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# Symptom improvement in children with autism spectrum disorder following bumetanide administration is associated with decreased GABA/glutamate ratios

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## **Abstract**

Bumetanide has been reported to alter synaptic excitation-inhibition (E-I) balance by potentiating the action of y-aminobutyric acid (GABA), thereby attenuating the severity of autism spectrum disorder (ASD) in animal models. However, clinical evidence of its efficacy in young patients with ASD is limited. This was investigated in the present clinical trial of 83 patients, randomised to the bumetanide group (bumetanide treatment, 0.5 mg twice daily) or the control group (no bumetanide treatment). Primary [Children Autism Rating Scale (CARS)], secondary [Clinical Global Impressions (CGI)], and exploratory [inhibitory (y-aminobutyric acid, GABA) and excitatory (glutamate, Glx) neurotransmitter concentrations measured in the insular cortex (IC) and visual cortex (VC) by magnetic resonance spectroscopy (MRS)] outcome measures were evaluated at baseline and at the 3-month follow-up. Side effects were monitored throughout the treatment course. Compared with the control group, the bumetanide group showed significant reduction in symptom severity, as indicated by both total CARS score and number of items assigned a score ≥ 3. The improvement in clinical symptoms was confirmed by CGI. GABA/GIx ratio in both the IC and VC decreased more rapidly over the 3-month period in the bumetanide group than that in the control group. This decrease in the IC was associated with the symptom improvement in the bumetanide group. Our study confirmed the clinical efficacy of bumetanide on alleviating the core symptoms of ASD in young children and it is the first demonstration that the improvement is associated with reduction in GABA/Glx ratios. This study suggests that the GABA/GIx ratio measured by MRS may provide a neuroimaging biomarker for assessing treatment efficacy for bumetanide.

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#### Introduction

Autism spectrum disorder (ASD) is a neurodevelopmental disorder with an increasing global prevalence ranging from 42.6/10,000 in China to 1/58 in the United States<sup>1,2</sup>. ASD can be reliably diagnosed at 24 months or even as early as 18 months of age<sup>3</sup>, and is a life-long condition. Although the molecular and neural mechanisms underlying ASD remain largely unknown, a previous study suggested that ASD may result from alteration in

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brain development during early life, such as the excitatory-inhibitory (E-I) imbalance in the autistic brain can affect the sensory, memory and emotional systems<sup>4</sup>, indicating the necessity of early intervention. Without directly monitoring the neuronal E-I balance, current behavioural interventions for ASD at preschool age are mainly behavioural intervention, including parentsmediate Early Start Denver Model and the Applied Behaviour Analysis<sup>5</sup>. These intervention resources vary greatly between countries and within regions, for example, less well-educated families in Europe may not receive behavioural intervention for ASD children for a year following diagnosis<sup>6</sup>. Furthermore, this failure to receive behavioural treatment is even worse in developing countries. Therefore, a pharmacological treatment remains an alternative approach, which could be used globally where other behavioural treatments are not readily available. However, risperidone and aripiprazole, the only medications for ASD approved by the U.S. Food and Drug Administration, do not attenuate ASD core symptoms, and have considerable adverse side effects<sup>5</sup>. Therefore, there is a need for treatment strategies focused on the atypical early development of the autistic brain that can mitigate ASD symptoms, including impairments in social and emotional cognition.

The E-I imbalance has been hypothesized to be caused by an unsuccessful excitatory-to-inhibitory shift of the GABA (y-aminobutyric acid) activity in neurons, known as the GABA switch<sup>8</sup>, and this switch may depend on a reduction of intracellular chloride concentration ([Cl<sup>-</sup>]<sub>i</sub>) mediated by a sequential expression of the main chloride transporters, especially the importer Na-K-Cl cotransporter 1 (NKCC1)<sup>9</sup>. The regulation of the intracellular neuronal chloride levels determines the efficacy of GABAergic inhibition and high levels can hinder the polarity from excitation to inhibition <sup>10</sup>. In animal models of autism, notably rats with intrauterine valproic acid injection 11,12 or maternal immune activation 13, rats carrying the fragile X<sup>11</sup> or MECP2 mutation<sup>14</sup>, acute maternal administration of bumetanide, a NKCC1 chloride-importer inhibitor, before delivery switched the action of GABA from excitatory to inhibitory in the offspring, restoring both electrophysiological profile of the CA3 area of hippocampus, cerebellar purkinje cells or normal cerebral volumes.

Recent clinical trials have shown that bumetanide can reduce the severity of autism with effect sizes ranging from 0.33 to 0.64 and only bring few adverse events<sup>10,15–17</sup>. Similar clinical improvement as well as EEG alterations, have been observed in an ASD girl with 15q11.2 duplication after bumetanide treatment<sup>18</sup>. A more recent report revealed that bumetanide could reduce amygdala activation in adolescents with ASD, in which authors hypothesized that bumetanide restores the E-I balance of brain<sup>19</sup>.

However, no studies to date have directly tested whether bumetanide regulate E-I balance by facilitating the GABA switch in autistic brain, especially in young children with ASD. Magnetic resonance spectroscopy (MRS) is a promising tool for addressing this question. One study reported a correlation between GABA concentration in the visual cortex (VC) and the degree of perceptual suppression during binocular rivalry in adolescents with typical development, whereas the correlation was significantly lower in autistic patients<sup>20</sup>. Another study reported increased cortical and striatal GABA/glutamate ratios in a neurofibromatosis type 1 mouse model of autism<sup>21</sup>. Hence, the main aim of the current study using MRS is to determine whether bumetanide could regulate GABA/glutamate ratio in the brain and reduce the severity of the autistic symptoms in young children with ASD.

