



# Ophthalmic artery reversal predicts contralateral body weakness symptoms better than carotid Doppler velocity – preliminary results

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**Background:** The measurement of blood velocity in the carotid artery has been the most popular noninvasive method of identifying and classifying carotid stenosis for half a century. Carotid stenosis is an indicator of elevated risk of stroke; anatomic revascularization reduces the chance of stroke by more than half. Controversy persists on how patients with severe carotid stenosis should be selected for anatomic revascularization. Patients with a connected circle of Willis (coW) might not benefit from anatomic revascularization; patients with two segments missing in the coW are most likely to benefit from revascularization.

**Methods:** Based on this analysis of data from carotid duplex examinations and transcranial Doppler examinations including ophthalmic artery (OA) direction in 28 patients, a refined carotid examination protocol is proposed. This refinement includes Doppler measurement of OA flow direction and documentation of internal carotid artery (ICA) bruit in addition to the adoption of an ICA peak systolic velocity (PSV) criterion exceeding 350 cm/s for identification of the patient most likely to benefit from carotid stenosis treatment.

**Results:** Sensitivity and specificity of OA direction or carotid bruit are 84.6%±5.4%, 71.4%±2.1% and for PSV >350 cm/s are 84.6%±5.4%, 59.5%±2.3% for predicting contralateral body weakness.

**Conclusions:** The proposed examination can be performed with the same duplex scanner and scan head currently used for carotid examinations with little additional time.

**Keywords:** Carotid; ophthalmic artery (OA); stroke prevention

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## Introduction

Of the nearly 800,000 strokes in the US each year, about 100,000 are due to severe carotid artery stenosis (sCAS). Anatomic revascularization by carotid endarterectomy (CEA) or inserting a carotid stent (CSt) can prevent many of these strokes. The selection of cases for CEA or CSt is currently based on the anatomic contrast X-ray angiography finding of severe percent diameter reduction (%DR). For asymptomatic patients with CAS >60%DR, the projected risk of death or ipsilateral stroke in 5 years is 11% without CEA/CSt or 5.1% with CEA (1). Although in a patient with sCAS who is asymptomatic, CEA/CSt reduces the chance of stroke by more than half, only 5.9% of these patients are saved from stroke or death by CEA/CSt requiring nearly 17 CEA/CSt procedures to prevent one stroke. In patients with neurological symptoms associated with a sCAS, the risk of stroke is higher and the benefit of CEA/CSt is greater. For symptomatic patients with sCAS >70%DR, the projected risk of ipsilateral stroke in 2 years is 26% without CEA/CSt or 9% with CEA (2). Although in a patient with sCAS who is symptomatic, CEA/CSt reduces the chance of stroke by more than 2/3, only 17% of these patients are saved from stroke by CEA/CSt requiring nearly 6 CEA/CSt procedures to prevent one stroke. The treatment success of CSt is similar to CEA (3).

Because only a minority of patients with sCAS appear to benefit from CEA/CSt by prevention of stroke, three endeavors have been initiated to improve the care of patients with sCAS: (I) identify features that indicate that the carotid atheroma causing the stenosis is vulnerable to rupture causing stroke. Features such as a thin protective cap and hazardous contents such as hemorrhage, lipid or calcium, might indicate that the patient is at higher risk for stroke if the stenosis is not treated. (II) Consider medical treatments for atherosclerotic stenosis, such as the use of statins or bempedoic acid as an alternative to anatomic revascularization. (III) Determine whether sCAS is also a cause of cortical dysfunction such as impaired cognition which can be improved by the treatment of sCAS.

This study explores the hypothesis that pressure reducing sCAS causes middle cerebral artery (MCA) hypotension which is indicated by three independent measurements: (I) elevated peak systolic velocity (PSV) in the sCAS; (II) carotid artery bruit indicating post-stenotic pressure drop; and (III) ophthalmic artery (OA) flow reversal indicating hypotension at the origin of the MCA. This study explores whether this triad of findings indicating sCAS is associated with the stroke symptom of contralateral face or body weakness.

Each internal carotid artery (ICA) supplies ipsilateral cerebral arteries feeding portions of the cerebral cortex via the circle of Willis (coW). The coW can provide collateral connections to one or both of the alternative supplies: the contralateral ICA or the basilar artery (BA). On autopsy, more than 28% of carotid arteries have no or inadequate collateral connections to either the contralateral ICA or BA (*Figure 1*) leaving the ICA as the sole supply to the ipsilateral MCA cerebral territory and adjacent connected cerebral artery territories. In such cases, excepting collateral supply from the OA and leptomeningeal collaterals (LAs), the mandatory ICA systolic flow rate might be as low as 3.3 cc/s (supplying MCA only) or as high as 8.3 cc/s (supplying four cerebral arteries) to perfuse the dependent portions of cerebral cortex (*Figure 1*) (4-6).

The ICA is connected to the MCA in every case. The hypothesis of this study is that a sCAS can be flow reducing if the ICA is connected to either the contralateral ICA or BA via the coW so that the alternate supply can make up the deficit flow required by the cerebral arteries. In that case, the MCA pressure and flow reserve are normal, even with an anatomical sCAS. But if the ICA is isolated, connected to neither the contralateral ICA or BA, the sCAS is pressure reducing because nearly all of the MCA flow

### Highlight box

#### Key findings

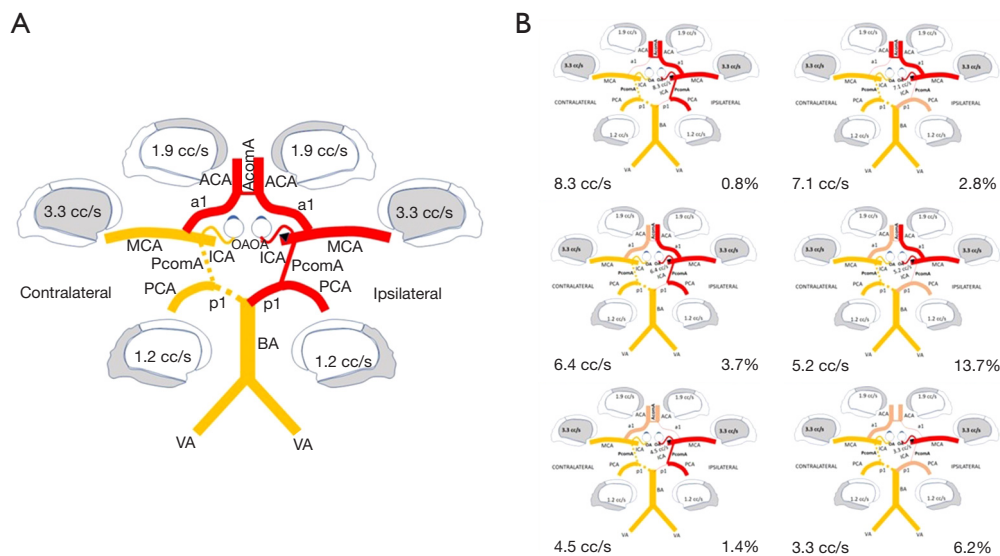
- Of 28 patients, 17 (61%) have ophthalmic artery (OA) flow reversal in the presence of carotid stenosis with peak systolic velocity >350 cm/s.
- Of 13 patients presenting with unilateral body or face weakness 10 (77%) have OA flow reversal.
- Measurement of OA flow direction might be a sensitive and specific indicator for middle cerebral artery (MCA) hypotension causing MCA dysfunction.

