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# **BRAF** mutation may predict higher necessity of postoperative radioactive iodine ablation in papillary thyroid cancer

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**Purpose:** The primary aim of the present study was to analyze the association between high-risk clinicopathologic characteristics and the BRAFV600E mutation.

**Methods:** From March 2010 to September 2012, we performed analysis of the *BRAF* mutation (assessing V600E point mutation of *BRAF* gene, exon 15, on chromosome 7q34 by real-time polymerase chain reaction kit) from 499 papillary thyroid carcinoma (PTC) patients who underwent thyroidectomy. We analyzed the relation between the mutation and known clinicopathologic risk factors of PTC.

**Results:** *BRAF* mutations were found in 353 of 499 patients (70.7%). On univariate analysis, *BRAF* mutations were more frequently detected in patients with central lymph node metastasis (78.5% vs. 66.7%, P = 0.007) and classic PTC type (71.3% vs. 16.7%, P = 0.011). Patients with one or more aggressive pathologic feature such as lymph node metastasis, multifocality, and extrathyroidal extension showed higher *BRAF* mutation rate (73.5% vs. 62.3%, P = 0.022). *BRAF* mutation group showed more aggressive pathologic features, which is considered as higher necessity of radioactive iodine ablation (relative risk, 1.617; P = 0.035).

**Conclusion:** This study found that *BRAF* mutation is associated with classic PTC and central lymph node metastasis and higher necessity of radioactive iodine ablation.

[Ann Surg Treat Res 2014;87(4):174-179]

Key Words: BRAF mutation, Papillary thyroid cancer, Radioactive iodine

# **INTRODUCTION**

The incidence of thyroid cancer has increased more than two folds in the United States over the past three decades [1]. Due to a steady increase in the incidence of thyroid cancer in South Korea, thyroid cancer has become the most common cancer in this population [2]. The overall 5-year patient survival rate for PTC is 95% to 97% [3]. Considering this excellent prognosis,

**Received** February 25, 2014, **Revised** May 8, 2014, **Accepted** May 12, 2014

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\*Sang-Ah Han and Won Seo Park contributed equally to this study as cofirst authors. it is very important to identify patients who need aggressive treatment to reduce recurrence and the others who might present good outcome after limited surgery such as unilateral lobectomy or omission of central neck lymph node dissection. Unilateral lobectomy is accepted as the one of surgical options for patients with these features: age between 15 and 45 years old, no prior radiation, no distant metastases, no cervical lymph node metastases, no extrathyroidal extension, tumor less than

This study was presented at the 65th Annual Autumn Congress of Korean Surgical Society in November 21, 2013, also has been awarded as the best poster presentation.

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4 cm in diameter, and no aggressive variant [4].

BRAF represents one of the most frequently mutated protein kinase genes in human tumors [5]. The T1779A point mutation in BRAF exon 15, which results in a V600E amino acid substitution, is the most common mutation and accounts for more than 90% of all mutations found in the *BRAF* gene [6]. *BRAF* mutation has been thought to confer a worse prognosis in cases of papillary thyroid carcinoma (PTC) due to its association with lymph node metastasis, extrathyroidal extension, capsule invasion, vascular invasion, multifocality, bilateral tumors, older age, tumor size, aggressive subtype, impaired iodine uptake, recurrence, and death [7-9].

The primary aim of the present study was to evaluate the incidence of the BRAFV600E mutation in patients diagnosed with PTC and to analyze the association between high-risk clinicopathologic characteristics and the BRAFV600E mutation. This could allow for the use of the *BRAF* mutation in selecting candidates for limited thyroid surgery or radical operation premising postoperative radio iodine therapy as an initial surgical choice for papillary thyroid carcinoma in a Korean population.

# **METHODS**

From March 2010 to September 2012, a total of 499 patients for whom thyroidectomy was planned for primary PTC at Kyung Hee University Hospital at Gangdong (Seoul, Korea) and Kyung Hee University Hospital (Seoul, Korea) were enrolled in this study.

