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Original Article

Nerves transfers for functional hand recovery in traumatic lower brachial plexopathy

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ABSTRACT

Background: Distal nerve transfers are an innovative modality for the treatment of C8-T1 brachial plexus lesions. The purpose of this case series is to report the authors' results with hand restoration function by nerve transfer in patients with lower brachial plexus injury.

Methods: Three consecutive nerve transfers were performed in a series of 11 patients to restore hand function after injury to the lower brachial plexus: brachialis motor branch to anterior interosseous nerve (AIN) and supinator branch to the posterior interosseous nerve (PIN) in a first surgical procedure, and AIN to pronator quadratus branch of ulnar nerve between 4 and 6 months later.

Results: In all, 11 male patients underwent 33 surgical procedures. Time between brachial plexus injury and surgery was a mean of 11 months (range 4-13 months). Postoperative follow-up ranged from 12 to 24 months. We observed recovery of M3 or better finger flexion strength (AIN) and wrist extension (PIN) in 8 of the 11 surgically treated upper limbs. These patients recovered full thumb and finger extension between 6 and 12 months of surgery, without significant loss of donor function.

Conclusion: Nerve transfers represent a way of restoring volitional control of upper extremity function in patients with C8-T1 brachial plexus injury.

Keywords: Brachial plexus, Hand functional recovery, Musculocutaneous transfer, Nerve transfer, Peripheral

INTRODUCTION

Lesions of the two lower brachial plexus roots (C8, T1) are a rare entity, known as Dejerine-Klumpke's palsy. It accounts for <3% of the supraclavicular injuries of the brachial plexus. [26] These lesions result in complete loss of finger motion, which represents a major challenge for reconstruction. Patients present with paralysis of fingers flexors and the intrinsic muscles of the hand. The innervation of the extensor carpi radialis, longus and brevis, is partially preserved. Thus, the extension of the wrist is spared, but is weak. Pronation, on the other hand, is sustained because the upper roots of the brachial plexus, which innervate the pronator teres (PT) muscle.[35,37,38]

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While the effects of these lesions are variable, shoulder and elbow functions are normal, and wrist extension and flexion are generally preserved due to the intact flexor carpi radialis and extensor carpi radialis longus. The flexors of the elbow are controlled by the musculocutaneous nerve formed mainly from C5 and C6 fibers, located above the level of the spinal lesion.[29,37,38]

The main mechanism of injury in the C8-T1 brachial plexus palsies is the traction of the abducted arm, leading to rupture of the lower roots. As a result, there is a motor and functional loss equivalent to paralysis of the ulnar nerve and the median nerve component derived from the medial cord. In these lesions, spontaneous recovery is an unlikely event, since motor and sensory deficits are far from the location of nerve lesions. Several methods were used to restore flexion and extension of the fingers. In recent years, attention has been focused on tendon transfer,[18,20] free muscle transfer,[3,11] nerve transfer, [5,8,10,13,19,22,30] and combined procedures. [34] In nerve transfer, also known as neurotization, several nerve donors are used for brachialis plexus reconstruction. A nerve transfer involves the coaptation of a healthy, redundant donor nerve to a denervated receptor nerve, restoring the function of the recipient muscle. [23]

This study was performed to report the authors' results with hand function restoration in 11 patients with C8-T1 brachialis plexus injury through two simultaneous transfers: brachialis motor branch (BRMB) to anterior interosseous nerve (AIN), supinator branch to the posterior interosseous nerve (PIN); and a third subsequent transfer performed between 4 and 6 months after the first surgical procedure: AIN to deep motor branch of ulnar nerve.

MATERIALS AND METHODS

Clinical data

This prospective study was performed between September 2013 and July 2017. Three consecutive nerve transfers were performed in a series of 11 patients (male; mean age 35), to restore hand function after lower brachialis plexus injury, with a follow-up ranging from 12 to 24 months.

