


## RESEARCH ARTICLE

# Hybrid and vaccine-induced immunity against SAR-CoV-2 in MS patients on different disease-modifying therapies

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## Introduction

Some of the disease-modifying therapies (DMTs) for MS suppress the immune system, which results in reduced

## Abstract

**Objective:** To compare “hybrid immunity” (prior COVID-19 infection plus vaccination) and post-vaccination immunity to SARS CoV-2 in MS patients on different disease-modifying therapies (DMTs) and to assess the impact of vaccine product and race/ethnicity on post-vaccination immune responses. **Methods:** Consecutive MS patients from NYU MS Care Center (New York, NY), aged 18–60, who completed primary COVID-19 vaccination series  $\geq 6$  weeks previously were evaluated for SARS CoV-2-specific antibody responses with electro-chemiluminescence and multiepitope bead-based immunoassays and, in a subset, live virus immunofluorescence-based microneutralization assay. SARS CoV-2-specific cellular responses were assessed with cellular stimulation TruCulture IFN $\gamma$  and IL-2 assay and, in a subset, with IFN $\gamma$  and IL-2 ELISpot assays. Multivariate analyses examined associations between immunologic responses and prior COVID-19 infection while controlling for age, sex, DMT at vaccination, time-to-vaccine, and vaccine product. **Results:** Between 6/01/2021 and 11/11/2021, 370 MS patients were recruited (mean age 40.6 years; 76% female; 53% non-White; 22% with prior infection; common DMT classes: ocrelizumab 40%; natalizumab 15%, sphingosine-1-phosphate receptor modulators 13%; and no DMT 8%). Vaccine-to-collection time was 18.7 ( $\pm 7.7$ ) weeks and 95% of patients received mRNA vaccines. In multivariate analyses, patients with laboratory-confirmed prior COVID-19 infection had significantly increased antibody and cellular post-vaccination responses compared to those without prior infection. Vaccine product and DMT class were independent predictors of antibody and cellular responses, while race/ethnicity was not. **Interpretation:** Prior COVID-19 infection is associated with enhanced antibody and cellular post-vaccine responses independent of DMT class and vaccine type. There were no differences in immune responses across race/ethnic groups.

immune responses to vaccinations.<sup>1–25</sup> In a meta-analysis of COVID-19 vaccine studies in MS, post-vaccine seroconversion rates were 13-fold lower among patients on B-cell depleting anti-CD20 therapies (aCD20) and eightfold

lower with S1P receptor modulators (S1P) as compared to patients not on a DMT.<sup>24</sup> Post-vaccine T-cell activation post-vaccine is suppressed with S1P<sup>18,21,22,25</sup> but largely intact with B-cell depleting therapies even in the absence of antibody responses.<sup>4,6,8,10,16–18,21,22,25–27</sup>

An important unanswered question is whether post-vaccination immune responses are enhanced in MS patients who previously experienced SARS CoV-2 infection compared to those without prior infection. The clinical relevance of “hybrid immunity”—infection plus vaccination—is increasing with the rising prevalence of SARS CoV-2 infection. As of November 2021 (pre-Omicron variant), 40% of the world population has already been infected with SARS CoV-2 at least once,<sup>28</sup> and in some areas, the prevalence was even higher, for example, 68% in South Africa.<sup>29</sup> Since the advent of the Omicron variant, half of the U.S. population was infected within months of this variant’s emergence.<sup>30</sup> Thus, hybrid immunity is the dominant mode of immunity in most countries and, by extension, among the MS patients in these countries.

Several large, population-based studies have demonstrated that hybrid immunity affords a higher level of protection against reinfection and hospitalization than infection or vaccination alone.<sup>31–35</sup> The main mechanism underlying enhanced immunity is “the strength and breadth of the antibody responses after vaccination of previously SARS-CoV-2–infected persons” with secondary contributions from Spike- and non-Spike-specific T-cell memory.<sup>36</sup> For example, persons with prior infection (“PI”) exhibit elevated induction of IFN $\gamma$ -producing Spike-reactive CD4+ T cells following vaccination compared to those with no prior infection (“NPI”)<sup>37</sup> and expansion of spike-specific memory CD8+ T cells.<sup>38</sup>

There is preliminary evidence that immunologic benefits of hybrid immunity may extend to patients with immune suppression, such as kidney and other solid organ transplant recipients. These patients have very attenuated humoral responses to vaccines but still exhibit a more robust post-vaccination antibody response if they had PI.<sup>39,40</sup> How antibody and cellular responses to COVID-19 vaccines in MS patients on different DMTs compare in patients with and without prior COVID-19 infection has not, to our knowledge, been investigated. To address this question is the primary objective of our study. Additionally, we took advantage of our large and diverse dataset to investigate two other questions that have not received attention in the literature on post-vaccination responses in MS: (1) whether there is a difference in the immune response in MS patients between the two most commonly used mRNA vaccines - BNT162b2 (Pfizer-BioNTech) and mRNA-1273 (Moderna); and (2) whether post-vaccine immune responses vary by race/ethnicity (White, African-Americans, Hispanic-Americans,

Other). The latter question is relevant in view of the assertion of “ample evidence that ethnicity affects responsiveness to vaccines”<sup>41</sup> on the one hand and the paucity of data on vaccination responses among MS patients from underrepresented minorities on the other.

## Methods

### Study population

Consecutive patients who receive routine neurologic care at the NYU Multiple Sclerosis Comprehensive Care Center (New York City, NY) were invited to participate if they had clinician-diagnosed MS (revised 2017 McDonald criteria)<sup>42</sup>; either treated with an FDA-approved DMT for MS or were not on any DMT; aged 18 to 60; had Expanded Disability Status Scale (EDSS) score of 0 (normal) to 7 (wheelchair-bound); and completed the primary FDA-approved COVID-19 vaccination series at least 6 weeks prior to blood sample collection. “Completed vaccination series” was defined as two doses of Comirnaty (Pfizer-BioNTech), two doses of Spikevax (Moderna), or a single dose of adenoviral vector JNJ-78436735 (Johnson & Johnson) since this was the FDA-approved schedule for most the study period, that is, before 3<sup>rd</sup> dose/vaccine boosters were recommended by CDC. Exclusion criteria were as follows: concurrent immunosuppressive therapy; active systemic cancer; primary or acquired immunodeficiency; active drug or alcohol abuse; aCD20 therapy other than ocrelizumab (OCR); uncontrolled diabetes mellitus; end-organ failure (cardiac, pulmonary, renal, hepatic); systemic lupus erythematosus or other systemic autoimmune diseases. Patients were excluded if they received high-dose oral or parenteral corticosteroids, intravenous immunoglobulin (IVIG), plasmapheresis (PLEX), convalescent plasma, or polyclonal antibody treatments for COVID-19 within 3 months of sample collection; had COVID-19 symptom onset or tested positive by SARS-CoV-2 real-time PCR within 2 weeks of sample collection; received 3<sup>rd</sup>/booster dose of COVID-19 vaccines at any time before sample collection.

Enrollment period was from 6/01/2021 to 11/11/2021. All patients were interviewed by a trained research coordinator with a structured instrument. Patients were queried about: COVID-19 symptoms (per CDC clinical case definition<sup>43</sup>); COVID-19 exposures from February 2020 to the time of enrollment; commercial SARS-CoV-2 testing dates and results (PCR or Antibody); COVID-19 treatments; COVID-19 vaccinations (vaccine product and dates); MS treatment at the time of vaccination. Electronic medical records were reviewed for COVID-19- and MS-relevant information. Laboratory confirmation required to confirm prior infection was either history of a positive test on SARS CoV-2 PCR, elevated antibodies to

Nucleocapsid protein, or elevated anti-Spike antibodies prior to vaccination.