#### What is known and what is new?

- Severe carotid stenosis indicates elevated risk of preventable stroke: carotid revascularization reduces the chance of stroke by half; but if untreated only a minority of patients (11% of asymptomatic; 26% of symptomatic) will have stroke.
- This manuscript separates the hazardous pressure reducing carotid stenosis from the benign flow reducing carotid stenosis. OA flow reversal is an indicator of a pressure reducing carotid stenosis.

#### What is the implication and what should change now?

- Two measurements added to carotid Doppler examination, might identify cases most likely to benefit from treatment.



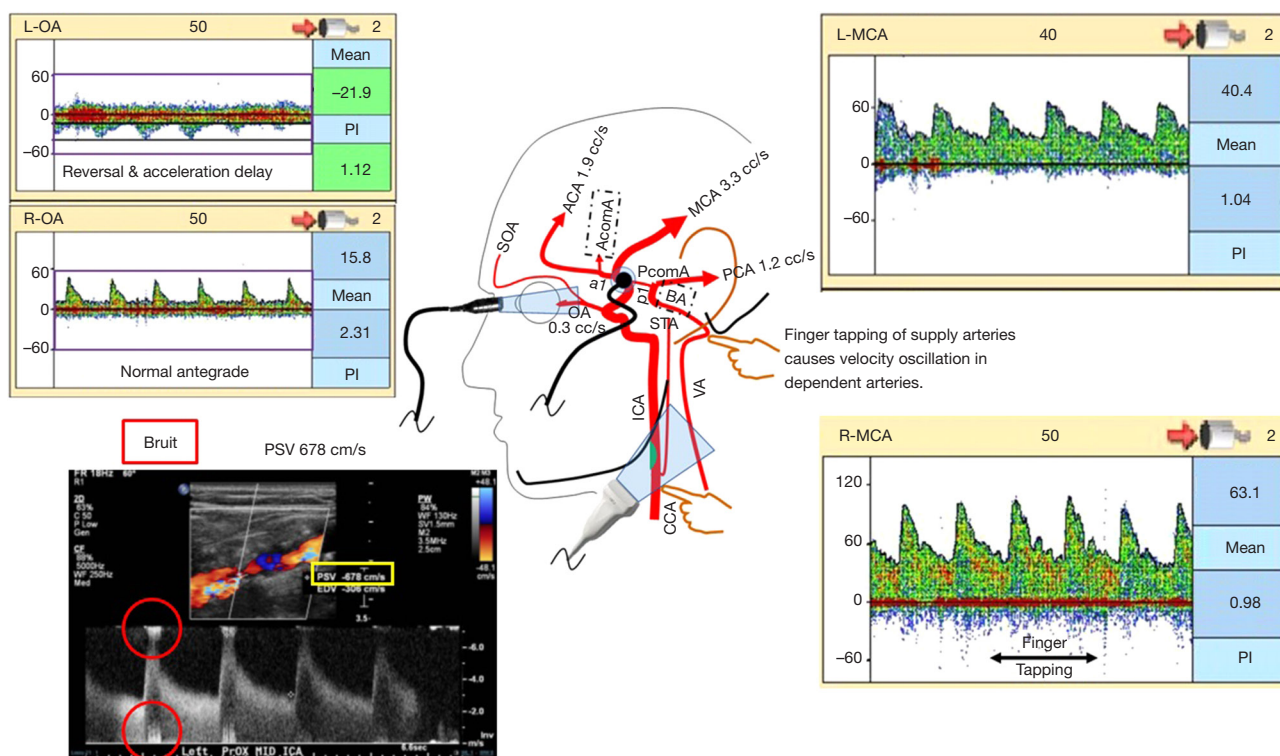
**Figure 1** Configurations of the isolated ICA. (A) coW model showing the four cerebral arteries in red that might receive flow from the ICA marked with a black triangle indicating stenosis. There are seven coW connections between the three supply arteries (BA, left and right ICA) and the six cerebral arteries supplying the brain (left and right ACA, MCA and PCA). (B) If two of the seven interconnecting coW segments are missing, then one portion of the coW is isolated from the other. Only the anterior coW and the ipsilateral posterior coW are relevant to determine whether the ICA/MCA junction is isolated. With the assumption that each cerebral artery must be supplied from either the BA or an ICA, there are three possible configurations for a disconnected anterior coW and two possible configurations for a disconnected posterior coW. Each of the six combinations result in a unique systolic flow rate requirement for the isolated ICA (4–6). In (A), the arteries in red might be supplied by the stenotic ICA but unless the orange ICA or BA are occluded, the orange cerebral arteries would not be supplied by the stenotic ICA. In (B), each of the six combinations of coW communicating arteries shows the dependent cerebral arteries in red and the cerebral arteries supplied by the contralateral ICA or BA in orange. ICA, internal carotid artery; coW, circle of Willis; BA, basilar artery; AcomA, anterior communicating artery; ACA, anterior cerebral artery; a1, first segment of the ACA; MCA, middle cerebral artery; PCA, posterior cerebral artery; p1, first segment of the PCA; OA, ophthalmic artery; PcomA, posterior communicating artery; VA, vertebral artery.

must be supplied by the stenotic ICA, supplemented only by the OA and LAs. The resulting MCA hypotension causes impaired MCA cerebral territory function in nearly 30% of cases with sCAS including the anterior cerebral artery (ACA) territories in 21% of all cases with sCAS and the ipsilateral posterior cerebral artery (PCA) territories in 6% of cases.

