BRIEF COMMUNICATION

Impairment of fine motor dexterity in mild cognitive impairment and Alzheimer's disease dementia: association with activities of daily living

Jonas J. de Paula,^{1,2} Maicon R. Albuquerque,^{1,3} Guilherme M. Lage,^{1,4} Maria A. Bicalho,^{1,5} Marco A. Romano-Silva,^{1,6} Leandro F. Malloy-Diniz^{1,6}

¹ Instituto Nacional de Ciências e Tecnologia em Medicina Molecular (INCT-MM), Faculdade de Medicina, Universidade Federal de Minas Gerais (UFMG), Belo Horizonte, MG, Brazil. ²Departamento de Psicologia, Faculdade de Ciências Médicas de Minas Gerais (FCMMG), Belo Horizonte, MG, Brazil. ³Departamento de Educação Física, Universidade Federal de Viçosa (UFV), Viçosa, MG, Brazil. ⁴Departamento de Educação Física, UFMG, Belo Horizonte, MG, Brazil. ⁵Departamento de Clínica Médica, Faculdade de Medicina, UFMG, Belo Horizonte, MG, Brazil. ⁶Departamento de Saúde Mental, Faculdade de Medicina, UFMG, Belo Horizonte, MG, Brazil.

Objective: Cognitive impairment is a hallmark of mild cognitive impairment (MCI) and Alzheimer's disease dementia (AD). Although the cognitive profile of these patients and its association with activities of daily living (ADLs) is well documented, few studies have assessed deficits in fine motor dexterity and their association with ADL performance. The objective of this research paper is to evaluate fine motor dexterity performance among MCI and AD patients and to investigate its association with different aspects of ADLs.

Methods: We assessed normal aging controls, patients with multiple- and single-domain amnestic MCI (aMCI), and patients with mild AD. Fine motor dexterity was measured with the Nine-Hole Peg Test and cognitive functioning by the Mattis Dementia Rating Scale. We analyzed the data using general linear models.

Results: Patients with AD or multiple-domain aMCI had slower motor responses when compared to controls. AD patients were slower than those with single-domain aMCI. We found associations between cognition and instrumental ADLs, and between fine motor dexterity and self-care ADLs. **Conclusion:** We observed progressive slowing of fine motor dexterity along the normal aging-MCI-AD spectrum, which was associated with autonomy in self-care ADLs.

Keywords: Dementia; mild cognitive impairment; Alzheimer's disease; motor coordination; activities of daily living; nine-hole peg test; motor dexterity

Introduction

Patients with Alzheimer's disease dementia (AD) exhibit a progressive impairment of episodic memory and other cognitive functions, which affects functional status.¹ In the early symptomatic stages, known as mild cognitive impairment (MCI), cognitive symptoms occur with only slight loss of function.² Cross-sectional and longitudinal studies suggest that cognitive decline (the main difference between normal aging and MCI) precedes functional decline (the main difference between NCI and AD).³⁻⁵ This pattern is associated with the main biomarkers of AD and moderated by several factors, including cognitive reserve.⁶

Motor impairment may emerge as a phenotype of cognitive decline.⁷ Deficits in fine motor dexterity are associated with functional loss, and are especially impairing of the basic and instrumental aspects of activities of daily living (ADLs).⁸ Studies have shown deficits in fine motor skills in patients

Correspondence: Jonas Jardim de Paula, Universidade Federal de Minas Gerais, Faculdade de Medicina, Instituto Nacional de Ciência e Tecnologia e em Medicina Molecular, Av. Alfredo Balena, 190, CEP 30130-100, Belo Horizonte, MG, Brazil. E-mail: jonasjardim@gmail.com

Submitted Dec 02 2015, accepted Jan 23 2016.

with AD and MCI.^{9,10} However, this evidence comes from complex or experimental motor tasks, involving sophisticated apparatuses usually available only in research settings. This type of test is called process-oriented because mechanisms involved in motor control are of primary interest. Clinical assessment of motor impairments with single, user-friendly, and easily interpretable tests, known as task-oriented tests, is more feasible.

Taking into account previous reports of motor impairment and ADL loss in MCI and AD, we hypothesized that motor complications would be detectable in simple, clinically oriented motor tasks, and that motor and cognitive performance might be differently associated with ADLs. The objective of this study was to evaluate group differences among normal controls, patients with MCI, and patients with AD in a simple motor task, and to investigate potential associations with functional performance.