## Serological analyses

Patients' serologic status was assessed using three different methods as described in our prior work.<sup>44</sup> Briefly, we carried out the following tests:

- 1 Electro-chemiluminescence immunoassay using the Elysia® platform (Roche Diagnostics GmbH, Mannheim, Germany), measuring antibodies to Nucleocapsid (N) (qualitative) and Receptor Binding Domain (RBD) of Spike (S) protein (quantitative). Values  $\geq 1.0$  U/mL were interpreted as "positive" for anti-N SARS-CoV-2 antibodies and indicative of prior infection. For anti-Spike Abs, values of  $\geq 0.8$  U/mL were considered "positive", and those below the lower limit of quantification of the assay ( $< 0.4$  U/mL) were considered "negative" and set to 0.4 U/mL.<sup>45</sup> Any value above the upper limit of dilution of 1:25,000 was considered "positive" and set to 25,000. Five samples (1%) exceeded the upper limit of dilution in our dataset.
- 2 NYU proprietary custom Multiepitope Bead-based Immunoassay (MBI) measures antibody responses to two recombinant proteins (Wuhan variant total Spike and receptor-binding domain (RBD) domain of Spike; Sino Biological cat no. 40590-V08B, 40592-V08B, 40591-V49H-B, respectively), using control analytes of Human serum albumin (HSA), tetanus toxoid, and anti-human IgG (Jackson ImmunoResearch, West Grove, PA, USA.) coupled to commercial paramagnetic beads (MagPix, Luminex) as previously described.<sup>46,47</sup> For reference, we provide MBI data for Spike and RBD for healthy, previously uninfected controls at baseline and 3 months post COVID-19 vaccine in Figure S1.
- 3 For a subset of available samples, we assessed the SARS-CoV-2 viral neutralization activity of plasma using an immunofluorescence-based assay that detects the neutralization of infectious virus (SARS-CoV-2 isolate USA-WA1/2020 (NR-52281, GenBank accession no. MT233526)) in cultured Vero E6 cells (African Green Monkey Kidney; ATCC #CRL-1586). The methodology was described in detail in.<sup>48,59,62</sup>

## Assays of SARS-CoV-2- specific T-cell response

In all patients, T-cell responses to SARS-CoV-2 Spike protein were assessed using TruCulture® stimulation system (Rules Based Medicine, Austin, TX, USA), in which whole blood samples are incubated for 48 h at 37°C in the presence of whole Spike protein. Collected supernatants are analyzed by IFN $\gamma$  and IL-2 cytokine

quantitative assays (Thermo Fisher [Waltham, MA, USA]; Cat # ENEHIFNT and 50-112-5363, respectively). Samples were unavailable or failed quality assurance checks in 24 patients (6% of all patients) for TruCulture IFN $\gamma$  and 23 patients (6%) for IL-2 assessment. In a subset of patients ( $n = 40$ ), we used ELISpot to corroborate results obtained with the TruCulture system. The methodology was described in detail in our prior publication.<sup>44</sup>

## Statistical analyses

All patients were included in the analyses. Descriptive summaries of the results from the immunoassays were reported for continuous and categorical variables. Results that have heavily skewed distributions were normalized by log transformation (base 10). Mean, standard deviation (SD), median, and range were reported for continuous variables. For categorical variables, counts and percentage of patients with positive results were summarized. Correlation analyses were performed using the Spearman correlation. Comparisons of endpoints were performed between patients on the various DMTs and patients who were not on any DMT ("no DMT" reference group,  $n = 30$ ). We combined IFN $\beta$ , glatiramer acetate, teriflunomide, and fumarates into the "other DMT" group as our preliminary analyses showed no differences in either humoral or antibody responses post-vaccine on these DMTs, while patients on OCR, S1P, natalizumab were analyzed separately because of differences in immune responses among these groups. For analyses of immune responses across race/ethnic groups, we compared patients who self-identified as White, African-American and Hispanic-American, and "Other." Multivariate analyses were performed to compare immune responses in patients with PI and NPI while controlling for the following covariates: age; sex; vaccine product; DMT at time of vaccination (OCR vs. NTZ vs. S1P vs. other DMT vs. no DMT); and time from vaccination (last dose) to sample collection. Because S1P is known to affect the cellular responses, we conducted additional multivariate analyses that excluded S1P patients. Missing data were not imputed.

Study data were collected and managed using REDCap electronic data capture tools (<https://www.project-redcap.org/>) hosted at NYU Langone Medical Center. The study was approved by the Institutional Review Board of the NYU Grossman School of Medicine (New York).

## Results

### Demographic and clinical characteristics of the patients

Demographic and clinical characteristics of 370 enrolled MS patients, their DMT at the time of vaccination,

vaccine product and date(s) of administration, prior COVID-19 infection status, and COVID-19-relevant comorbidities are shown in Table 1. The patients were relatively young (mean [SD]), 40.6 [10.3] years, and mostly female (75.9%), with a disease duration of (mean [SD]) 11.9 [8.7] years. The majority of patients (53%) self-identified as non-White, which is consistent with the race/ethnic composition of NYU MS Care Center.<sup>49</sup> The most common vaccine type was Pfizer-BioNTech (Comirnaty) – 217 (58.6%), followed by Moderna (Spikevax) – 134 (36.2%) and adenoviral vector/J&J in 19 (5.1%) patients. Time from completing vaccination series to sample collection was (mean [SD]), (18.7 [7.7]) weeks.

Laboratory-confirmed SARS-CoV-2 infection was documented in 82 patients (22%). We systematically queried all patients for symptoms of COVID-19 per existing CDC case definition<sup>43</sup>: 66 out of 82 patients (80%) met CDC criteria for COVID-19 (“symptomatic infection”). The date of infection was recorded for all but one patient. Among patients with symptomatic COVID-19 and known date of infection ( $n = 65$ ), the mean time from COVID-19 symptom onset to sample collection was 46.8 weeks (median [IQR], 42 [28.4, 69.9]); nine patients in this subgroup with symptomatic infection changed DMT group (including from “no DMT” to DMT) between the time of infection and vaccination. Sixteen patients (20%) had laboratory evidence of SARS CoV-2 exposure, but were either asymptomatic or had symptoms that did not meet CDC case definition of clinical infection. The proportion of asymptomatic patients in this study was very similar to our prior work, in which 22% of patients had asymptomatic infection.<sup>44</sup> All infections occurred prior to vaccination; three patients were hospitalized for severe COVID.

Out of 82 patients with laboratory-confirmed COVID, 44 (56%) had positive SARS-CoV-2 real-time PCR. Of those without known positive PCR, 24 (29% of all infected patients) were enrolled into our prior study that estimated prevalence of COVID-19 infection and SARS-CoV-2 antibodies in unvaccinated MS Patients (NCT04682548) and were documented to have multiple antibodies to SARS CoV-2 antigens (Spike and Nucleopasid) (as detailed in [44]), while the remaining 14 patients (17% of infected patients) had positive antibodies to SARS CoV-2 in commercial testing prior to vaccination.

### Post-vaccination antibody responses across DMTs in patients with and without prior COVID-19 infection

All patients underwent serologic testing by Elecsys for antibodies to Nucleocapsid and Spike RBD, and by MBI

**Table 1.** Demographic and clinical characteristics of patients with MS ( $N = 370$ ).

Characteristic	All subjects ( $N = 370$ )
Age, years	
Mean (SD)	40.6 (10.3)
Median (Q1, Q3)	41.0 (32.0, 49.0)
Female, $n$ (%)	281 (75.9)
Race/ethnicity, $n$ (%)	
White	174 (47.0)
African American/Black	73 (19.7)
Hispanic	89 (24.1)
Other	34 (9.2)
MS subtype, $n$ (%)	
RRMS (relapsing remitting)	339 (91.6)
SPMS (secondary progressive)	15 (4.1)
PPMS (primary progressive)	12 (3.2)
PRMS (progressive relapsing)	4 (1.1)
DMT at enrollment, $n$ (%)	
Ocrelizumab	146 (39.5)
Natalizumab	54 (14.6)
S1P	48 (13.0)
Other DMT <sup>1</sup>	92 (24.9)
No DMT	30 (8.1)
Ambulatory status, $n$ (%)	
Fully ambulatory	302 (81.6)
Impaired but no assistance	24 (6.5)
Assistance with cane	27 (7.3)
Assistance with walker	13 (3.5)
Non-ambulatory wheelchair	4 (1.1)
Prior COVID-19 infection, $n$ (%) <sup>2</sup>	
Yes	82 (22.2)
No	288 (77.8)
Vaccine, $n$ (%)	
Pfizer-BioNTech (Comirnaty)	217 (58.6)
Moderna (Spikevax)	134 (36.2)
Johnson & Johnson	19 (5.1)
Time from last vaccine to collection, mean (SD), weeks	18.7 (7.7)
Number of COVID-relevant comorbidities, $n$ (%) <sup>3</sup>	
0	317 (85.7)
1	47 (12.7)
2	6 (1.6)

DMT, disease-modifying therapy; MS, multiple sclerosis; Q, quartile; S1P, sphingosine-1-phosphate receptor modulators; SD, standard deviation.