The purpose of this study is to identify measurements and criteria for assessing pressure reducing sCAS that can be easily incorporated into a conventional carotid duplex ultrasound examination protocol with currently used equipment and little additional time. These measurements, in theory, will more accurately identify patients who are most likely to benefit from treatment of sCAS. We present this article in accordance with the STROBE reporting checklist (available at <https://atm.amegroups.com/article/view/10.21037/atm-23-1681/rc>).

## Methods

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the institutional ethics board of University of Washington, Human Subjects Division in the Office of Research [IRB A (IRB00000241); FWA (FWA00006878); study protocol (STUDY00005630\_Moore)]. Individual consent for this retrospective analysis was waived because the anonymized data were acquired as part of a retrospective review of medical records. For the years 2011 to 2019, clinical reports from the vascular laboratory and from the cerebrovascular laboratory at the University of Washington Harborview Medical Center in Seattle were reviewed. Patients were selected if both their carotid arteries were examined (7) at the vascular laboratory and their intracranial



**Figure 2** Cerebrovascular examination. Although complete carotid Doppler examinations and transcranial Doppler examinations were performed, only three variables contributed to this analysis: OA flow reversal; PSV from the ICA; and the presence or absence of bruit on the spectral waveform. The waveforms shown on the left side of the image depict L-OA flow reversal and left ICA PSV of 678 cm/s in the yellow box and systolic bruit in the red circles indicated by symmetrical spectral broadening around zero velocity in the waveform. ICA, internal carotid artery; L, left; R, right; OA, ophthalmic artery; PI, pulsatility index; PSV, peak systolic velocity; MCA, middle cerebral artery; SOA, supraorbital artery; ACA, anterior cerebral artery; a1, first segment of the ACA; AcomA, anterior communicating artery; PcomA, posterior communicating artery; PCA, posterior cerebral artery; p1, first segment of the PCA; BA, basilar artery; STA, superficial temporal artery; VA, vertebral artery; CCA, common carotid artery.

arteries were examined (8) at the cerebrovascular laboratory (Figure 2).

Clinical examinations in both laboratories were performed by sonographers holding the registered vascular technologist (RVT) credential issued by the American Registry of Diagnostic Medical Sonographers (ARDMS). Each sonographer reviewed the clinical indications for the examination before performing the examination. The hypothesis tested here was developed after the chart review data were assembled for analysis so the sonographer's report was not influenced by the hypothesis.

Five measurements were selected for analysis: highest brachial systolic blood pressure (SBP); ICA PSV; the presence of a post-stenotic carotid bruit (Bruit); OA reversal (OAr) *vs.* OA forward (OAr); and the presenting symptom of face droop or arm weakness contralateral to the sICA (Weak).

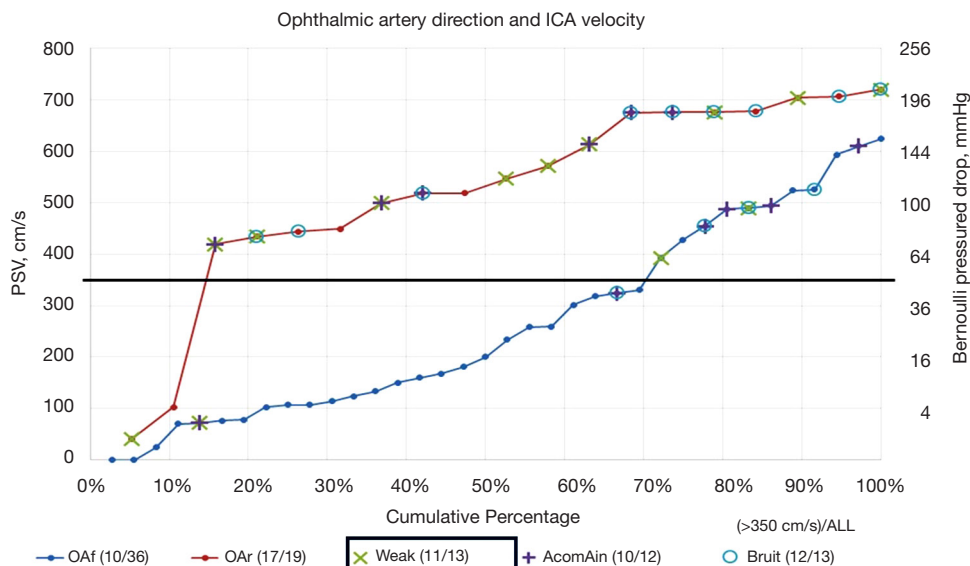
Without referring to the clinical presentation during chart review, each OAr measurement was verified by A.E.M. and each PSV measurement was verified by K.W.B. and each ICA spectral waveform was examined for evidence of bruit by K.W.B.

### Statistical analysis

Data were presented as cumulative distribution plots, receiver operator curves supplemented with 95% confidence areas (9) and scattergrams.

### Results

Records for 28 patients with 55 examined patent ICAs were available. Patient ages spanned between 44 and 87 years