In DSM-5, sensory symptoms are the core diagnostic feature of ASD, which has focused increasing attention on these symptoms. Atypical sensory experience occurs in almost 90% of autistic individuals and affects every sensory modality<sup>22,23</sup>. More broadly, studies have shown that sensory symptoms not only precede but also are predictive of social-communication deficits in childhood, as well as eventual diagnostic status, indicating impaired sensory traits may serve as early biomarkers of autism<sup>24–26</sup>. E-I imbalance has been posited to be the neurobiology of autistic sensory impairment<sup>27,28</sup>. VC is the crucial region involved in visual detection, while MRS studies have been shown to link disruptions in autistic visual processing to GABA concentration in VC <sup>20,29</sup>. Insular cortex (IC) is well known for its function in sensory integration, which reflects GABA circuit maturation<sup>30</sup>. Impaired multisensory integration was common across four ASD rodent models with autistic behavioural phenotypes and GABAergic circuit abnormalities<sup>30</sup>. Therefore, we chose the VC and IC as the candidate brain regions during MRS scanning, to investigate the effect of bumetanide on "sensory brain", as well as their relationships with ASD's core symptoms.

Here, we carried out a pilot open-label trial in which one group of children with ASD aged 3–6 years was administered 1 mg of bumetanide daily for 3 months; matched group of children with ASD that did not receive such treatment served as the control group. We first evaluated the efficacy and safety of bumetanide treatment, then examined the effect on neurotransmitter levels in the brain and the association between the latter and changes in symptoms.

## **Materials and methods**

#### Ethics statement and patients

The observational study was designed to assess the efficacy, safety, and possible neuropharmacological mechanisms of bumetanide in young children with ASD

to whom behavioural therapies are not available. The study was conducted in accordance with the provisions of the Declaration of Helsinki and Good Clinical Practice guidelines, and was approved by the Ethics Committee of Xinhua Hospital affiliated to Shanghai Jiao Tong University School of Medicine. The study was registered at the Chinese Clinical Trial Registry (ChiCTR-OPC-16008336). Written informed consent was obtained from the parent or legal guardian of each participant.

The patients, aged 3-6 years old, were recruited at Xinhua Hospital from April 2016 to April 2019 and were diagnosed with ASD according to the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition. They had no access to behavioural interventions. Diagnosis was confirmed with the Autism Diagnostic Interview-Revised and Autism Diagnostic Observation Schedule (ADOS), and a Children Autism Rating Scale (CARS) total score of no less than 30. The evaluations were performed by a multidisciplinary team that included well-trained specialists, psychologists, and developmental behavioural paediatricians who did not participate in the study. The inclusion of each participant was reviewed by a developmental behavioural paediatrician with over 10 years of professional experience. Exclusion criteria were liver and kidney dysfunction; a history of allergy to sulfa drugs; abnormal electrocardiography; genetic or chromosomal abnormalities; suffering from nervous system diseases (e.g., epilepsy, schizophrenia, etc.). Patients were excluded if they were currently using melatonin for the treatment of sleep disorders or had taken melatonin within the last 3 weeks. This was to eliminate the possibility of melatonin effects on the GABAergic system<sup>31-33</sup>. Additional exclusion criteria for neuroimaging were any contraindications of magnetic resonance imaging (MRI) scanning and any reported structural abnormalities in the brain.

## **Experimental design**

The participants were randomly assigned to two groups: bumetanide group, receiving 3-month bumetanide treatment, and control group without such treatment. The trial lasted for 3 months. Behavioural assessment and MRS scanning were performed at baseline and after 3 months. The CARS and other evaluations were conducted 'blind' to condition (Bumetanide or no treatment) by experience clinicians. Bumetanide treatment consisted of two 0.5 mg tablets per day for 3 months, given at 8:00 am and 4:00 pm. During the intervention, side effects were closely monitored especially at 1 week and 1 month after the initiation of treatment and at the end of the treatment period. Symptoms (thirst, diuresis, nausea, vomiting, diarrhoea, constipation, rash, palpitation, headache, dizziness, shortness of breath, and any other self-reported symptoms) and blood parameters (serum potassium, uric acid, and creatine) were evaluated. The study procedure is outlined in Fig. 1a.

#### Measures

#### Primary outcome

Primary outcome was measured with CARS<sup>34</sup>, which has been used to assess the occurrence and severity of clinical symptoms of ASD and to delineate disease trajectory and monitor treatment efficacy. CARS has 15 items rated on a 7-point scale (1, 1.5, 2, 2.5, 3, 3.5, 4); the total score was calculated by adding the scores of the 15 items; the number of items that assigned a score  $\geq 3$  was also used to measure the severity of the symptom.

#### Secondary outcome

The Clinical Global Impression (CGI) scale<sup>35</sup> was used to measure secondary outcome. The CGI-Improvement scale (CGI-I) was used to evaluate the degree of improvement in the patient's symptoms relative to the baseline, and the CGI-Efficacy Index (CGI-EI) assessed the clinical efficacy of bumetanide by considering both the treatment effect and associated side effects.

#### **Exploratory outcomes**

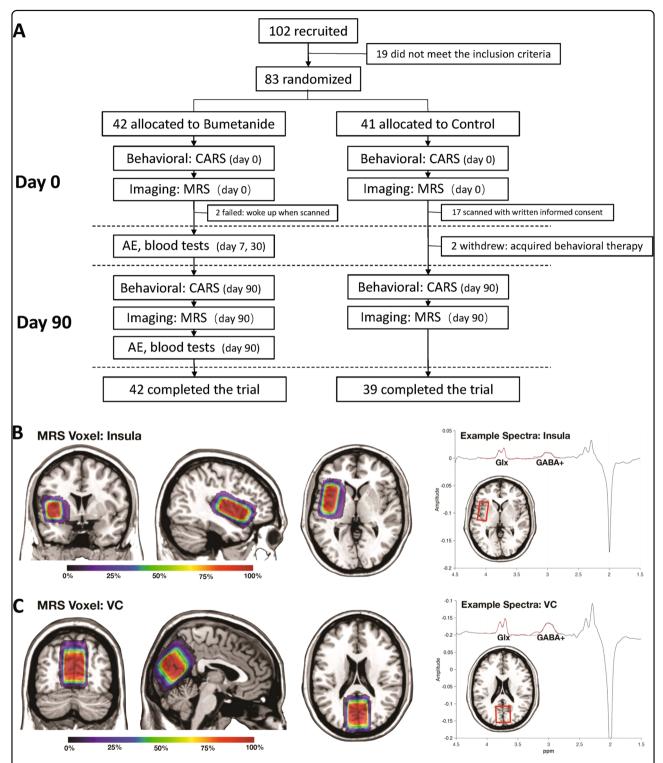
Inhibitory (GABA) and excitatory (glutamate [Glx]) neurotransmitter concentrations within a volume of interest (VOI) were measured by MRS. In addition to the VC, we also selected the IC as our VOI, as abnormal GABAergic transmission has been reported in this region in mouse models of autism.