<sup>1</sup>“Other DMTs” included interferon- $\beta$  ( $n = 7$ ), glatiramer acetate ( $n = 14$ ), teriflunomide ( $n = 13$ ), and fumarates ( $n = 58$ ).

<sup>2</sup>All infections occurred prior to vaccination. Three patients were hospitalized for severe COVID-19 prior to vaccination: a man in early 50s with hypertension on OCR; a woman in early 40s with hypertension, diabetes mellitus, obesity on OCR; and an obese woman in early 30s who was on no DMT at the time of infection.

<sup>3</sup>“COVID-relevant comorbidities” included hypertension, chronic obstructive pulmonary disease, cardiovascular disease, diabetes mellitus, sickle cell disease, chronic kidney disease, chronic liver disease, and (non-skin) cancer.

for whole Spike protein and RBD component of Spike. There was a strong correlation between whole Spike by MBI and Spike RBD by MBI ( $r = 0.80$ ,  $p < 0.0001$ ) and moderately strong between anti-RBD antibody levels by MBI and Elecsys ( $r = 0.59$ ,  $p < 0.0001$ ).

Anti-Spike antibody responses with these three assays stratified by DMT class in patients with PI and NPI are shown in Figure 1. Compared with patients on no DMT, patients on OCR and S1P exhibited significantly diminished antibody responses on all three assays, while patients on NTZ and other DMTs had similar antibody responses to the no DMT group. In the subset of patients with NPI, OCR, and S1P were also associated with significantly lowered responses on all assays compared to patients on no DMT. The same pattern persisted within the subset of patients with PI, except that differences in antibody responses between S1P and no DMT did not reach statistical significance in two assays (there were only 12 patients with PI on S1P).

We also looked at the correlation between time from ocrelizumab infusion to vaccination and antibody responses in a subset of patients on ocrelizumab. No correlation was observed overall between time from infusion to vaccination. However, we did observe that longer time from infusion to vaccination was mildly correlated with antibody responses in NPI subgroup (Spearman's correlation coefficient = 0.26,  $p = 0.006$  for MBI Spike), but not PI subgroup (0.003,  $p = 0.91$  for MBI Spike); similar results were seen for MBI RBD and Elecsys assay.

### Functional neutralizing antibody (Nabs) in MS patients on different DMTs

Samples were available for testing functional neutralizing antibody (Nabs) titers via live virus microneutralization assay in 85 patients (23% of all enrollees) and these data are shown in Table 2. Nab levels showed a moderate correlation with anti-RBD antibody levels assessed by MBI assay ( $r = 0.54$ ,  $p < 0.0001$ ). Nab titers in six patients not on DMT were similar to patients on OCR and S1P, but lower than in the NTZ and "other DMT" groups. Among those with PI ( $n = 20$ ), there were no differences by DMT, though the groups within this subset were very small: most had fewer than five patients, and there were no patients on S1P. Among patients with NPI ( $n = 65$ ), there were only three patients with no DMT (reference), and they had unexpectedly low Nabs titers, which may explain why patients in other groups (other than S1P) had relatively higher Nab titers. Across all DMTs, patients with PI had numerically higher Nabs titers than those with NPI.

### Post-vaccination antibody responses stratified by COVID-19 mRNA vaccine product and race/ethnicity

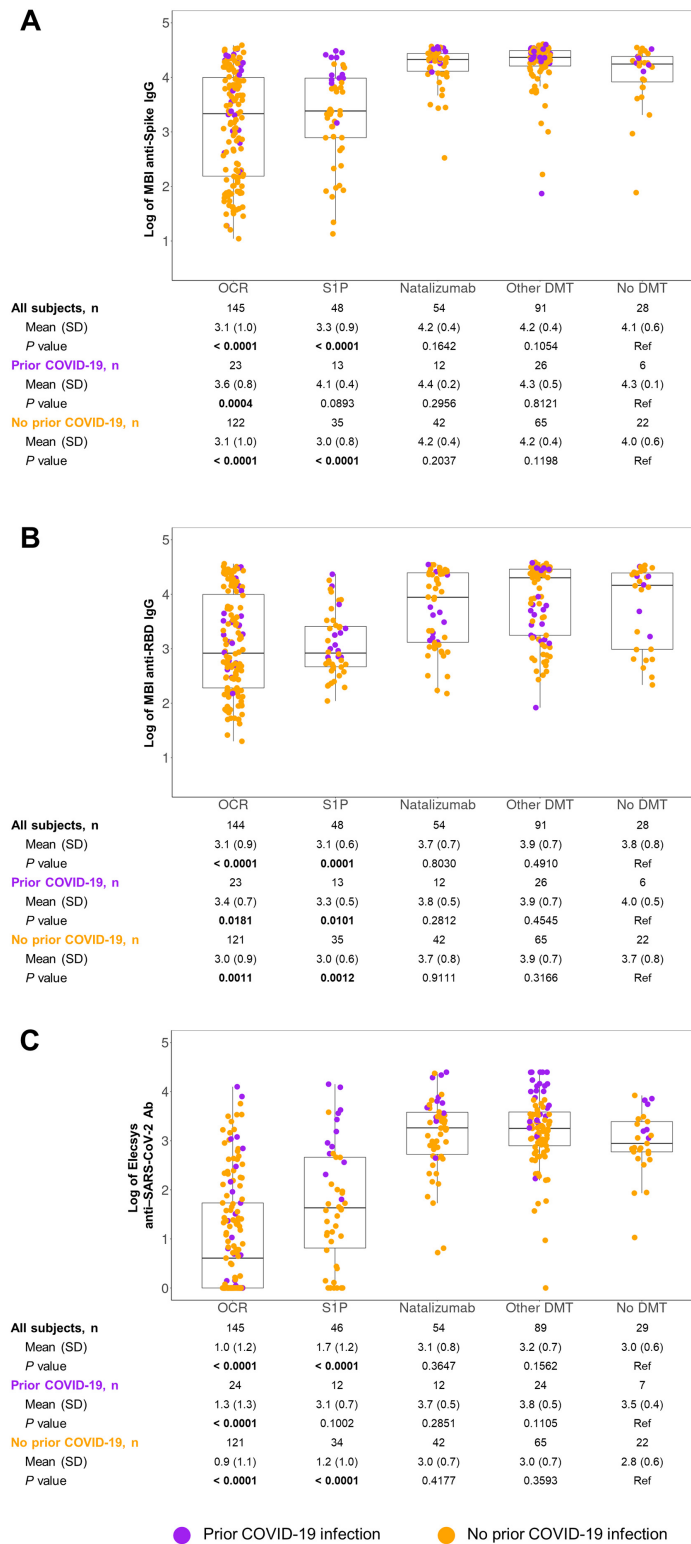
Because the single-dose adenoviral vector vaccine is currently not recommended by the CDC<sup>50</sup> and because it was administered to only 5% of our patients, our analyses were focused on comparisons of immune responses to Pfizer-BioNTech (Comirnaty) and Moderna (Spikevax) only. The Moderna vaccine induced slightly higher antibody responses than Pfizer-BioNTech on whole Spike-MBI (mean [SD] 3.8 [0.9] v 3.6 [0.9] for Pfizer-BioNTech,  $p = 0.013$ ) and RBD Spike-MBI (3.6 [0.9] vs. 3.4 [0.8],  $p = 0.02$ ) assays, but the difference did not reach statistical significance on Elecsys assay (2.3 [1.5] vs. 2.0 [1.4],  $p = 0.08$ ). Among patients with PI, the two vaccines yielded similar immune responses across all assays (though always numerically larger for Moderna), while among NPI patients, Moderna induced higher antibody responses on whole Spike-MBI (mean [SD] 3.8 [0.9] for Moderna v 3.4 [1.0] for Pfizer-BioNTech,  $p = 0.0026$ ), RBD Spike-MBI (3.6 [0.9] vs. 3.3 [0.9],  $p = 0.017$ ), and RBD Spike Elecsys (mean [SD] 2.2 [1.4] vs. 1.7 [1.3],  $p = 0.006$ ). Antibody responses stratified by Pfizer-BioNTech and Moderna vaccines and DMT type are shown in Figure 2.