Lobectomy was planned under National Comprehensive Cancer Network (NCCN) guidelines [4] when patients have age from 15 years to 45 years, no prior radiation, no distant metastases, no cervical lymph node metastases, no extrathyroidal extension, tumor less than 4 cm in diameter according to preoperative ultrasonographic findings in our institutions. Age was not absolute determinant for lobectomy in our institutions, same as described in NCCN guidelines [4]. Central neck lymph node dissection was performed electively in all patients. Modified radical neck dissection was performed with therapeutic intent.

Radioiodine treatment was recommended when postoperative pathology suggest any of followings: gross or microscopic extrathyroidal extension, high risk histology (tall cell, columnar, insular, and solid variants, as well as poorly differentiated thyroid cancer), cervical neck lymph node metastasis, multifocality or vascular invasion [4]. Age and sex were not absolute determinant of radioactive iodine ablation (RIA) in our institutions. To assess clinical value of *BRAF* mutation to predict aggressive tumor characteristics, which premise wider resection of thyroid such as total thyroidectomy, or prophylactic central neck lymph node dissection, and postoperative radioiodine therapy, statistical analysis was performed between existence of aggressive features and *BRAF* mutation. The incidence of aggressive features on pathology, such as lymph node metastasis, multifocality and extrathyroidal extension was assessed. Variant type of PTC is generally considered as one of aggressive feature of thyroid cancer. However, high risk histology include tall cell, columnar, insular, and solid variants, as well as poorly differentiated thyroid cancer. In our data variant PTC were composed of 6 follicular variant PTC and 1 diffuse sclerosing PTC, which have heterogeneous results in their clinical outcomes and benefits of RIA. Therefore aggressive pathologic features were limited to lymph node metastasis multifocality and extrathyroidal extension in this study.

Informed consent was obtained for the *BRAF* mutation test. The study protocols were approved by our Institutional Review Boards (IRB No.: KHNMC 2013-01-110, KMC IRB 1403-04).

### **DNA extraction and mutation analysis**

Preparation of genomic DNA

We performed BRAFV600E mutation analysis on paraffin embedded sections of primary tumors obtained from thyroidectomy. Areas of tumor were identified on hematoxylin and eosin stained slides, marked by pathologists and microdissected using a fine needle from 10- $\mu$ m-thick unstained sections. Genomic DNA was extracted from the tumor tissue using a high pure polymerase chain reaction (PCR) template preparation kit (Roche, Mannheim, Germany), according to the manufacturer's instructions. The DNA was diluted to a concentration of 50 ng/  $\mu$ L for the test. Genomic DNA from the formalin-fixed paraffinembedded (FFPE) sections was extracted from 5  $\mu$ m  $\times$  10  $\mu$ m sections using the QIAmp DNA FFPE tissue kit (Qiagen, Valencia, CA, USA) as per the manufacturer's instructions.

### PNAcqPCR

The BRAFV600E mutation was tested using a peptide nucleic acid (PNA) Clamp BRAF Mutation Detection kit according to the manufacturer's instructions. Briefly, PCR was performed on a total volume of 20  $\mu L$  containing 50 ng of DNA, 13  $\mu L$  of real-time SYBR green PCR master mix and each of the primers and PNA probes for codon 600. The PCR control lacked a PNA probe and contained the wild-type template. The PCR cycling conditions were 94°C for 5 minutes, followed by 40 cycles of four temperature steps (94°C for 30 seconds, 70°C for 20 seconds, 63°C for 30 seconds, and 72°C for 30 seconds), and a final extension at 72°C for 5 minutes. The PNA probe, designed to hybridize completely to the wild-type BRAF allele, inhibited the amplification of the wild-type BRAF allele. In contrast, the PNA/mutant-type allele hybrid was unstable due to base pair mismatch, which is why extension by the polymerase occurred. The threshold cycle (Ct) was automatically calculated from the PCR amplification plots, where fluorescence was plotted against the number of cycles. Delta-Ct values were calculated as the Ct values of the samples minus those of the controls. The higher delta-Ct value showed that the mutant was efficiently amplified. A cutoff value of 2.0 was used for determining the presence of mutant DNA.