# **Imaging**

#### Image acquisition

Imaging was performed in the afternoon between 12:00 and 16:00. In our preliminary study, of the 10 children who participated in the scanning session only four slept naturally; two of these subjects awoke after completing the T1 and T2 scans, and none slept through the entire scan. Given the young age and clinical features of our subjects, we offered sedation (50 mg/kg chloral hydrate at a maximum dose of 1 g administered rectally). In a recent review of over 300 children, Karaoui et al have demonstrated the safety and efficacy of chloral hydrate for use in paediatric sedation<sup>36</sup>. Children were scanned 15–20 min after sleep onset, as a comparable stage of slow wave sleep was achieved within this timeframe as during an afternoon nap<sup>37</sup>. The experimental design and the analyses used controlled for any potential confounding effects of chloral hydrate on MRS measures.

Participants were scanned using a Siemens Verio 3.0-Tesla MRI scanner (Siemens Medical Solutions, Munich, Germany) with 32-channel head coil and four-channel neck coil. Earplugs, earphones, and extra foam padding were provided to the subjects to reduce the sound of the scanner during the scan. The insula voxel ( $20 \times 40 \times 20$  mm, Fig. 1b) was placed along the anterior–posterior direction of the IC and covered the anterior and posterior



**Fig. 1 Flow diagram and VOIs in MRS. a** Among 102 participants recruited in this study, 19 did not meet the inclusion criteria and 83 were randomized into two groups. During the intervention stage, two participants in the control group withdrew, as they were given an opportunity to engage in behavioural therapy. In the end, 81 participants completed this trial; and 57 of them (bumetanide group: n = 40, all the parents agreed to scan while two participants waked up during scan; control group: n = 17, only 17 parents agreed to scan) were scanned both at baseline and after 3 months. **b, c** This figure shows the mean voxel placements in (B left) insula and (C left) visual cortex (VC) from all the studied participants that transformed to the MNI standard space. The colormap indicates the percentage of overlapping. We also show the examples of GABA-edited specta and the fitted GABA/Glx concentrations from (B right) insula and (C right) VC of a representative participant.

limits of the insula<sup>38</sup>. The VC voxel  $(30 \times 30 \times 30 \text{ mm}, \text{Fig.})$ 1c) was positioned medially between bilateral occipital cortices<sup>39</sup>. To ensure the consistency of VOI positioning in the longitudinal experiments, we used the first-scanned VOI of each participant as a reference to locate the same VOI in the follow-up scan. For each participant, a threeplane localiser image was first acquired, followed by a high-resolution anatomical T1-weighted magnetisationprepared rapid gradient echo image (192 sagittal slices; voxels =  $1 \times 1 \times 1$  mm; repetition time [TR] = 2300 ms; echo time [TE] = 2.28 ms; inversion time = 1100 ms; flip angle = 8°, field of view =  $192 \times 192 \times 192$  mm) to guide the spectroscopic VOI. For GABA measurements, Mescher-Garwood point-resolved spectroscopy (MEGA-PRESS) scans (256/128 spectra for IC/VC were acquired with on-/off-resonance frequency = 1.9/7.5 ppm using TR/TE = 1500/68 ms) were performed in two VOIs<sup>40</sup>. The difference spectrum was obtained by subtracting the edit-ON and -OFF spectra, yielding a spectrum for total GABA.

#### Imaging preprocessing

For reliable quantification of the GABA signal, we used LCModel software  $^{41,42}$  with a simulated MEGA-PRESS basis set to fit the MRS data and determined nacetylaspartate (NAA), n-acetylaspartyl-Glx, GABA, Glx, glutamine, and glutathione concentrations using the difference spectra. Each spectrum was reviewed and the quality control parameters from LCModel were applied to ensure an acceptable signal-to-noise ratio (SNR) for the MRS voxel. Participants with SNR  $\leq$  15, full-width at half-maximum  $\geq$  0.05 ppm, and Cramer–Rao lower bounds in the fitted spectrum equal to or higher than 20% for GABA were excluded from further analysis. Figure 1b, c shows representative MRS spectra of the two target VOIs analyzed with the LCmodel.

GABA metabolite concentrations are expressed in institutional units after normalizing by NAA concentration within the VOI<sup>43</sup>, since there was no intergroup difference either before or after treatment and no significant time × group interaction effect for NAA concentration (Supplementary Table 1). In addition, due to the difference in tissue composition of each VOI (partial volume effect), tissue correction was performed for GABA-edited MRS to adjust GABA measurements. We segmented the T1-weighted images into grey matter (GM), white matter (WM), and cerebrospinal fluid using Statistical Parametric Mapping (SPM12; Wellcome Institute of Neurology, University College London, UK) to compute the volume fraction of each tissue component covered within the VOI44. The corrected GABA metabolite concentration was calculated with the fol $lowing \quad equation: \quad Metabolite_{corrected} = Metabolite_{raw} /$  $NAA_{observed} \times (1/[fr^{GM} + 0.5 \times fr^{WM}]).$ 

After quality control, pre- and post-treatment measurements of IC/VC VOIs were available for 52/53 and 46/53 patients, respectively, whereas data at both time points were available for 43/50 patients.

