**Research Paper** 

### β2-microglobulin as a biomarker of pulmonary fibrosis development in COPD patients

# Zhenchao Wu<sup>1,\*</sup>, Mengdie Yan<sup>1,\*</sup>, Min Zhang<sup>1,\*</sup>, Nan Wu<sup>1</sup>, Guoyuan Ma<sup>2</sup>, Bingbing Wang<sup>1</sup>, Youbo Fan<sup>1</sup>, Xintong Du<sup>1</sup>, Can Ding<sup>1</sup>, Yi Liu<sup>1</sup>

<sup>1</sup>Department of Pulmonary and Critical Care Medicine, Shandong Provincial Hospital, Cheeloo College of Medicine, Shandong University, Jinan 250021, Shandong, China <sup>2</sup>Department of Thoracic Surgery, Shandong Provincial Hospital Affiliated to Shandong First Medical University, Jinan 250021, Shandong, China \*Equal contribution

Correspondence to: Yi Liu; email: yiliu sdu@163.com, https://orcid.org/0000-0002-4094-2663Keywords: COPD, β2-microglobulin, pulmonary fibrosis, DLCO, diffusionReceived: April 23, 2020Accepted: November 6, 2020Published: December 21, 2020

**Copyright:** © 2020 Wu et al. This is an open access article distributed under the terms of the <u>Creative Commons Attribution</u> <u>License</u> (CC BY 3.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

#### ABSTRACT

Expression of  $\beta$ 2-microglobulin ( $\beta$ 2M) is involved in fibrosis progression in kidney, liver, and heart. In this casecontrolled retrospective study, we investigated the role of  $\beta$ 2M in the development of pulmonary fibrosis in patients with chronic obstructive pulmonary disease (COPD). Analysis of 450 COPD patients revealed that patients with decreased pulmonary diffusing capacity (DLCO) had increased  $\beta$ 2M serum levels. Compared to patients with lower  $\beta$ 2M serum levels, patients with increased  $\beta$ 2M levels exhibited increased alveolar wall/septal thickening and lung tissue  $\beta$ 2M expression. In addition, patients with increased  $\beta$ 2M levels had increased lung expression of TGF- $\beta$ 1, Smad4, and a-SMA. Animal experiments showed that increased  $\beta$ 2M expression resulted in epithelial-mesenchymal transition (EMT), alveolar wall/septal thickening, and pulmonary fibrosis in a rat COPD model. Together, these results indicate that  $\beta$ 2M serum levels may serve as a new indicator for assessment of pulmonary diffusion function and pulmonary fibrosis severity in clinical practice and may provide a potential target for treatment of pulmonary fibrosis in the future.

#### **INTRODUCTION**

β2-Microglobulin (β2M) is the light chain of the class I major histocompatibility complex (MHC I) protein that plays an important role in innate and adaptive immunity, and is involved in the pathogenesis of respiratory diseases [1, 2]. Some studies have suggested that β2M may function as an inflammatory cytokine, aging-promoting factor, and fibrosis-related factor [3–8]. In addition, β2M is involved in the development of fibrosis in kidney, heart, and liver [9–12]. For example, under pressure overload, β2M promotes myocardial fibrosis and activation of myocardial fibroblasts [13]. Furthermore, β2M plasma levels are increased in patients with chronic hepatitis B cirrhosis

[14]. These studies indicate that  $\beta$ 2M may play a similar role in other organs, but its role in the development and progression of pulmonary fibrosis is not clear. Pulmonary fibrosis is a pathological change characterized by chronic nonspecific inflammation of pulmonary interstitial and large amounts of collagen deposition. In clinical practice, it is assessed by chest computed tomography (CT) and pulmonary diffusing capacity (DLCO) [15, 16]. Chronic obstructive pulmonary disease (COPD) is a complicated pulmonary and systemic inflammatory disease. Its pathogenesis involves pro-inflammatory cells and mediators that may lead to alveolar wall destruction and epithelialmesenchymal transition (EMT), i.e. emphysema and fibrosis [17-20]. A recent study has shown that COPD

patients with emphysema have increased  $\beta$ 2M levels in plasma and lungs, suggesting a potential involvement of lung macrophages [21]. Significantly, patients with moderate and severe COPD often have a decreased diffusion capacity and pulmonary fibrosis that might be mediated by an inflammatory factor, such as  $\beta$ 2M. In this study, we tested the hypothesis that  $\beta$ 2M promotes lung fibrosis in COPD patients, and that it may serve as a novel biomarker of pulmonary fibrosis development in COPD patients.

#### **RESULTS**

#### **COPD** patients' characteristics

This case-controlled, retrospective study included 450 COPD patients. Analysis of  $\beta$ 2M levels and basic demographic information, systemic inflammatory levels, and pulmonary functions showed that  $\beta$ 2M levels exhibited no correlation with gender, smoking index, BMI, RV/TLC, FEV1% pred, and PEF. However, the  $\beta$ 2M levels showed a positive correlation with age, CRP levels, EOS%, FEV1/FVC, and MMEF, and a negative correlation with WBC, DLCO, and DLCO/V<sub>A</sub> (Table 1).

### $\beta$ 2M levels differ in COPD patients with better and worse DLCO values

The COPD patients were divided into four groups according to their DLCO values. The  $\beta$ 2M levels gradually increased from DLCO I group to DLCO IV group (Table 2). Since there might be a  $\beta$ 2M cut-off point, the COPD patients were divided into two groups – a "better DLCO group" (DLCO I and DLCO II) and a "worse DLCO group" (DLCO III and DLCO IV). Using Mann-Whitney U test, we found that the baseline characteristics were unmatched. The serum  $\beta$ 2M values were 2.10±1.22 mg/L in the better DLCO group, and 2.24±0.89 mg/L in the worse DLCO group (Table 3). Using propensity score matching (PSM) to match the baseline, the  $\beta$ 2M differences between the two groups were still significant (Table 3).

