# Re-estimation of the burden of serious fungal diseases in Uganda

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# Abstract

**Background:** It is of utmost importance to monitor any change in the epidemiology of fungal diseases that may arise from a change in the number of the at-risk population or the availability of local data.

**Objective:** We sought to update the 2015 publication on the incidence and prevalence of serious fungal diseases in Uganda.

**Methods:** Using the Leading International Fungal Education methodology, we reviewed published data on fungal diseases and drivers of fungal diseases in Uganda. Regional or global data were used where there were no Ugandan data.

**Results:** With a population of ~45 million, we estimate the annual burden of serious fungal diseases at 4,099,357 cases (about 9%). We estimated the burden of candidiasis as follows: recurrent *Candida* vaginitis (656,340 cases), oral candidiasis (29,057 cases), and esophageal candidiasis (74,686 cases) in HIV-infected people. Cryptococcal meningitis annual incidence is estimated at 5553 cases, *Pneumocystis* pneumonia at 4604 cases in adults and 2100 cases in children. For aspergillosis syndromes, invasive aspergillosis annual incidence (3607 cases), chronic pulmonary aspergillosis (26,765 annual cases and 63,574 5-year-period prevalent cases), and prevalence of allergic bronchopulmonary aspergillosis at 75,931 cases, and severe asthma with fungal sensitization at 100,228 cases. Tinea capitis is common with 3,047,989 prevalent cases. For other mycoses, we estimate the annual incidence of histoplasmosis to be 646 cases and mucormycosis at 9 cases.

**Conclusion:** Serious fungal diseases affect nearly 9% of Ugandans every year. Tuberculosis and HIV remain the most important predisposition to acute fungal infection necessitating accelerated preventive, diagnostic, and therapeutic interventions for the management of these diseases.

# Plain language summary

# How common are serious fungal infections in Uganda?

# Why was the study done?

This study was conducted to provide an updated understanding of the occurrence and impact of serious fungal diseases in Uganda. The aim was to monitor changes in the epidemiology of fungal diseases related to shifts in the at-risk population or the availability of local data.

# What did the researchers do?

Utilizing the Leading International Fungal Education methodology, the research team systematically reviewed published data on fungal diseases in Uganda. In instances where

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Department of Medicine, School of Medicine, College of Health Sciences, Makerere University, Kampala, Uganda Ugandan data was unavailable, regional, or global data were incorporated. This method allowed for a thorough examination of the incidence and prevalence of various serious fungal diseases, considering the local context.

# What did the researchers find?

With a population of approximately 45 million, the study estimated that nearly 9% of Ugandans, totalling around 4,099,357 individuals, are affected by serious fungal diseases annually. Notable findings include the prevalence of recurrent Candida vaginitis, oral candidiasis, and oesophageal candidiasis in HIV-infected individuals. Cryptococcal meningitis and *Pneumocystis* pneumonia were identified as significant contributors, along with various aspergillosis syndromes and widespread cases of tinea capitis.

# What do the findings mean?

These findings underscore the substantial impact of serious fungal diseases on the health of almost 9% of the Ugandan population each year. Recognizing tuberculosis and HIV as major predisposing factors, the study calls for urgent interventions to prevent, diagnose, and treat these diseases effectively. The identified targets, including improved access to essential antifungal medications, training of health care workers on fungal diseases, and increasing access to essential diagnostics. These interventions can significantly contribute to improving public health outcomes in Uganda.

Keywords: cryptococcal meningitis, chronic pulmonary aspergillosis, fungal diseases, Uganda

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# Introduction

Hippocrates first described what we now call oral thrush in 500BC in his classic writing on 'apthae' - meaning sores in the mouth.<sup>1</sup> Despite being among the oldest recognized causes of infection in humans, fungal diseases are the great neglected diseases of medical history.2-4 Therefore, their burden in terms of frequency (incidence, prevalence, morbidity, and mortality), severity (disability-adjusted life years and health-related quality of life), and economic impact (visible and invisible costs) remain unknown in most countries of the world. The World Health Organization (WHO) has recently recognized the importance of fungal disease to human health and has established a list of priority fungal pathogens to be targeted for research and development to ameliorate sufferings and deaths for serious fungal diseases.<sup>5</sup> Serious fungal infections denote fungal disease entities with major consequences, either death or severe morbidity.3 This includes tinea capitis in children - which is associated with exclusion from school, stigma, severe pustular scalp infection

(kerion), multiple treatment applications, household and school transmission, and permanent hair loss.<sup>6</sup> In addition to opportunistic and endemic fungal diseases, serious fungal infections also include recurrent vulvovaginal candidiasis (rVVc) (four or more episodes annually) and fungal keratitis, both with significant morbidity.<sup>7,8</sup> Continuous epidemiological surveillances are important in our understanding of the global, regional, and local incidence, prevalence, and trend of this frequently under-recognized and difficult-to-detect class of diseases.<sup>9</sup>

The first-ever estimate of the burden of serious fungal diseases in Uganda was published in 2015, highlighting important opportunistic fungal infections (OFIs) in human immunodeficiency virus (HIV) infection and knowledge gaps.<sup>10</sup> Since then, additional data on HIV-related OFIs,<sup>11-14</sup> deep mycoses,<sup>15,16</sup> and studies on chronic pulmonary aspergillosis (CPA) in post-tuberculosis (TB)<sup>17</sup> and in active TB<sup>18,19</sup> populations have been published, providing us with more reflective

local data to improve the robustness of the estimate of CPA. Also, several high-quality local data have been published on other important risk factors for fungal diseases including asthma<sup>20–24</sup> and chronic obstructive pulmonary disease (COPD)<sup>25</sup> and the population size has changed significantly. Furthermore, intensive care unit (ICU) services and beds have expanded across the country,<sup>26</sup> HIV treatment outcomes have significantly improved,<sup>27</sup> significantly reducing the number of individuals at risk of major opportunistic infections, and finally the incidence of cancers and associated mortality remains high,<sup>28,29</sup> reflecting an understudied high-risk population for severe fungal diseases.