### Statistical analysis

#### Effect on clinical symptoms

Before and after treatment, inter-group differences in demographic parameters (i.e., sex proportion, age, intelligence quotient [IQ]) and symptom severity (i.e., ADOS and CARS) were evaluated with the Welch's t test (t statistic, assuming non-equal variances) for continuous variables and Pearson's chi-squared test for categorical variables.

A mixed-effects model was used to determine whether the group and time × group interaction were significant<sup>45</sup>. Considering sex, age, and IQ as covariates, we tested the fixed effects of time (0, month before treatment; 1, month after treatment), group (0, control; 1, bumetanide), and their interaction (time × group) by assuming different random intercepts for each subject. Our dependent variables were the behavioural assessments (CARS total score and number of scores  $\geq 3$ ). The normality of the model residuals was assessed with the Shapiro-Wilk normality test, and homogeneity of variance across groups was evaluated with Levene's test. If at least one of the two tests were significant, a permutation-based mixed-effects model was established by 3000 random permutations of the group label using the permlmer and predictmeans functions in R package v.1.0.1 (https://www.r-project.org/). If the interaction term was significant for overall symptoms, we further examined the 15 subscales of the CARS to identify those that were the most affected by the treatment. Using the p values from the permutation test (perm.p), we carried out a false discovery rate (FDR) correction for multiple comparisons (fdr.p). For CGI-I and CGI-EI, the Kruskal-Wallis tests were applied to assess the significance level of the intergroup difference.

### Effect on MRS measurements

For MRS measurements, a linear model with age, sex, and IQ as covariates was used to assess the main effect of group on neurotransmitter concentrations. To directly test the treatment effect on these measurements, we used a mixed-effects model similar to that described above for behavioural assessment. Since the normality tests of the model residuals yielded a few significant results, we combined the permutation-based p value of the interaction term in the mixed-effects model with the FDR correction for multiple comparisons among multiple MRS measurements and/or brain regions.

# Association between changes in MRS measurements and severity of clinical symptoms

We calculated Spearman's correlation coefficients between the change in MRS measurements after treatment and the change in symptom severity while considering age, sex, IQ, symptom severity, and MRS measurements before treatment as covariates. If a significant association was detected, we further investigated which subscales (i.e., phenotype) of the CARS were associated with the change in MRS measurement based on 3000 random permutations.

To determine whether the baseline MRS measurement reflected the efficacy of bumetanide treatment (i.e., could serve as a predictor of efficacy), the bumetanide group was divided into high and low concentration subgroups based on the median MRS measurement. The Kruskal–Wallis rank sum test was used to assess overall differences among the two bumetanide groups and control group. The FDR was applied to correct for multiple comparisons. If the Kruskal–Wallis test was significant, a post hoc comparison was carried out with Dunn's test in the Fish Stock Assessment package of R v.0.8.22 software.

All analyses were performed using R v.3.5.1. The code is available from the following webpage: https://github.com/qluo2018/RCT.

### Results

# Demographics and clinical characteristics of the study population

A total of 102 patients were recruited in outpatient settings and 83 met the criteria for study enrolment. Among these patients, 42 received bumetanide treatment (0.5 mg twice daily for 3 months) while 41 control subjects received no such treatment (Fig. 1a). There were no differences between the two groups in terms of symptoms and demographic characteristics before treatment (Table 1). Eighty-one participants completed the trial. Two participants in the control group withdrew, as they were given an opportunity to engage in behavioural therapy. Fifty-seven participants were scanned both at baseline and after 3 months (Fig. 1a).

### Safety and tolerability of bumetanide treatment

In the bumetanide group, no patient withdrew from the trial due to the adverse effects. The most frequent adverse effect observed was polyuria/pollakiuria (n=15), usually occurred within 3 h after bumetanide administration, which was mild and no additional treatment required. A total of four patients developed mild hypokalemia, with serum potassium between 3.0 and 3.5 mmol/L. These patients were administered potassium supplements and were advised to intake potassium-rich foods. These procedures permitted the return of potassium to normal levels. The remaining adverse effects were loss of appetite

(n = 4), fatigue (n = 1), and mild hyperuricemia (n = 1). These results show that burnetanide is safe in young children with ASD when the administration is monitored.

### **Bumetanide improves ASD symptoms**

The bumetanide and control groups had similar CARS total scores before treatment (Table 1), but after treatment the former had a lower total score ( $t_{77.3} = 3.35$ , p =0.0012; Cohen's d = 0.74) and less number of items  $\geq 3$  $(t_{74.6} = 2.88, p = 0.0053;$  Cohen's d = 0.63). Bumetanide treatment altered the progression of symptoms, as evidenced by significant interaction effects of time × group on CARS total score ( $t_{81} = -9.69$ ,  $p = 3.46 \times 10^{-15}$ ) and number of scores  $\geq 3$   $(t_{81} = -5.31, p = 9.38 \times 10^{-7})$ (Table 2 and Supplementary Fig. 1). The normality test of residuals and heteroscedasticity test for the two linear models were non-significant. After correction for multiple comparisons, we found that such interaction effects were particularly significant on six subscales of CARS namely, item 1 (impairment in human relationships;  $t_{81} = -2.85$ , fdr.perm.p = 0.02), item 3 (inappropriate affect;  $t_{81} = -3.17$ , fdr.perm.p = 0.02), item 4 (bizarre use of body movement and persistence of stereotypes;  $t_{81}$  = -2.83, fdr.perm.p = 0.02), item 5 (peculiarities in relating to non-human objects such as toys and other materials;  $t_{81} = -2.48$ , fdr.perm.p = 0.046), item 7 (peculiarities of visual responsiveness;  $t_{81} = -2.44$ , fdr.perm.p = 0.049), and item 13 (activity level;  $t_{81} = -2.83$ , fdr.perm.p = 0.02) (Table 2).