SARS-CoV-2 antibody response across race/ethnicity groups (White vs. Black vs. Hispanics vs. Other), assessed with MBI and Elecsys and stratified by DMT, were similar as shown in Figure 3A.

### Cellular activation responses to SARS CoV-2 antigens in patients on different DMTs with and without prior COVID-19 infection

Cellular activation TruCulture assay results stratified by DMT class are shown in Figure 4A (IFN $\gamma$ ) and 4B (IL-2). Both IFN $\gamma$  and IL-2 levels were depressed in S1P ( $p < 0.0001$ ) and increased in NTZ ( $p < 0.01$ ) relative to the "no DMT", while patients on OCR and "other DMTs" had responses similar to patients on no DMT. Comparing responses among DMTs by patients with PI and NPI, the patterns were similar: both PI and NPI patients on S1P had depressed levels of IFN $\gamma$  and IL-2 relative to respective PI/NPI patients on no DMT. For NTZ patients, IFN $\gamma$  responses were significantly elevated for NPI patients, whereas PI patients only had increased IL-2 responses. Within each DMT class, including OCR, patients with PI had numerically higher or similar—but never lower—cellular activation responses compared to patients with NPI.

Lastly, we compared cellular activation in OCR patients with and without antibody response to the vaccine (as



**Figure 1.** Post-vaccination antibody responses by DMT class<sup>a</sup> and prior COVID-19 as assessed by (A) MBI anti-Spike IgG, (B) MBI anti-RBD Spike IgG, and (C) Elecsys anti-RBD Spike Ab. Ab, antibody; DMT, disease-modifying therapy; IgG, immunoglobulin G; MBI, multiplex bead-based assay; RBD, receptor-binding domain. <sup>a</sup>“Other DMTs” included interferon- $\beta$ , glatiramer, fumarates, and teriflunomide. *p* values compare respective DMT classes versus no DMT (reference).

**Table 2.** Neutralizing antibody titers by DMT class and prior COVID-19.

Log of neutralizing antibodies-IC50	OCR	S1P	Natalizumab	Other DMT	No DMT (ref)	All subjects
All vaccinated subjects	<i>N</i> = 146	<i>N</i> = 48	<i>N</i> = 54	<i>N</i> = 92	<i>N</i> = 30	<i>N</i> = 370
<i>n</i> <sup>1</sup>	42	6	14	17	6	85
Mean (SD)	1.4 (0.6)	1.3 (0.4)	1.7 (0.8)	2.3 (0.9)	1.3 (0.7)	1.6 (0.8)
<i>p</i> value vs no DMT	0.7669	0.8915	0.2718	<b>0.0261</b>	ref	—
Prior COVID-19	<i>N</i> = 24	<i>N</i> = 13	<i>N</i> = 12	<i>N</i> = 26	<i>N</i> = 7	<i>N</i> = 82
<i>n</i> <sup>1</sup>	10	0	3	4	3	20
Mean (SD)	1.6 (0.6)	—	2.3 (1.2)	3.0 (0.4)	1.6 (1.0)	2.0 (0.9)
<i>p</i> value vs no DMT	0.9490	—	0.4597	0.1340	ref	—
No prior COVID-19	<i>N</i> = 122	<i>N</i> = 35	<i>N</i> = 42	<i>N</i> = 66	<i>N</i> = 23	<i>N</i> = 288
<i>n</i> <sup>1</sup>	32	6	11	13	3	65
Mean (SD)	1.3 (0.6)	1.3 (0.4)	1.6 (0.6)	2.0 (0.8)	1.0 (0.0)	1.5 (0.7)
<i>p</i> value versus no DMT	<b>0.0046</b>	0.2095	<b>0.0120</b>	<b>0.0008</b>	ref	—

Significant results ( $p < 0.05$ ) shown in bold.

DMT, disease-modifying therapy; IC50, half maximal inhibitory concentration.

<sup>1</sup>Number of patients tested for neutralizing antibodies.

assessed with Elecsys). Cellular activation was nearly identical in the two subsets (Fig. S2), suggesting that T-cell responses are largely independent of antibody responses.

### Post-vaccination cellular responses stratified by COVID-19 mRNA vaccine and by race/ethnicity

Moderna vaccination resulted in slightly higher cellular responses than Pfizer-BioNTech for both TruCulture IFN $\gamma$  (mean [SD] 1.9 [1.0] for Moderna and 1.5 [1.0] and for Pfizer-BioNTech;  $p = 0.0006$ ) and IL-2 (mean [SD] 1.8 [0.8] for Moderna and 1.5 [0.9] for Pfizer-BioNTech;  $p = 0.0017$ ) assays. Among patients with PI, Moderna induced statistically higher immune responses on IFN $\gamma$  (mean [SD] 2.5 [0.5] v 1.6[1.1] for Pfizer-BioNTech,  $p < 0.0001$ ) and IL-2 (2.0[0.5] for Moderna v 1.6[0.8] for Pfizer-BioNTech,  $p = 0.02$ ). Similarly among patients with NPI, Moderna induced statistically larger antibody responses on whole IFN $\gamma$  (mean [SD] 1.5 [1.0] and 1.8 [1.0] for Pfizer-BioNTech and Moderna, respectively;  $p = 0.012$ ), and IL-2 (mean [SD] 1.5 [0.9] and 1.7 [0.8] for Pfizer-BioNTech and Moderna, respectively;  $p = 0.007$ ) assays. Cellular responses to Pfizer-BioNTech and Moderna vaccine stratified by DMT type are shown in Figure 5.

No differences in cellular activation by race/ethnicity were observed across DMTs as shown in Figure 3B.