## DLCO values differ in COPD patients with higher and lower $\beta 2M$ levels

In secondary analysis using the differences in  $\beta$ 2M levels in the two DLCO groups, a cut-off point was set as 2.20 mg/L. Patients' data were divided into a higher  $\beta$ 2M group (serum  $\beta$ 2M  $\geq$ 2.20 mg/L) and a lower  $\beta$ 2M group (serum  $\beta$ 2M  $\leq$ 2.20 mg/L). There were differences in age, CRP levels, and DLCO values between the two groups. COPD patients with emphysema represented less than 50% cases. Emphysema and RV/TLC % showed no differences between the two groups (Table 4). Referring to the results of DLCO and  $\beta$ 2M subgroups, the indicators (age, BMI, CRP, and  $\beta$ 2M) with p-values < 0.05 were selected into Binary logical regression model (Table 5). Higher BMI was better for COPD patients' diffusion capacity (OR 0.841), while higher serum  $\beta$ 2M was harmful to COPD patients' diffusion capacity (OR 4.050). The model indicated that an increased serum  $\beta$ 2M level was an independent risk factor for diffusion impairment and pulmonary fibrosis development in COPD patients.

## β2M serum and lung levels are associated with fibrosis in COPD patients

We measured  $\beta 2M$  serum levels in 6 patients with COPD diagnosed by pulmonary function test, and analyzed their lung tissues collected during lung surgery. Patients with higher serum  $\beta 2M$  levels had lower DLCO values compared to patients with low  $\beta 2M$  levels. There was no difference in emphysema between the two groups; however, fibrosis was significantly different between the two groups, as reported by chest CT (Table 6).

Using hematoxylin-eosin (HE) staining, we found that the COPD patients with higher serum  $\beta$ 2M levels had thicker alveolar wall/septum (Figure 1). Immunohistochemical (IHC) staining showed that the patients with increased serum  $\beta$ 2M levels had an increased  $\beta$ 2M expression in lung tissues, especially in the alveolar wall/septum. In addition, they had increased expression of TGF- $\beta$ 1, Smad4, and a-SMA compared to patients with low serum  $\beta$ 2M levels (P<0.05; Figure 2).

## $\beta 2M$ lung expression correlates with EMT and fibrosis progression in COPD rats

To rule out the effect of other diseases, such as lung cancer, aging, or obesity, we used a COPD rat model to validate our data indicating that increased  $\beta 2M$  levels induce alveolar epithelial-mesenchymal transition (EMT) and pulmonary fibrosis in COPD. HE staining (Figure 3) showed that control rats (n=6) had normal alveolar construction ( $X_A=12.52\mu m$ ), while COPD rats had moderately thick alveolar wall/septum (XB=21.22µm, n=7) and COPD rats had thickest alveolar wall/septum (XC=40.38µm, n=6). IHC staining demonstrated that the lung tissues of 6 COPD rats with the thickest alveolar wall/septum exhibited increased β2M expression compared to 7 COPD rats with moderately thick alveolar wall/septum and 6 rats with normal alveolar construction. In addition, the lung expression of TGF-\beta1, Smad4, a-SMA, col1, and col3 showed the same tendency as the  $\beta$ 2M expression among the three rat groups, P < 0.05 (Figures 4, 5).

Correlation	<u>β2m</u>			
Correlation	r	p-value	cases	
Gender	-0.083	0.077	450	
Age	0.295	0.0001	450	
Smoking index	0.043	0.365	450	
BMI	-0.008	0.871	450	
CRP	0.212	0.0002	296	
WBC	-0.105	0.026	450	
EOS%	0.175	0.0002	450	
RV/TLC%	-0.056	0.244	441	
FEV1% pred	0.087	0.065	449	
FEV1/FVC%	0.146	0.002	443	
PEF%	-0.009	0.853	449	
MMEF%	0.111	0.02	441	
DLCO%	-0.147	0.002	450	
DLCO/VA%	-0.107	0.023	450	
Correlation	β2m			
after PSM	r	p-value	cases	
DLCO	-0.277	<0.001	186	
DLCO/VA%	-0.128	0.081	186	

Table 1. Spearman bivariate correlations analysis between β2M and basic demographic information, systemic inflammatory level, pulmonary function in COPD patients.

Notes: P<0.05 was thought that the two indicators were significantly correlated, while r indicates correlation coefficient. Propensity score matching (PSM) were performed between lower  $\beta$ 2m and higher  $\beta$ 2m to exclude effect of unmatched baseline.

Abbreviations: BMI, body mass index; CRP, C reactive protein; WBC, white blood cell; EOS%, percentage of eosinophils in white blood cell; RV/TLC%, ratio of residual volume to total lung capacity; FEV1, forced expiratory volume in 1 second; FEV1%pred, percentage of forced expiratory volume in 1 second predicted value; FVC, forced vital capacity; FEV1/FVC%, percentage of FEV1/FVC; PEF%, percentage of peak expiratory flow; MMEF%, percentage of maximal mid-expiratory flow; DLCO%, percentage of diffusing capacity of carbon monoxide; DLCO/VA%, ratio of carbon monoxide diffusion capacity to alveolar ventilation; β2m,β2-Microglobulin; PSM, propensity score matching.

	DLCO I	DLCO II	DLCO III	DLCO IV	n_vəlue
	n=34	n=82	n=137	n=197	p-value
Gender/male	67.60%	70.70%	82.50%	86.80%	0.003
A go voors	64.00	63.00	67.00	70.00	<0.001
Age, years	(59.75-70.25)	(58.00-70.00)	(60.00-76.00)	(63.00-76.00)	<0.001
Smoking index	0.00	155.00	600.00	800.00	<0.001
Smoking muex	(0.00-600.00)	(0.00-600.00)	(0.00-1000.00)	(200.00-1200.00)	<0.001
<b>DMI</b> $ka/m^2$	26.41	25.71	24.34	22.23	< 0.001
Divir, kg/iii	(22.80-30.95)	(23.39-30.49)	(21.62-27.34)	(19.53-25.08)	
CDD ma/I	1.59	4.79	3.45	8.30	0.002
CRP, IIIg/L	(0.56 - 5.57)	(1.69-27.59)	(1.43-11.76)	(2.60-27.03)	0.002
WDC *10 <sup>9</sup> Л	7.24	7.68	6.95	7.17	0.225
WBC, *10/L	(5.41-8.91)	(5.93 - 10.67)	(5.66-8.91)	(5.65-8.85)	0.225
	1.55	0.95	1.90	1.60	0.104
EOS%	(0.38 - 2.50)	(0.20 - 2.53)	(0.40 - 3.70)	(0.10-3.15)	0.194
B) ma/I	1.83	1.87	2.01	2.03	0.007
p2m, mg/L	(1.48-2.11)	(1.56-2.48)	(1.74-2.44)	(1.73-2.54)	0.007

Table 2. Differences of clinical characteristics among four groups divided by DLCO in COPD patients.

