



Impact of climate change on New York City's coastal flood hazard: Increasing flood heights from the preindustrial to 2300 CE

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The flood hazard in New York City depends on both storm surges and rising sea levels. We combine modeled storm surges with probabilistic sea-level rise projections to assess future coastal inundation in New York City from the preindustrial era through 2300 CE. The storm surges are derived from large sets of synthetic tropical cyclones, downscaled from RCP8.5 simulations from three CMIP5 models. The sea-level rise projections account for potential partial collapse of the Antarctic ice sheet in assessing future coastal inundation. CMIP5 models indicate that there will be minimal change in storm-surge heights from 2010 to 2100 or 2300, because the predicted strengthening of the strongest storms will be compensated by storm tracks moving offshore at the latitude of New York City. However, projected sea-level rise causes overall flood heights associated with tropical cyclones in New York City in coming centuries to increase greatly compared with preindustrial or modern flood heights. For the various sea-level rise scenarios we consider, the 1-in-500-y flood event increases from 3.4 m above mean tidal level during 1970–2005 to 4.0–5.1 m above mean tidal level by 2080–2100 and ranges from 5.0–15.4 m above mean tidal level by 2280–2300. Further, we find that the return period of a 2.25-m flood has decreased from ~500 y before 1800 to ~25 y during 1970–2005 and further decreases to ~5 y by 2030–2045 in 95% of our simulations. The 2.25-m flood height is permanently exceeded by 2280–2300 for scenarios that include Antarctica's potential partial collapse.

tropical cyclones | flood height | New York City | sea-level rise | coastal flooding

Coastal flooding poses a major risk to New York City (NYC), which has nearly 49.7 million built square meters and 400,000 people living within the 100-y floodplain (1). The coastal flood risk was illustrated in 2012, when Hurricane Sandy's storm surge of 2.8 m above the mean tidal level (MTL) at the Battery tide gauge produced an estimated \$50 billion of damage to the region (2). Under a changing climate, the coastal flood risk to NYC is unknown. Flood risk depends not only on characteristics of tropical cyclones (TCs), extratropical cyclones, and their resultant storm surges, but also on rising sea levels, which combine with storm surge and tides to determine overall flood heights (2–5).

TCs can be approximated by a natural heat engine, or Carnot cycle (6), by which the climate system cools the oceans and atmosphere in the tropical zone. Given the predicted future warming of the atmosphere and surface-ocean waters (7), it is reasonable to expect that the climate system will generate more frequent or more intense TCs with different sizes and trajectories (8–17). However, the magnitude of changes in such TC characteristics is uncertain (3, 15–20). For NYC, the instrumental record of impacts from TC activity is too short to allow for either an accurate analysis of previous trends or to produce

reliable predictions of future TC behavior. We can, however, gain insights into evolving coastal risk using an approach that involves the downscaling of state-of-the-art global climate models (GCMs) and generation of large numbers of synthetic TCs consistent with various plausible climate scenarios (3, 4, 17).

Relative sea levels will continue to rise over the next several centuries, although the magnitude of rise is uncertain (15, 21–23). The Intergovernmental Panel on Climate Change's Fifth Assessment Report (AR5) projected a “likely” (>66% probability) global-mean sea-level rise (SLR) of 52–98 cm by 2100 relative to 1986–2005 in a high-emissions future [Representative Concentration Pathway (RCP) 8.5 scenario (24)] and indicated a global-mean SLR of 1 to >3 m by 2300 with medium confidence (15). However, AR5 projections of SLR are limited by uncertainties surrounding the response of the Greenland and Antarctic ice sheets (23). AR5 projected a likely contribution of the Antarctic ice sheet (AIS) of –8 to 15 cm under RCP8.5 by 2100, but a coupled ice sheet and climate dynamics model that includes marine ice-sheet instability, ice-shelf hydrofracturing, and marine ice-cliff collapse mechanisms suggests that the AIS could contribute more than 1 m by 2100, and more than 10 m by 2300, under RCP8.5 (25–27).