### Post-vaccination cellular responses assessed by ELISpot and stratified by DMT

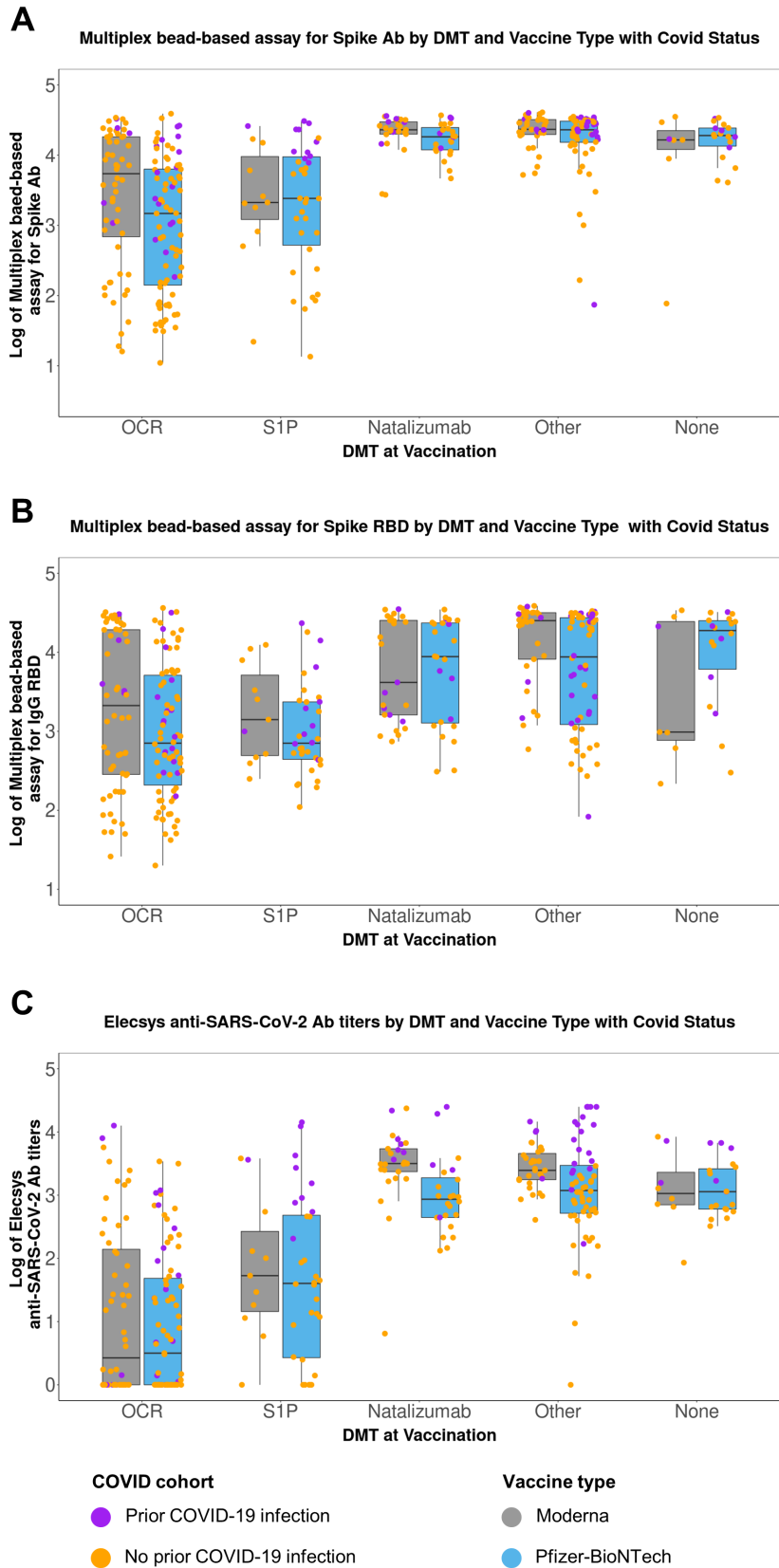
T-cell responses for a subset of 40 patients were assessed using IFN $\gamma$  and IL-2 ELISpot assays to corroborate the findings with TruCulture cell activation studies. The

results are shown in Figure S3. Overall, the numerical trends with ELISpot across DMTs were similar to those seen with the TruCulture assay—T-cell activation was lower in S1P and higher for NTZ compared with no DMT group—but the comparisons did not reach statistical significance. This may be due to the fact that most patients in the ELISpot-tested subset had PI (60%), for whom inter-DMT differences were less pronounced than among NPI, as well as to the small number of patients available for comparison (e.g., only 5 patients in the reference no DMT group). The correlation coefficient for TruCulture and ELISpot for IFN $\gamma$  was  $r = 0.33$  ( $p = 0.05$ ) and borderline for IL-2,  $r = 0.32$  ( $p = 0.06$ ).

### Multivariate comparison of antibody and cellular activation responses in PI and NPI patients

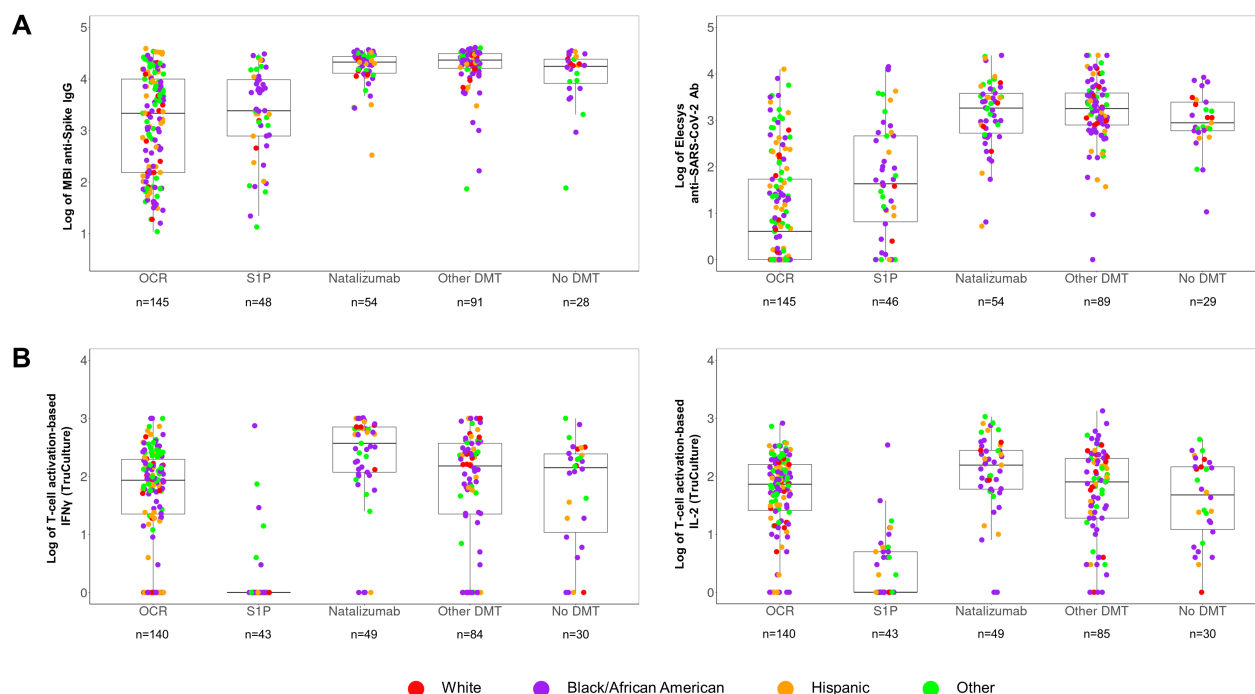
Multivariate analyses to examine associations between immunologic responses and COVID-19 status (PI vs. NPI) were carried out while controlling for age, sex, DMT class at the time of vaccination (OCR vs. S1P, vs. NTZ vs. other DMT, vs. no DMT), time-to-vaccine, and vaccine product (Pfizer-BioNTech vs. Moderna vs. J&J).

PI was a significant predictor of higher post-vaccine antibody responses in both Elecsys anti-SARS-CoV-2 Ab assay (antibody responses were 8.1-fold in PI v NPI,  $p < 0.0001$ ) and MBI Spike Ab assays (2.9-fold in PI,  $p < 0.0001$ ). Moreover, vaccine product and DMT class were highly significant predictors of antibody responses ( $p \leq 0.0001$ ) for both assays and age was a significant predictor on Elecsys ( $p = 0.0003$ ) and MBI ( $p = 0.026$ ) assays. Time from vaccine-to-collection was weakly significant on MBI ( $p = 0.044$ ) but not on Elecsys ( $p = 0.200$ ) assay. Predictors of post-vaccine antibody are shown in Tables 3A.



**Figure 2.** Post-vaccination antibody responses to Pfizer-BioNTech (Comirnaty) and Moderna (Spikevax) vaccine by DMT class<sup>a</sup> and prior COVID-19 as assessed by (A) MBI anti-Spike IgG, (B) MBI anti-RBD IgG, and (C) Elecsys anti-SARS-CoV-2 Ab. Ab, antibody; DMT, disease-modifying therapy; IgG, immunoglobulin G; MBI, multiplex bead-based assay; RBD, receptor-binding domain. <sup>a</sup> “Other DMTs” included interferon- $\beta$ , glatiramer, fumarates, and teriflunomide. *p* values compare respective DMT classes versus no DMT (reference).