In view of the above, we aimed to re-evaluate the incidence and prevalence of serious fungal diseases in Uganda based on locally available data to inform clinicians, researchers public health practitioners, and policymakers on areas of priorities for advocacy toward universal access to diagnostics and antifungals in Uganda.

# **Materials and methods**

#### Study design

Using a mix-method approach, we re-evaluate the burden of serious fungal diseases in Uganda using the Leading International Fungal Education (LIFE) program (https://en.fungaleducation. org) methodologies. The LIFE methodology utilizes a deterministic approach based on locally, regionally, or globally published literature to model estimates of the burden of serious fungal diseases. For this study, we conducted a literature review at the end of 2021 and updated it in June 2023.

# Data sources

To identify specific fungal disease incidences and epidemiological reports from Uganda, we performed a systematic search of the English literature for published data on fungal diseases in Uganda using PubMed, African Journal Online, and Google Scholar databases. Our search strings included the serious fungal diseases, including those associated with HIV, tuberculosis, and chronic lung diseases; 'chronic pulmonary aspergillosis' OR 'allergicbronchopulmonary aspergillosis' OR 'severe asthma with fungal sensitization' OR 'cryptococcosis' OR 'Pneumocystis pneumonia' OR ' esophageal candidiasis' OR ' oropharyngeal candidiasis' OR ' fungal keratitis' OR ' invasive aspergillosis' OR 'candidemia' OR 'Candida peritonitis' OR 'vulvovaginal candidiasis' AND Uganda. The data were used to estimate the incidence or prevalence of fungal infections based on the specific population at risk and the reported incidences for these risk groups. For all estimates, the most recent epidemiological data available were obtained for this study. Where national or local data were unavailable, data were extrapolated from other sources in the order of decreasing preference: from other countries in East Africa, elsewhere in Africa, non-African middle-income countries, and non-African non-middle-income countries. Published papers, including review articles with no clear epidemiological implications, were excluded.

We used population at-risk groups for infections and deterministic modeling to derive national incidence and prevalence estimates for the most serious fungal diseases. We used the United Nations Population and Woldometer databases to obtain population data.<sup>30</sup> Data on HIV were obtained from The Joint United Nations Programme on HIV/AIDS (UNAIDS) and TB from the WHO and Ministry of Health Uganda reports.<sup>31,32</sup> Data on chronic obstructive pulmonary disease (COPD)<sup>25</sup> and asthma,<sup>20,21,33</sup> ICU beds<sup>26,34</sup> were obtained from locally published studies. Data on cancers were obtained from Globocan.<sup>35</sup>

# Results

# Country profile

Uganda (officially the Republic of Uganda) is a landlocked country in East Africa with an estimated population of 45,741,000 (the basis of our estimate). In 2018, 23.8% of Ugandans were living in urban cities and towns up from 18.4% in 2008. Kampala, the capital city is the most populated with a population of over 1.5 million inhabitants. The median age of Ugandans is 15 years, making it one of the world's youngest populations.<sup>36</sup> The average life expectancy of Ugandans at birth is about 53 years. Table 1 summarizes population characteristics and underlying comorbidities in Uganda.

Table 1. Population characteristics and underlying comorbidities in Uganda.

Population characteristic	Number	Data source (reference)
Total population, 2020	45,741,000	Worldometers <sup>36</sup>
Women aged 15–49 years	10,939,000	Worldometers <sup>36</sup>
Children (5–14 years)	13,252,128	Worldometers <sup>36</sup>
Adults	24,693,000	Worldometers <sup>36</sup>
Persons living with HIV	1,400,000	UNAIDS, 2020 <sup>28</sup>
Children living with HIV	100,000	UNAIDS, 2020
HIV patients on ART	1,300,000	UNAIDS, 2020
AIDS-related deaths	22,000	UNAIDS, 2020
Pulmonary tuberculosis	85,500	WHO, 2020 <sup>29</sup>
COPD prevalence	16.2% age > 30 years; 4.1% of population	van Gemert <i>et al.</i> <sup>25</sup>
Asthma rates in adults and adolescents	12.3% (9.1–15.5)	Kirenga <i>et al.</i> <sup>20,21</sup>
Lung cancer/year	486	Globocan <sup>35</sup>
Acute myelogenous Leukemia/year	1372	Globocan <sup>35</sup> ; Natukunda <i>et al.</i> <sup>29</sup>
ICU beds nationally	55 (pre-COVID-19)	Atumanya <i>et al.</i> <sup>34</sup>
Abdominal surgeries/year	3000	Estimate

ART, anti-retroviral therapy; COPD, chronic obstructive pulmonary disease; COVID-19, coronavirus disease 2019; Globocan, Global Cancer Observatory; ICU, intensive care unit; UNAIDS, The Joint United Nations Programme on HIV/AIDS.

#### Assumptions and modeling approach

The estimating approach varied by fungal disease and at-risk denominator. Annual incidence rates of candidemia, intra-abdominal candidiasis (Candida peritonitis), mucormycosis, and fungal keratitis were based on the overall population in 2020 (Table 2). rVVc prevalence was based on females aged 15-50 years, with a rate per 100,000 of all females in Uganda based on a recent estimate (Table 2).8 Tinea capitis prevalence was based on children under the age of 15 years (Table 2). Mycetoma was based on a recent review of documented cases in Uganda.<sup>16</sup> Both allergic bronchopulmonary aspergillosis (ABPA) and severe asthma with fungal sensitization (SAFS) prevalence were based on adults with asthma, and this was derived on recent work from Uganda

indicating an asthma prevalence rate of 12% (Table 2).<sup>20,21</sup> Invasive aspergillosis (IA) annual incidence was derived from deaths from AIDS (4%) (UNAIDS), lung cancer (2.6%) (Globocan), 10% of acute myeloid leukemia cases which represent 50% of all leukemia-linked IA (Globocan) (so an equal number of IA cases linked to all other hematological cancers, multiple myeloma, and lymphoma), and 1.3% of COPD patients admitted to hospital (10.5% of all COPD cases GOLD stage 2–4) (Table 2).<sup>37</sup> No solid organ or allogeneic stem cell transplants are done in Uganda.