Significance

We combine downscaled tropical cyclones, storm-surge models, and probabilistic sea-level rise projections to assess flood hazard associated with changing storm characteristics and sea-level rise in New York City from the preindustrial era to 2300. Compensation between increased storm intensity and offshore shifts in storm tracks causes minimal change in modeled storm-surge heights through 2300. However, projected sea-level rise leads to large increases in future overall flood heights associated with tropical cyclones in New York City. Consequently, flood height return periods that were ~500 y during the preindustrial era have fallen to ~25 y at present and are projected to fall to ~5 y within the next three decades.

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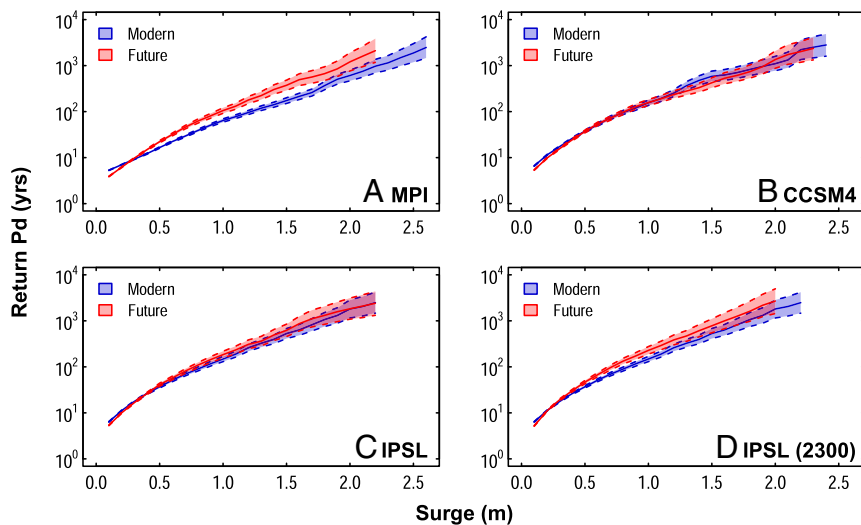


Fig. 1. Return periods of storm-surge heights. Results are shown for the modern (blue) and future (red) periods for (A) the MPI model, (B) the CCSM4 model, (C) the IPSL model, and (D) the IPSL model where future simulations extend to 2300. The 95% credible interval of storm-surge events is shown in light blue for modern and in light red for future.

We assess NYC’s coastal flood risk over the next three centuries based on a combination of synthetic TCs, storm-surge models, and probabilistic SLR projections (21). The estimated flood risk does not account for the influence of the tidal cycle. Although TC and SLR projections out to 2300 have less confidence, we use them to illustrate the possible evolution of flood risk in NYC beyond the end of the current century.

Changing Storm Characteristics

We downscaled RCP8.5 simulations from three Coupled Model Intercomparison Project Phase 5 [CMIP5 (28)] models [Max Planck Institute Earth System Model (MPI), Coupled Climate System Model 4.0 (CCSM4), and Institut Pierre Simon Laplace Earth System Model (IPSL)] to compare storm-surge heights from the modern period (1970–2005; ~5,000 storms for each model) with two future time periods (2010–2100 for all models and 2010–2300 for the IPSL model; ~12,000 storms per century for each model). These three models (henceforth “core models”) were also used in ref. 4; thus, we can provide a preindustrial context for results presented here. We also consider changes to TC characteristics, including trajectories and wind speeds, for storms downscaled from four additional models (HadGEM, GFDL, MRI, and MIROC; henceforth “additional models”) that include the necessary output to generate synthetic storms in future simulations (*Supporting Information*).

We first consider storm surge alone, which neglects the contribution of SLR to flood heights. Storm-surge return periods at the Battery tide gauge in NYC reveal either little change (CCSM4, IPSL to 2100), or slightly increased return periods (MPI, IPSL to 2300) between modern and future time periods (Fig. 1). For example, the 1,000-y storm surge in the IPSL model decreases from 1.8 m during 1970–2005 to 1.6 m during 2010–2300. This result differs from some previous studies of New York storm surge using CMIP3 models, which show a significant decrease of storm-surge return periods over the 21st century, mainly because of an increase of storm frequency and/or intensity (3, 17). In our simulations, changes to storm frequency for NYC are minimal in the future.