Notes: Data was presented as median (interquartile range, IQR) or proportion. P<0.05 indicated that the indicator was statistically different among groups.

Abbreviations: BMI, body mass index; CRP, C reactive protein; WBC, white blood cell; EOS%, percentage of eosinophil in white blood cell; β2m, β2-Microglobulin.

	better DLCO	lower DLCO	
	n=116	n=334	p-value
Gender/male	68.90%	85%	< 0.001
Age, years	63.00 (58.25-70.00)	68.00 (62.00-76.00)	< 0.001
Smoking index	100.00 (0.00-600.00)	637.50 (100.00-1012.50)	< 0.001
BMI, $kg/m^2$	26.08 (23.34-30.49)	22.99 (20.54-26.03)	< 0.001
CRP, mg/L	3.72 (1.08-24.18)	5.17 (2.07-17.55)	0.248
WBC, *10 <sup>9</sup> /L	7.35 (5.78-9.96)	6.99 (5.66-8.85)	0.119
EOS%	1.15 (0.2000-2.50)	1.65 (0.20-3.33)	0.104
β2m, mg/L	$2.10{\pm}1.22$	$2.24{\pm}0.89$	0.001
After PSM	better DLCO	lower DLCO	n voluo
	n=93	n=93	p-value
Male / Female	69/24	72/21	0.608
Age, years	64 (59-70)	64 (59-72)	0.879
Smoking index	200 (0-600)	400 (0-800)	0.274
BMI, $kg/m^2$	26.09 (23.44-30.48)	22.84 (20.62-26.91)	< 0.001
CRP, mg/L	3.38 (0.79-12.02)	5.08 (1.47-16.71)	0.189
β2m, mg/L	1.83 (1.51-2.31)	2.06 (1.79-2.45)	0.001
RV/TLC %	127.80 (114.75-144.75)	146.30 (121.15-162.80)	0.001
FEV1% pred	59.50 (47.35-71.00)	38.60 (30.20-46.35)	< 0.001
FEV1/FVC%	62.15 (54.54-66.56)	51.09 (42.30-57.32)	< 0.001
MMEF %	23.50 (17.00-33.40)	12.95 (10.25-18.28)	< 0.001
DLCO %	70.90 (65.65-82.45)	39.00 (31.45-50.90)	< 0.001
DLCO/VA %	85.80 (69.20-107.45)	48.60 (35.00-69.50)	< 0.001
Emphysema	18.3%	43.0%	< 0.001

Table 3. Differences of clinical characteristics between better DLCO group (DLCO I and DLCO II) and worse DLCO group (DLCO III and DLCO IV) in COPD patients.

Notes: Data was presented as median (interquartile range, IQR) or proportion or median±standard deviation. P<0.05 indicated that the indicator was statistically different between two groups. Propensity score matching (PSM) were performed between better DLCO and worse DLCO two groups to exclude effect of unmatched baseline.

Abbreviations: BMI, body mass index; CRP, C reactive protein; WBC, white blood cell; EOS%, percentage of eosinophil in white blood cell;  $\beta 2m$ ,  $\beta 2$ -Microglobulin. PSM, propensity score matching; RV/TLC%, ratio of residual volume to total lung capacity; FEV1, forced expiratory volume in 1 second; FEV1% pred, percentage of forced expiratory volume in 1 second predicted value; FVC, forced vital capacity; FEV1/FVC%, percentage of FEV1/FVC; MMEF%, percentage of maximal mid-expiratory flow; DLCO%, percentage of diffusing capacity of carbon monoxide; DLCO/VA%, ratio of carbon monoxide diffusion capacity to alveolar ventilation.

Table 4. Differences of clinical characteristics between higher  $\beta$ 2M group and lower  $\beta$ 2M group in COPD patients.

	lower β2m <2.20 n=125	higher β2m ≥2.20 n=61	p-value
Male / Female	92/33	49/12	0.316
Age, years	62 (59-69)	66 (61.5-75)	0.001
Smoking index	400 (0-800)	300 (0-800)	0.963
BMI, kg/m <sup>2</sup>	25.1 (22.06-28.50)	24.77 (21.39-28.97)	0.873
CRP, mg/L	3.36 (0.74-8.32)	14.36 (3.17-92.32)	< 0.001
RV/TLC %	134.45 (118.23-155.35)	134.60 (116.83-155.28)	0.992
FEV1%pred	46.50 (37.95-63.35)	49.70 (34.75-58.20)	0.614
FEV1/FVC%	55.48 (45.64-63.70)	56.06 (49.74-64.30)	0.632
MMEF %	16.70 (12.00-25.05)	18.25 (12.05-26.75)	0.719
DLCO %	62.50 (43.75-73.65)	49.30 (32.20-66.55)	0.003
DLCO/VA %	71.40 (56.45-91.85)	63.20 (40.85-95.15)	0.136
Emphysema	44.1%	40.7%	0.490

Notes: Data was presented as median (interquartile range, IQR) unless specified. P<0.05 indicated that the indicator was statistically different between two groups.

Abbreviations: β2m, β2-Microglobulin; BMI, body mass index; CRP, C reactive protein; RV/TLC%, ratio of residual volume to total lung capacity; FEV1, forced expiratory volume in 1 second; FEV1%pred, percentage of forced expiratory volume in 1 second predicted value; FVC, forced vital capacity; FEV1/FVC%, percentage of FEV1/FVC; MMEF%, percentage of maximal mid-expiratory flow; DLCO%, percentage of diffusing capacity of carbon monoxide; DLCO/VA%, ratio of carbon monoxide diffusion capacity to alveolar ventilation.

DLCO	p-value	OR	OR 95% CI
β2M	0.001	4.050	1.739-9.431
age	0.613	0.987	0.940-1.037
CRP	0.143	0.995	0.988-1.002
BMI	< 0.001	0.841	0.765-0.924

 Table 5. Binary logical regression for secondary analysis of indicators in COPD patients.

Notes: P<0.05 indicated that the indicator was statistically different.

Abbreviations: DLCO, diffusing capacity of carbon monoxide; β2m, β2-Microglobulin; CRP, C reactive protein; BMI, body mass index; OR, odds ratio; 95% CI, 95% confidence interval.