## **Statistics**

All statistical analyses were performed using PASW Statistics ver. 18.0 (SPSS Inc., Chicago, IL, USA). The chi-square test and Fisher exact test were used for analysis of the relationship between the *BRAF* mutation and clinicopathologic factors. Multinomial logistic regression was adopted for multivariate analysis.

# RESULTS

Among 499 patients, 85 males and 414 females patients were included. The mean age of patients at surgery was  $50.2 \pm 11.8$  (18 to 82) years. Total or near total thyroidectomy was performed for 430 patients (86.2%), whereas the remaining patients underwent more limited thyroidectomy, such as completion thyroidectomy (2 patients) or lobectomy (67 patients). Central neck lymph node dissection alone was performed for 459 patients (92.0%), and modified radical neck dissection, including central neck lymph node dissection, was performed on 40 patients (8.0%). Among the 499 patients, central neck lymph

Table 2.	BRAF r	nutation	and	clinicopat	hologic	factors:
univariate	e analysi	S			0	

### Table 1. Clinical and pathological characteristics (n = 499)

Characteristic	No. (%)
Age (yr)	
<45	162 (32.5)
≥45	337 (67.5)
Sex	
Male	85 (17.0)
Female	414 (83.0)
Thyroidectomy	
Total or near total	430 (86.2)
Completion	2 (0.4)
Lobectomy	67 (13.4)
LN dissection	
Central compartment dissection	459 (92.0)
Central + lateral neck dissection	40 (8.0)
LN metastasis	
Central compartment LN metastasis	172 (34.5)
Lateral compartment LN metastasis	29 (5.8)
Thyroiditis	
None	333 (66.7)
Lymphocytic thyroiditis	161 (32.3)
Hashimoto's thyroiditis	3 (0.6)
Granulomatous thyroiditis	2 (0.4)
BRAF mutation	
Negative	146 (29.3)
Positive	353 (70.7)
TNM stage	
1	272 (54.5)
II	1 (0.2)
111	209 (41.9)
IV	17 (3.4)
Recurrence	
Overall recurrence	3 (0.6)
OP bed	2 (0.4)
Lateral neck	2 (0.4)

Variable	BRAF mutati	P-value	
variable	()	(+)	P-value
No. of patients	146 (29.3)	353 (70.7)	
Age (yr)			0.207
<45	41 (25.3)	121 (74.7)	
≥45	105 (31.2)	232 (68.8)	
Sex			0.880
Male	18 (21.2)	67 (78.8)	
Female	128 (30.9)	286 (69.1)	
Size of cancer (cm)			0.216
<1	101 (31.3)	222 (68.7)	
≥1	45 (25.6)	131 (74.4)	
Extrathyroidal extension			0.164
No	70 (32.7)	144 (67.3)	
Yes	76 (26.7)	209 (73.3)	
Variant PTC			0.011
Classic	141 (28.7)	351 (71.3)	
Follicular	5 (83.3)	1 (16.7)	
Diffuse sclerosing	0 (0)	1 (100)	
Multifocality			0.098
No	104 (31.8)	223 (68.2)	
Yes	42 (24.4)	130 (75.6)	
Central LN metastasis			0.007
Negative	109 (33.3)	218 (66.7)	
Positive	37 (21.5)	135 (78.5)	
Lateral LN metastasis			0.061
Negative	142 (30.2)	328 (69.8)	
Positive	4 (13.8)	25 (86.2)	
Any aggressive features*			0.022
No aggressive feature	43 (36.1)	76 (62.3)	
Aggressive features $(+) \ge 1$	103 (27.1)	277 (73.5)	
One aggressive feature	51 (30.0)	119 (70.0)	0.020
Two aggressive features	39 (27.3)	104 (72.7)	
Three aggressive features	10 (15.6)	54 (84.4)	
	(0())		

Values are presented as number (%).