Principal component analysis (PCA) shows that modern and future storm surges are strongly impacted by TC radius of maximum wind (RMW) values, similar to preindustrial-era results (850–1800; ref. 4). Level amplification factors (LAFs) of modern return periods of RMW for the CCSM4 and IPSL models suggest larger RMW values in the future (Fig. S1). An increase in future RMW values was previously suggested in ref. 29. Larger RMW values may lead to higher wind speeds at fixed points from the storm center, which may lead to larger storm surges.

Variations in the maximum wind speed and minimum pressure of TCs from our core models also would tend to decrease storm-surge return periods. Maximum wind speeds increase (Fig. S1) and minimum storm pressures decrease (Fig. S2) between the modern and future time

periods, indicating increases in future TC intensity. For example, nearly all of the LAF values calculated for maximum wind speed in the core models were greater than 1 (Fig. S1D), indicating that future maximum wind speeds consistently exceed modern maximum wind speeds for specific return periods. Thus, consistent with previous studies (3, 9, 10, 13), our results indicate increased intensity of future TCs.

We suggest that changing TC tracks exert an important influence on future storm-surge heights in NYC (Fig. 2). In the core models, tracks move offshore between 1980–2000 (during the modern era) and 2080–2100 (during the future era). This is also true for tracks from the IPSL model in 2280–2300. The largest increase in track density (~0.025) occurs offshore between ~38–41°N and ~69–74°W; the Battery tide gauge is located at 40.7°N, 74.015°W. Four further metrics support the shift in TC track densities (Figs. S3–S5): (i) Annual mean maximum TC wind speeds on-site at the Battery tide gauge decrease from the modern to future time period across two out of three of our core models; (ii) TC winds become more westerly with time at the Battery in all core models; (iii) the minimum distance between TC

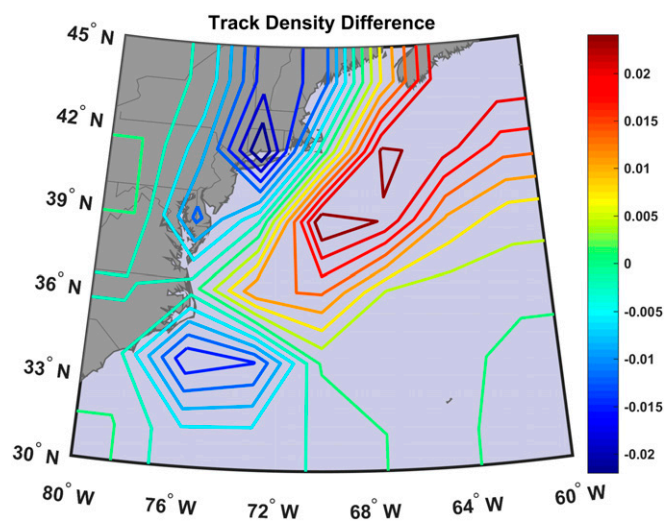


Fig. 2. Multimodel mean difference between future and modern synthetic TC track densities from the MPI, CCSM4, and IPSL models. Track densities are determined by the sum total of tracks crossing through each grid box over 20-y periods from 2080–2100 and 1980–2000, divided by the area of that grid box and the number of years (21). Here the grid box latitude–longitude scales are determined by the output resolution of the model in question.