In contrast to the prior estimate which only estimated CPA following pulmonary tuberculosis (PTB), we have also modeled mistaken TB diagnoses (19% in HIV-uninfected and 10% in 

 Table 2.
 Epidemiological and clinical studies in Uganda data and assumptions based on literature made in assessing fungal disease burden.

Disease	Underlying disease(s)	Incidence/prevalence used to estimate burden	Comments	Reference
Cryptococcosis	Advanced HIV disease	Cryptococcal antigenemia, 19%	CD4 < 100	Oyella <i>et al.</i> <sup>38</sup>
		Cryptococcal antigenemia, 8.2% 8.6% in CD4 < 100, 2.3% in CD4 > 100	ART-naïve	Meya <i>et al.</i> <sup>39</sup>
		Cryptococcal antigenemia, 3.0%	HIV treatment experience with virologic failure	Mpoza <i>et al.</i> <sup>12</sup>
		Meningitis, 6.5%	CD4<100	Oyella <i>et al.</i> <sup>38</sup>
		Meningitis, 67.8%	Among meningitis suspects	Ellis <i>et al.</i> <sup>40</sup>
		Meningitis, 63%	Among meningitis suspects	Flynn <i>et al.</i> 41
		Cryptococcosis, 1.6%	Among HIV patients	Rubaihayo <i>et al.</i> <sup>14</sup>
		Meningitis mortality, 20–50%	Among meningitis patients	Kambugu <i>et al.</i> 42
		Meningitis mortality, 45% versus 30%	COAT trial	Boulware <i>et al.</i> <sup>43</sup>
		Meningitis mortality, 15.7%	All-cause mortality among HIV-infected patients	Kiragga <i>et al.</i> <sup>13</sup>
		Meningitis mortality, 19%	All-cause mortality among hospitalized HIV-infected patients	Namutebi <i>et al.</i> 44
<i>Pneumocystis</i> <i>jirovecii</i> pneumonia	Advanced HIV disease	PCP, 0.3%	Among HIV-infected adults	Rubaihayo <i>et al.</i> <sup>14</sup>
		PCP, 3.9%; 11.4% among newly diagnosed	Among patients admitted with pneumonia	Taylor <i>et al.</i> <sup>45</sup>
		PCP, 15.4%	Meta-analysis, Sub-Saharan Africa; mainly in patients	Wasserman <i>et al.</i> 46
		PCP mortality, 7.1%	All-cause mortality among HIV-infected patients	Kiragga <i>et al.</i> <sup>13</sup>
Oropharyngeal candidiasis	HIV	19.4%	Among patients with advanced HIV disease	Rubaihayo <i>et al.</i> <sup>14</sup>
Esophageal candidiasis	Advanced HIV disease	8.0%	Among patients with advanced HIV disease	Rubaihayo <i>et al.</i> <sup>14</sup>

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# Table 2. (Continued)

Disease	Underlying disease(s)	Incidence/prevalence used to estimate burden	Comments	Reference
Invasive aspergillosis	Advanced HIV disease, COPD admissions to hospital, hematological malignancy	4% of deaths from HIV/AIDS 13% rate in AML, number in non-AML same as AML patients. 2.6% of lung cancer patients 1.3% of the 10.5% of COPD patients admitted to hospital	Global data	Lortholary <i>et al.</i> <sup>47</sup> Denning and Morgan <sup>48</sup>
Disseminated histoplasmosis	Advanced HIV disease	1.2–1.3%	Among patients with suspected meningitis and outpatient clinic	Bahr <i>et al.</i> 49; Sekar <i>et al.</i> 50
Chronic pulmonary aspergillosis	Tuberculosis	6.5% annually in those with TB cavities and 0.2% with no cavities, assumed to be 67% of all TB-related. CPA 20% of TB cases with persistent symptoms (CPA-TB co-infection): Definitive CPA cases were 6.3% among HIV+ and 6.1% among HIV- 8% at end of TB treatment	The largest study in Africa on post-TB CPA was done in Uganda. All patients were symptomatic. Indonesian study	Page <i>et al.</i> <sup>17</sup> Namusobya <i>et al.</i> <sup>19</sup> Setianingrum <i>et al.</i> <sup>51</sup>
Allergic bronchopulmonary aspergillosis	Adult patients living with asthma	2.5% of adult asthmatics 3.2% of adult asthmatics with severe asthma	Among African population Uganda	Benatar <i>et al.</i> <sup>52</sup> Kwizera <i>et al.</i> <sup>23</sup>
Severe asthma with fungal sensitization	Adult patients living with asthma	33% of the worst 10% of adult asthmatics 34.6% of asthma patients have severe persistent asthma	Among African population East African cohort	Kwizera <i>et al.</i> <sup>23</sup> Kirenga <i>et al.</i> <sup>33</sup>
Candidemia	Intensive care, invasive procedures	5/100,000	Country dependent varies from 2 to 11/100,000	Arendrup <sup>53</sup> Bongomin <i>et al.</i> <sup>54</sup>
<i>Candida</i> peritonitis	Post-abdominal surgery, pancreatitis	50% annual incidence of candidemia in ICU, itself assumed to be 33% of all candidemia	No reliable local and regional data	Montravers <sup>55</sup>
Mucormycosis	Uncontrolled diabetes, hematological malignancies	0.6%	Among patients with deep mycoses diagnosed histologically	Kwizera <i>et al.</i> <sup>15</sup>
Mycetoma	Trauma	0.32/100,000 annual prevalence		Kwizera <i>et al.</i> <sup>15,16</sup>
Fungal keratitis	Trauma	13.3/100,000		Brown et al. <sup>7</sup>
Tinea capitis	School-age children	7.1% of children $<$ 10 years of age	No locally published data. May be an underestimate	Komba and Mgonda <sup>56</sup>
Recurrent vulvovaginal candidiasis (4 or more/year)	Women of reproductive age	6% of adult women	The prevalence varies between 5% and 8%	Denning <i>et al.</i> <sup>8</sup>

AML, acute myelogenous leukemia; ART, anti-retroviral therapy; COPD, chronic obstructive pulmonary disease; ICU, intensive care unit; PCP, *Pneumocystis* pneumonia.