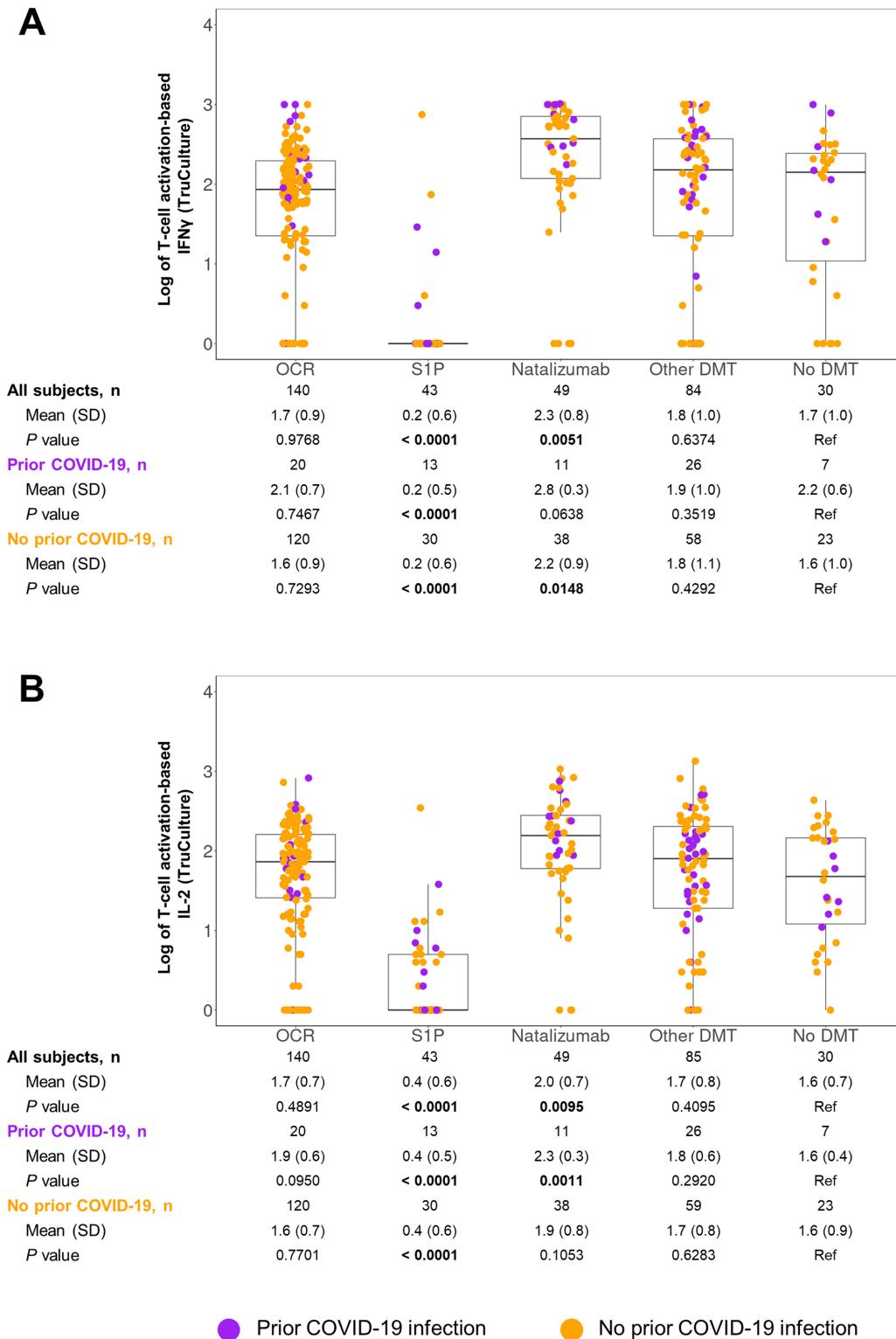
**Figure 3.** Post-vaccination (A) antibody responses as assessed by MBI anti-Spike and Elecsys anti-SARS-CoV-2 Ab by race and (B) cellular activation responses as assessed by TruCulture IFN $\gamma$  and TruCulture IL-2 by race. Ab, antibody; IFN $\gamma$ , interferon gamma; IgG, immunoglobulin G; IL-2, interleukin 2; MBI, multiplex bead-based assay; RBD, receptor-binding domain.

PI was a consistent predictor of higher cellular activation responses as well. However, the magnitude of enhancement in PI was not as pronounced with respect to cellular responses as for antibody responses: 2.5-fold in PI versus NPI on IFN $\gamma$  assay ( $p < 0.043$ ) and 1.6-fold higher in PI versus NPI on IL-2 assay ( $p = 0.027$ ). Vaccine type was a highly significant predictor of cellular activation based on induced IFN $\gamma$  ( $p = 0.0003$ ) and IL-2 ( $p = 0.008$ ) levels, as was DMT class for both IFN $\gamma$  and IL-2 ( $p < 0.0001$  for both). We assessed whether longer time to vaccine affected responses, but vaccine-to-collection time was not a predictor of cellular response on either assay. Age was a weakly significant predictor for IFN $\gamma$  ( $p = 0.043$ ) but not for IL-2 ( $p = 0.54$ ). Predictors of post-vaccine cellular responses are summarized in Tables 3B. As cellular responses in patients on S1P were markedly lower than those of other DMTs, we repeated multivariate analyses after excluding S1P patients. In these sensitivity analyses, prior infection, vaccine product, and DMT class all remained highly significant predictors of TruCulture IFN $\gamma$  and IL-2 responses.

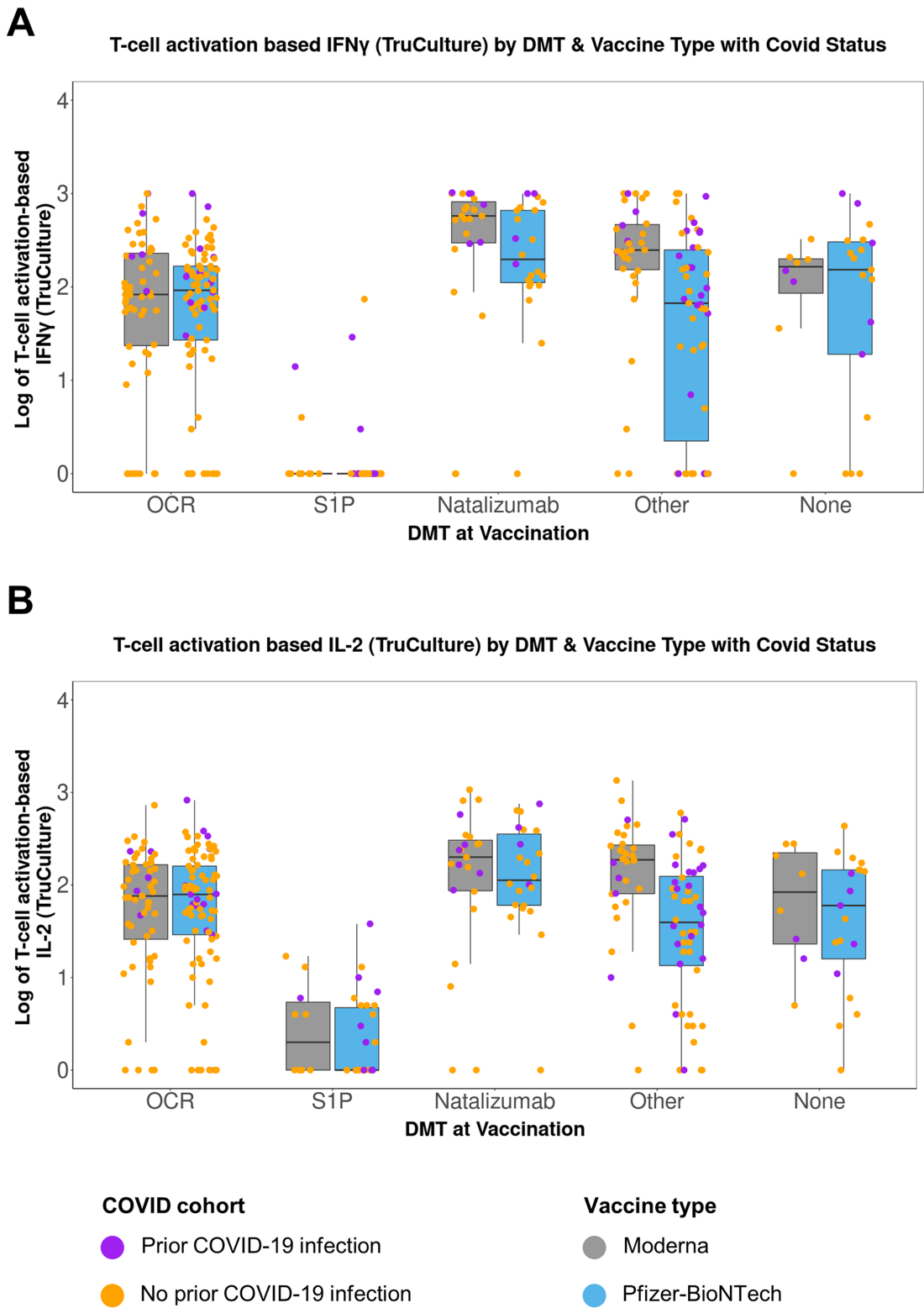
## Conclusions

The literature on SARS CoV-2 vaccine responses in MS patients on various DMT is growing rapidly, but relatively little attention has been devoted to hybrid immunity. Most