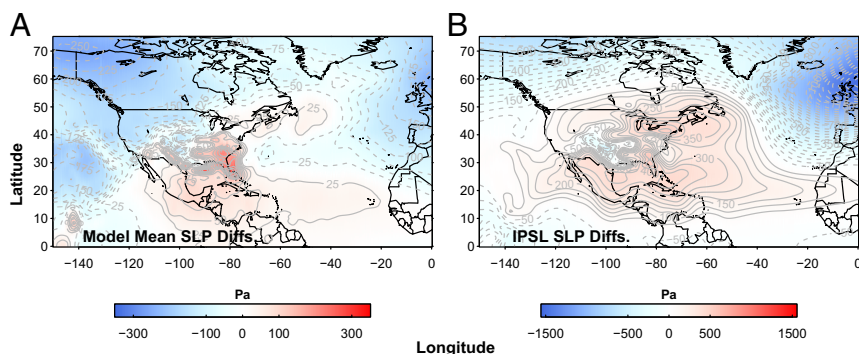


Fig. 3. Mean August and September SLP differences. Pressure differences (pascals) are between (A) 2080–2100 and 1980–2000 for all three models and (B) 2280–2300 and 1980–2000 for the IPSL model. Color bars show the range of SLP differences.

centers and the Battery increases over time in the time series extended to 2300 from the IPSL model; and (iv) return periods of overall maximum wind speeds at the Battery (Fig. S6) show minimal changes between the modern and future time periods, suggesting a compensation between shifting tracks and increasing storm intensity in future simulations.

Projections from the four additional models are generally consistent with those from the core models. For example, the additional models also show an increase in the density of offshore tracks near NYC in 2080–2100 compared with 1980–2000, with the largest increases in densities occurring between ~ 39 – 42° N and ~ 67 – 72° W (Fig. S7A). Differences in return periods of overall maximum wind speeds at the Battery between the modern and future time period are minimal in the additional models, further supporting compensation between shifting TC tracks and increasing TC intensities in the future.

The changing TC trajectories are consistent with findings from other studies of North Atlantic storms (30–34) completed using a diverse set of methodologies, including statistical models, stalagmite chronology, global best-track data and reanalysis data, and overwash deposits (30–33). Further, ref. 34 noted a poleward shift in the tracks of 21st-century extratropical cyclones simulated from CMIP5 models and indicated that changes to storm location and intensity likely combine to impact future surge events at the Battery, similar to our finding for TCs.

Changing patterns of sea-level pressure (SLP) for the core models favor an eastward shift in TC tracks, away from NYC (Fig. 3). Monthly mean SLP differences between the latter portions of the modern (1980–2000) and future (2080–2100) time periods during the months of August and September indicate future SLPs that are slightly higher (~ 300 Pa) over the Atlantic coast of the United States and slightly lower (~ 200 Pa) over the North Atlantic in the future (Fig. 3A). These pressure differences intensify by the end of the 23rd century in the IPSL model (Fig. 3B).

Changing Flood Heights

We define flood height at the Battery tide gauge in NYC as the sum of storm surge and SLR. We treat storm surge and SLR as independent and linearly additive; nonlinear interactions of storm surge and SLR are expected to be small at the Battery (3, 17, 35). We do not consider the effects of changes in tidal amplitude (*Methods*).

To estimate the effect of SLR on flood heights in NYC in 2100 and 2300, we combined the peak storm-surge height for each synthetic storm from the core models with samples of projected SLR for 2080–2100 and 2280–2300 (Fig. S8). For both RCP4.5 and 8.5 we consider two future SLR probability distributions. First, we employ probabilistic representations of ice-sheet mass loss, glacier mass loss, global mean thermal expansion, regional ocean dynamics, land water storage, and nonclimatic background processes from ref. 21 and extend those projections to 2300. Static-equilibrium fingerprints are used to translate changes in ice masses to local relative SLR. Second, we replace the AIS projections of ref. 21 with a small ensemble generated by ref. 26, incorporating marine ice-sheet instability, ice-cliff collapse, and ice-shelf hydrofracturing mechanisms (Fig. 4 and ref. 27).

Relative SLR at NYC is likely to be greater than the global mean, due primarily to the combined effects of glacial isostatic adjustment and

the static-equilibrium fingerprint of AIS mass changes (21, 36). Under RCP8.5, relative SLR for NYC will very likely ($P = 0.90$) be 0.55–1.4 m (median of 0.96 m) between 2010 and 2100 and 1.5–5.7 m (median of 3.2 m) between 2000 and 2300. Our projections increase to 0.88–2.5 m (median of 1.5 m) and 10.7–15.7 m (median of 12.7 m) for 2100 and 2300, respectively, for the enhanced AIS input scenario (Fig. 4).