Table 6. Differences of clinical characteristics between higher  $\beta$ 2M group and lower  $\beta$ 2M group in COPD patients which recruited from thoracic surgery department.

	COPD patients with higher β2m n=3	COPD patients with lower β2m n=3	p-value
Male, n	3	3	-
Age, years	62.33±8.31	$58 \pm 4.98$	0.189
Smoking index	440±435.25	800±715.54	0.746
BMI, $kg/m^2$	27.1±2.33	$27.34 \pm 3.05$	0.746
$\beta 2m, mg/L$	$2.45 \pm 0.28$	$1.56 \pm 0.36$	0.004
DLCO %	65.8±13.19	94.57±24.02	0.023
DLCO/VA %	91.27±10.65	110.97±26.96	0.106
Emphysema, n	0	1	0.138
Fibrosis, n	3	0	0.001

Notes: Data was presented as mean ± standard deviation. P<0.05 indicated that the indicator was statistically different between two groups.

Abbreviations: BMI, body mass index; β2m, β2-Microglobulin; DLCO%, percentage of diffusing capacity of carbon monoxide; DLCO/VA%, ratio of carbon monoxide diffusion capacity to alveolar ventilation.

Masson staining showed that the 6 COPD rats with the thickest alveolar wall had a massively increased expression of collagen fibers in their lung tissues (Figure 6).

#### **DISCUSSION**

Due to  $\beta$ 2M structural characteristics, this amyloid protein can be distributed by blood to all parts of the body, deposit in various tissues and organs, and cause varying degrees of destruction [22].  $\beta$ 2M has been used as a biomarker of fibrosis progression in several organs, including kidney, heart, and liver [6, 7, 9, 12]. However, its role in the lungs has been insufficiently studied. COPD is a complicated pulmonary and systematic disease whose mechanisms involve inflammation, autophagy, aging, and EMT/fibrosis [23]. Moderate and severe COPD patients often have a lung diffusion impairment that is characterized by alveolar epithelial cells EMT, alveolar wall/septal thickening, and alveoli capillary membrane damage detected by pulmonary function test (DLCO and/or DLCO/VA) [24–30]. A recent study has indicated that  $\beta$ 2M expression is increased in alveolar epithelial cells, suggesting that  $\beta$ 2M might be involved in COPD progression [21]. In this retrospective study, we tested the hypothesis that increased  $\beta$ 2M serum and lung levels lead to diffusion dysfunction and pulmonary fibrosis development (DLCO decreasing, alveolar-related EMT, lung tissue fibrosis) in COPD patients.

To our knowledge, our study is the first to demonstrate that COPD patients with decreased DLCO values have increased serum  $\beta$ 2M levels. Analysis of clinical data indicated that increased serum  $\beta$ 2M is a harmful factor for DLCO in COPD patients, while BMI is a protective



**Figure 1. HE staining of lung tissue from COPD patients.** (A) Representative image of HE staining of lung tissue from COPD patients with lower serum  $\beta 2M$ . (B) Representative image of HE staining of lung tissue from COPD patients with higher serum  $\beta 2M$ . The right panel shows difference of alveolar wall/septum thickness in COPD patients with lower serum  $\beta 2M$  and with higher serum  $\beta 2M$ . P<0.05 in COPD patients with higher serum  $\beta 2M$  versus those with lower serum  $\beta 2M$ . Bars represent Means.



**Figure 2. Immunohistochemical staining of lung tissue from COPD patients.** Lung tissue of COPD patients with lower serum  $\beta$ 2M including (**A**, **C**, **E**, **G**); lung tissue of COPD patients with higher serum  $\beta$ 2M including (**B**, **D**, **F**, **H**). Indicators and positive cell rate: (**A**, **B**) Representative image of  $\beta$ 2M immunohistochemical staining of lung tissue from COPD patients with lower serum  $\beta$ 2M (17.17 ± 1.64%) and with higher serum  $\beta$ 2M (28.95 ± 1.26%) respectively. (**C**, **D**) Representative image of TGF- $\beta$ 1 immunohistochemical staining of lung tissue

from COPD patients with lower serum  $\beta$ 2M (16.48 ± 0.63%) and with higher serum  $\beta$ 2M (32.46 ± 0.69%) respectively. (**E**, **F**) Representative image of Smad4 immunohistochemical staining of lung tissue from COPD patients with lower serum  $\beta$ 2M (34.95 ± 0.71%) and with higher serum  $\beta$ 2M (43.38 ± 0.90%) respectively. (**G**, **H**) Representative image of a-SMA immunohistochemical staining of lung tissue from COPD patients with lower serum  $\beta$ 2M (38.54 ± 0.43%) and with higher serum  $\beta$ 2M (26.66 ± 0.89%) respectively. P<0.05 in COPD patients with higher serum  $\beta$ 2M versus those with lower serum  $\beta$ 2M.

one. The observed positive effect of BMI on DLCO is in line with our previous study [31]. In addition, our results showed that increased serum and lung levels of β2M correlated with increased alveolar wall/septal thickening (fibrosis changes) in COPD patients. The TGF- $\beta$ /Smad pathway is a classical EMT mechanism, and a-SMA, col1, and col3 levels are reliable indicators of EMT/fibrosis progression [18, 32–35]. We investigated the mechanisms that contribute to EMT and fibrosis progression in COPD, and hypothesized that high  $\beta$ 2M expression could stimulate TGF- $\beta$ 1 expression, resulting in increased Smad4 and a-SMA levels and collagen expression. Analysis of clinical samples demonstrated that COPD patients with increased serum and lung B2M levels had increased expression of TGF-\u00b31, Smad4, and a-SMA, and increased alveolar wall/septal thickness, resulting in lower DLCO values and fibrosis changes. Animal experiments showed alveolar wall/septal thickening, and increased levels of  $\beta$ 2M, TGF- $\beta$ 1, Smad4, a-SMA, col1, and col3 in a rat COPD model. In addition, COPD rats exhibited a significant Masson staining in lung

tissues, suggesting leukocyte-mediated pulmonary inflammatory response and then pulmonary fibrosis development.

Future studies should investigate the specific stimuli and mechanisms that induce the increased  $\beta$ 2M expression in the lungs and in serum, and elucidate the potential involvement of lung inflammatory cells. It will be important to determine the mechanisms regulating the  $\beta$ 2M expression, its relationship with pulmonary fibrosis, as well as the potential of  $\beta$ 2M protein structure to contribute to fibrosis.