Using CGI, clinical improvement was confirmed by both the improvement scale (CGI-I;  $\text{kw-}\chi^2 = 17.09$ ,  $p = 3.56 \times 10^{-5}$ ) and the efficacy index (CGI-EI;  $\text{kw-}\chi^2 = 11.89$ ,  $p = 5.62 \times 10^{-4}$ ).

# Bumetanide alters GABA/NAA and GABA/Glx ratios in the IC

Before treatment, the bumetanide group had a higher GABA/NAA ratio ( $F_{1,47} = 5.27$ , perm.p = 0.0260) in the IC and a higher GABA/Glx ratio in both the IC ( $F_{1,47} = 4.80$ , perm.p = 0.0463) and VC ( $F_{1,48} = 11.01$ , perm.p = 0.0013) compared with the control group (Fig. 2 and Table 3). Consistent with the trends in the symptoms, we found that bumetanide had significant effects over the 3-month treatment course on both GABA/NAA ( $F_{1,41} = 5.06$ , fdr.perm.p = 0.0418) and GABA/Glx ( $F_{1,41} = 6.16$ , fdr.perm.p = 0.0418) ratios in the IC and GABA/Glx ratio ( $F_{1,48} = 5.47$ , fdr.perm.p = 0.0418) in the VC when considering baseline MRS measurements as additional covariates (Fig. 2 and Table 3).

# Changes in the GABA/Glx ratio in the IC are associated with clinical improvement

The change in GABA/Glx ratio in the IC was associated with a decrease in the number of CARS scores  $\geq 3$  in the

Table 1 Demographic information and clinical characteristics of the study population.

|              | Control |     |      |       |       | Bumet | anide |      |       |       | Degree of freedom | Statistic | p value |
|--------------|---------|-----|------|-------|-------|-------|-------|------|-------|-------|-------------------|-----------|---------|
|              | Num.    | Min | Max  | Mean  | SD    | Num.  | Min   | Max  | Mean  | SD    |                   |           |         |
| Sex (F, M)   | 12, 29  |     |      |       |       | 6, 36 |       |      |       |       | 1.00              | 2.7422    | 0.098   |
| Age          | 41      | 3   | 6.69 | 3.97  | 1.01  | 42    | 3.01  | 6.04 | 4.19  | 0.95  | 80.42             | -0.9949   | 0.3228  |
| IQ           | 41      | 35  | 97   | 62.28 | 16.51 | 42    | 27.4  | 90   | 60.84 | 13.75 | 77.74             | 0.4320    | 0.6669  |
| ADIR         |         |     |      |       |       |       |       |      |       |       |                   |           |         |
| TotalA       | 41      | 12  | 30   | 19.39 | 4.85  | 42    | 11    | 29   | 20.40 | 4.20  | 79.80             | -1.0184   | 0.3116  |
| TotalBv      | 34      | 6   | 21   | 13.65 | 4.39  | 24    | 8     | 23   | 13.96 | 3.85  | 53.30             | -0.2860   | 0.7760  |
| TotalBnv     | 7       | 7   | 14   | 11.29 | 2.29  | 18    | 5     | 14   | 11.11 | 2.91  | 13.96             | 0.1582    | 0.8766  |
| TotalC       | 41      | 3   | 11   | 6.07  | 2.18  | 42    | 3     | 10   | 5.98  | 1.99  | 79.94             | 0.2111    | 0.8333  |
| TotalD       | 41      | 1   | 6    | 3.83  | 1.69  | 42    | 1     | 6    | 4.07  | 1.35  | 76.50             | 0.7209    | 0.4731  |
| ADOS         |         |     |      |       |       |       |       |      |       |       |                   |           |         |
| TotalA       | 41      | 3   | 10   | 6.34  | 1.59  | 42    | 3     | 8    | 6.21  | 1.34  | 77.96             | 0.3941    | 0.6946  |
| TotalB       | 41      | 7   | 113  | 13.37 | 16.09 | 42    | 5     | 14   | 10.52 | 2.35  | 41.67             | 1.1196    | 0.2693  |
| TotalC       | 41      | 11  | 22   | 17.27 | 3.38  | 42    | 9     | 22   | 16.74 | 3.44  | 81.00             | 0.7090    | 0.4804  |
| TotalD       | 41      | 0   | 6    | 2.85  | 1.54  | 42    | 0     | 6    | 2.64  | 1.34  | 78.89             | 0.6641    | 0.5086  |
| TotalE       | 41      | 0   | 4    | 1.95  | 1.05  | 42    | 0     | 5    | 2.26  | 1.21  | 79.86             | -1.2510   | 0.2146  |
| CARS         |         |     |      |       |       |       |       |      |       |       |                   |           |         |
| Total score  | 41      | 30  | 46.5 | 38.15 | 4.04  | 42    | 31.5  | 43.5 | 37.40 | 3.20  | 76.12             | 0.9267    | 0.3570  |
| Num.item ≥ 3 | 41      | 1   | 15   | 6.32  | 3.57  | 42    | 1     | 12   | 5.93  | 3.03  | 78.31             | 0.5341    | 0.5948  |

Numbers of subjects with a particular characteristic are listed as integers, and quantitative measurements are presented as mean values  $\pm$  standard deviations. Intergroup differences are evaluated with Welch's t test for continuous variables and Pearson's chi-squared test for categorical variables IQ intelligence quotient, ADIR The Autism Diagnostic Interview-Revised, TotalBv Total B score of ADIR for verbal subjects, TotalBv Total B score for nonverbal subjects, CARS Childhood Autism Rating Scale. Num.  $Item \ge 3$  number of items assigned a score  $\ge 3$ 

bumetanide group (Spearman's r = 0.42, p = 0.0194, n = 35); this correlation was stronger in the bumetanide group than in the control group (z = 5.71, p = 0.0347). We also found both significant association with CARS item4 (bizarre use of body movement and persistence of stereotypes) (r = 0.37, p = 0.0466, n = 35) and item 5 (peculiarities in relating to non-human objects such as toys and other materials) (r = 0.47, p = 0.0084, n = 35) (Supplementary Table 2).