SLR causes future flood height distributions at 2080–2100 and 2280–2300 to be significantly greater than modern flood height distributions at the Battery tide gauge ($P > 0.99$ for all models and SLR projections; Fig. 5). Mean future (2080–2100) flood heights are 0.7–1.4 m greater than modern mean flood heights (Fig. 5A–C). For the IPSL model (Fig. 5D), mean 2280–2300 flood heights are 2.4–13 m greater than modern mean flood heights.

The changing return periods of flood heights for each of the three models for all SLR scenarios indicate the increasing risk of coastal flooding for NYC (Fig. 6 and Fig. S9). Reed et al. (4) found that, during the preindustrial period (850–1800), the average 500-y return period flood height across models was ~ 2.25 m MTL at the Battery. Using a preindustrial-era baseline for sea level, the 500-y flood height increases to between 3.3 and 3.7 m MTL in all core models (Fig. 6) during the modern period (1970–2005). For simulations from 2080 to 2100, the mean 500-y flood height relative to the preindustrial baseline sea level is 4.0–5.1 m MTL (Fig. 6A–C). Mean 500-y flood heights for the period 2280–2300 reflect the large uncertainty in SLR projections, with flood height values ranging from 5.0 m in the RCP4.5 scenario to 15.4 m for the RCP8.5 scenario using the enhanced AIS input (26), relative to the preindustrial baseline sea level.

The return period of the 2.25-m flood height decreases dramatically over time. The 2.25-m flood height has a return period of ~ 500 y during the preindustrial era, which decreases to less than ~ 25 y during

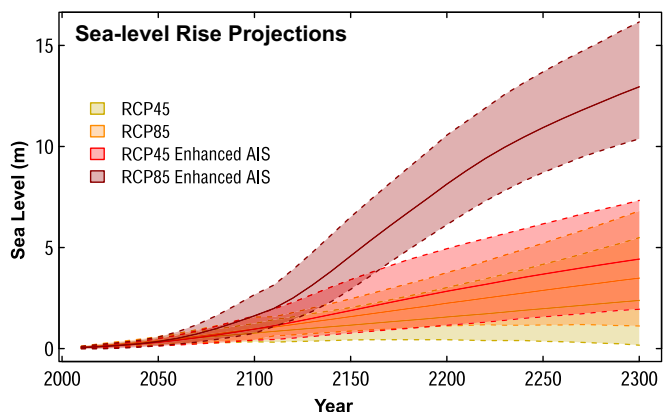


Fig. 4. Sea-level projections from 2010 to 2300. Projections are calculated using RCP4.5 (yellow) and RCP8.5 (orange) projections (21) and for projections combining AIS contributions from ref. 26 with the RCP4.5 (red) and RCP8.5 (dark red) projections from ref. 21. Lines and shaded regions represent the median and the central 95% credible interval.

AMOC weakening could also reduce the number of TC tracks reaching NYC (45).

Beyond the limitations of GCMs, it should also be noted that, like many previous studies (3, 4, 17), we do not consider the extratropical transition of storms as they move to higher latitudes. The extratropical transition of TCs that impact the northeastern United States is not uncommon (46) and can result in storms such as Hurricane Sandy (2012), which generated devastating surges in NYC as a posttropical cyclone. Sediment records of coastal flooding near NYC support the idea that the frequency of major flood events may be underestimated in GCM studies (17).

Regardless of TC characteristics, SLR will greatly increase future flood risk for NYC, where SLR is projected to be more rapid than the global mean (21, 36). Sea levels are expected to continue rising for at least the next several centuries, more than offsetting any potential decreases in storm-surge heights (15, 17, 21–23).