For this case series, research ethics approval was obtained from the local institutional review committee. The patients were informed regarding all surgical details and informed consent before participation was provided, in accordance with the Declaration of Helsinki. The functional clinical assessment of patients was based on the Medical Research Council (MRC) Scale for Muscle Strength.[25]

Electrophysiological testing or magnetic resonance imaging (MRI) was performed on all patients preoperatively. Electroneuromyography was performed 4 weeks after traumatic injury (due to Wallerian degeneration during this period). It could determine the distribution and extent of the injury, evaluate muscles that are difficult to test clinically, and quantify the extent of denervation. MRI showed indirect signs of traumatic injury to the brachialis plexus, such as pseudomeningoceles in T2-weighted images, spinal cord edema (an indirect sign of nerve root avulsion), and postganglionic lesions such as postinjury fibrosis, neuromas, and associated inflammation or edema. Preoperatively, the muscle strengths of the supinator and brachialis were at least graded M4, and electromyography revealed normal compound muscle action potential, confirming good functional status of the upper plexus. Pronator quadratus had no motor function (grade M0), through these same preoperative assessments.

Surgical technique

Transfer: Brachialis nerve to AIN

The procedure was usually performed under general anesthesia without neuromuscular blockade or tourniquet control (to avoid a temporary neurapraxia). For finger flexion restoration, the recipient nerve was the AIN in 11 limbs. The donor was the BRMB. This technique was originally described in 1997 by Wang and Zhu[32] and reproduced by several authors. [4,19,22,24,39]

Once the musculocutaneous nerve and its branch to the brachialis muscle (donor) are identified, the latter is then sectioned distally and transferred to the recipient AIN fascicle, which was proximally transected [Figure 1a and b]. In all cases, the AIN was identified in the forearm then neurolyse proximal to do the transfer, identifying the correct

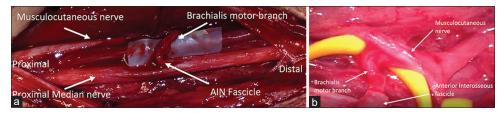


Figure 1: Figure 1: a: The donor brachialis motor branch (upper right white arrow) was transected distally and the anterior recipient interosseous nerve (AIN) fascicle (lower right white arrow) transected proximally to mobilize for an end-to-end nerve transfer, without significant retraction. b: Magnified view of terminal-to-terminal transfer from brachialis motor branch (lower left white arrow) to AIN (lower right white arrow).

fascicle proximally in the arm, close to the motor branch of the brachialis.

The PT branch is not very recommended as a donor for reinnervation because pronation is essential for many daily living activities and because this muscle can be used for a tendon transfer in case the nerve transfer is unsuccessful.^[31]

Transfer: Supinator motor branch to PIN

After the transfer of brachialis to the AIN, the rotation and pronation of the forearm is performed. A longitudinal incision was performed on the proximal third of the dorsal forearm. The supinator muscle (SM) was exposed after separation of the extensor carpi radialis brevis (ECRB) and extensor digitorum communis. After that, its motor branches originating from the PIN, proximal to the Frohse arcade, were identified.^[6] The motor branches of the SM were stimulated intraoperatively and presented with normal function. PIN was reached by dissecting between the ECRB and the extensor digitorum communis, according to Berteli.[4] The supinator motor branches could be transferred directly to the PIN without significant retraction [Figure 2].

Transfer: AIN to ulnar

To try to recover the ulnar motor function, AIN to ulnar transfer was performed in a second surgical time, between 4 and 6 months later. The surgical technique proposed by

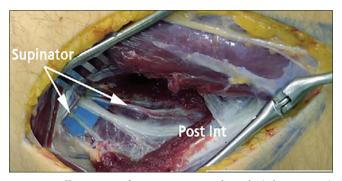


Figure 2: Illustration of supinator motor branch (white arrows) transfer to the posterior interosseous nerve (Post Int), through dorsal forearm approach in cadaver.