Methods

We evaluated a sample of 20 healthy controls, 34 patients diagnosed with single-domain amnestic MCI (aMCI), 32 with multiple-domain amnestic MCI (MDaMCI), and 38 with mild AD. Healthy controls had no subjective

cognitive complaint, no history of mental or neurological diseases, and no evidence of cognitive impairment on objective tests or functional impairment according to a clinical interview. Patients were evaluated during 2010 to 2013 at an outpatient clinic, within a major university hospital, that provides specialized investigation and care of memory deficits in the elderly population.

The diagnosis of amnestic MCI was established on the basis of: subjective cognitive complaints confirmed by an informant; objective impairment in neuropsychological tests of memory (aMCI), language, visuospatial abilities, or executive functions (MDaMCI); preserved global cognitive functioning; and absence of functional impairment or mild impairment restricted to complex aspects of ADLs, according to a clinical interview, as described in previous studies.3,11 Diagnosis of AD followed standard clinical guidelines.¹ Patients who exhibited active psychotic symptoms were excluded from the final sample. All participants underwent a neuropsychological assessment as published elsewhere, with tests included in the diagnosis process and a complementary protocol not involved in the establishment of cognitive status.¹¹ Diagnoses were established by consensus of an expert board, based on clinical, cognitive, and functional data. All participants and their caregivers (in cases of dementia) gave written consent for participation.

This study is part of a broader research project investigating clinical and neurobiological markers of depression and dementia and their associations. This project was approved by the local Research Ethics Committee and is in accordance with the Declaration of Helsinki. The present study is part of the first author's doctoral dissertation, the results of which have been published elsewhere.^{3,11,12}

Functional status was reported by each participant's caregivers during an interview conducted as part of the neuropsychological assessment, using the General Activities of Daily Living Scale (GADL).¹² This measure involves sub-scores of basic ADLs (self-care) and instrumental ADLs (domestic and complex). Higher scores are representative of higher independence in daily life. We developed a scale using the most common ADLs performed by older adults with low formal education and conducted a validation study for this specific population, following the recommendations discussed by Sikkes et al.¹³ As a global measure of cognitive functioning, we used the total score of the Brazilian version of the Dementia Rating Scale (DRS)¹⁴ and a brief neuropsychological battery designed for the assessment of attention, executive functions, language, visuoconstructional skills, and memory. Higher scores in this test represent better cognitive performance. We created a fine motor dexterity measure summing the time of four trials (two with the dominant and two with the nondominant hand) of the Nine-Hole Peg Test.¹⁵ In this taskoriented test, the subject must put nine pegs in nine holes organized on a small board and subsequently remove them, as fast as possible. The test is widely used in the assessment of neurological disorders¹⁶ and a commercial version is available.

We analyzed our data by general linear models. To assess group differences in fine motor dexterity, we

entered the Nine-Hole Peg test as the dependent measure, the group as a fixed factor, and age and education as covariates. We compared the estimated marginal means by corrected (Sidak) post-hoc tests. The association between fine motor dexterity and ADLs was tested using a multivariate general linear model with the three GADL measures as dependent measures, group as a fixed factor, and age, education, Nine-Hole Peg Test, and DRS total score as covariates. Statistical significance was established at $p \leq 0.05$. The partial eta-squared represents the effect sizes for previous analysis.

Results

The results suggest a progressive pattern of impairment in motor task performance. The general linear model used to assess group differences in fine motor dexterity was significant and showed a large effect size (F = 14.60, p < 0.001, $\eta_p^2 = 0.38$). We found a significant main effect for diagnosis (F = 10.36, p < 0.001, $\eta_p^2 = 0.21$) and formal education (F = 11.02, p = 0.001, $\eta_p^2 = 0.09$), but not for age (F = 2.27, p = 0.135, $\eta_p^2 = 0.02$). The model for DRS total score was also significant (F = 103.29, p < 0.001, $\eta_p^2 = 0.81$). Again, we found a significant main effect for diagnosis (F = 132.38, p < 0.001, $\eta_p^2 = 0.77$) and formal education (F = 12.84, p < 0.001, $\eta_p^2 = 0.10$), but not for age (F = 0.74, p = 0.391, $\eta_p^2 < 0.01$).