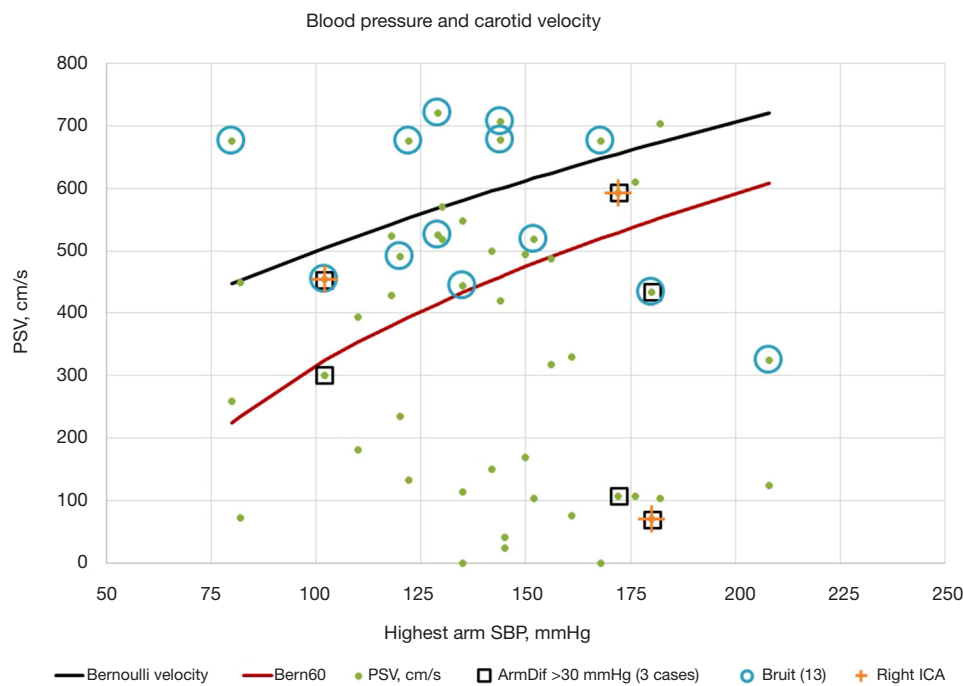
**Figure 3** OA direction and PSV cumulative distribution plus other findings. Each fraction in parentheses is the number of ICAs with PSV >350 cm/s over the number of ICAs with that property. AcomAin indicates cross-flow toward the severe carotid artery stenosis on ultrasonic TCD; because this measurement usually is done with a specialized 2 MHz TCD instrument rather than the conventional 5 MHz duplex-Doppler scanner, it is not included in the analysis. OA, ophthalmic artery; PSV, peak systolic velocity; ICA, internal carotid artery; OAf, OA forward; OAr, OA reversal; Weak, hand or arm weakness on the side contralateral to the stenosis; AcomAin, cross-flow toward the severe carotid artery stenosis through the AcomA on ultrasonic TCD; AcomA, anterior communicating artery; TCD, transcranial Doppler.

with a median of 65 years. Referral symptoms included: 12 cases with unilateral weakness; 1 case with unilateral facial droop; 9 cases with speech difficulties; 3 cases with confusion; 2 cases with amaurosis fugax; 2 cases with blurred vision; 2 cases with syncope; 1 case with numbness; 1 case with bruit; 1 case for preoperative heart surgery workup. The maximum interval between the ICA and the OA examinations was 2 months with 3/4 of the examinations completed within 1 week including 1/3 within 1 day. SBP ranged between 80 and 208 mmHg with the majority having an arm-to-arm difference <11 mmHg, but in three cases, the left SBP was >30 mmHg less than the right SBP. The highest SBP was used for analysis. Of the 19 OAs with OAr, 17 (89%) had PSV >350 cm/s; of the 36 OAs with normal direction, 11 (31%) had PSV >350 cm/s (Figure 3).

According to the laws of Torricelli, Bernoulli and Venturi, the pressure drop across a stenosis in a blood vessel is  $\Delta P$  (mmHg) =  $4 \times [PSV$  (cm/s/100)]<sup>2</sup>. Thus, a stenosis with PSV =350 cm/s and a post-stenotic bruit would cause a systolic pressure drop of 49 mmHg; a PSV =500 cm/s would cause a pressure drop of 100 mmHg. A patient with SBP =120 mmHg and a PSV =400 cm/s with post-

stenotic bruit would have an MCA systolic pressure of 120–64=56 mmHg. A patient with SBP =144 mmHg could not have a PSV >600 cm/s (A transected artery with SBP of 144 mmHg has a bleeding jet velocity of 600 cm/s during systole according to Torricelli; according to Venturi, a patient with a brachial blood pressure 120/80 and carotid velocities of 400 cm/s systolic: 200 cm/s diastolic will have a transmural blood pressure at the stenosis of 56/64, paradoxically higher during diastole than during systole) (10). The relationship between PSV and SBP in these patients is shown in Figure 4.

Figure 4 shows the relationship between the SBP and PSV for each ICA. Seven ICAs have PSV greater than the Bernoulli limit; this is not possible. PSV values are computed from the Doppler “angle correction” formula based on Doppler examination angle (Θ):  $PSV = (f \times \lambda) / 2\cos(\Theta)$ , where: 2 accounts for the round trip of the ultrasound from the transducer to the blood sample volume and back; λ is the wavelength of ultrasound in blood; f is the Doppler frequency shift; and Θ is the angle between the ultrasound beam path and the assumed direction of flow of the blood. In all of these cases, the Doppler angle (Θ)



**Figure 4** Arm SBP and ICA stenotic velocity. Each patient is represented by two points, one for the left ICA and another for the right ICA. ICAs with systolic bruit on spectral waveform are marked with blue circles. Three patients with right arm SBP more than 30 mmHg greater than left arm SBP are marked with a pair of black boxes. For those 3 patients, the right ICA is marked with a “+”. The black line is the highest possible PSV for the SBP based on the Bernoulli equation; the red line indicates the post-stenotic ICA & middle cerebral artery 60 mmHg pressure for the corresponding PSV. SBP, systolic blood pressure; ICA, internal carotid artery; PSV, peak systolic velocity.

was set to 60 degrees, doubling the PSV value toward the transducer to correct for the angle. Unfortunately, because of turbulence and uncertainty of the measured angle, some components of the blood velocity vector could have been aligned with the ultrasound beam ( $\Theta=0$ ) doubling a portion of the PSV value in error. Above the red line are cases exceeding the PSV limit for a post-stenotic ICA & MCA pressure of 60 mmHg for brain perfusion pressure; 60 mmHg is accepted as the minimum allowable cerebral artery systolic pressure. Of the 13 ICAs with bruit, 11 are above the “Bernoulli 60 mmHg” line. Of the 22 points above the “Bernoulli 60 mmHg” line, half have bruit.

The merit of a diagnostic test is often represented by sensitivity and specificity displayed as a receiver operator characteristic (ROC) curve for various criterion threshold values. *Figure 5* (9,11-13) compares the ROC for PSV with the values for OAr and bruit using the symptom of contralateral facial droop or body weakness indicating transient ischemic attack (TIA) or stroke as the reference standard rather than a %DR angiographic stenosis.