PTC, papillary thyroid carcinoma; LN, lymph node.

\*Aggressive features: any one of followings; LN metastasis, multifocality, extrathyroidal extension.

LN, lymph node; OP, operation.

**Table 3.** Multivariate analysis for aggressive clinicopathologic features which predict greater need for radioactive iodine: aggressive features include lymph node metastasis, multifocality, extrathyroidal extension

Variable	Relative risk	95% CI	P-value
Age ≥ 45 yr	1.165	0.745-1.821	0.503
Sex	1.296	0.744-2.256	0.360
Tumor size ≥ 1 cm	2.762	1.681-4.537	< 0.001
Variants of PTC	0.496	0.103-2.391	0.382
BRAF mutation	1.617	1.035-2.526	0.035

CI, confidence interval; PTC, papillary thyroid carcinoma.

node metastasis was found in 172 patients (34.5%). Lateral neck lymph node metastasis was found in 29 patients (5.8%) (Table 1).

*BRAF* mutations were found in 353 of 499 patients (70.7%). On univariate analysis, *BRAF* mutations were more frequently detected in patients with central lymph node metastasis (78.5% vs. 66.7%, P = 0.007). Classic PTC showed higher BRAF positivity than follicular variants of PTC (71.3% vs. 16.7%, P = 0.011). *BRAF* mutation was not associated with age, sex, tumor size or extrathyroidal extension (Table 2).

Patients who have one or more aggressive pathologic features were categorized as candidates for RIA. Patients with one or more aggressive pathologic feature such as lymph node metastasis, multifocality, and extrathyroidal extension showed higher *BRAF* mutation rate (73.5% vs. 62.3%, P = 0.022). As number of aggressive factor increases, *BRAF* mutation rate became higher with statistical significance (P = 0.020) (Table 2).

On multivariate analysis, RIA candidacy was associated with larger tumor size (relative risk [RR], 2.762; 95% confidence interval [CI], 1.681–4.537; P < 0.001) and *BRAF* mutation (RR, 1.617; 95% CI, 1.035–2.526; P = 0.035). Age, sex, and variant PTC were not significant contributors (Table 3).

# DISCUSSION

The prevalence of *BRAF* mutation in PTC in the present study was high at 70.7%. This result is in agreement with previous studies performed in the Korean population [10-14].

Many studies of PTC have shown a correlation between one or more poor prognostic factors and *BRAF* mutation. *BRAF* mutation has also been correlated with the aggressiveness of PTC, as cancers with a *BRAF* mutation often have advanced pathologic (TNM) staging, extrathyroidal extension, lymph node metastases, tumor persistence and recurrence [9,15,16]. In addition, *BRAF* mutations are correlated with lymphocytic thyroiditis, which is also associated with PTC. This may suggest a mechanism for increased invasiveness and immune system tolerance in BRAF-mutated PTCs [17]. Data available to date support the idea that *BRAF* mutation is an independent prognostic factor predicting a poor prognosis in PTC. In contrast, some studies failed to find a significant association between the BRAFV600E mutation and high-risk clinicopathologic characteristics [18-20].