studies of post-vaccine responses in MS intentionally excluded patients with PI<sup>7,18</sup> or had too few of them to allow for statistically meaningful comparison.<sup>51,52</sup> The studies that sought to account for the effect of PI reached contradictory conclusions: in two studies, enhanced humoral immune responses were seen in those with hybrid immunity,<sup>15,19</sup> and in one study, COVID-19 symptoms were not a predictor of immune response.<sup>21</sup> In our study, enrollment of a large and representative sample of the MS clinic population ( $n = 370$ ), of whom 22% had laboratory-confirmed COVID-19 infections, enabled us to conduct multivariate comparisons of hybrid versus vaccination-only immunity while accounting for relevant covariates—age, sex, DMT class, and time from vaccination to sample collection. We demonstrated that PI markedly enhances post-vaccine humoral and, and, to a lesser extent, cellular responses across DMTs, that is, that the immunologic benefits of PI extended to patients on DMT classes—aCD20 and S1P—that are associated with attenuated post-infection<sup>44,53,54</sup> and post-vaccination responses.<sup>24,25</sup> Thus, vaccinated MS patients on aCD20 and S1P therapies who had prior COVID-19 infection—and these currently comprise the majority of patients in the United States—likely have significantly better immune protection than would be expected based on published studies of vaccination-only immune responses.<sup>24,25,55</sup> Moreover, our results suggest that it may be possible to improve humoral and cellular



**Figure 4.** Post-vaccination cellular activation by DMT class<sup>a</sup> and prior COVID-19 as assessed by (A) TruCulture IFN $\gamma$  and (B) TruCulture IL-2. DMT, disease-modifying therapy; IFN $\gamma$ , interferon gamma; IL-2, interleukin 2; SD, standard deviation. <sup>a</sup> Other DMTs included interferon-b, glatiramer, fumarates, and teriflunomide. *p* values compare respective DMT classes versus no DMT (reference).



**Figure 5.** Post-vaccination T-cell activation responses to Pfizer-BioNTech (Comirnaty) and Moderna (Spikevax) vaccine by DMT class<sup>a</sup> and prior COVID-19 as assessed by (A) TruCulture IFN $\gamma$  and (B) TruCulture IL-2. DMT, disease-modifying therapy; IFN $\gamma$ , interferon gamma; IL-2, interleukin 2; SD, standard deviation. <sup>a</sup>Other DMTs<sup>c</sup> included interferon- $\beta$ , glatiramer, fumarates, and teriflunomide. *p* values compare respective DMT classes versus no DMT (reference).

**Table 3.** Differences between post-vaccination antibody responses (A) and cellular responses (B) in patients with and without prior COVID-19 infection and predictors of post-vaccination antibody in multivariate analyses.

(A)				
	Elecys anti-SARS-CoV-2 Ab (log transformed)		MBI Spike Ab (log transformed)	
	Prior COVID-19 (n = 82)	No prior COVID-19 (n = 288)	Prior COVID-19 (n = 82)	No prior COVID-19 (n = 288)
N	79	284	80	286
Adjusted mean (SE)	2.90 (0.121)	1.99 (0.092)	4.03 (0.096)	3.57 (0.071)
95% CI for adjusted mean	(2.66, 3.13)	(1.81, 2.17)	(3.85, 4.22)	(3.43, 3.71)
Difference in adjusted mean (SE)	0.91 (0.116)		0.46 (0.093)	
95% CI for difference in adjusted mean	(0.679, 1.13)		(0.280, 0.646)	
p value	<b>&lt;0.0001</b>		<b>&lt;0.0001</b>	
Model: p value of fixed effects				
Age (in years) <sup>1</sup>	<b>0.0003</b>		<b>0.0257</b>	
Sex (female vs male)	0.1582		0.1866	
Prior COVID-19 infection (Covid vs. non-Covid)	<b>&lt;0.0001</b>		<b>&lt;0.0001</b>	
Vaccine to collection (weeks) <sup>2</sup>	0.1996		<b>0.0438</b>	
Vaccine type (Pfizer vs. Moderna vs. J&J)	<b>&lt;0.0001</b>		<b>0.0001</b>	
DMT at vaccination (OCR vs. S1P vs. natalizumab vs. other DMT <sup>3</sup> vs. none)	<b>&lt;0.0001</b>		<b>&lt;0.0001</b>	
(B)				
	TruCulture T-cell activation-based IFN $\gamma$ (log transformed)		TruCulture T-cell activation-based IL-2 (log transformed)	
	Prior COVID-19 (n = 82)	No prior COVID-19 (n = 288)	Prior COVID-19 (n = 82)	No prior COVID-19 (n = 288)
N	77	269	77	270
Adjusted mean (SE)	1.66 (0.116)	1.26 (0.088)	1.47 (0.097)	1.26 (0.073)
95% CI for adjusted mean	(1.43, 1.88)	(1.09, 1.43)	(1.28, 1.66)	(1.12, 1.40)
Difference in adjusted mean (SE)	0.40 (0.112)		0.21 (0.093)	
95% CI for difference in adjusted mean	(0.176, 0.615)		(0.023, 0.389)	
p value	<b>0.0005</b>		<b>0.0274</b>	
Model: p value of fixed effects				
Age (in years) <sup>1</sup>	<b>0.0427</b>		0.5374	
Sex (female vs. male)	0.1832		0.189	
Prior COVID-19 infection (Covid vs. non-Covid)	<b>0.0005</b>		<b>0.0274</b>	
Vaccine to collection (weeks) <sup>2</sup>	0.2935		0.5206	
Vaccine type (Pfizer vs. Moderna vs. J&J)	<b>0.0003</b>		<b>0.0008</b>	
DMT at vaccination (OCR vs. S1P vs. natalizumab vs. other DMT <sup>3</sup> vs. none)	<b>&lt;0.0001</b>		<b>&lt;0.0001</b>	

Ab, antibody; CI, confidence interval; DMT, disease-modifying therapy; MBI, multiple bead-based assay; IFN $\gamma$ , interferon gamma; IL-2, interleukin 2; OCR, ocrelizumab; S1P, sphingosine-1-phosphate receptor modulators; SE, standard error. Significant results ( $p < 0.05$ ) shown in bold.

<sup>1</sup>Younger age predicted higher antibody titers.

<sup>2</sup>Decreased time from vaccine to collection predicted higher antibody titers.

<sup>3</sup>"Other DMTs" included interferon- $\beta$ , glatiramer, fumarates, and teriflunomide.

immune response defenses following repeated exposure to virus-specific antigens even in patients whose immune system has been partially compromised by medications. By its nature, exposure to viral infection is associated with unpredictable, potentially serious short- and long-term adverse

events and is inadvisable for anyone, especially the immunocompromised. Additional vaccine dosing, on the other hand, is a time-tested, proven strategy. Whether the third dose of the COVID-19 vaccine is clinically effective in aCD20-treated MS patients has not been established.