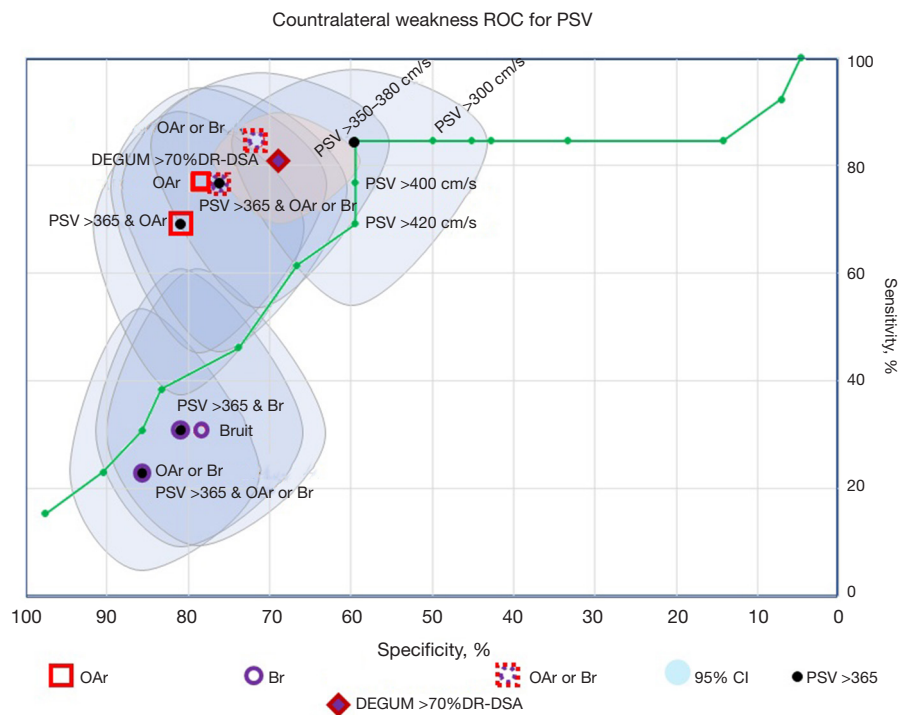
Of the three measurements (PSV >350 cm/s, OAr, and

bruit), the combination of OAr or bruit has a superior combination of sensitivity and specificity. The sensitivity and specificity for OAr or bruit are  $84.6\% \pm 5.4\%$ , specificity  $71.4\% \pm 2.1\%$ ; the sensitivity and specificity for PSV >350 cm/s are  $84.6\% \pm 5.4\%$ ,  $59.5\% \pm 2.3\%$ . The sensitivity and specificity for OAr or bruit for contralateral weakness is superior to the German Society of Ultrasound in Medicine (DEGUM) sensitivity and specificity method for classifying >70%DR ICA stenosis. This is a comparison of a method using a physiology/functional reference to a method using an anatomic (%DR) reference.

In this group of patients, 20 CEAs were performed and one CSt was placed. All 21 revascularizations had PSV >350 cm/s, 7 patients with PSV >350 cm/s did not receive revascularization. Of the 21 revascularized arteries, 8 had bruit, 13 had OAr (15 had either bruit or OAr or both).

## Discussion

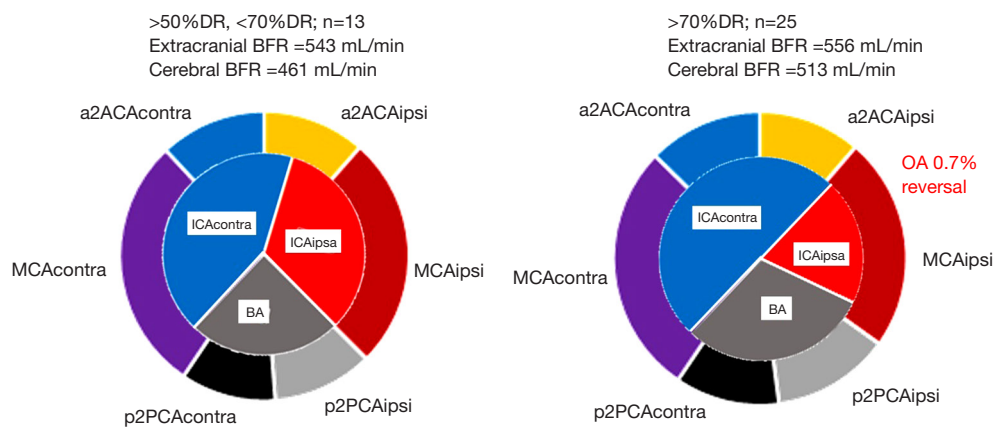
The purpose of the carotid stenosis examination is to



**Figure 5** Sensitivity and specificity for contralateral face droop or body weakness symptoms. The green line shows the ROC curve for various choices of PSV criterion values. Also indicated are the sensitivity and specificity for OAr and for ICA plus combinations. The 95% CI on each proportion is indicated by the blue field surrounding the point (9). For reference, a purple diamond with rust outline shows the DEGUM (11-13) values for ultrasound PSV >400 cm/s and collateral and “confetti sign” vs. >70%DR by DSA (11-13) with the 95% CI in pink. ROC, receiver operator characteristic; PSV, peak systolic velocity; OA, ophthalmic artery; OAr, OA reversal; Br, bruit; DEGUM, German Society of Ultrasound in Medicine; ICA, internal carotid artery; %DR, percent diameter reduction; DSA, digital subtraction angiography; CI, confidence interval.

identify patients who will benefit from therapy and to provide guidance for the type of therapy best suited to the case. Treatment of a sCAS might prevent stroke or reduce the risk of stroke; alternatively, treatment might improve brain function such as cognition. Stroke will occur in a minority of patients with sCAS. Efforts to identify the atheroma vulnerable to rupture require prolonged randomized multicenter clinical trials to validate. Demonstrating a significant improvement of cerebral function after sCAS treatment might be conducted with fewer patients, each over a short interval. Some tests of cognition involve ACA territories which might be supplied by either ICA. Motor and sensory tasks of the hand and arm involve only the contralateral MCA territory always supplied by the corresponding ICA. Therefore, a quantitative test of MCA cerebral territory function might be useful to demonstrate that treatment of sCAS is beneficial to the patient.