HIV-infected patients, Nigeria),<sup>57</sup> dual CPA and TB infections (6.3% in HIV-infected and 6.2% in HIV-uninfected, Uganda),<sup>19</sup> and CPA arising during or immediately after completion of anti-TB therapy (8%, Indonesia).<sup>51</sup> In TB survivors, we have estimated CPA occurs annually in 6.5% of those left with a cavity (30%) and 0.2% of those without a cavity (Uganda) (Table 2).<sup>17</sup> To calculate prevalence, a 20% year 1 mortality (or surgical resection) was assumed, and 7.5% annual mortality in years 2–5 (overall 50%).<sup>58</sup>

The at-risk group of HIV-infected adults was assumed to be  $<200 \times 10^6/L$  CD4 count, and this was derived by assuming a 7-year fall in CD4 counts in those not on anti-retroviral therapy (ART) (39,500) and 36% of those without viral load suppression (25,000).59 The annual incidence of oral candidiasis was assumed to occur in 90% and esophageal candidiasis in 20% of this group and 5% of those on ART.<sup>14,60</sup> In adults with advanced HIV disease, the annual incidence of cryptococcal antigenemia was assumed to be 8.6% (Table 2) and Pneumocystis jirovecii pneumonia (PCP) 11% (Table 2) (but a 2-year risk period for PCP).<sup>12,14,38,39,46</sup> For PCP in children, it was assumed that 21% of deaths (4800) were attributable to PCP and this was 40% of all PCP cases among the 100,000 HIV-infected children in the country.<sup>61</sup> Disseminated histoplasmosis was estimated at 1% based on recently published data on histoplasmosis in advanced HIV disease in Uganda.<sup>15,50,62,63</sup> Table 2 summarizes the epidemiology data and assumptions made in assessing the burden of serious fungal diseases in Uganda.

# Estimate of serious fungal diseases in Uganda

We estimate a total of 4,099,357 (about 9% of the Ugandan population) cases of serious fungal diseases a year in Uganda, Table 3.

# Discussion

This study aimed to re-evaluate the burden of serious fungal diseases in Uganda. In comparison to the 2015 estimate of about 2.5 million cases of serious fungal diseases in Uganda, our updated analysis revealed an annual burden of 4.1 million, primarily driven by the significant prevalence of tinea capitis (74%). Uganda, being a young country with half of its population being children, predominantly of rural habitation and with poverty, experiences a substantial health impact of superficial mycoses. However, with the publication of more epidemiological data from Uganda, compared to the 2015 estimates, we observe a high burden of CPA (63,574 up from 3347), ABPA (75,931 from 18,700), SAFS (100,228 from 24,684), and cryptococcal meningitis (5553 from 4050), PCP (6704 down from 42,761), IA (3607 up from 389) contributing significantly to morbidity and mortality among Ugandans at risk.

# Candidiasis

Candida species are opportunistic fungal pathogens mainly caused by Candida albicans, and other non-albicans Candida species such as C. glabrata (Nakaseomyces glabrata), C. parapsilosis, C. tropicalis, C. krusei (Pichia kudriavzevii), and C. auris.64 Clinical presentation of candidiasis is broad ranging from mucocutaneous disease such as oropharyngeal, esophageal, and vaginal candidiasis to candidemia and invasive candidiasis.65-67 There are few publications on Candida and candidiasis from Uganda, based on culture.14,68 With an estimated 10,939,000 women of reproductive age in Uganda, we estimated 656,340 cases of recurrent vulvo-vaginal candidiasis (rVVC) based on global estimates of rVVC of 6% among adult women.8 Our recent metaanalysis, pooling data of 15,723 women from sub-Saharan Africa, found the prevalence of vulvo-vaginal candidiasis (VVC) at 33%,69 so a rVVC rate of 6% may be an underestimate. Our previous estimate of fungal disease burden in Uganda<sup>3</sup> included 651,600 cases of VVC in pregnancy, as 60% are colonized or infected.70

With an estimated 1.4 million HIV cases in 2021, including 1.3 million adults, 200,000 not on ART and 100,000 not virally suppressed,<sup>71</sup> about 64,571 PLWH are probably at risk of developing serious OFIs including oropharyngeal candidiasis, esophageal candidiasis, PCP, cryptococcal meningitis, and histoplasmosis. From sub-Saharan Africa, our meta-analysis of HIV-associated esophageal candidiasis found an overall pooled prevalence of 12% in nine studies detailing 113,272 affected.<sup>72</sup> The prevalence was relatively higher in the pre-ART era compared to the ART era (34.1% versus 8.7%). However, the pooled data are small compared to the millions of PLWH in Africa, so this estimate is uncertain.

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Table 3. Estimate of serious fungal diseases in Uganda.

Serious fungal diseases	Measure	No underlying disease	HIV	Respiratory disease	Hematology/ oncology	Critical care + surgery	Rate/ 100,000	Total
Candidiasis								
Recurrent Candida vaginitis (≥4×/year)	I	656,340					2870	656,340
Oral candidiasis	I		29,057				63.5	29,057
Esophageal candidiasis	I		74,686				163.3	74,686
Candidemia	I				1601	686	5.0	2287
Candida peritonitis	I					343	0.8	343
Cryptococcosis								
Cryptococcal meningitis	I		5553				12.1	5553
Pneumocystosis								
<i>Pneumocystis</i> pneumonia in adults	I		4604				10.1	4604
PCP in children	I		2100				4.6	2100
Aspergillosis								
Invasive aspergillosis	l		680	2570	357		7.9	3607
СРА	I			26,241			57.4	26,241
СРА	Р			63,574			137.1	63,574
ABPA in asthma	Р			75,931			166.0	75,931
SAFS	Р			100,228			219.1	100,228
Superficial and implantation mycoses								
Tinea capitis	Р	3,047,989					6664	3,047,989
Fungal keratitis	I	6084					13.3	6084
Mycetoma	I	79					0.3	79
Other mycoses								
Histoplasmosis	I		646				1.4	646
Mucormycosis	I				9		0.02	9
Total								4,099,357

ABPA, allergic bronchopulmonary aspergillosis; CPA, chronic pulmonary aspergillosis; I, annual incidence; PCP, *Pneumocystis jirovecii* pneumonia; P, prevalence; SAFS, severe asthma with fungal sensitization.