In conclusion, our study demonstrates the novel relationship between  $\beta$ 2M levels, and EMT and lung fibrosis in COPD patients. Our data show that the increased  $\beta$ 2M expression is mediated by the TGF- $\beta$ 1/Smad4/a-SMA pathway, resulting in alveolar epithelial cell EMT, alveolar wall/septal thickening, pulmonary fibrosis, and decreased DLCO values. These findings indicate that serum  $\beta$ 2M levels may serve as a new indicator to assess pulmonary diffusion function



**Figure 3. HE staining of lung tissue from rats.** (A–C) Representative image of HE staining of lung tissue from control rats, COPD rats with lower  $\beta$ 2M and COPD rats with higher  $\beta$ 2M, respectively. The right panel shows quantification of alveolar wall and septum thickness in control rats, COPD rats with lower  $\beta$ 2M and COPD rats with higher  $\beta$ 2M. P<0.05 in COPD rats with lower  $\beta$ 2M versus control and in COPD rats with higher  $\beta$ 2M. Bars represent Means.



**Figure 4. Immunohistochemical staining of lung tissue from rats.** Lung tissue of control rats including (A, D, G, J, M, P); lung tissue of COPD rats with lower  $\beta$ 2M including (B, E, H, K, N, Q); lung tissue of COPD rats with higher  $\beta$ 2M including (C, F, I, L, O, R). Indicators and positive cell rate: (A–C) Representative image of  $\beta$ 2M immunohistochemical staining of lung tissue from control rats (7.29 ± 1.65%), COPD rats with lower  $\beta$ 2M (14.39 ± 2.17%) and COPD rats with higher  $\beta$ 2M (21.21 ± 2.56%) respectively. (D–F) Representative image of TGF- $\beta$ 1 immunohistochemical staining of lung tissue from control rats (30.12 ± 3.24%), COPD rats with lower  $\beta$ 2M (33.22 ± 2.87%) and COPD rats with higher  $\beta$ 2M (37.30 ± 4.99%) respectively. (G–I) Representative image of Smad4 immunohistochemical staining of lung tissue from control rats (20.67 ± 2.25%), COPD rats with lower  $\beta$ 2M (27.04 ± 2.99%) and COPD rats with higher  $\beta$ 2M (29.51 ± 3.14%) respectively. (J–L) Representative image of a-SMA immunohistochemical staining of lung tissue from control rats (5.82 ± 0.57%), COPD rats with lower  $\beta$ 2M (7.99 ± 1.35%) and COPD rats with higher  $\beta$ 2M (9.96 ± 3.10%) respectively. (M–O) Representative image of col1 immunohistochemical staining of lung tissue from control rats (8.43 ± 2.58%), COPD rats with lower  $\beta$ 2M (13.19 ± 7.05%) and COPD rats with higher  $\beta$ 2M (19.67 ± 7.46%) respectively. (P–R) Representative image of col3 immunohistochemical staining of lung tissue from control rats (12.57 ± 7.06%) and COPD rats with higher  $\beta$ 2M (22.04 ± 10.14%) respectively. The pictures show the same differential tendency (P<0.05).



**Figure 5. Immunohistochemical staining quantitative traits of lung tissue from rats.** This figure means quantified Figure 4. Every Each individual bar chart is factor immunohistochemical staining quantitative traits of lung tissue from rats. Factors including: β2M, TGF-β1, Smad4, a-SMA, col1, col3. P<0.05 represents difference is significant.



**Figure 6. Masson's trichrome staining of lung tissue from rats.** (A–C) Representative image of Masson's trichrome staining of lung tissue from control rats, COPD rats with lower  $\beta$ 2M and COPD rats with higher  $\beta$ 2M, respectively. The right panel shows Masson staining positive area in control rats, COPD rats with lower  $\beta$ 2M and COPD rats with higher  $\beta$ 2M. P<0.05 in COPD rats with lower  $\beta$ 2M versus control and in COPD rats with higher  $\beta$ 2M. Bars represent Means.

and pulmonary fibrosis severity in clinical practice, and suggest that  $\beta 2M$  may serve as a novel potential intervention target for the treatment of pulmonary fibrosis.

#### **MATERIALS AND METHODS**

#### Study population

450 COPD patients were included in this case-control retrospective study. COPD was diagnosed by pulmonary function test according to the standards of Global Initiative for Chronic Obstructive Lung Disease (GOLD). Patients with chronic kidney disease (CKD) or other uncontrolled serious systemic disease were excluded. Patients' data (containing basic demographic information, history of smoking, laboratory blood tests, and pulmonary function tests) were collected from Hospital electronic records between January 1, 2013 and December 31, 2017.

In addition, lung tissue specimens were obtained from 6 COPD patients (3 with higher serum  $\beta$ 2M values and 3 with lower serum  $\beta$ 2M), who were diagnosed with solitary lung nodule and needed resection. Then specimens were fixed by 10% formalin and embedded with paraffin for hematoxylin-eosin (HE) and immunohistochemical (IHC) staining. All procedures adhered to the Helsinki Declaration. This study was approved by Shandong Provincial Hospital Medical Ethics Committee (Ethical Review of Medical Research on Human Being No. 2016-23; LCYJ: NO. 2019-019).

#### Rat COPD model

Adult male Wistar rats weighing above 200 g were used for all experiments. Rats were fed in SPF animal facility (temperature  $22\pm2^{\circ}$  C, humidity  $55\pm5\%$ ), with normal day and night cycle, and free access to common diet and water. Rats were randomly divided into 2 groups: control (n=6) and COPD/CSE (n=13). All experimental procedures followed the Guidelines of the Institutional Animal Care and Use Committee, Chinese Academy of Sciences.

Rat model of COPD was exposed to cigarette smoke (10/time, Huangshan Brand, China Tobacco Anhui Industrial Co., Ltd.) for 4 times each day in a special device, with more than 3-hour breaks in between. The exposure was performed for 5 days per week, and lasted for 12 weeks before final measurements of lung function. The non-COPD groups were put in the same case with free access to fresh air. After exposure, all rats were fed in the room and had access to fresh air. After lung function was measured and COPD model was established, rats were sacrificed and the lung tissues

were obtained. The study was approved by Shandong Provincial Hospital Animal Experiment Ethics Committee (Ethical Review of Animal Experiment No. 2019-001).