The brain can be divided into “cerebrosomes” (cerebral regions supplied by a single branch of a cerebral artery). Resting brain tissue requires about 1.3 Watts/100 cc brain. The resting blood flow rate of 1 mL/s/100 cc brain results in an oxygen extraction of about 3  $\mu$ M of O<sub>2</sub> (20% O<sub>2</sub> extraction) and production of 3  $\mu$ M of CO<sub>2</sub> per second. During activation, the local power density demand increases to about 5 Watts/100ccBrain quadrupling the CO<sub>2</sub> production resulting in local vasodilation. The increase in local CO<sub>2</sub> reduces local arteriolar/capillary resistance which doubles local perfusion rate accompanied by doubling the oxygen extraction fraction to supply the required increase in power demand. The resultant oxygen saturation decrease is most easily measured with transcranial functional near-infrared spectroscopy (fNIRS, pulse-oximetry of the brain) (14) or fMRI blood oxygenation level dependent (BOLD) methods (15). To deliver the required increase in power



**Figure 6** Percent blood supply and perfusion of cerebral tissue. The inner pie chart shows the relative supply to the coW via the three supply arteries through the neck: the contralateral ICA, BA and ICA ipsilateral to a severe carotid artery stenosis; the outer pie chart shows the flow to the cerebral cortex via the six cerebral arteries: a2ACA, MCA and p2PCA on each side. The OA flow comprises 1% or less of the cerebral flow so is not visible on the pie chart (16). coW, circle of Willis; ICA, internal carotid artery; BA, basilar artery; a2ACA, anterior cerebral artery beyond the coW; MCA, middle cerebral artery; p2PCA, posterior cerebral artery beyond the coW; OA, ophthalmic artery; %DR, percent diameter reduction of the ICA; BFR, blood flow rate through the artery; contra, contralateral to the severe carotid artery stenosis; ipsi, ipsilateral to the severe carotid artery stenosis.

density demand, the arteries supplying each cerebrosome must be adequate caliber and be unobstructed including the aorta, carotids and cerebral arteries.

At rest, regional brain blood flow rates can be measured with MRI methods. Zarrinkoob *et al.* (16) measured flow rates in the extracranial arteries (ICAs and BA) and the flow rates in the cerebral arteries (ACA, MCA and PCA) at rest. Two groups of patients were compared, patients with mild CAS and patients with sCAS (Figure 6).

The distribution of flow rates in the cerebral arteries was similar for the moderate and severe CAS patients, but in the cases of sCAS, the flow rate in the ipsilateral ICA, averaged across the 25 cases, was lower than the MCA flow rate, requiring collateral supply via the coW from either the contralateral ICA or the BA. In these cases, on average, 1% of the cerebral flow was provided by flow reversal through the ipsilateral OA. Of course, in the Zarrinkoob study, and in the present analysis, patients have been pre-selected by survivorship bias; patients without adequate coW collateral die from severe carotid stenosis.

The cause of stroke in sCAS patients is usually attributed to the release of emboli from the atheroma causing the stenosis. In September 1980, in a Seattle talk sponsored by Merrill Spencer, John Johnson (17,18) explained the formation of an ulceration on a carotid atheroma: “and then the top blows off, just like Mt. St. Helens”. That is possible

if the pressure in the ICA lumen is depressed by Venturi (10) and Coanda effects below the pressure of the intra-atheroma neovascularization. Inflated neovascularization in the atheroma is sometimes called intraplaque hemorrhage (IPH) (19). IPH is most likely with PSV >400 cm/s (20). Depressed intrastenotic pressure in systole has been documented by observing paradoxical arterial pulsation at the stenosis using ultrasound tissue Doppler (10,21,22). Although paradoxical pulsation of arterial diameter can be measured with any conventional ultrasound duplex scanner, a specialized engineering software signal processing modification is required. Prior to atheroma eruption (in asymptomatic patients), intra-atheroma neovascularization is most likely to arise from the adventitial vasa vasorum, after eruption (in symptomatic patients), the neovessels more often arise from the arterial lumen (23).

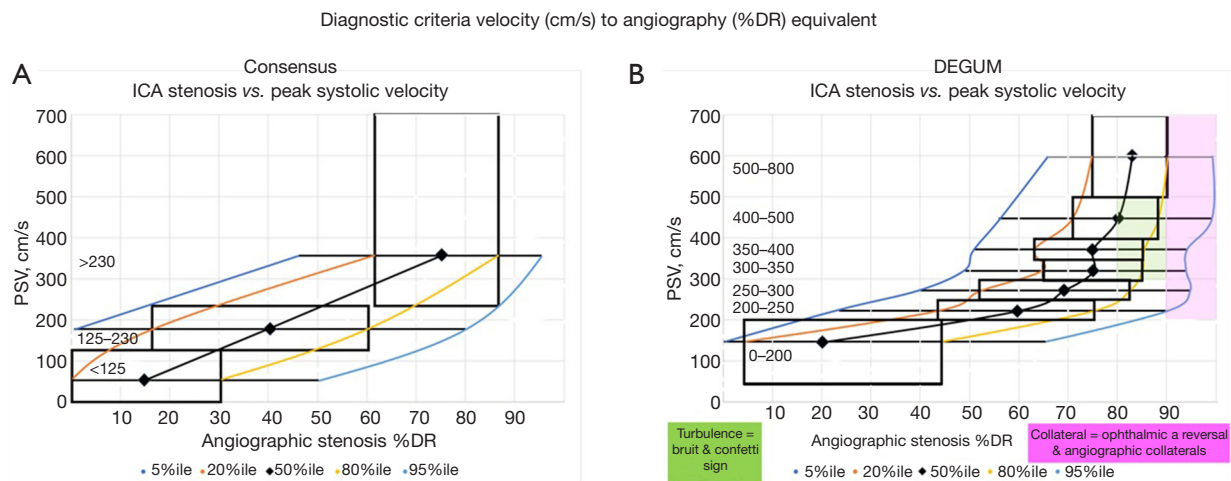
In 1977, Kartchner and McRae followed the clinical course of 1,287 patients referred to their laboratory for noninvasive evaluation of cerebrovascular (carotid artery) obstruction (24). The cases were followed for an average of 24 months (max 70 months) after testing. They tested for the presence eyeball pulse delay (indicating low OA pressure) and systolic carotid bruit; these two measures are independent indicators of pressure reducing carotid stenosis. The follow-up included tabulations of death, CEA and stroke (Table 1).



**Table 1** Kartchner & McRae OPG/CPA 24-month follow-up patient outcomes (24)

OPG	Death/all <sup>†</sup>		CEA/all		Stroke & noCEA/noCEA <sup>†</sup>		P
	No CPA bruit	CPA bruit	No CPA bruit	CPA bruit	No CPA bruit	CPA bruit	
Normal	63/877 (7.2%)	12/107 (11.2%)	15/877 (1.7%)	13/107 (12.1%)	3/862 (0.3%)	1/94 (1.1%)	0.00001
Delay	10/156 (6.4%)	17/147 (11.6%)	29/156 (18.6%)	78/147 (53.1%)	2/127 (1.6%)	11/69 (15.9%)	

<sup>†</sup>, stroke vs. OPG delay + CPA bruit. OPG, oculoplethysmography; CPA, carotid phonoangiography; CEA, carotid endarterectomy.