For non-HIV-associated cases of life-threatening candidiasis, that is candidemia and Candida peritonitis, estimates were based on at-risk populations, particularly patients with hematology-oncology conditions and those receiving critical care and post-abdominal surgery. We used an estimate of candidemia rate of 5/100,000 population, probably a conservative estimate based on other resource-constrained countries.53,54 Many will be undiagnosed as blood cultures are only done in major hospitals,73,74 and in any case are only ~40% sensitive for invasive candidiasis. Without local data, we cannot summarize the species distribution of Candida and other veasts in bloodstream infections. Of the 686 estimated cases of candidemia following surgery or ICU admission, based on data from Montravers et al.,55 0.5% are estimated to develop Candida peritonitis; therefore, an estimated 343 (686 \* 0.5) cases of Candida peritonitis probably occur annually in Uganda. The incidence of cancers has significantly increased in Uganda, with very high mortality rates.<sup>29</sup> Similarly, postoperative mortality following abdominal surgery in Uganda is more than 10%.75 With limited access to anti-fungal agents such as echinocandins which are recommended for the treatment of candidemia, some of these mortalities might be attributed to undiagnosed, untreated OFIs including candidemia.

Cryptococcosis. Cryptococcosis, predominantly caused by Cryptococcus neoformans, a WHO critical priority pathogen,5 accounts for 15-20% of AIDS-related deaths annually, with a disproportionate effect on PLWH in East, Central, Southern, and West Africa.<sup>76</sup> In Africa, our recent systematic review has shown that about 28% of people who died with HIV had Cryptococcus isolated from autopsy samples.77 Cryptococcal meningitis is a common cause of meningitis among adults living with HIV in Uganda.<sup>40</sup> Among 200 Ugandans with culture-documented cryptococcal meningitis, none of the isolates was C. gattii complex.<sup>78</sup> Screening for cryptococcal antigenemia is routine in Uganda among people with advanced HIV disease, with the prevalence of cryptococcal antigenemia of about 8-20% among those with CD4 count of <100,38,40 3% for ART-experienced PLWH.12 HIV-associated cryptococcal meningitis remains an important cause of mortality among PLWH in Uganda.<sup>13,42,43</sup> In this study, we estimate 5553 annual cases of cryptococcal meningitis, assuming an 8.6% annual incidence rate among 64,571 PLWH at risk.

Uganda has made significant strides in the clinical study of cryptococcosis and data emanating from these studies have informed clinical practice including validation of the cryptococcal lateral flow antigen test,<sup>79</sup> timing of anti-retroviral therapy,<sup>43</sup> therapeutic lumbar puncture,<sup>80</sup> the use of single, high-dose amphotericin B,<sup>81</sup> the use of orally bioavailable amphotericin B,<sup>82</sup> and others.

Pneumocystis pneumonia. PCP is an important cause of acute respiratory distress syndrome among PLWH and commonly presents as an index opportunistic infection, particularly among those presenting to care with advanced HIV disease.83 In a meta-analysis of hospital-based studies across 18 countries in sub-Saharan Africa, the overall prevalence of PCP was 15.4%, with a mortality rate of about 18%.46 In a more recent meta-analysis that included studies from 15 African countries, the overall prevalence of laboratory-confirmed PCP was found to be 19% among adult PLWH experiencing respiratory symptoms.84 This prevalence ranged from 15% in studies using microscopy to 22% in studies employing polymerase chain reaction for detection. Interestingly, the prevalence of laboratoryconfirmed PCP has remained relatively consistent both in the pre-ART era (1995-2005) at 21% and in the ART era (2006–2020) at 18%. At autopsy, about 7% of people who died with HIV in Africa were found to have Pneumocystis.77

In the current estimates, we estimate 4604 annual cases of PCP in adults and 2100 in children linked to HIV; we did not estimate non-HIV-related cases. This assumes an 8.6% annual incidence rate among 64,571 adults PLWH. We halved this number given the reduction in the incidence of PCP since the advent of ART and widespread cotrimoxazole prophylaxis in Uganda, thus 7361.1/2=3681 cases in adults. With 17,000 HIV-related deaths in 2021, including 4000 children (therefore, 13,000 adults), and an estimated prevalence of PCP of 7.1% from a study conducted in Uganda by Kiragga et al.,13 we estimated a total of  $3681 + (13,000 \times 0.071) = 4604$ . In children, we assumed that PCP causes deaths in about 21%46 based on a recent meta-analysis from Africa, and this could be underestimated by about 2.5-fold. Therefore, PCP in children with HIV probably occurs in about  $4000 \times 0.21 \times 2.5 = 2100$ annual cases. Most cases of PCP in Uganda are clinically diagnosed, given the limited access to bronchoscopy and laboratory diagnostics for the

definitive diagnosis of the disease.<sup>85</sup> However, the widespread use of co-trimoxazole both in ART care for newly diagnosed PLWH and over-thecounter for the treatment of community-acquired pneumonia has probably contributed to a significant decline in PCP incidence. There are no substantive data to confirm this supposition.

Our prior estimate of serious fungal disease in Uganda<sup>5</sup> also estimated PCP deaths in children with pneumonia, without HIV infection -2.6% autopsy incidence in children dying of pneumonia (1950 children). Given the lack of up-to-date data on specific microbial causes of death in pneumonia in Uganda, we have not included this in this updated estimate.