Methods

Study Area. We focus our study at the Battery in NYC. Storm-surge heights and flood heights are given relative to MTL, or the arithmetic mean of mean low water and mean high water at the Battery tide gauge over the present National Tidal Datum Epoch (1983–2001). The Battery tide gauge from the National Oceanic and Atmospheric Administration (NOAA) tide gauge network indicates that (i) the present great diurnal range (GT, the height difference between mean higher high water and mean lower low water) is 1.54 m, (ii) the present mean tidal range is 1.38 m, and (iii) the height difference between spring and neap tides is typically ~ 0.5 m.

Synthetic TC Datasets. The downscaling method described in refs. 47 and 48 is applied here to the core models for the CMIP5 RCP8.5 experiments. In this downscaling method, TC tracks are approximated with a beta-and-advection model, which uses synthetic wind time series at 850 and 250 hPa to determine storm motion (48). Methods applied to simulations of future TCs are the same as those described in the historical analysis presented in ref. 4, including the deterministic calculation of RMW values using the Coupled Hurricane Intensity Prediction System, or CHIPS, model (48). Our analysis applies the basin mean value of storms' outer radius to all storms, which may induce a low bias in the estimated storm-surge distributions (refs. 17 and 49–51; see *Supporting Information* for further explanation).

Preindustrial-era TC and storm-surge datasets referred to here are the same as the preanthropogenic datasets described in ref. 4, and the modern-era surge and TC datasets referred to here are the same as the anthropogenic datasets used in ref. 4. Note that preindustrial and modern datasets contain $\sim 5,000$ storms for each model. For reliable statistical analysis of future storm-surge heights in this region we use datasets that include more than 12,000 storms per century with centers that pass within 250 km of the Battery. Overall event frequency is calculated from the ratio of the total number of simulated TC events to the total number seeded.

Storm-Surge Modeling. As in ref. 4, we apply the Advanced Circulation (ADCIRC) model (52) to simulate the storm surges induced by all synthetic storms. ADCIRC is a finite-element hydrodynamic model that has been successfully used to simulate and forecast storm-surge events for coastal regions (e.g., refs. 53 and 54). The numerical grid and modeling specifics used here were developed by Lin et al. (3) and used in refs. 4 and 17.

Consistent with previous work, storm surge is defined here as the anomalous rise of water above MTL, and flood height is defined as the sum of storm surge and change in relative sea level (4, 17). Storm-surge height is primarily determined by a TC's wind patterns and track, coastal geography, and, to some extent, the reduced atmospheric pressure associated with a storm. Storm-surge heights are thus highly dependent upon the TCs that generate them, as they are significantly affected by TC characteristics, including intensity, size, duration, and location (3, 4, 13). The effect of changes in wave setup for the region is expected to be small and is not included in our storm-surge calculations.

Additionally, although there has been some work indicating that interactions between storm surge and tide are not strictly linear (3), flood heights are calculated here relative to MTL, and a full tidal cycle is not accounted for in our discussion of changing flood heights from the preindustrial era to the future. It is possible that tides may evolve in a changing climate (55). Although recent work suggests that changing bathymetric depth has little influence at the Battery, evidence does support a strong, approximately linear relationship between GT and the bathymetric depth of Long Island Sound (56). Further, tides can be very important in determining overall flooding, influencing the highest water levels reached during a storm-surge event (2, 56). The influence of tides upon overall flood heights varies

greatly from storm to storm (*Supporting Information*) but is likely to be most significant with large or slow-moving TCs, such as Hurricane Sandy. Tidal contributions to overall flood heights are well-documented for major historical TCs impacting NYC, including the 1938 New England Hurricane (40% tidal contribution to the overall 1.57-m storm tide), Hurricane Donna (1960; 29% tidal contribution to the overall 2.30-m storm tide), Hurricane Gloria (1985; 12% tidal decrease of the 1.9-m surge to a 1.7-m storm tide), and Hurricane Sandy (19% tidal contribution to the overall 3.47-m storm tide; ref. 2). Thus, our decision to make our calculations using the MTL tidal datum constitutes an important caveat for this work.

We use a linear combination of storm surge and sea level (from proxy records and SLR projections) to generate flood heights at the Battery. To view the results presented here in the context of the historical analysis presented in ref. 4, future sea level from SLR projections for each year was adjusted to be relative to a preindustrial-era baseline (4, 57).