Using the median GABA/Glx ratio in the IC (0.3691) as a threshold, we tested whether such a pre-treatment ratio could predict treatment efficacy. Non-parametric inter-group comparisons revealed differences in CGI-I (kw- $\chi^2=13.64$ , p=0.0011; Supplementary Table 3). The post hoc tests indicated that the bumetanide subgroup with a sub-threshold GABA/Glx ratio showed greater improvement than the bumetanide subgroup with a higher GABA/Glx ratio (kw- $\chi^2=1.99$ , fdr.p=0.0468) and the control group (kw- $\chi^2=-3.69$ , fdr.p=0.0007), with the latter two groups showing comparable change (fdr.p=0.0532) (Supplementary Table 3).

#### Discussion

The present study showed that treatment with bumetanide reduced the severity of ASD symptoms in a group of patients, and also demonstrated the safety of this drug for children aged between 3 and 6 years. Importantly, our results provide the first neuroimaging evidence that the GABA/Glx ratio was decreased in both the IC and VC relative to the control group following bumetanide administration. Furthermore, the decrease in GABA/Glx ratio was associated with a reduction in symptom severity as assessed by the CARS. These findings provide insight into the mechanistic basis for the clinical efficacy of bumetanide in ASD and support to the hypothesis that bumetanide can restore E-I balance in the autistic brain thereby promoting normal brain function and social emotional cognition.

It is thought that GABAergic signals are altered in ASD and that an elevated level of [Cl<sup>-</sup>]<sub>i</sub> contributes to E-I imbalance during early brain development<sup>46</sup>. In mouse models of ASD, bumetanide was shown to function as a high-affinity specific inhibitor of NKCC1 that could

Table 2 Clinical improvement in CARS after bumetanide administration.

| CARS score   | Cont | rol (n = | 41)      |      | Bumetanide (n = 42) |      |                 |         | Time × Group |                        |                               |        |        |        |  |  |  |
|--------------|------|----------|----------|------|---------------------|------|-----------------|---------|--------------|------------------------|-------------------------------|--------|--------|--------|--|--|--|
|              | Min  | Max      | Max Mean |      | Min Max Mean SD     |      | t <sub>81</sub> | p value | Shapi.p      | Leven.p                | perm.p                        | fdr.p  |        |        |  |  |  |
| Total score  | 30   | 47       | 37.27    | 4.09 | 27.5                | 40.5 | 34.51           | 3.35    | -9.69        | $3.46 \times 10^{-15}$ | 0.9149                        | 0.3301 |        |        |  |  |  |
| Num.item ≥ 3 | 0    | 15       | 5.49     | 3.50 | 0                   | 9    | 3.52            | 2.65    | -5.31        | $9.38 \times 10^{-7}$  | 0.9059                        | 0.0846 |        |        |  |  |  |
| Item 1       | 1.5  | 3.5      | 2.71     | 0.46 | 1.5                 | 4    | 2.50            | 0.53    | -2.85        | 0.0055                 | 0.0019                        | 0.7963 | 0.0053 | 0.0200 |  |  |  |
| Item 2       | 1.5  | 3.5      | 2.37     | 0.54 | 2                   | 4    | 2.37            | 0.43    | -2.18        | 0.0319                 | 0.0015                        | 0.2847 | 0.0350 | 0.0750 |  |  |  |
| Item 3       | 2    | 3.5      | 2.65     | 0.39 | 2                   | 3    | 2.40            | 0.43    | -3.17        | 0.0022                 | 0.5326                        | 0.4607 | 0.0017 | 0.0200 |  |  |  |
| Item 4       | 1    | 3        | 2.50     | 0.50 | 1                   | 3.5  | 2.30            | 0.55    | -2.83        | 0.0058                 | 0.0018                        | 0.8898 | 0.0033 | 0.0200 |  |  |  |
| Item 5       | 2    | 3.5      | 2.66     | 0.48 | 1.5                 | 3    | 2.43            | 0.44    | -2.48        | 0.0151                 | 0.1594                        | 0.3898 | 0.0153 | 0.0460 |  |  |  |
| Item 6       | 1.5  | 3.5      | 2.37     | 0.49 | 1                   | 3    | 2.08            | 0.40    | -1.71        | 0.0906                 | $1.85 \times 10^{-6}$         | 0.0164 | 0.0923 | 0.1538 |  |  |  |
| Item 7       | 2    | 3        | 2.55     | 0.44 | 1                   | 3    | 2.30            | 0.43    | -2.44        | 0.0170                 | $2.92 \times 10^{-6}$         | 0.2265 | 0.0197 | 0.0492 |  |  |  |
| Item 8       | 1.5  | 3        | 2.40     | 0.44 | 1                   | 3    | 2.25            | 0.47    | -1.90        | 0.0615                 | 0.0993                        | 0.8441 | 0.0606 | 0.1137 |  |  |  |
| Item 9       | 1    | 3.5      | 2.20     | 0.61 | 1                   | 3    | 1.79            | 0.54    | -0.76        | 0.4517                 | $4.63 \times 10^{-9}$         | 0.4441 | 0.4285 | 0.4945 |  |  |  |
| Item 10      | 1    | 3        | 2.05     | 0.43 | 1                   | 2.5  | 1.58            | 0.49    | -1.36        | 0.1771                 | $4.21 \times 10^{-5}$         | 0.1515 | 0.1716 | 0.2340 |  |  |  |
| Item 11      | 1.5  | 3        | 2.71     | 0.49 | 1.5                 | 4    | 2.79            | 0.47    | -1.25        | 0.2156                 | $6.18 \times 10^{-5}$         | 0.4268 | 0.2166 | 0.2707 |  |  |  |
| Item 12      | 1    | 3        | 2.28     | 0.61 | 1.5                 | 3    | 2.25            | 0.47    | -1.45        | 0.1501                 | 0.0023                        | 0.0828 | 0.1466 | 0.2199 |  |  |  |
| Item 13      | 1.5  | 3        | 2.59     | 0.46 | 1.5                 | 3.5  | 2.30            | 0.44    | -2.83        | 0.0059                 | $7.46 \times 10^{-6}$         | 0.2050 | 0.0050 | 0.0200 |  |  |  |
| Item 14      | 2    | 4        | 2.38     | 0.47 | 2                   | 3    | 2.27            | 0.44    | -0.43        | 0.6676                 | $1.90 \times 10^{-24}$        | 0.3272 | 0.6768 | 0.7251 |  |  |  |
| Item 15      | 1.5  | 3.5      | 2.88     | 0.56 | 2                   | 4    | 2.98            | 0.61    | -0.26        | 0.7950                 | $5.11 \times 10^{-17}$ 0.5057 |        | 0.7611 | 0.7611 |  |  |  |