#### Study design

We hypothesized that serum and tissue  $\beta$ 2M values may serve as a biomarker of pulmonary fibrosis (determined by lung diffusion function - DLCO; chest CT; lung tissue HE staining - thickness of alveolar wall and thickness of alveolar septum; fibrosis indicators immunohistochemical staining of lung tissue; and Masson staining) in COPD. 450 COPD patients were divided into four groups according to their DLCO values (Normal group DLCO I≥80%, Mild Impairment group 80>DLCO II 260%, Moderate Impairment group 60%>DLCO III≥40%, Severe Impairment group DLCO IV<40%). Then we divided these COPD patients into two groups: a better diffusing capacity group (combined group and Mild Impairment Normal group, DLCO 260%) and a worse diffusing capacity group (combined Moderate Impairment group and Severe Impairment group DLCO<60%), and analyzed the differences in serum B2M values between these two groups. Secondary analysis was then performed to find a cut-off point of serum  $\beta$ 2M to verify the difference in DLCO of COPD patients.

In addition, lung tissues and serum samples from 6 COPD patients (3 with high serum  $\beta$ 2M and 3 with low serum  $\beta$ 2M) and 19 rats (control n=6, COPD n=13) were analyzed by HE staining for changes in alveolar walls and alveolar septum, IHC staining of  $\beta$ 2M and fibrosis indicators (TGF- $\beta$ 1, Smad4,  $\alpha$ -SMA, col1, col3), and Masson staining for observing collagen fiber content in lung tissues.

#### Histology and morphometric analyses

The lung tissues were fixed in 4% paraformaldehyde, embedded in paraffin, and cut into 4.5 um-thick sections. The sections were stained with hematoxylin and eosin (HE). The measurement of distal airspace wall thickness was analyzed by using the Image Pro-Plus. In brief, the slides were observed at  $200 \times$ magnification. The measurement was performed by drawing lines horizontally across the field of view. Bronchioles were intentionally ignored in the field. The thickness of each septum crossing the given horizontal line was measured perpendicular to its course at that crossing point. Three separate horizontal lines were drawn and analyzed for each field, and the average septal thickness was calculated for each grid. There were three lung specimens per group for analyses of distal airspace wall thickness.

#### **IHC staining**

The 4.5 µm-thick sections obtained from paraffinembedded lung tissues were deparaffinized, and antigen retrieval was performed at a temperature over 95° C. Nonspecific reactions were blocked with goat serum for 15 minutes at 37° C, and the sections were incubated with primary antibodies against ß2-Microglobulin (Proteintech 13511-1-AP, Rosemont, IL, USA, 1:50), TGF-B1 (Proteintech 21898-1-AP, Chicago, IL, USA, 1:100), Smad4 (Proteintech 10231-1-AP, Chicago, II, 1:50), a-SMA (Proteintech 14395-1-AP, USA. Rosemont, IL, USA, 1:3000), col1 (YM3767, Immonoway, Beijing, China, 1:50), and col3 (Proteintech 22734-1-AP, Sanying, Wuhan, China, 1:500) for 1 hour at 37° C. The sections were incubated with a secondary antibody kit (SZGB-BIO, PV9003, Beijing, China) for 20 minutes at 37° C. The samples were viewed under a confocal FV 1000 SPD laser scanning microscope (Olympus, Japan), and labeling index analyses were performed with ImageJ.

#### Masson's trichrome staining

The sections were treated with Masson trichrome (MT) staining kit (Servicebio, G1006, Wuhan, China). Visual fields were randomly selected for each section, using the same conditions for light setting and contrast. Expression of collagen fibers in pulmonary alveoli was quantified by measuring the positive staining area using ImageJ.

#### Statistical analysis

All data were collected from Hospital electronic records and recorded by EpiData CRF (Version 3.1). Statistical analysis was performed by SPSS Version 23.0 (SPSS Inc.; Chicago, Illinois) and figures were made by GraphPad Prism7 (GraphPad, San Diego, CA) and ImageJ (National Institutes of Health, USA). Correlation between  $\beta$ 2M and DLCO, DLCO/V<sub>A</sub> was analyzed by Spearman bivariate analysis. In the primary analysis, parametric data were presented as mean ± standard deviation or mean (range). Non-parametric data were presented as median (interquartile range, IQR). Kruskal-Wallis test was used to compare differences among multigroups and Mann-Whitney U test was used to compare data between pairs. Propensity score matching (PSM) test was performed between DLCO better and DLCO worse groups to exclude the effect of unmatched baseline. Then logistic regression was used to analyze multivariable parameters. In the secondary analysis, analyzing the cutoff point to divide COPD patients into high 62M groups and normal  $\beta$ 2M groups, the differences between  $\beta$ 2M groups were analyzed by Mann-Whitney U test. P<0.05 was considered significant for all statistical analyses.

#### Study approval

This study adhered to the Helsinki Declaration protocol, and was approved by Shandong Provincial Hospital Medical Ethics Committee (Ethical Review of Medical Research on Human Being No. 2016-23; LCYJ: NO. 2019-019). Animal experiments were approved by Shandong Provincial Hospital Animal Experiment Ethics Committee (Ethical Review of Animal Experiment No. 2019-001).

#### **AUTHOR CONTRIBUTIONS**

(I) Conception and design: Yi Liu, Zhenchao Wu; (II) Administrative support: Yi Liu, Min Zhang, Guoyuan Ma; (III) Provision of study materials or patients: Yi Liu, Zhenchao Wu, Min Zhang; (IV) Collection and assembly of data: Zhenchao Wu, Mengdie Yan, Nan Wu, Bingbing Wang, Xintong Du, Can Ding; (V) COPD patients' lung tissue and COPD model rats' specimen: Zhenchao Wu, Nan Wu, Guoyuan Ma, Mengdie Yan, Bingbing Wang, Xintong Du, Can Ding, Youbo Fan; (VI) Data analysis and interpretation: Zhenchao Wu, Mengdie Yan, Youbo Fan; (VII) Manuscript writing: All authors; (VIII) Final approval of manuscript: All authors.

#### **ACKNOWLEDGMENTS**

We give our thanks to the Medical Record Department of Shandong Provincial Hospital for providing COPD patients' hospitalization records, Thoracic Surgery Department of Shandong Provincial Hospital for establishing research cooperation, and Key Lab of Shandong Provincial Hospital for experimental support. We thank Drs. Ying Wang and Kewu Huang, respiratory physicians from Beijing Chao-Yang Hospital, for sharing their expertise with the  $\beta$ 2M role in COPD.

