



Molecular Profile Changes in Patients with Castrate-Resistant Prostate Cancer Pre- and Post-Abiraterone/Prednisone Treatment

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ABSTRACT

We identified resistance mechanisms to abiraterone acetate/prednisone (AA/P) in patients with metastatic castration-resistant prostate cancer (mCRPC) in the Prostate Cancer Medically Optimized Genome-Enhanced Therapy (PROMOTE) study.

We analyzed whole-exome sequencing (WES) and RNA-sequencing data from 83 patients with metastatic biopsies before (V1) and after 12 weeks of AA/P treatment (V2). Resistance was determined by time to treatment change (TTTC).

At V2, 18 and 11 of 58 patients had either short-term (median 3.6 months; range 1.4–4.5) or long-term (median 29 months; range 23.5–41.7) responses, respectively. Nonresponders had low expression of *TGFBR3* and increased activation of the Wnt pathway, cell cycle, upregulation of *AR* variants, both pre- and posttreatment, with further deletion of *AR* inhibitor *CDK11B* posttreatment. Deletion of androgen processing genes, *HSD17B11*, *CYP19A1* were

observed in nonresponders posttreatment. Genes involved in cell cycle, DNA repair, Wnt-signaling, and Aurora kinase pathways were differentially expressed between the responder and non-responder at V2. Activation of Wnt signaling in nonresponder and deactivation of *MYC* or its target genes in responders was detected via *SCN* loss, somatic mutations, and transcriptomics. Upregulation of genes in the *AURKA* pathway are consistent with the activation of *MYC* regulated genes in nonresponders. Several genes in the *AKT1* axis had increased mutation rate in nonresponders. We also found evidence of resistance via *PDCD1* overexpression in responders.

Implications: Finally, we identified candidates drugs to reverse AA/P resistance: topoisomerase inhibitors and drugs targeting the cell cycle via the *MYC/AURKA/AURKB/TOP2A* and/or *PI3K_AKT_MTOR* pathways.

Introduction

The management of metastatic prostate cancer is changing rapidly, with the inclusion of several novel drugs and drug combinations in the treatment of hormone-sensitive and castration-resistant disease (1). However, despite considerable progress, progression of prostate cancer to castration-resistant prostate cancer (CRPC) remains a lethal development as the majority of patients will inevitably experience progression and death, with 29,430 deaths attributed to prostate cancer in the United States in 2018 (2). Although several drug choices are available

to control disease progression after the development of CRPC, predictive biomarkers for drug resistance and sensitivity remain mostly unknown. Biomarkers based on the stage-specific landscape of genomic alterations in prostate cancer are under investigation (3) but are not yet incorporated into clinical practice for CRPC-stage disease. Abiraterone acetate, a *CYP17A1* inhibitor that is a standard treatment option for patients with metastatic CRPC (mCRPC; refs. 5, 6) has no well-defined predictive genomic biomarkers. Recently, we reported that increased expression of genes in the Wnt pathway and cell-cycle proliferation in pretreatment metastases were associated with 12 week-primary resistance to abiraterone acetate/prednisone (AA/P) in patients with mCRPC (4). As the next step in our analysis of this prospective clinical trial (<https://clinicaltrials.gov/identifier/NCT01953640>), we have now evaluated biomarkers of AA/P efficacy by analyzing the posttreatment genomic landscape of metastatic biopsies in these same patients with mCRPC to identify mechanisms of acquired resistance and, equally important, molecular signatures for AA/P exposure by analyzing the genomic and transcriptomic evolution of metastatic biopsies before and after AA/P treatment.

Materials and Methods

The Prostate Cancer Medically Optimized Genome-Enhanced Therapy (PROMOTE) study, initiated in May 2013 after approval by the Mayo Clinic Institutional Review Board (IRB), enrolled patients with metastatic castration-resistant prostate cancer (mCRPC) after the failure of androgen deprivation therapy. All patients provided written informed consent to undergo two serial metastatic tissue or bone biopsies (5), with the first biopsy performed prior to the initiation of AA/P treatment (visit 1: pretreatment) and the second after 12 weeks of treatment (visit 2: posttreatment). The eligibility criteria and the study

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protocol have been reported previously (4). The primary goal of the study was to determine genomic alterations associated with pre-chemotherapy AA/P treatment resistance, and those results have been reported previously (4). We now report a secondary aim of the study, which was to identify biomarkers for acquired resistance to AA/P in the posttreatment metastases. Clinical data for all patients is reported in Supplementary Table S1.

Sequencing and genomic aberration analysis

Sequencing of all pre-AA/P treatment biopsy specimens, visit 1 (V1), was reported previously (4). For the analysis of the visit 2 (V2) post-AA/P specimens, similar sequencing methods for whole-exome sequencing (WES) were performed on Illumina HiSeq 2500, and whole transcriptome sequencing (RNA-seq) was performed on Illumina HiSeq 2000 instruments, respectively. Methods have been reported previously (4) and are also described in Supplementary Materials and Methods accompanied by quality control (QC) information for samples in this study in Supplementary Tables S2 to S4 and Supplementary Figs. S1 to S9.