Ref. 3 shows that, especially for SLR amounts of about 1.8 m or less, the nonlinear effect of SLR on storm-surge heights at the Battery is very small; ref. 35 also demonstrates similar flood levels at the Battery for both static and dynamically modeled floods of up to about 5.8 m. However, while such a linear combination of surge and SLR may provide a close approximation, it may also result in a slight underestimation of final flood heights (58, 59), which could cause some of the flood heights presented here to be somewhat lower than what we would expect if SLR were fully integrated into ADCIRC.

Future SLR Projections. For the future mean sea levels upon which simulated storm-surge events occur, we use 10,000 Monte Carlo (MC) samples of projected sea level at the Battery for both the RCP4.5 and RCP8.5 scenarios, based upon the framework of ref. 21. SLR projections are developed based on the CMIP5 archive for thermal expansion and ocean dynamics, surface-mass balance modeling for glacier melt, a combination of the AR5 expert assessment and the expert elicitation of ref. 60 for ice-sheet contributions, semiempirical modeling of land water storage, statistical modeling of nonclimatic local sea-level change, and geophysical modeling of gravitational, elastic, and rotational effects on local sea level (21). We also generated a set of projections in which we replaced the west and east AIS projections of ref. 21 with random samples from the 5- to 20-m Pliocene, non-bias-adjusted RCP4.5 and RCP8.5 ensembles of ref. 26. It should be noted that ref. 26 was not attempting to construct a probability distribution of future AIS changes; its ensemble of 29 members can be viewed neither as spanning the full range of possibilities with minimal gaps nor as having a defined probability associated with each member. Thus, the distribution of this second set of projections may be viewed as a frequency distribution from a modeled set of possible futures, but not as a probability distribution of future SLR (27).

The projections used here differ from those of refs. 21 and 26 in two important ways. First, the projections are extended to 2300, while those of ref. 21 ended in 2200. For the ocean dynamic and thermal expansion components we achieve this extension by continuing to use GCM projections that extend to 2300. For glacier projections we do the same using surface-mass balance projections driven by GCM projections extending to 2300. For the Greenland ice sheet and for AIS in the ensemble consistent with AR5 we continue the linear growth of ice-sheet melt rates beyond 2200. Second, for the ensemble employing the AIS projections (26), we employ the full time series of projections; only 2100 and 2500 values are reported in ref. 26.

Preindustrial and modern relative sea level datasets used in this study to calculate flood heights during these time periods are the same as those described in ref. 4, developed from relative sea-level reconstructions in southern New Jersey (57).

Statistics. Distributions of TC characteristics used to calculate return periods and LAFs (Fig. S1) are produced by generating 25,000 bootstrap samples of $\sim 5,000$ events for both the modern and future time periods (61). Similarly, distributions of storm surges used to calculate mean and 95% credible intervals of storm-surge return periods (Fig. 1) are produced by generating 100,000 bootstrap samples of $\sim 5,000$ storm-surge events for both the modern and future time periods. Additionally, distributions of flood heights used to calculate return periods over short time periods (2080–2100 and 2280–2300; Fig. 6) are produced by generating 100,000 bootstrap samples of 2,835 storm-surge events from the time period of interest in the original storm-surge dataset and combining each bootstrap sample with a randomly selected SLR time series from the MC samples.

We use PCA to analyze variations and patterns between TC characteristics and storm surge. In addition, we examine LAFs to compare modern and future return periods. We define the LAF of a variable as the ratio of the variable's future value to its modern value for a given return period; it indicates the degree to which the variable increases or decreases in the future compared with the modern era.

Data Availability. Data used here are publicly available from the Earth System Grid Federation website (<https://www.earthsystemgrid.org/home.html>). SLR projections were generated using ProjectSL (<https://github.com/bobkopp/>)

ProjectSL) and LocalizeSL (<https://github.com/bobkopp/LocalizeSL>). Researchers interested in downscaled fields may contact coauthors K.A.E. or A.J.G. via email with their request.

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