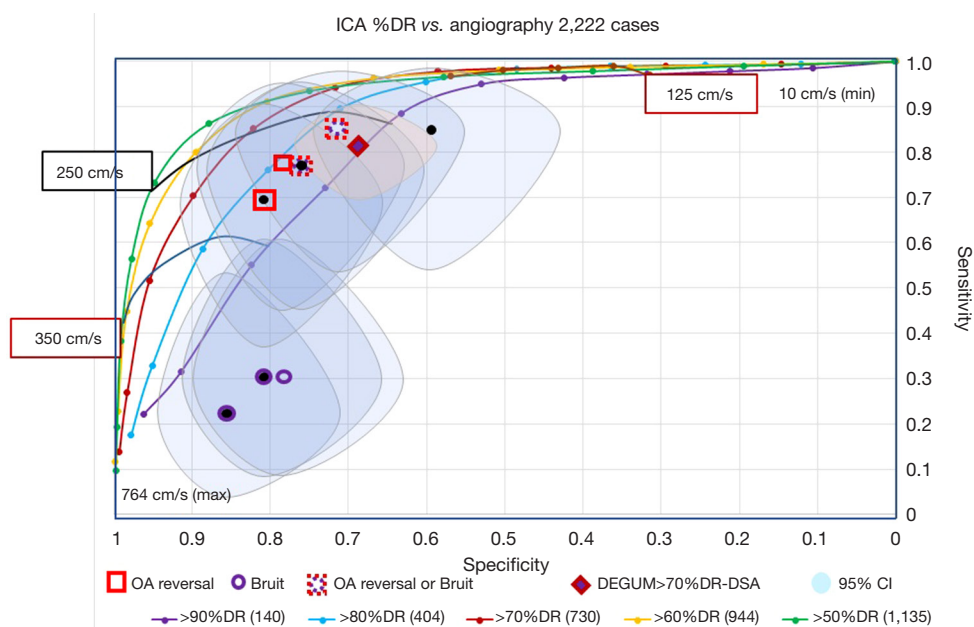


**Figure 7** Comparison of carotid Doppler velocity classification criteria. Both plots use the Doppler PSV data and %DR angiographic data from 2,222 cases reported in 21 publications (27). (A) ICA-PSV values used for classification of ICA stenosis into 6 categories (3 shown): normal, <50%DR, 50–69%DR, 70–99%DR, near occlusion, occlusion (28,29). (B) ICA-PSV with OA & periorbital reversal & bruit (turbulence, “confetti”) for classification of ICA stenosis into 8 categories (7 shown) (NASCET-definition): 10%DR, 20–40%DR, 50%DR, 60%DR, 70%DR, 80%DR, 90%DR, verschluss (occluded); or ECST-definition (%): 45%DR, 50–60%DR, 70%DR, 75%DR, 80%DR, 90%DR, 95%DR, verschluss (11-13). ICA, internal carotid artery; %DR, percent diameter reduction of the ICA; PSV, peak systolic velocity; %ile, percentile; OA, ophthalmic artery; DEGUM, German Society of Ultrasound in Medicine; NASCET, North American Symptomatic Carotid Endarterectomy Trial; ECST, European Carotid Surgery Trial.

The percentage of deaths was higher in patients with carotid bruit. Patients with carotid bruit or with ocular pulse delay were likely to be selected for CEA; more than half of the patients with both carotid bruit and ocular pulse delay were selected for endarterectomy. In those 1,152 patients that did not have CEA, only 17 of 1,152 (1.5%) had a stroke during follow-up. However, 11 of 17 (65%) of the strokes were in patients who had both carotid bruit AND ocular pulse delay. The stroke rate among those with bruit and ocular pulse delay was 15.9% (11 of 69). For those patients, only six CEAs were required to prevent one stroke. However, because the examination method was cumbersome and required specialized equipment, and had a poor sensitivity for X-ray angiography anatomic carotid stenosis, the method was abandoned.

Nearly a decade later, Bill Gee reported on the pressure in the OA in cases of sCAS (25,26). The OA pressure was derived from a method of compressing the eyeball to suppress pulsation. In normal patients OA pressure measurements between 100 and 140 mmHg were recorded: OA pressures were near SBP if OA pressure was 100 mmHg but if OA pressures were near 140 mmHg, some patients had SBP of 200 mmHg. Patients with sCAS were found to have an ipsilateral OA pressure as low as 60 mmHg.

The conventional classification of carotid stenosis primarily utilizes %DR of the ICA lumen as the standard supplemented with atheroma content and post-stenotic turbulence (Bruit). The most common method of ICA examination is ultrasonic duplex Doppler measurement of PSV converted to %DR (27) categories by either of



**Figure 8** Selection of optimum PSV for classifying severity of CAS. Using data from 2,222 examinations reported in 21 publications (27), receiver operating characteristic curves are shown for five important CAS classification thresholds. The best combination of sensitivity and specificity ranges of PSV between 125 and 250 cm/s. 95% CI on near optimum values of the curves are shown in transparent color “clouds”. The DEGUM and OA or bruit points are also shown. Numbers in parentheses are the number of arteries with stenosis more severe than the value. The 95% CIs on some proportions are indicated by the colored fields surrounding the lines. The remaining symbols are copied from *Figure 5* for reference. PSV, peak systolic velocity; ICA, internal carotid artery; %DR, percent diameter reduction of the ICA; CAS, carotid artery stenosis; DEGUM, German Society of Ultrasound in Medicine; OA, ophthalmic artery; CI, confidence interval; DSA, digital subtraction angiography.

