low hsCRP = CRP<3 mg/L; high hsCRP = CRP ≥3 mg/L; low BF = BF<25%; high BF ≥25%. Copyright ©2016. Reproduced from De Schutter A, Kachur S, Lavie CJ, Boddepalli RS, Patel DA, Milani RV. The impact of inflammation on the obesity paradox in coronary heart disease. Int J Obes (Lond). 2016;40(11):1730–1735.

therapeutics targeting systemic inflammation may induce an even more profound beneficial effect in obese individuals, independent of changes in body mass and body composition.

As previously described for HF, assessment of CRF is extremely important in defining the CVD risk of different individuals, as BMI and even body composition assessment alone may not be sufficient. A high CRF is an independent predictor for better clinical outcomes in patients with established CHD, independent of BMI, total FM or visceral adiposity (assessed via measurement of waist circumference). This suggests that improving CRF should be a priority in patients with CHD, even without apparent changes in BMI or other anthropometric and body composition parameters.

CRF in CVD: a new clinical vital sign

The importance of assessing CRF has been recently highlighted in a scientific statement released by the American Heart Association.⁸⁷ The strength of CRF as a robust predictor of health outcomes should compel clinicians to include its assessment as a standard of care. The direct measurement of peak VO₂ with a maximal cardiopulmonary exercise test (CPX) provides the most precise assessment of CRF, accurately objectifies the relative patient effort, and offers insight into the pathophysiology of exercise intolerance. However, if CPX is not available estimation of CRF using the peak workload obtained during a treadmill or cycle ergometer exercise test provides useful information.⁸⁷ It is important to emphasize that indirect assessment of CRF (ie METs derived from treadmill speed/%grade) can lead to overestimation of exercise capacity in those with pathology causing impaired oxygen uptake kinetics or handrail use during treadmill exercise.⁸⁸ In addition to the objective methods listed above, prediction equations have been developed to estimate CRF, 87 particularly in those situations in which exercise test cannot be performed. However, because several different equations exist in the literature, it is important to select the most appropriate equation based on the population being investigated.

Improvements in CRF are associated with reduced mortality in the general population⁸⁹ and this association is more pronounced in those with established CVD or with CVD risk factors.^{90,91} As such, a major effort by clinicians and researchers is required to develop and implement therapeutic strategies aimed at improving CRF, using non-pharmacologic and pharmacologic interventions, individually or combined.⁹² The obesity paradox has not been

reported in patients with greater CRF, 65-67 suggesting that improving CRF levels should be investigated further as a key clinical outcome, particularly in those patients with HF and CHD. Exercise training (both aerobic training and resistance training) perhaps as part of a cardiac rehabilitation (CR) program, is currently the best modality for improving CRF clinically. 93,94 However, due to limited financial coverage and reimbursement for CR and structured exercise training programs, implementation of those strategies aimed at improving CRF, particularly in patients with HFpEF, remains challenging. 95 Although the ideal amount and intensity of exercise required to improve clinical outcomes in individuals with obesity and established CVD is unclear, the beneficial effects of exercise training on CRF have been confirmed in several studies. In patients with obesity and concomitant HFpEF, a 1-hour supervised aerobic exercise (ie, walking intensity based on individual CRF measured at baseline) 3 times per week for an average of 49 mins per session for 20 weeks results in improved CRF (+1.2 mL•kg⁻¹•min⁻¹). Such improvements were further increased in those undergoing concomitant caloric restriction (+2.5 mL•kg⁻¹•min⁻¹), supporting the beneficial effects of exercise associated with dietary intervention. Similarly, another study in older adults individuals with obesity but without HF, undergoing caloric restriction, both aerobic exercise and resistance training (60 mins per session, 3 times per week) resulted in greater CRF $(+3.3 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1} \text{ and } +1.3 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}) \text{ after } 6$ months compared to the control group that did not undergo caloric restriction nor exercise training.⁹⁶ In the absence of structured exercise programs, non-structured exercise in the form of increased daily physical activity and a reduction in sedentary behavior^{97,98} is also an effective strategy to increase CRF, although less efficacious than exercise training.99