Cotrimoxazole (sulfamethoxazole in combination with trimethoprim) is the recommended first-line agent for both prevention and treatment of PCP.83,86 However, resistance to cotrimoxazole, mediated by mutations in the dihydropteroate synthase (DHPS) enzymes, the main target of sulfamethoxazole, which inhibits the synthetic pathway of folate, has emerged due to widespread use of the drug in both HIV and non-HIV populations.87,88 The antimalarial agent, primaquine is an alternative medication for the treatment of PCP, when combined with clindamycin; however, its usage is challenged by the high burden of glucose-6-phosphate dehydrogenase (G6PD) deficiency in many African countries.89,90 The WHO has guided the use of rapid diagnostic tests for G6PD deficiency in the context of Plasmodium vivax malaria treatment with primaquine.91 However, data on the utilization of rapid diagnostic tests for G6PD deficiency in the larger context of patients with PCP who would need primaguine for second-line treatment are scarce and are a subject for critical review and further research.

Aspergillosis. Aspergillosis is mainly caused by Aspergillus fumigatus, and occasionally by A. flavus, A. terreus, A. niger, and other species.<sup>92</sup> The disease spectrum is broad and varies significantly in severity from allergic disorders such as ABPA in individuals with asthma and cystic fibrosis, superficial diseases such as keratitis and onychomycosis in immunocompetent individuals, to acute, subacute, and chronic pulmonary diseases in persons with underlying pulmonary or systemic immunosuppression.<sup>93</sup>

IA is a life-threatening infection that occurs in patients with underlying malignancies, advanced

HIV disease, acutely ill patients in the ICU, and those with other conditions such as COPD.37,94,95 We used a prevalence rate of 4%48 for IA in advanced HIV, to estimate the IPA prevalence rate among Ugandans who died of HIV in 2021  $(0.04 \times 17,000 = 680)$ . With an estimated population of Ugandans older than 30 years of age at 11,563,325, and COPD prevalence of about 16.2% from van Gemert et al.,25 thus 1,873,259 COPD patients, with about 10.5% hospitalization rate per year, 196,692 admissions per year are anticipated. IA (all pulmonary) probably occurs in about 1.3% (196,692  $\times$  0.013 = 2557) of hospitalized Ugandans with COPD every year. We estimate about 1372 cases of AML in Uganda and 501 cases of lung cancer, resulting in 13 cases of IA among lung cancer patients at a rate of 2.6% and 357 cases of IPA among leukaemia and lymphoma patients. In total, we estimate 3607 annual cases of IPA in Uganda. Orem et al.96 reported a fatal case of disseminated aspergillosis in an immunocompetent Ugandan woman and Meya et al.<sup>97</sup> reported a case of renal aspergilloma in a Ugandan man who survived following nephrectomy and intravenous amphotericin B therapy.

CPA is a debilitating lung disease that occurs in patients with underlying structural lung diseases.98 A prospective cohort study conducted by Page et al.<sup>17</sup> evaluated 398 Ugandans after completion of PTB treatment using clinical assessment, chest radiography, and Aspergillus-specific IgG measurement. Of these, 285 were resurveyed 2 years later, including computed tomography of the thorax in 73 with suspected CPA. CPA was confirmed in 14 resurvey patients. The annual rate of new CPA development between surveys was 6.5% in those with chest radiography cavitation and 0.2% in those without. Our recent study from Mulago Hospital (Kampala, Uganda) found that 32 (20%) of 162 patients with PTB who had persistent respiratory symptoms despite completing 2 months of TB treatment had CPA,<sup>19</sup> with patients with CPA-PTB co-infection having a much worse healthrelated quality of life at the time of TB treatment completion compared to those with PTB alone.<sup>18</sup> We reported death in a patient with CPA complicating PTB, with heart failure,99 use of point of care lateral flow assay for Aspergillus specific immunoglobulin G and M (IgG/IgM) detection for early screening for CPA<sup>100</sup> and demonstrated that CPA is frequently misdiagnosed as PTB relapse among Ugandans with chronic respiratory symptoms following PTB treatment.<sup>101</sup>

To estimate the CPA burden, we used the 2021 WHO TB profile data for Uganda showing an estimated 87,360 Ugandans developing TB every year, including 27,840 HIV-positive cases, and TB mortality of 12,000 including 5952 cases among PLWH, and 43% clinically diagnosed TB.32 We conducted a multi-level estimate based on our current understanding of the spectrum of TB-associated CPA. We estimate an annual incidence of 26,765 CPA cases. Using an all-cause mortality rate of 20% from the study by Ohba et al.,102 a total of 12,503 patients survive (1759 die) at the end of 12 months. Therefore, CPA probably accounts for 14.7% (1759 of 12,000) of TB-related deaths in newly presenting cases. Our recent work has shown that Ugandans with CPA-PTB co-infection have a poorer health-related quality of life compared to those with only PTB.18

In Uganda, Page et al.<sup>17</sup> showed that incident CPA cases occur at a rate of 6.5% among TB survivors with cavitation, and 0.2% among those without cavitation. With annual incident cases of 12,297, mortality at one year of 20%,102 and in years 2-5 of 7.5%,<sup>103</sup> we estimate a 5-year CPA prevalence of 63,574. However, this estimate assumes that CPA only occurs in people who have active, presumed or previously treated TB. Only 60% of CPA cases in Africa are due to TB-related complications.<sup>104</sup> Moreover, positive isolation of Aspergillus from patients with TB is common in Uganda, and usually. A. niger, but additional diagnostic work-up with imaging and antibody detection is required to diagnose aspergillosis.<sup>105,106</sup> Other factors such as COPD, recurrent pneumonias, and cavities caused by other infectious diseases such as echinococcosis and nocardiosis also contribute to CPA burden,<sup>104</sup> but are probably accounted for among the smear or GeneXpert-negative cases in Uganda, given the general lack of other diagnostics.

Fungal asthma encompasses ABPA, SAFS, and allergic bronchopulmonary mycosis (ABPM). Kirenga *et al.*<sup>20,21</sup> report a 12.3% asthma rate among Ugandans with a slighter higher burden among PLWH. There is no documented case of cystic fibrosis in Uganda. In Uganda, ABPA occurs in about 3.2% of adult patients living with asthma,<sup>23,107</sup> and SAFS in about 33% of most poorly-controlled (10%) of adult asthmatics among African population adult patients living with asthma.<sup>23</sup> ABPM was also documented as a discrete group in 2.9%<sup>17</sup> but we have not added this group to our overall estimate of fungal

asthma. About 35% of asthma cohorts in East Africa have severe persistent asthma, with less than 15% having access to inhaled corticosteroids.<sup>33</sup> Previous studies showed that access and affordability to essential diagnostics and medicines for asthma care is sub-optimal in Uganda.<sup>108</sup> Therefore, asthma is underdiagnosed and undertreated with standard asthma therapies in Uganda. On top of this, fungal asthma is clearly a major problem in Uganda and one that would be responsive to antifungal therapy in many patients.