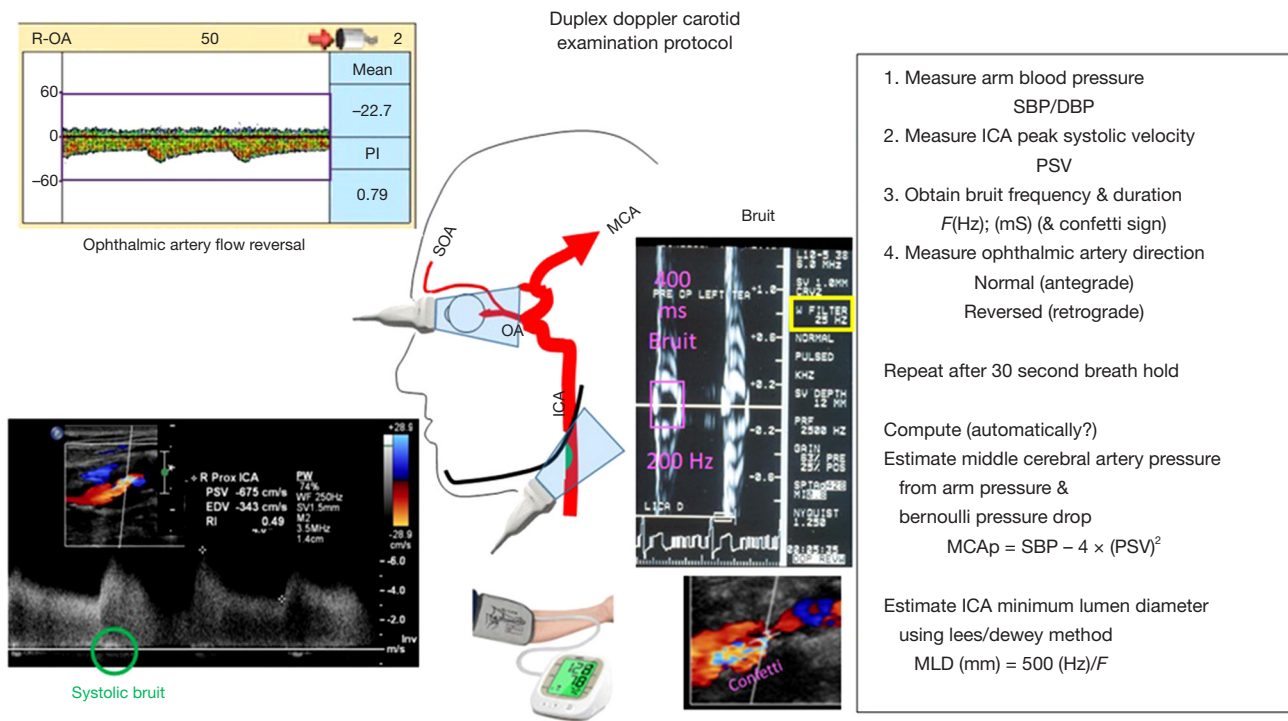
two widely accepted methods (*Figure 7*): the “consensus” method (28,29) widely used in the US and the DEGUM method (11-13) used in the EU.

The PSV thresholds differ between the two methods and the DEGUM method specifically includes bruit (confetti sign) and the presence of collateral circulation. Using the PSV and %DR data from published articles, the overlap in classification can be appreciated. The data can be rendered as ROC curves (*Figure 8*) to show that the best combination of sensitivity and specificity occur at PSV values much lower than the 350 cm/s value that best correlated with MCA territory weakness.

The standard carotid Doppler examination does not currently include measurement of the direction of flow in the OA or supraorbital artery. Measurement of OA flow direction is often done as part of a transcranial Doppler (TCD) examination, but this exam is usually performed in a separate laboratory with dedicated TCD equipment. In the US, according to the Intersocietal Accreditation Commission, there are 1,280 laboratories accredited in carotid testing

and 66 facilities accredited in TCD testing (30). OA direction measurement is an option for the cerebrovascular Doppler examination (31,32). Measurement of OA Doppler waveform is now advocated as part of a standard obstetrical examination during the third trimester using a conventional duplex scanner for prediction of pre-eclampsia (33). The OA examination technique, performed at the end of a regular ultrasound exam, can be learned with “minimal training” (34). The examination method has been used for a quarter of a century (35,36).

The standard carotid Doppler examination does not currently include measurement of bruit frequency. Lees and Dewey (37,38) found that the angiographic minimum lumen diameter of an ICA carotid stenosis could be accurately estimated from the audio frequency of a carotid bruit:  $D \text{ (mm)} = 500 \text{ (mm/Hz)} / F \text{ (Hz)}$ , where  $F$  is the audible frequency of the bruit. This frequency can be measured from the Doppler spectral waveform by turning down both the wall filter and the velocity range scale (frequency range scale). The presence of a carotid bruit carries a 2.49 (95%



**Figure 9** Advanced carotid examination. All four Doppler measurements can be taken with the same ultrasound scan head. The addition of arm blood pressure can be done with an automatic blood pressure cuff or with a Doppler or stethoscope for endpoint detection. R, right; OA, ophthalmic artery; PI, pulsatility index; SOA, supraorbital artery; MCA, middle cerebral artery; ICA, internal carotid artery; SBP, systolic blood pressure; DBP, diastolic blood pressure; MCAp, MCA pressure estimation; MLD, minimum ICA lumen diameter.

CI: 1.77 to 3.52) risk ratio for stroke (39).

The two additional measurements during a conventional ultrasound scan can be accomplished using any conventional duplex Doppler scanner with spectral waveform display and the data can be acquired with a small amount of additional effort. The information might provide the key parameters for differentiating the benign carotid stenosis from the hazardous carotid stenosis (Figure 9).

With modern anti-atherosclerotic medical therapy, there is controversy whether a severe carotid stenosis should be treated with revascularization or medical therapy. In an asymptomatic patient with sCAS and either bruit with measured bruit frequency or OA flow reversal, a trial of medical therapy to determine whether the bruit frequency declines indicating a decrease in stenosis severity and/or a normalization of OA flow direction provides a conspicuous indication that in that patient, medical therapy might provide protection against stroke and perhaps improved cerebral function including cognition. Medical therapy treats all atheromas in the arterial system including

coronary and intracranial as well as carotid; endarterectomy or stent treats only the target stenosis.

Other methods have been promoted to identify MCA hypotension such as computational hemodynamics using simplifying assumptions (40). MRI methods have been used to detect OAr (41), but these add cost to the diagnostic procedure. The examination modification proposed here might offer important key information at little additional cost.

## Conclusions

To demonstrate the value of the addition of OA flow direction and bruit measurement to the conventional carotid examination, prospective clinical testing is needed. For such testing or clinical trials, ICA PSV values should be preserved rather than converted to the less certain %DR classifications often used. For the outcome variable, specific functional testing of each MCA cerebral territory might provide the most specific and useful outcome variables.

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## Footnote

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the institutional ethics board of University of Washington, Human Subjects Division in the Office of Research [IRB A (IRB00000241); FWA (FWA00006878); study protocol (STUDY00005630\_Moore)]. Individual consent for this retrospective analysis was waived because the anonymized data were acquired as part of a retrospective review of medical records.

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