Figure 1A shows the estimated marginal means for fine motor dexterity and cognitive functioning in each group. We found no significant differences on comparison of controls vs. aMCI (p = 0.568), aMCI vs. MDaMCI (p = 0.195), or MDaMCI vs. AD (p = 0.719). Significant differences were found on comparison of controls vs. MDaMCI (p = 0.009), controls vs. AD (p < 0.001), and aMCI vs. AD (p = 0.004). In DRS scores, group differences were significant for all comparisons (p < 0.001).

Figure 1B synthesize the contributions of fine motor dexterity and cognitive functioning to each aspect of ADLs. The multivariate general linear models for ADL measures were all significant. In the complex ADL model (F = 23.72. p < 0.001, $\eta_p^2 = 0.44$), cognitive functioning was the main predictor (F = 56.39, p < 0.001, η_p^2 = 0.32), while fine motor dexterity was not significant (F = 0.85, p = 0.772, $\eta_p^2 \leqslant$ 0.01). The domestic ADL model (F = 12.26, p < 0.001, η_p^2 = 0.29) followed the same pattern, but showed lower effect sizes for cognitive functioning (F = 0.26, p < 0.001, η_p^2 = 0.18) and still no significance for fine motor dexterity (F = 0.14, p = 0.839, η_p^2 < 0.01). However, the model for self-care ADLs was significant (F = 11.28, p < 0.001, η_p^2 = 0.27) and showed the opposite pattern, with a significant main effect for fine motor dexterity (F = 17.88, p< 0.001, η_p^2 = 0.13) but not for cognitive functioning (F = 2.91, p = 0.091, η_p^2 = 0.02). Age and education were not significantly associated with ADL performance in this sample (all p > 0.05).

Discussion

Our results suggest that the intensity/severity of cognitive deficits is associated with greater slowness on a fine motor dexterity measure. We also documented differential

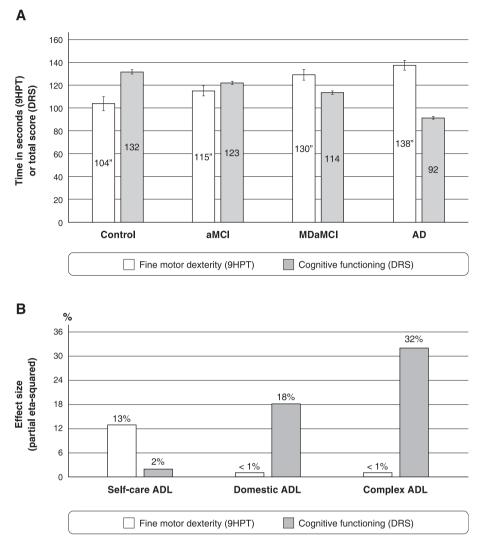


Figure 1 A) Estimated marginal means for fine motor dexterity (summed time of four trials in 9HPT) and cognitive functioning (DRS total score) after covariating for age (mean, 74.2) and education (mean, 4.6). Significant group differences in fine motor dexterity were found between controls and MDaMC (p = 0.009), controls and AD (p < 0.001), and aMCI and AD (p = 0.004). For cognitive measures, all comparisons were significant (p < 0.001), following the pattern controls > aMCI > MDaMCI > AD. B) Effect sizes (partial eta-squared) from the main effects of fine motor dexterity and cognitive functioning in self-care, instrumental-domestic, and instrumental-complex ADLs (AD). We found specific contributions of cognitive functioning to instrumental ADLs and fine motor dexterity for self-care ADLs. 9HPT = Nine-Hole Peg Test; AD = Alzheimer's disease dementia; ADLs = activities of daily living; aMCI = single-domain amnestic mild cognitive impairment; DRS = Dementia Rating Scale; MDaMC = multiple-domain amnestic mild cognitive impairment.

patterns of association of motor and cognitive functioning with basic and instrumental ADLs.

Motor evaluation is often neglected in cases of AD, due to the more overt cognitive features included in the diagnosis. Recent data, however, suggest that motor impairment may be a consequence of cognitive impairment.⁷ Multiple brain regions and their connectivity are essential to maintenance of good performance (speed and accuracy) in timed tasks. The progressive brain atrophy and dysfunction of AD impair connectivity and lead to a widespread range of cognitive deficits.^{1,2} In turn, this progressive reduction of brain connectivity and consequent inefficient interactions between specific cognitive functions characterize the MCI and dementia stages of AD as a disconnection syndrome.¹⁷ This may underlie the slowness in motor tasks found in our study. This reduction may also be a compensatory mechanism to maintain test accuracy (not measured in the current study), increasing the connectivity of multiple brain regions. Using a finger-tapping test, Scheller et al.¹⁸ found an increased pattern of connectivity in cortical motor regions in patients at high risk of motor impairment (preclinical patients with the genetic mutation associated with Huntington's disease).