Several studies reported a minimal or very modest increase in levels of anti-SARS-CoV-2 following the third dose,<sup>2,11,56</sup> especially in those without prior antibody response,<sup>57</sup> while others have been more encouraging.<sup>58</sup> The third dose of COVID-19 vaccine did boost T-cell responses in aCD20-treated patients, even those with undetectable memory response after the primary vaccine series,<sup>51,57</sup> but not in S1P-treated patients.<sup>59</sup> We are currently conducting a longitudinal study to better understand the immunologic benefits of additional doses of COVID-19 vaccines in aCD20-treated patients (NCT04843774).

Another relevant question that has received relatively little attention is whether immune responses in MS patients on different DMTs vary by vaccine type. In the general population, two-dose mRNA-1273 (Moderna) appears to be slightly more efficacious than BNT162b2 (Pfizer-BioNTech).<sup>60,61</sup> In MS patients, mRNA-1273 (Moderna) was associated with higher antibody titers than BNT162b2 (Pfizer-BioNTech),<sup>19</sup> but T-cell responses by vaccine product have not yet been investigated. In our study, Moderna vaccine induced higher antibody responses overall and, to some extent, enhanced cellular responses as well. Although it remains unknown whether the minor immunologic differences in vaccine responses will translate into higher clinical effectiveness, for example, better protection from serious infection, it is reasonable to recommend Moderna vaccine (when available), to patients who are receiving DMTs known to attenuate post-vaccination responses (especially if they had no known prior infection).

We leveraged the racial/ethnic diversity of our patient group—most of our patients self-identified as non-White—to investigate post-vaccination immune responses by race/ethnicity. There is a paucity of data on COVID-19 vaccine effectiveness in MS patients from underrepresented minorities, but there are reports that such responses in non-MS patients do differ by self-reported race/ethnicity. For example, African-Americans had enhanced antibody responses to an inactivated influenza vaccine and several other vaccines (reviewed in ref.<sup>41</sup>), which may be due in part to higher proportion of peripheral CD19+ cells at baseline in persons of African ancestry.<sup>62</sup> In our study, post-vaccine antibody or cellular responses were similar among Whites, African-Americans, and Hispanic Americans, regardless of whether they were treated with an aCD20 agent or not. This reassuring finding is consistent with what we observed with post-infection immune responses to SARS CoV-2 among unvaccinated patients<sup>44</sup> and reinforces the importance of vaccination across all race/ethnic groups.

An important strength of our study is the use of complementary techniques to assess both humoral responses (multiplex bead array, electro-chemiluminescence

immunoassay, and, in a subset of patients, live microneutralization assay) and cellular responses (whole blood activation TruCulture IFN $\gamma$  and IL-2 assays, and, in a subset of patients, post-stimulation ELISpot for IFN $\gamma$  and IL-2), which allowed us to cross-validate our results. Reassuringly, the predictors of responses in multivariate analyses were the same for both MBI and Elecsys assay, except for time-to-vaccination, which was insignificant for Elecsys and significant for MBI (Table 3A). For cellular activation responses, the predictors were comparable for IFN $\gamma$  and IL-2 assays, except for age, which was significant for IFN $\gamma$ , but not for IL-2 (Table 3B). Interestingly, differences between PI and NPI were more pronounced for IFN $\gamma$  than for IL-2. This may be due to a distinct population of IFN $\gamma$  expressing memory SARS-CoV-2 spike-specific CD4+ T cells in patients with PI but not in those had vaccination only.<sup>37</sup> Another strength of the study is relatively longer time from vaccination to sampling—a mean of ~5 months—as compared to most other published studies in MS where patients were sampled within a few weeks of vaccination.<sup>4,6,63,64</sup> Our data provide reassurance on the durability of post-vaccination immune responses in treated MS patients, especially in those with prior infection.

A limitation of our study is the inability to definitively establish past infection in patients who did not undergo PCR testing at the time of symptoms or had an asymptomatic infection and did not have anti-spike antibody testing before vaccination. All our patients were extensively queried about prior COVID-19 symptoms, exposures, and testing, and most patients had pre-vaccination antibody testing (including 90 patients who underwent such testing as part of our prior study<sup>44</sup>). Nevertheless, there is a risk of misclassifying some PI patients as NPI exists—especially in aCD20 and S1P patients with attenuated post-infection humoral responses—and this could potentially skew the results toward the null hypothesis, that is, artificially decrease the differences in immune responses between PI and NPI. Another limitation is that our patient population, while highly diverse and representative of our clinic with regard to both racial/ethnic composition and DMT usage, was relatively young (patients over age 60 were excluded) and therefore not very disabled. Our findings do not necessarily apply to older and more disabled patients. Lastly, it should be acknowledged that anti-Spike antibodies and cellular responses assessed in our study offer only a partial view of anti-SARS CoV-2 immunity. IgA antibodies, non-Spike antibody and T cell-function responses, memory B-cells, and innate lymphoid and related pathways likely also play roles in immunoprotection against SARS CoV-2. It remains to be determined to what extent immune differences between PI and NPI assessed with humoral and

cellular responses to Spike antigen translate into clinical effectiveness.

In conclusion, our study demonstrated that the immunologic benefits of hybrid immunity extend to treated MS patients, including those on aCD20 and S1P therapies, and identified additional predictors of post-vaccination immune responses: vaccine product, DMT class, and, to a lesser extent, age. Longitudinal studies are needed to understand the interplay of waning immunity and re-exposures to SARS CoV-2 antigens through vaccination and infections among MS patients on different DMTs.

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## Conflict of Interest

IK served on the scientific advisory board for Biogen Idec, Genentech, Alexion, EMDSerono, Horizon; received consulting fees from Roche; and received research support from Guthy-Jackson Charitable Foundation, National Multiple Sclerosis Society, Biogen Idec, Serono, Genzyme, and Genentech/Roche; he receives royalties from Wolters Kluwer for “Top 100 Diagnosis in Neurology”. GJS received honoraria from BMS, Eli Lilly, and Genentech, and research support from BMS, Genentech, Lupus Research Alliance, NIH-NIAMS, NIH-NIAID, and NIH-NILB. MK is on the scientific advisory board for NexImmune and Genentech and received research support from Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Genentech, Novartis, the Mark Foundation, NIH-NIGMS and NIH-NCI. CR is employee and shareholder of F. Hoffmann-La Roche. MJM reported the following potential competing interests: laboratory research and shareholder of F. Hoffmann-La Roche Ltd clinical trials contracts for vaccines or MAB versus SARS-CoV-2 with Lilly, Pfizer-BioNTech, and Sanofi; personal fees for Scientific Advisory Board service from Merck, Meissa Vaccines, and Pfizer-BioNTech; contract funding from USG/HHS/BARDA for research specimen characterization and repository; research grant funding from USG/HHS/NIH for SARS-CoV-2 vaccine and MAB clinical trials. JP,

JP, MC, and RCW are employees of Genentech, Inc. and shareholders of F. Hoffmann-La Roche. RC, KP, TEB, JK, ET, IV, YV, SN, AVC, ED, and IS have nothing to disclose.

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## Supporting Information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Figure S1** Post-vaccination antibody responses in healthy, previously unaffected control subjects at baseline and 3 months post-COVID-19 vaccine as assessed by MBI anti-Spike Legend: IgG, immunoglobulin G; MBI, multiplex bead-based assay. Ab, antibody; IgG,

immunoglobulin G; MBI, multiplex bead-based assay; RBD, receptor-binding domain.

**Figure S2.** Comparison of post-vaccination T-cell activation in OCR patients with detectable and undetectable anti-Spike antibody response (assessed with Elecsys) Legend: IFN $\gamma$ , interferon gamma; OCR, ocrelizumab.

**Figure S3.** Post-vaccination T-cell activation by DMT class<sup>a</sup> and prior COVID-19 as assessed by (A) ELISpot IFN $\gamma$  and (B) ELISpot IL-2 Legend: DMT, disease-modifying therapy; IFN $\gamma$ , interferon gamma; IL-2, interleukin 2; SD, standard deviation. <sup>a</sup> “Other DMTs” included interferon-b, glatiramer, fumarates, and teriflunomide. *p* values compare respective DMT classes versus no DMT (reference).