CARS Childhood Autism Rating Scale, Num. item  $\geq$  3 number of items assigned a score  $\geq$  3, Shapi.p p value of the Shapiro–Wilk normality test for model residuals, Leven. p p value of Levene's test for homogeneity of variance, perm.p p value for time  $\times$  group interaction in a mixed effect model based on 3000 random permutations, fdr.p false discovery rate corrected p value

reduce  $[Cl^-]_i$  and thus restore GABAergic transmission to rescue autism-like behaviours  $^{11-14}$ . However, direct neuropharmacological evidence for the action of bumetanide in humans is lacking, despite the promising therapeutic effects in ASD patients reported in several clinical studies. Using  $^1H$  MRS MEGA-PRESS, a state-of-the-art neuroimaging sequence, we detected both GABA and Glx in cortical neurons in vivo, which allowed us to monitor E-I transmission in target VOIs during brain development.

The observed association between the decreased GABA/Glx ratio in the IC and improvement in CARS score after bumetanide treatment provides evidence for the involvement of this cortex in ASD. The insula has traditionally been recognized as a limbic integrative cortex that is part of a so-called salience network, which integrates sensory, emotional, and autonomic signals in order to allocate cognitive resources and guide behaviour<sup>47</sup>. In particular, impaired sensory integration and the underlying GABAergic circuits in the IC were rescued in mouse models of ASD by pharmacological enhancement of inhibitory transmission<sup>30</sup>. Neuroimaging evidence has revealed hypoactivity of the insula in ASD patients in response to social stimuli<sup>48</sup>. Thus, the most likely explanation for our results is that bumetanide decreased the

severity of ASD symptoms by improving sensory integration and decreasing salience of non-social stimuli while enhancing salience of social emotion stimuli<sup>49</sup>. This was supported by the observed association between the decrease in GABA/Glx ratio in the IC and improvement of the symptoms "bizarre use of body movement and persistence of stereotypes" and "peculiarities in relating to non-human objects (like toys and other materials)" following bumetanide administration.

The measurement of GABA/Glx ratio in the insula by MRS is a promising tool for the precision medicine approach to ASD treatment<sup>50</sup>. The lower pre-treatment GABA/Glx ratio in the IC was associated with greater clinical improvement at the 3-month follow-up. Interestingly, before the initiation of bumetanide therapy there was no difference in symptom severity between patients with higher and lower GABA/Glx ratios. Thus, patients with similar symptom scores can present distinct neuroimaging findings; moreover, quantitative indices such as GABA/Glx ratio in the insula are potential biomarkers for monitoring treatment response.

Indeed, the precise mechanistic links between burnetanide-mediated reducing intracellular chloride concentration( $[Cl^-]_i$ ) and the resultant decrease of GABA/

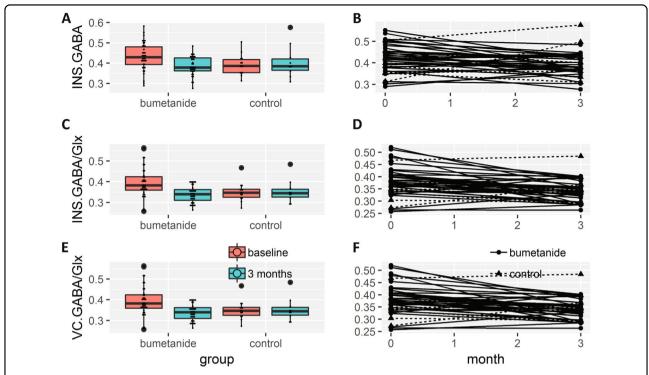


Fig. 2 Changes in neurotransmitter levels after bumetanide administration. a Group comparisons of insular GABA concentration before and after treatment. Dots represent insular GABA concentration of each subject. b Trajectories of the change of insular GABA concentration before and after treatment. Dots represent GABA concentration of each subject from bumetanide group, and triangles represent the concentration of control. c, e Group comparisons of insular GABA/Glx ratios (c) visual GABA/Glx ratios (e) before and after treatment. d, f Trajectories of the change of both the insular GABA/Glx ratios (d) and the visual GABA/Glx ratios (f). Dots represent GABA/Glx ratios of each subject from bumetanide group, and triangles represent the concentration of control. Abbreviations: INS-insular cortex, VC-visual cortex, GABA -γ-aminobutyric acid, Glx – glutamate and glutamine.