As seen in our results and in previous studies,^{8,19,20} changes in fine motor dexterity and hand function are associated with functional impairments in self-care activities. A longitudinal decline in instrumental ADLs usually precedes the decline of basic/self-care ADLs.^{21,22} Although predictors

of instrumental ADL changes have been documented,^{3,4} there are relatively few established predictors of basic ADL decline in MCI-AD. Our results may have important implications for patient follow-up and for the prediction of more severe functional deficits in MCI and dementia.

These results might affect routine clinical assessment practices, e.g., to incorporate specific measures of fine motor dexterity in the evaluation of patients with MCI and AD. Using both cognitive and motor assessments would allow the clinician to compute better estimates of functional performance, as seen in other neurocognitive disorders, such as Parkinson's disease dementia.¹⁶ The impairment of fine motor coordination observed in MDaMCI is important from the perspective of MCI-AD conversion.

Our study has limitations that should be addressed. First, its cross-sectional design limits its potential to set predictive models of functional loss. In a longitudinal perspective, we could test whether patients with motor deficits are more likely to experience long-term functional loss. A second limitation is the absence of patients with moderate/severe dementia, in whom impairment in basic ADLs would be more obvious and add variability to our data. We also excluded patients with active psychotic symptoms. This may restrict the representativeness of our findings, as such symptoms are relatively common in patients with dementia or MCI.²³

We believe that our results, using a simple and widely available task-oriented test, are sensitive to detect motor deficits in these populations. However, these results should be replicated in a longitudinal design. Participants with impaired motor dexterity may be at higher risk of loss of essential ADL performance, and non-pharmacological interventions focusing on fine motor dexterity may improve performance in these ADLs. If these findings are corroborated, this might allow clinicians to predict and intervene earlier in patients at higher risk of functional decline.

Acknowledgements

This study was supported by Fundação de Amparo è Pesquisa do Estado de Minas Gerais (FAPEMIG; grant INCT-MM FAPEMIG CBB-APQ-00075-09, APQ-01972/ 12-10, APQ-02755-10) and by Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq; grant 573646/2008-2).

Disclosure

The authors report no conflicts of interest.

References

- 1 McKhann GM, Knopman DS, Chertkow H, Hyman BT, Jack CR Jr, Kawas CH, et al. The diagnosis of dementia due to Alzheimer's disease: recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. Alzheimers Dement. 2011;7:263-9.
- 2 Albert MS, DeKosky ST, Dickson D, Dubois B, Feldman HH, Fox NC, et al. The diagnosis of mild cognitive impairment due to Alzheimer's disease: recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. Alzheimers Dement. 2011;7:270-9.
- 3 de Paula JJ, Diniz BS, Bicalho MA, Albuquerque MR, Nicolato R, de Moraes EN, et al. Specific cognitive functions and depressive

symptoms as predictors of activities of daily living in older adults with heterogeneous cognitive backgrounds. Front Aging Neurosci. 2015;7:139-139.