Superficial and implantation mycoses. Superficial fungal diseases contribute to over 1 billion cases annually.54 However, most superficial fungal infections such as pityriasis versicolor and tinea corporis are mainly asymptomatic and not lifethreatening. However, tinea capitis is associated with complications such as kerion and scaring alopecia which affects the quality of life of the affected individuals. A recent systematic review of the burden of tinea capitis in Africa found that about 136 million children suffer from the disease, with a pooled prevalence of 23%.6 With an estimated 13,252,000 children in Uganda, the burden of tinea capitis is estimated at 3,048,000 cases every year. Recent diagnostic surveys across Africa have shown a marked improvement in the availability and access to essential diagnostics for superficial fungal infections.<sup>109</sup> However, epidemiologic data on tinea capitis in Uganda are generally lacking and many cases of tinea capitis are diagnosed clinically across the country.

The WHO has recognized the 'implantation mycoses', mycetoma, sporotrichosis, and chromoblastomycosis as neglected tropical diseases.<sup>110,111</sup> A retrospective review of all the biopsy reports at the Pathology Reference Laboratory, Department of Pathology, Makerere University, Kampala, Uganda from January 1950 to September 2019 revealed 249 cases of mycetoma,<sup>16</sup> with 30 cases previously published, so a total of 279 cases of mycetoma published from Uganda. Further histological analysis of 697 cases of deep fungal infections identified subcutaneous 'phycomycosis' (the term used in the 1960s and 1970s) (26%), histoplasmosis (9.2%), chromoblastomycosis (4.6%), aspergillosis (3.3%), cryptococcosis (3.3%), blastomycosis (1.6%), coccidioidomycosis (0.6%), mucormycosis (0.6%), and sporotrichosis (0.1%).<sup>15</sup> However, these data do not reflect the national burden of deep fungal diseases in Uganda as the majority of these cases were

drawn from a single region. Pathology services remain unavailable in most general hospitals and specialist pathologists tend to concentrate within the city and major tertiary centers. Enhanced histopathological practice and the use of a registry would improve the reporting of deep fungal diseases in Uganda.

Mycotic (fungal) keratitis is a serious infection of the cornea that frequently leads to blindness and the loss of the affected eye.7 This condition is predominating in regions with tropical and subtropical climates, affecting predominantly young agricultural workers with low socioeconomic status. About 1 million incident cases of fungal keratitis occur annually, particularly in Africa and Asia.7 At a modest rate of 13.3/100,000 population, we estimate about 6084 annual cases of fungal keratitis in Uganda. Fungal keratitis contributes to over 60% of all cases of microbial keratitis in Uganda.<sup>112,113</sup> Treatment remains a challenge,<sup>114</sup> with over 25% of the patients losing their sight or eve, with their overall and ocular quality of life grossly affected.<sup>115</sup> Across Africa, diagnostic procedures for sample collection for pathogen identification remain a major limitation in the diagnosis of fungal keratitis.116

Other mycoses. Histoplasmosis is an invasive fungal disease that is prevalent in the Ohio and Mississippi river valleys in the United States, as well as in various regions, including Central and South America, Western Africa, Southern Africa, Eastern Africa, Central Africa, and Southeast Asia. The classical form of the disease is caused by Histoplasma capsulatum var capsulatum (under current names of Histoplasma capsulatum sensu stricto, Histoplasma mississippiense, Histoplasma ohiense, Histoplasma suramericanum), while the African type is attributed to Histoplasma capsulatum var duboisii.117 However, there is uncertainty about species-level identification in this genus in Africa due to limited access to molecular diagnostics. The common mode of Histoplasma infection is through the inhalation of microconidia. In adults, particularly those with HIV/AIDS, this infection poses a significant risk and was officially classified as an AIDS-defining illness in 1987.<sup>118</sup> Histoplasmosis has been shown to occur in Uganda. From our review of histologically diagnosed fungal diseases, about 10% of the cases were due to histoplasmosis.15 Also about 1.2% of HIV patients in the outpatient settings and those being investigated for

acute meningitis have been estimated to have evidence of acute disseminated histoplasmosis.49,50 In our estimate, we assumed a 1% occurrence of disseminated histoplasmosis among the estimated 64,571 PLWH with low CD4 cell counts. This is a reasonable estimate given the available data. However, other manifestations of histoplasmosis such as chronic pulmonary histoplasmosis (CPH) and subclinical histoplasmosis remain to be investigated. A study in the 1950s in Uganda found histoplasmin sensitivity in 10 of 175 (5.7%) screened individuals, with 6 out of the 10 having features of CPH and features of co-infection with TB.119 A follow-up survey in 1970 found a 3.8% histoplasmin (and 0% coccidioidin) sensitivity in Uganda.<sup>120</sup> However, the sensitivity was much higher among sawmill workers in the industrial city of Jinja, consistent with potentially higher exposure to Histoplasma spores.<sup>121</sup> A recent outbreak of acute pulmonary histoplasmosis following a visit to this area of high histoplasmin sensitivity has been described among short-term stay students who undertook their field trips to this region.<sup>122</sup>

Mucorales fungi are responsible for causing mucormycosis, an invasive and swiftly progressing disease of people with diabetes mellitus and immunocompromised individuals but also affecting those with intact immune systems due to trauma.<sup>123–125</sup> There are varied sites of involvement of mucormycosis infection with rhino-sinoorbital-cerebral, pulmonary, cutaneous, and gastrointestinal involvement being the most common sites of involvement.<sup>123–125</sup>

Osaigvovo et al.126 identified 408 cases of mucormycosis in Africa between 1960 and 2022; most cases (80.9%) were reported from North Africa. The predominant clinical forms were rhinoorbital-cerebral (75.2%) and gastrointestinal (12.5%). Underlying risks included diabetes mellitus (49.8%), COVID-19 (24.8%), malignancies (15.9%), and neutropenia (13.0%). Histopathology was the primary diagnostic method for most cases (72.5%). From our review of histologically diagnosed fungal diseases in Uganda, we only identified four cases of mucormycosis.15 Mucormycosis is largely unreported in Uganda and presents an opportunity for future research, particularly with the high burden of diabetes, which is poorly controlled in a significant proportion of the patients<sup>127,128</sup>; recent data on diabetes mellitus in Uganda are lacking.