#### **CONFLICTS OF INTEREST**

The authors have declared no conflicts of interest in this work.

#### FUNDING

This work was partially supported by grants from National Natural Science Foundation of China (Grant No. 81300030, 81570336, 82071569).

#### REFERENCES

 Ardeniz Ö, Unger S, Onay H, Ammann S, Keck C, Cianga C, Gerçeker B, Martin B, Fuchs I, Salzer U, İkincioğulları A, Güloğlu D, Dereli T, et al. B2-microglobulin deficiency causes a complex immunodeficiency of the innate and adaptive immune system. J Allergy Clin Immunol. 2015; 136:392–401. https://doi.org/10.1016/j.jaci.2014.12.1937 PMID:<u>25702838</u>

- Chiou SJ, Wang CC, Tseng YS, Lee YJ, Chen SC, Chou CH, Chuang LY, Hong YR, Lu CY, Chiu CC, Chignard M. A novel role for β2-microglobulin: a precursor of antibacterial chemokine in respiratory epithelial cells. Sci Rep. 2016; 6:31035. https://doi.org/10.1038/srep31035 PMID:27503241
- Filiano AJ, Kipnis J. Breaking bad blood: β2microglobulin as a pro-aging factor in blood. Nat Med. 2015; 21:844–45. <u>https://doi.org/10.1038/nm.3926</u> PMID:26248266
- Smith LK, He Y, Park JS, Bieri G, Snethlage CE, Lin K, Gontier G, Wabl R, Plambeck KE, Udeochu J, Wheatley EG, Bouchard J, Eggel A, et al. B2-microglobulin is a systemic pro-aging factor that impairs cognitive function and neurogenesis. Nat Med. 2015; 21:932–37. https://doi.org/10.1038/nm.3898 PMID:26147761
- Yang R, Fu S, Zhao L, Zhen B, Ye L, Niu X, Li X, Zhang P, Bai J. Quantitation of circulating GDF-11 and β2-MG in aged patients with age-related impairment in cognitive function. Clin Sci (Lond). 2017; 131:1895–904. https://doi.org/10.1042/CS20171028 PMID:28611236
- Boyer MJ, Eguchi S. Novel role for cardiac myocytederived β-2 microglobulin in mediating cardiac fibrosis. Clin Sci (Lond). 2018; 132:2117–20. https://doi.org/10.1042/CS20180681 PMID:30291210
- Santos MM, de Sousa M, Rademakers LH, Clevers H, Marx JJ, Schilham MW. Iron overload and heart fibrosis in mice deficient for both beta2-microglobulin and Rag1. Am J Pathol. 2000; 157:1883–92. <u>https://doi.org/10.1016/s0002-9440(10)64827-4</u> PMID:<u>11106561</u>
- Nomura T, Huang WC, Zhau HE, Josson S, Mimata H, Chung LW. B2-microglobulin-mediated signaling as a target for cancer therapy. Anticancer Agents Med Chem. 2014; 14:343–52. <u>https://doi.org/10.2174/18715206113139990092</u> PMID:<u>23848204</u>
- 9. Zhang A, Wang B, Yang M, Shi H, Gan W. B2microglobulin induces epithelial-mesenchymal transition in human renal proximal tubule epithelial cells *in vitro*. BMC Nephrol. 2015; 16:60. <u>https://doi.org/10.1186/s12882-015-0057-x</u> PMID:<u>25899529</u>
- Wang J, Yang W, Wang T, Chen X, Wang J, Zhang X, Cai C, Zhong B, Wu J, Chen Z, Xiang AP, Huang W. Mesenchymal stromal cells-derived β2-microglobulin promotes epithelial-mesenchymal transition of

esophageal squamous cell carcinoma cells. Sci Rep. 2018; 8:5422.

https://doi.org/10.1038/s41598-018-23651-5 PMID:<u>29615660</u>

- 11. Chen J, Li HY, Wang D, Guo XZ. Delphinidin protects  $\beta$ 2m-/Thy1+ bone marrow-derived hepatocyte stem cells against TGF- $\beta$ 1-induced oxidative stress and apoptosis through the PI3K/Akt pathway *in vitro*. Chem Biol Interact. 2019; 297:109–18. https://doi.org/10.1016/j.cbi.2018.10.019 PMID:30365941
- Lan L, Chen Y, Sun C, Sun Q, Hu J, Li D. Transplantation of bone marrow-derived hepatocyte stem cells transduced with adenovirus-mediated IL-10 gene reverses liver fibrosis in rats. Transpl Int. 2008; 21:581–92. https://doi.org/10.1111/j.1432-2277.2008.00652.x

PMID:<u>18282246</u>

- Li Y, Zhang X, Li L, Wang X, Chen Z, Wang X, Wang Y, Kang L, Ye Y, Jia J, Zhang G, Yang C, Yuan J, et al. Mechanical stresses induce paracrine β-2 microglobulin from cardiomyocytes to activate cardiac fibroblasts through epidermal growth factor receptor. Clin Sci (Lond). 2018; 132:1855–74. https://doi.org/10.1042/CS20180486 PMID:30072448
- Lai XJ, Lian JS, Chen JY, Zhang YM, Jia HY, Zheng L, Yang YD. [Efficacy and safety of Entecavir monotherapy switched from Lamivudine combined Adefovir Dipivoxil for chronic hepatitis B virus-related compensated liver cirrhosis]. Zhonghua Gan Zang Bing Za Zhi. 2018; 26:113–118.

https://doi.org/10.3760/cma.j.issn.1007-3418.2018.02.008 PMID:29804377

- Idiopathic pulmonary fibrosis in adults: diagnosis and management (Clinical guideline [CG163]). National Institute for Health and Clinical Excellence (NICE). 2013. <u>https://www.nice.org.uk/guidance/cg163</u>
- 16. Group of Pulmonary Vascular and Interstitial Diseases Associated with Rheumatic Diseases, Chinese Association of Rheumatology and Immunology Physicians, and Chinese Rheumatic Disease Data Center. [2018 Chinese expert-based consensus statement regarding the diagnosis and treatment of interstitial lung disease associated with connective tissue diseases]. Zhonghua Nei Ke Za Zhi. 2018; 57:558–65.