In patients with obesity and established HF, one of the major focuses of this review article, exercise can improve CRF independent of changes in body weight. ⁹³ This suggests that improvements in both CV and non-CV factors are responsible for an increase in CRF. Notably, caloric restriction-induced weight loss has also demonstrated improvements in both CRF and quality of life in patients with obesity and HF, particularly HFpEF. ^{100,101} This demonstrates that improved CRF can also be achieved through mechanisms that seem to be at least partially independent from changes in cardiac function. This data proposes that improvements in non-cardiac factors such as reduction in the intramuscular fat/skeletal muscle mass ratio and improved skeletal muscle functionality may be

responsible for the improvements seen in patients undergoing weight loss. 32,102-104 Pharmacologic strategies approved for the treatment of obesity have been rarely investigated in a rigorous manner in patients with established CVD as most clinical trials do not enroll this population. We believe that there is a potential for beneficial effects of these therapies even in patients with CVD, 105 however, further investigation is needed at this time before being able to recommend and implement such strategies in a broad spectrum of individuals in clinical practice.

A different consideration should be made in those patients in which weight loss is unintentional. In both HF and CHD, unintentional weight loss has been associated with worse clinical outcomes and may be linked to other medical contributing factors. ^{106–108} Although the driver for worsening outcomes is not completely understood, unintentional weight loss is typically associated with a reduction in FM but more importantly of LM, leading to an increased risk for cachexia and sarcopenia, even in individuals with obesity. ¹⁰⁹ For such reasons, unintentional weight loss should always be investigated further, independent of the initial body weight and BMI.

Conclusion

Overweight and obesity are strong risk factors for the development of CVD, particularly HF and CHD. Although the exact mechanisms connecting obesity and the development of these conditions are not completely understood, the ability of the adipose tissue to expand and

produce pro-inflammatory cytokines that can directly impair cardiac systolic and diastolic function as well as the formation of atherosclerotic plaques plays a major role. Similarly, other body composition changes typical of obesity can also lead to initial hemodynamic and structural changes of the heart. However, when obesity and HF or CHD coexist, the prognosis in patients with obesity seems to be more favorable as compared to those who are normal weight or underweight, particularly in the setting of reduced CRF. Of note, the presence of an obesity paradox should not be seen as a promotion of obesity in the general population or in those individuals without established CVD. In fact, if obesity were prevented, they may not have developed that specific CVD in the first place, resulting in a longer and healthier life free of CVD.

The increased amount of LM reported in individuals with the typical obesity phenotype is a major driver for increased CRF. Greater CRF is associated with improved survival and may partially explain the beneficial effects of obesity. Individuals with reduced amounts of LM (ie, sarcopenia), even in the setting of obesity (ie, sarcopenic obesity) present with a worse CRF (Figure 5). Such results suggest that strategies that can increase LM, such as exercise training and dietary interventions may represent effective therapeutic strategies. Clearly, long-term studies investigating the effects of such interventions on clinical outcomes are required to implement them in clinical practice and engage providers in facilitating and referring patients for such interventions.

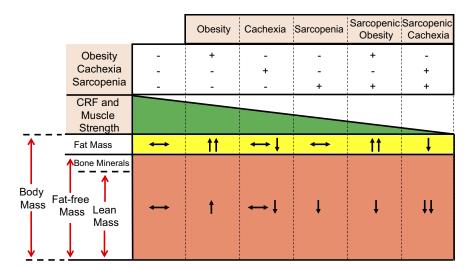


Figure 5 Relationship between body composition phenotypes, cardiorespiratory fitness (CRF) and muscle strength in heart failure. Body composition compartments changes can directly affect CRF and muscle strength in patients with heart failure. Reproduced with permission from Ventura HO, Carbone S, Lavie CJ. Muscling up to improve heart failure prognosis. Eur J Heart Fail. 2018;20(11):1588–1590. © 2018 The Authors. European Journal of Heart Failure © 2018 European Society of Cardiology.

Disclosure

The authors report no conflicts of interest in this work.

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