glutamate ratios in ASD patients warrant further investigation. In the literature, it was reported that the GABA switch (excitatory-to-inhibitory GABA switch) was impaired in ASD, and bumetanide was able to largely correct this impairment via gradually restoring the low level of [Cl<sup>-</sup>]<sub>i</sub> and autistic behaviours in ASD rodent models<sup>11,51</sup>. In our present work, it is not feasible yet to test whether bumetanide restores the impaired GABA switch in ASD patients. Mechanistically, we inferred the bumetanidecaused decrease in GABA might be considered as a reduction in an excitatory action of GABA, followed by the shift of polarity of GABA and then a gradually enhanced GABAergic inhibition. In the future, prolonged monitoring of GABA and GABA/Glx would be helpful to illustrate this dynamic change of these neurotransmitters during the GABA switch associated with the neurodevelopment and bumetanide treatment. In summary, although the precise mechanisms underlying the effects of bumetanide on the GABA concentration in insular and VCs remain to be established, we favoured the notion that bumetanide could restore the imbalance between neuronal excitation and inhibition in ASD, and the current shift in GABA/glutamate levels might reflect the process of the remodelling of GABAergic inhibition. Kaila et al. have questioned the brain availability of bumetanide due to its low permeability of brain-blood barrier (BBB) and rapid efflux<sup>52</sup>. Indeed, in neurodevelopmental disorders, the BBB has been suggested to be especially permissive<sup>53,54</sup>. Our study in young children supported the previous reports that bumetanide can alleviate the core symptoms of ASD, and importantly advances the understanding of the mechanism in the brain by which these effects occurred. A recent review<sup>55</sup> has indicated that the mechanisms controlling bumetanide's brain entry and removal are complex. New transport mechanisms have been identified recently and it is conceivable that the pharmacokinetics of bumetanide or the affinity of phosphorylated NKCC1 are modified in developmental disorders.

There were several limitations to this study. First of all, as a pilot study, the small sample size prevented more detailed profiling of the best responders to this treatment and establishment of the optimal time window for intervention. Additionally, while the MRS measurements weren't balanced between the two groups at baseline, we controlled for the potential limitation by covariate analyses. In future, large-scale, multi-center studies will be able to address this limitation. Nevertheless, these are

Table 3 Magnetic resonance spectroscopy changes after bumetanide administration.

| MRS measures   |                  |    | Control |      |    | Bumetanide |      |       | Group comparisons |      |        |        |  |
|--|------------------|----|---------|------|----|------------|------|-------|-------------------|------|--------|--------|--|
|  |                  | n  | Mean    | SD   | n  | Mean       | SD   | F     | f.p               | f.df | perm.p | fdr.p  |  |
| Group (before treatment)                                 | INS.GABA/<br>NAA | 14 | 0.39    | 0.05 | 38 | 0.43       | 0.07 | 5.27  | 0.0262            | 47   | 0.0260 |        |  |
|  | INS.GABA/Glx     | 14 | 0.35    | 0.05 | 38 | 0.39       | 0.07 | 4.80  | 0.0335            | 47   | 0.0463 |        |  |
|  | VC.GABA/NAA      | 17 | 0.38    | 0.06 | 36 | 0.40       | 0.05 | 2.73  | 0.1049            | 48   | 0.1550 |        |  |
|  | VC.GABA/Glx      | 17 | 0.40    | 0.06 | 36 | 0.46       | 0.06 | 11.01 | 0.0017            | 48   | 0.0013 |        |  |
| Group (after treatment)                                  | INS.GABA/<br>NAA | 10 | 0.41    | 0.08 | 36 | 0.39       | 0.05 | 1.20  | 0.2801            | 41   | 1.0000 |        |  |
|  | INS.GABA/Glx     | 10 | 0.35    | 0.06 | 36 | 0.34       | 0.04 | 0.83  | 0.3673            | 41   | 0.9983 |        |  |
|  | VC.GABA/NAA      | 15 | 0.36    | 0.05 | 38 | 0.37       | 0.05 | 0.04  | 0.8502            | 48   | 0.9280 |        |  |
|  | VC.GABA/Glx      | 15 | 0.42    | 0.05 | 38 | 0.42       | 0.05 | 0.06  | 0.8037            | 48   | 0.9427 |        |  |
| Time × Group (change between before and after treatment) | INS.GABA/<br>NAA |    |         |      |    |            |      | 5.06  | 0.0299            | 41   | 0.0313 | 0.0418 |  |
|  | INS.GABA/Glx     |    |         |      |    |            |      | 6.16  | 0.0173            | 41   | 0.0147 | 0.0418 |  |
|  | VC.GABA/NAA      |    |         |      |    |            |      | 1.41  | 0.2406            | 48   | 0.2279 | 0.2279 |  |
|  | VC.GABA/Glx      |    |         |      |    |            |      | 5.47  | 0.0236            | 48   | 0.0293 | 0.0418 |  |

perm.p p value given by 3000 random permutations, fdr.p false discovery rate corrected p value

novel and highly clinically relevant findings, which provide a mechanism for the improvement in ASD patients by bumetanide. Moreover, brain regions involved in sensory information processing/integration were investigated in this study. In future MRS research focused on the social-communication and cognitive-associated brain regions, such as ventromedial prefrontal cortex, are needed to further elucidate the neuropharmacological mechanism of bumetanide. Last but not the least, although the results of our study are promising, a double-blind, randomised clinical trial with a larger population is required to confirm the efficacy of bumetanide treatment for ASD.

# **Conclusions**

In summary, the results of this study demonstrate that burnetanide has clinical potential for the treatment of ASD, with few side effects; and that the GABA/Glx ratio in the IC is a useful neuroimaging biomarker for monitoring the response to treatment.

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#### Ethical standards

The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national and institutional committees on human experimentation and with the Helsinki Declaration of 1975, as revised in 2008.

#### Conflict of interest

B.J.S. consults for Cambridge Cognition and Peak, UK. All other authors declare no conflict of interest.

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