- 4 Royall DR, Lauterbach EC, Kaufer D, Malloy P, Coburn KL, Black KJ, et al. The cognitive correlates of functional status: a review from the Committee on Research of the American Neuropsychiatric Association. J Neuropsychiatry Clin Neurosci. 2007;19:249-65.
- 5 Luck T, Luppa M, Wiese B, Maier W, van den Bussche H, Eisele M, et al. Prediction of incident dementia: impact of impairment in instrumental activities of daily living and mild cognitive impairmentresults from the German study on ageing, cognition, and dementia in primary care patients. Am J Geriatr Psychiatry. 2012;20:943-54.
- 6 Jack CR Jr, Knopman DS, Jagust WJ, Petersen RC, Weiner MW, Aisen PS, et al. Tracking pathophysiological processes in Alzheimer's disease: an updated hypothetical model of dynamic biomarkers. Lancet Neurol. 2013;12:207-16.
- 7 Beauchet O, Allali G, Montero-Odasso M, Sejdić E, Fantino B, Annweiler C. Motor phenotype of decline in cognitive performance among community-dwellers without dementia: population-based study and meta-analysis. PLoS One. 2014;9:e99318.
- 8 Rousseaux M, Bonnin-Koang HY, Darné B, Marque P, Parratte B, Schnitzler A, et al. Construction and pilot assessment of the Upper Limb Assessment in Daily Living Scale. J Neurol Neurosurg Psychiatry. 2012;83:594-600.
- 9 Yan JH, Dick MB. Practice effects on motor control in healthy seniors and patients with mild cognitive impairment and Alzheimer's disease. Neuropsychol Dev Cogn B Aging Neuropsychol Cogn. 2006;13:385-410.
- 10 Yan JH, Rountree S, Massman P, Doody RS, Li H. Alzheimer's disease and mild cognitive impairment deteriorate fine movement control. J Psychiatr Res. 2008;42:1203-12.
- 11 de Paula JJ, Bertola L, Ávila RT, Moreira L, Coutinho G, de Moraes EN, et al. Clinical applicability and cutoff values for an unstructured neuropsychological assessment protocol for older adults with low formal education. PLoS One. 2013;8:e73167.
- 12 Paula JJ, Bertola L, Ávila RT, Assis Lde O, Albuquerque M, Bicalho MA, et al. Development, validity, and reliability of the General Activities of Daily Living Scale: a multidimensional measure of activities of daily living for older people. Rev Bras Psiquiatr. 2014;36:143-52.
- 13 Sikkes SA, de Lange-de Klerk ES, Pijnenburg YA, Scheltens P, Uitdehaag BM. A systematic review of Instrumental Activities of Daily Living scales in dementia: room for improvement. J Neurol Neurosurg Psychiatry. 2009;80:7-12.
- 14 Porto CS, Fichman HC, Caramelli P, Bahia VS, Nitrini R. Brazilian version of the Mattis dementia rating scale: diagnosis of mild dementia in Alzheimer's disease. Arg Neuropsiguiatr. 2003;61:339-45.
- 15 Oxford Grice K, Vogel KA, Le V, Mitchell A, Muniz S, Vollmer MA. Adult norms for a commercially available Nine Hole Peg Test for finger dexterity. Am J Occup Ther. 2003;57:570-3.
- 16 Bronnick K, Ehrt U, Emre M, De Deyn PP, Wesnes K, Tekin S, et al. Attentional deficits affect activities of daily living in dementiaassociated with Parkinson's disease. J Neurol Neurosurg Psychiatry. 2006;77:1136-42.
- 17 Salmon DP, Bondi MW. Neuropsychological assessment of dementia. Annu Rev Psychol. 2009;60:257-82.
- 18 Scheller E, Abdulkadir A, Peter J, Tabrizi SJ, Frackowiak RS, Klöppel S. Interregional compensatory mechanisms of motor functioning in progressing preclinical neurodegeneration. Neuroimage. 2013;75:146-54.
- 19 Falconer J, Hughes SL, Naughton BJ, Singer R, Chang RW, Sinacore JM. Self report and performance-based hand function tests as correlates of dependency in the elderly. J Am Geriatr Soc. 1991;39:695-9.
- 20 Hagovská M, Olekszyová Z. Relationships between balance control and cognitive functions, gait speed, and activities of daily living Z Gerontol Geriatr. 2015 Oct 12. [Epub ahead of print].
- 21 Hallikainen I, Hänninen T, Fraunberg M, Hongisto K, Välimäki T, Hiltunen A, et al. Progression of Alzheimer's disease during a threeyear follow-up using the CERAD-NB total score: Kuopio ALSOVA study. Int Psychogeriatr. 2013;25:1335-44.
- 22 Verlinden VJ, van der Geest JN, de Bruijn RF, Hofman A, Koudstaal PJ, Ikram MA. Trajectories of decline in cognition and daily functioning in preclinical dementia. Alzheimers Dement. 2016;12:144-53.
- 23 Van der Mussele S, Mariën P, Saerens J, Somers N, Goeman J, De Deyn PP, et al. Psychosis associated behavioral and psychological signs and symptoms in mild cognitive impairment and Alzheimer's dementia. Aging Ment Health. 2015;19:818-28.