https://doi.org/10.3760/cma.j.issn.0578-1426.2018.08.005 PMID:30060326

 Wang Y, Xu J, Meng Y, Adcock IM, Yao X. Role of inflammatory cells in airway remodeling in COPD. Int J Chron Obstruct Pulmon Dis. 2018; 13:3341–48. <u>https://doi.org/10.2147/COPD.S176122</u> PMID:<u>30349237</u>  Mahmood MQ, Reid D, Ward C, Muller HK, Knight DA, Sohal SS, Walters EH. Transforming growth factor (TGF) β<sub>1</sub> and Smad signalling pathways: a likely key to EMTassociated COPD pathogenesis. Respirology. 2017; 22:133–40.

https://doi.org/10.1111/resp.12882 PMID:27614607

- Sohal SS. Epithelial and endothelial cell plasticity in chronic obstructive pulmonary disease (COPD). Respir Investig. 2017; 55:104–13. <u>https://doi.org/10.1016/j.resinv.2016.11.006</u> PMID:<u>28274525</u>
- Jolly MK, Ward C, Eapen MS, Myers S, Hallgren O, Levine H, Sohal SS. Epithelial-mesenchymal transition, a spectrum of states: role in lung development, homeostasis, and disease. Dev Dyn. 2018; 247:346–58. <u>https://doi.org/10.1002/dvdy.24541</u> PMID:<u>28646553</u>
- 21. Gao N, Wang Y, Zheng CM, Gao YL, Li H, Li Y, Fu TT, Xu LL, Wang W, Ying S, Huang K. B<sub>2</sub>-Microglobulin participates in development of lung emphysema by inducing lung epithelial cell senescence. Am J Physiol Lung Cell Mol Physiol. 2017; 312:L669–77. https://doi.org/10.1152/ajplung.00516.2016 PMID:28213472
- 22. Stoppini M, Bellotti V. Systemic amyloidosis: lessons from β2-microglobulin. J Biol Chem. 2015; 290:9951–58. <u>https://doi.org/10.1074/jbc.R115.639799</u> PMID:<u>25750126</u>
- 23. Bagdonas E, Raudoniute J, Bruzauskaite I, Aldonyte R. Novel aspects of pathogenesis and regeneration mechanisms in COPD. Int J Chron Obstruct Pulmon Dis. 2015; 10:995–1013. <u>https://doi.org/10.2147/COPD.S82518</u> PMID:26082624
- 24. Harvey BG, Strulovici-Barel Y, Kaner RJ, Sanders A, Vincent TL, Mezey JG, Crystal RG. Progression to COPD in smokers with normal spirometry/low DLCO using different methods to determine normal levels. Eur Respir J. 2016; 47:1888–89. <u>https://doi.org/10.1183/13993003.00435-2016</u> PMID:<u>27246083</u>
- 25. Enright Md P. Office-based DLCO tests help pulmonologists to make important clinical decisions. Respir Investig. 2016; 54:305–11. <u>https://doi.org/10.1016/j.resinv.2016.03.006</u> PMID:<u>27566377</u>
- Lai T, Li Y, Chen M, Pan G, Wen X, Mai Z, Yuan Y, Lv Y, Lv Q, Cen R, Yi H, Wen M, Li D, et al. Heparin-binding epidermal growth factor contributes to COPD disease severity by modulating airway fibrosis and pulmonary epithelial-mesenchymal transition. Lab Invest. 2018; 98:1159–69.

https://doi.org/10.1038/s41374-018-0049-0 PMID:29581578

- Barnes PJ. Hepatocyte growth factor deficiency in COPD: a mechanism of emphysema and small airway fibrosis? Chest. 2014; 146:1135–36. <u>https://doi.org/10.1378/chest.14-1194</u> PMID:25367460
- Lee SH, Park JS, Kim SY, Kim DS, Kim YW, Chung MP, Uh ST, Park CS, Park SW, Jeong SH, Park YB, Lee HL, Shin JW, et al. Clinical features and prognosis of patients with idiopathic pulmonary fibrosis and chronic obstructive pulmonary disease. Int J Tuberc Lung Dis. 2019; 23:678–84.

https://doi.org/10.5588/ijtld.18.0194 PMID:31315699

- 29. Dias OM, Baldi BG, Costa AN, Carvalho CR. Combined pulmonary fibrosis and emphysema: an increasingly recognized condition. J Bras Pneumol. 2014; 40:304–12. <u>https://doi.org/10.1590/s1806-37132014000300014</u> PMID:25029654
- Global Strategy for the Diagnosis, Management, and Prevention of Chronic Obstructive Pulmonary Disease.
   2020. <u>https://goldcopd.org/gold-reports/</u>
- 31. Wu Z, Yang D, Ge Z, Yan M, Wu N, Liu Y. Body mass index of patients with chronic obstructive pulmonary disease is associated with pulmonary function and exacerbations: a retrospective real world research. J Thorac Dis. 2018; 10:5086–99. <u>https://doi.org/10.21037/jtd.2018.08.67</u> PMID:<u>30233884</u>
- 32. Caja F, Vannucci L. TGFβ: a player on multiple fronts in the tumor microenvironment. J Immunotoxicol. 2015; 12:300–07. <u>https://doi.org/10.3109/1547691X.2014.945667</u> PMID:25140864
- Kasai H, Allen JT, Mason RM, Kamimura T, Zhang Z. TGF-beta1 induces human alveolar epithelial to mesenchymal cell transition (EMT). Respir Res. 2005; 6:56. <u>https://doi.org/10.1186/1465-9921-6-56</u>
- Chen CM, Juan SH, Pai MH, Chou HC. Hyperglycemia induces epithelial-mesenchymal transition in the lungs of experimental diabetes mellitus. Acta Histochem. 2018; 120:525–33. https://doi.org/10.1016/j.acthis.2018.06.004

nttps://doi.org/10.1016/j.actnis.2018.06.004 PMID:<u>29934127</u>

PMID:15946381

35. Lu JR, Han HY, Hu J, Zhang CF, Chen J, Chen XF, Wang XH, Pan RH. Mechanism research of Bu-Shen-Huo-Xue formula against renal fibrosis in rats with 5/6 nephrectomy via E-cadherin, α-SMA, and TGF-β1. Clin Nephrol. 2018; 90:53–58.

https://doi.org/10.5414/CN109298 PMID:29750635