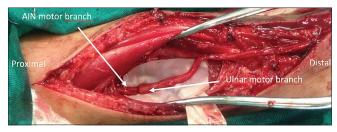


Figure 3: The anterior interosseous nerve (AIN) terminal branch (left white arrow) transfer to ulnar motor branch (white right arrow) for ulnar motor recovery.

Brown et al. was performed, associated with a Taleisnik incision[31] (7 mm to the ulnar side of the thenar crease) to access the Guyon's canal. In this case, AIN motor branch related to innervation of the pronator quadratus was used as a motor component of the ulnar nerve^[8] [Figure 3].

RESULTS

In total, 33 operative procedures were performed on 11 male patients. Mean age at the time of surgery was 35.5 years (range 24-59 years). Time between brachial plexus injury and surgery was a mean of 11 months (range 4-13 months). Postoperative follow-up ranged from 12 to 24 months. All patients have a brachial plexus lesion involving the roots of C8 and T1.

Nerve transfer procedures were selected based on available donor nerves. Functional and electrodiagnostic evaluation was performed in the preoperative period. At a minimum, all patients required intact volitional elbow flexion and arm supination (MRC Grade ≥4) so that the brachialis and the supinator nerve branches could be utilized as a donor nerve.

We observed recovery of M3 or better finger flexion strength in muscles innervated by AIN (flexor digitorum profundus and flexor pollicis longus) and in wrist extension supplied by PIN (ECRB and extensor carpi ulnaris) in 8 of the 11 surgically treated upper limbs [Figure 4]. These patients recovered thumb extension (abductor pollicis longus, extensor pollicis brevis, extensor pollicis longus, extensor digitorum, extensor digiti minimi, and extensor indicis), with strength Grade 3 or more, in 6-12 months postoperatively. Donor-site function on physical examination (by the primary surgeon and a trained physical therapist) was not perceptibly affected. Supination was preserved in all patients, with the elbow either flexed or extended. Regarding the AIN transfers to the deep motor branch of the ulnar nerve, the best result we obtained was M3 in only one case [Table 1].

DISCUSSION

Nerve transfers to restore hands and the arm functions are a challenge in the surgical treatment of low brachial



Figure 4: The triple transfer performed in sequence, as shown by the author, included the reinnervation of the posterior interosseous nerve (a), which served as a support (lever arm) for performing pinch movement with adequate functionality (b).

Case No.	Age (yrs)	Interval to surgery (month)	AIN muscles strength	PIN muscles strength	Ulnar nerve muscles strength
1	27	10	M3/5	M3/5	M2/5
2	37	12	M3/5	M3/5	M0/5
3	49	11	M1/5	M2/5	M0/5
4	33	13	M0/5	M0/5	M0/5
5	47	10	M3/5	M3/5	M0/5
5	59	04	M3/5	M2/5	M0/5
7	31	10	M3/5	M3/5	M1/5
3	27	12	M2/5	M3/5	M0/5
9	31	07	M3/5	M4/5	M0/5
10	24	12	M3/5	M3/5	M1/5
11	26	09	M4/5	M3/5	M3/5

plexus lesions. The restoration of the manual functions is fundamental for the independence and improvement of the quality of life of the affected individuals. Several different nerve transfers have been attempted for this purpose.

Supinator motor branch transfer to the PIN^[12] can restore the thumb and finger extension a timely manner and should be considered a viable option in the treatment of low brachial plexus palsies (C8-T1).[36] In combination with BRMB to AIN transfer, this technique may offer hope for useful neurological recovery in finger flexion and extension. For the recovery of ulnar motor function, we extended the surgical procedure by a nerve transfer from the terminal branch of the AIN to the deep motor branch of the ulnar nerve. In this last transfer, we obtained limited results in our experience.

Forearm supination is produced by two major muscles: the biceps brachii and supinator, which are both functioning muscles in C8-T1 palsies. Therefore, some authors have suggested that brachialis innervation is not critical for elbow flexion, due to its redundant function.[9] In a patient with this normal flexor function, the nerve for the brachialis is expendable. The major difficulty related to distal nerve transfers is to locate the receptor nerve, since it may not be localized by electrical stimulation and may have anatomical variations. However, the AIN has a constant anatomic location below the arch of the origin of the flexor digitorum.