For diagnostics, all the WHO-listed essential diagnostics for advanced HIV disease including cryptococcal antigen test, histoplasma antigen test, direct microscopy, and fungal culture are available in Uganda, in at least some centers. However, there is only occasional use of *Aspergillus* serology (for research purposes), and no/very limited access to galactomannan and beta-D-glucan used in the diagnosis of invasive fungal infections<sup>129–131</sup> (Table 4). Access to antifungals remains limited. Echinocandins and newer triazoles including posaconazole and isavuconazole

are not available in Uganda<sup>129</sup> (Table 5). Available triazoles such as itraconazole and voriconazole are not on the country's essential medicine list. In addition, there are limited studies on antifungal resistance both in clinical and environmental settings, and is therefore an area for further studies.

# Study limitations

This study is not without limitations. First, there are limited published data on most fungal diseases in Uganda. Cryptococcosis data, the most

Table 4.	Availability of	laboratory diagno	stic methods f	or the diagnosis	of fungal infect	ons in Uganda.
		, , ,		5	5	5

Fungal infection	Laboratory investigation	ons							
	Direct microscopy	Culture	Antigen test				Antibody test	PCR	Histology
			Aspergillus GM	1,3 BDG	CrAg	Histoplasma Antigen			
Cryptococcal meningitis	+ India ink	Blood/ CSF							
<i>Pneumocystis</i> pneumonia	Special stains, + immunofluorescent								
Invasive aspergillosis	+ Special stains								
Chronic pulmonary aspergillosis	+ Special stains	Sputum/ BAL							
Histoplasmosis	+ Special stains								
Fungal rhinosinusitis	+ Fluorescent								
Candidemia		Blood							
Candida vaginitis	Wet prep								
	Smear								
Mucormycosis	+ Fluorescent								
Mycetoma	+ Special stains								
Fungal keratitis	+ Fluorescent	Corneal scraping							
Tinea capitis	+ Fluorescent								

#### Key.

■ Not available; ■ Limited availability; ■ Widely available; □ Not applicable

BAL, bronchoalveolar lavage; 1,3 BDG, 13, beta-D-glucan; CrAg, cryptococcal antigen; CSF, cerebrospinal fluid; GM, galactomannan; PCR, polymerase chain reaction.

									ogaina.				
Fungal infection	Antifungal	agent	S										
	Azoles						Polyenes			Other classes of	f antifur	ıgal agents	
	Topicals*	FCZ	ITCZ	VCZ	PCZ	ISCZ	Nystatin	Amp B	Natamycin	Echinocandins	5FC	Terbinafine	Griseofulvin
Cryptococcal meningitis													
Invasive aspergillosis													
Chronic pulmonary aspergillosis													
Histoplasmosis													
Fungal rhinosinusitis													
Candidemia													
Candida vaginitis													
Mucormycosis													
Mycetoma													
Fungal keratitis				Eye drops				Eye drops	Eye drops				
Tinea capitis													
<i>Pneumocystis</i> pneumonia	Co-trimox	azole 0	R Clinda	amycin +	Primaqu	line OR	Dapsone + T	rimethopr					
Key. Not available; 1 1 *Topical antifungals Amp B, amphotericir	Limited availa include econa B; 5FC, 5-flu	bility; = Izole, mi oro-cyto	Widely a iconazole osine (Flu	available; , and cloti , rcytosine)	□ Not ap rimazole. : FCZ, fluc	plicable onazole;	ISCZ, isavuco	nazole; ITC	Z, itraconazole;	PCZ, posaconazole;	VCZ, vor	iconazole.	

ent of serious fundal infections in Udanda 5 2 for the Availability of antifundal medications

#### Conclusion

In conclusion, our study presents a re-evaluation of the burden of serious fungal diseases in Uganda, revealing a substantial annual burden of 4.1 million cases, notably driven by prevalent conditions such as tinea capitis. TB and HIV continue to be pivotal predisposing factors, maintaining a stable burden over the past 5 years. This underscores the urgency for accelerated preventive, diagnostic, and therapeutic interventions to address the considerable impact of fungal diseases on the health landscape of Uganda. Moving forward, future directions should prioritize targeted public health strategies, increased awareness, and enhanced healthcare infrastructure to effectively diagnose, manage, and reduce the burden of fungal diseases among at-risk Ugandans.

#### Declarations

*Ethics approval and consent to participate* Not applicable.

*Consent for publication* Not applicable.

#### Author contributions

Felix Bongomin: Conceptualization; Data curation; Formal analysis; Funding acquisition; Investigation; Methodology; Project administration; Resources; Software; Supervision; Validation; Visualization; Writing – original draft; Writing – review & editing.

**Richard Kwizera:** Writing – original draft; Writing – review & editing.

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**Irene Andia-Biraro:** Writing – original draft; Writing – review & editing.

**Bruce J. Kirenga:** Data curation; Validation; Writing – original draft; Writing – review & editing. **David B. Meya:** Writing – original draft; Writing – review & editing.

**David W. Denning:** Conceptualization; Data curation; Formal analysis; Funding acquisition; Investigation; Methodology; Project administration; Resources; Software; Supervision; Validation; Visualization; Writing – original draft; Writing – review & editing.

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#### Competing interests

The authors declare that there is no conflict of interest.

#### Availability of data and material

All underlying data have been included in the manuscript.

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