The prevalence of the BRAFV600E mutation is highly variable, ranging from 29% to 83% in PTC in Western countries [9]. However, the prevalence of the BRAFV600E mutation in PTC is much higher (52%-83%) in Korea than has been observed in other countries (30%-49%) [10-14]. There are inconsistent reports suggesting that BRAF mutations may not predict a poor prognosis for PTC in Korea because of the relatively high incidence of that mutation in these countries [10,12]. The relatively low number of BRAFV600E-negative tumors within the Korean population might have weakened the statistical power of the corresponding analyses [10,12]. This discrepancy may be due to a lack of any prospective studies that would reduce the selection bias of observational studies, small sample size, a lack of multivariate analysis, and heterogeneous histologic subtypes of PTC. However, Kim et al. [11] recently reported the results of a prospective study analyzing a patient population in Korea. They suggested that the BRAFV600E mutation is associated with high-risk clinicopathologic characteristics in patients with PTC. They concluded that the BRAFV600E mutation may be a potential prognostic factor in Korean PTC patients [11]. The result of our study was largely consistent with Kim et al. [11]

In this study we found that BRAF mutation is associated with the classic-type PTC and central lymph node metastasis (Table 2). Furthermore, more patients presented with one or more aggressive tumor features in the BRAF mutation positive group (Tables 2, 3). Patients who have one or more aggressive pathologic features might benefit from RIA. This is important in clinical practice because the decision of whether to perform a total thyroidectomy or limited surgery is made in advance of the final pathology results. Although traditional prognostic factors such as age, gender, extratumoral extension, metastasis, size, and TNM stage are associated with the aggressiveness of the tumor, some important factors are only revealed after surgery, consequently limiting the completeness of the initial surgical choice. In considering RIA as a treatment plan, clinicians review the pathologic results after the initial surgery and decide whether or not to proceed with RIA. The necessity for RIA should reflect the aggressiveness of the tumor. What is important is that total thyroidectomy is the premise for a successive RIA. Therefore, the optimal initial treatment of choice for patients with one or more aggressive features is total thyroidectomy. The treatment of choice for patients with no aggressive features could be total thyroidectomy or less aggressive surgery, such as unilateral thyroidectomy. Since lymph node metastasis and needing to undergo RIA are both associated with BRAF mutation, knowing the BRAF mutation status of a patient prior to performing surgery might be

helpful in deciding the extent of initial surgery, thus avoiding a second surgery. Furthermore, preoperative assessment of *BRAF* mutation in fine needle aspiration is proven to be feasible clinically [21]. In our study, the *BRAF* mutation is related to classic PTC and aggressive tumor features. Therefore, preoperative knowledge of *BRAF* mutation status could be useful in the diagnosis of PTC, and planning initial surgical therapy.

To understand clinical value of *BRAF* mutation in predicting prognosis of papillary thyroid cancer, reviewing recently published data by Xing et al. [22] is helpful. The only mortality data available is from a retrospective study of 1,849 subjects with PTC who were evaluated and treated at 13 centers. This study confirmed the association between specific *BRAF* gene mutations and multiple aggressive features in PTC [22]. The data demonstrated a strong association between *BRAF* gene mutations and thyroid cancer mortality in unadjusted analyses. The effect was attenuated, but persistent, after adjustment for age and sex. Disappointingly, Xing et al. [22] failed to find significantly higher mortality rates in the BRAFV600E mutation group on multivariate analysis after adjusting for the clinical and histopathologic features of aggressive thyroid tumors. As a result, the BRAFV600E mutation is a mediator for the

features of clinically aggressive tumors that account for the vast majority of PTC mortality. This provides a biological rationale for targeted tyrosine kinase inhibitor therapy for tumors with *BRAF* mutation in advanced disease. Simultaneously, these study results suggest that BRAFV600E testing does not add predictive value for PTC-related mortality beyond the information collected in the process of PTC tumor staging [23].

It appears that the *BRAF* mutation has clinical value in the diagnosis of PTC, planning initial surgical therapy, and in the utilization of tyrosine kinase inhibitors. Our study supports the association between *BRAF* mutations and the aggressiveness of PTC. *BRAF* mutations are associated with lymph node metastasis and needing to undergo RIA in our study. This association might be useful in tailoring the initial surgical management of patients with PTC. However, further well designed prospective study is required to confirm clinical value of *BRAF* mutation in deciding surgical plan.

# **CONFLICTS OF INTEREST**

No potential conflict of interest relevant to this article was reported.

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