Proximal dissection of the AIN was associated with better outcomes, presumably because section of nerve fiber interconnections during dissection resulted in a more direct axonal regrowth, thus decreasing axonal dispersion. Motor fibers dispersion within the median nerve might be responsible for spontaneous recovery and improved results after nerve transfer, using the nerve to the brachialis as the donor nerve and the AIN as the recipient. The supinator nerve branch to PIN transfer gives great hand and first web space opening. Differential thumb and finger extension are possible, and reanimation of extensor carpi ulnaris centralizes an otherwise radially deviated wrist.

BRMB to AIN transfer showed satisfactory results in the clinical application, as published by some authors. Gu et al.[19] reported, for the 1st time, this neurotization from the BRMB to the median nerve, and the finger flexion has been successfully restored. However, our results were superior to those of this series (M2 for FPL and FDP of the index and middle fingers, related to the AIN function). Ray et al.[27] reported good results with repair of lower brachial plexus injury by this same transfer in a series of four patients. García-López et al.[16,17] published satisfactory results in brachioradialis motor branch transfer to AIN. They obtained M4 for the flexor pollicis longus, M3 for flexor digitorum profundus of the second and third fingers, and M2 for flexor digitorum profundus of the fourth and fifth.

Dong et al.[12] obtained good results with transferring the supinator branch to PIN to recover the thumb and finger extension. Bertelli et al. [5] also contributed with an anatomical study and clinical use of this same transfer in the brachial plexus C7-T1 palsy. The authors reported recovery of finger and thumb extension, with no loss of donor function.^[7]

Our results of AIN transfer to the ulnar nerve were modest. We observed an unsatisfactory functional recovery during the 12-24 months of follow-up; we obtained M3 ulnar nerve function in only one case. Consistent with our results, Brown et al.[8] reported limited results by transferring AIN to restore ulnar nerve function within the hand. We believe that there are some reasons for this unsatisfactory functional outcome, such as the double transfer (BRMB to AIN followed by AIN to ulnar nerve) and the low number of axons that reached the pronator quadratus. All patients had a brachial plexus lesion involving the roots of C8 and T1. However, the possibility of extending the lesion to the roots of C7 would not change the final purpose since the two donor nerves (motor branch of the brachialis and supinator) are predominantly innervated by the root of C6.

It is known that incorporating the distal AIN to ulnar motor transfer into the treatment plan for lower brachial plexus injuries is functionally ineffective. In this case, transfer of residual axons to the pronator quadratus is insufficient to provide a motor response of the ulnar nerve, in lesions of the lower brachial plexus (C8-T1). Therefore, this article proposed a sequence of surgical techniques aimed at a functional recovery of the hand in C8 and T1 avulsion. An advantage of the nerve transfer set described in our series is the opportunity for recovery of a group of extensors that may become potential donor sources for tendon transfer in reconstructions of intrinsic functions of the hand.

One bias of our results was the long interval between the traumatic event and the surgical intervention, due to the limitations related to the public health system related to the assessed sample. The timing of surgery is a key point when considering nerve transfers. In fact, the chance of a functional recovery falls progressively after 6 months-2 years. [2,28,33] After this period, muscle must not be reinnervated. [14,15,21]

CONCLUSION

The brachialis-to-AIN and supinator branch to the PIN transfer technique offers the potential for restoration of intrinsic hand function in patients with C8-T1 brachial plexus injury. The application of a multidisciplinary team approach in addition to peripheral nerve service may improve the outcomes and the functional recovery of these patients.[1] Publications on this topic include studies with a limited number of patients, so proving and replicating the effectiveness of the technique is an important contribution to the literature.

Ethical approval

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee (name of institute/committee) and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards.

Declaration of patient consent

The authors certify that they have obtained all appropriate patient consent.

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Conflicts of interest

There are no conflicts of interest.

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