Somatic mutations pathogenicity analysis

Pathogenicity of selected variants were estimated by two primary bioinformatics tools, the cancer-specific OncoKB database (6) and the Variant Effect Scoring Tool (VEST; refs. 7, 8). The VEST statistics and other annotations were obtained via the CRAVAT server (9).

Statistical analysis

Medical records of all patients were collected after enrollment for long-term follow-up and for determination of time to treatment change (TTTC), defined as the time from enrollment until the change of AA/P treatment due to progressive disease (4). The date of the last follow-up is the last patient contact date recorded in the electronic medical records as of October 2017. The lower and upper quartiles of TTTC for the entire cohort were used to define nonresponders and responders, respectively. Statistical tests performed are described in the Supplementary Materials and Methods. Gene sets scoring for RNA-seq was performed by transforming the gene expression data into sample scores per gene set using GSVA (10), followed by association with TTTC using logistic regression or survival Cox-model.

Functional analyses

We performed *in silico* functional analyses using up- and down-regulated genes. For posttreatment results, we selected genes with $FDR \leq 0.05$ and fold changes ≥ 2 or ≤ 0.5 .

Upstream regulator analyses

Upstream transcription factors likely driving the gene expression at V2 were identified with X2Kweb (11). This tool also identified likely signaling kinases responsible for the regulation of the transcription factors driving the differential gene expression. The function of the signaling genes was then further studied using DAVID by clustering all annotations (12, 13).

Secondary drug candidate analysis

The L1000CDS² (14) tool and L1000FWD (15) were used to select candidate treatments that produced a gene expression signature negatively correlated with the differential gene expression of responders versus nonresponders. These tools can suggest secondary drug treatments that can rescue nonresponders and identify target genes

that are potential drivers of the nonresponse. We report signatures found to be significant by requiring a *P* value to be below the Bonferroni-adjusted threshold.

Data availability

The anonymized raw data (bam files for DNA and fastqs for RNA-seq) will be available in dbGAP (phs001141.v2.p1). All results in the Supplementary Tables refer to the anonymized dbGAP subject ids.

Results

Patient characteristics, samples for sequencing, analysis, and QCs

Between May 2013 and September 2015, 83 of the 92 patients enrolled in the PROMOTE study successfully underwent metastatic biopsies during both the before (V1) and after (V2) AA/P treatment visits. The biopsy sites obtained at V1 and V2 are shown in **Fig. 1A** and **B**, shows the subsets of these 83 patients that passed QC standards for RNA expression, somatic DNA mutations, and somatic copy number (SCN) that were included in the V2-only or V1 and V2 analyses. Indicator variables in the clinical data Supplementary Table S1 indicate which genomic data passed QC (QC metrics in Supplementary Tables S2–S4). Supplementary Table S5 presents the demographic characteristics of the patient subsets with biopsies that passed the RNA-seq QC. 83 of 92 patients came for a second visit, with a median TTTC for those 83 patients of 303 days. We defined nonresponders as patients in the first quartile of TTTC (≤ 147 days) and responders as patients in the upper quartile of TTTC (≥ 667 days; **Fig. 1C**). All responders had negative PSA changes at 12 weeks posttreatment, whereas most (16/21) nonresponders had increase in PSA after 12 weeks (**Fig. 1C**). More than half (13/22) of the responders remained on therapy as of the last follow-up date in the medical records as of October 2017. There was good agreement between the two different response phenotypes: the “Composite Progression” phenotype at 12 weeks posttreatment (from ref. 4) and the long-term TTTC phenotype (**Fig. 1D**) in responders (18/22) and nonresponders (18/21). To avoid mortality bias, the time to treatment change (TTTC) relative to V2 for acquired resistance was used as a readout for outcomes in V2.

Somatic mutations

The frequency of somatic mutations in pretreatment samples was comparable with other studies (Supplementary Table S6), as we reported previously (4). Two samples had mutations in DNA mismatch repair (MMR). One sample had missense mutations in *MLH3* and *APOBEC2B*; another sample had truncating mutations in *MLL3*, *APC*, and *PMS2*, as well as missense mutations in *ATM*. Both samples were nonresponders and were hypermutator (outliers) in the burden plot on (**Fig. 2B**). Eighty-six genes were found to be frequently mutated in four or more post AA/P treatment samples, including *MUC2*, *TP53*, *MLL3*, *MUC16*, *AR*, *NOTCH2NL*, *APC*, *TTN*, *PSPH*, *COL11A1*, *DNAH12*, *BRCA2*, *RYR2*, *CSMD3*, *SPOP*, *FGFR3*, *AXIN2*, *PTEN*, and *FOXA1* (Supplementary Table S6). Two genes (*PLPPR3* and *TTN*) have somatic mutation counts associated with response (Supplementary Table S7). Eighteen genes were significantly differentially mutated between pre- and posttreatment samples (Supplementary Table S7). For four of those genes, the mutation count was different between paired pre- and posttreatment samples (*DYNC2H1*, *ZFC3H1* gained mutations and *FBRSL1*, *ZFPM1* lost mutations).

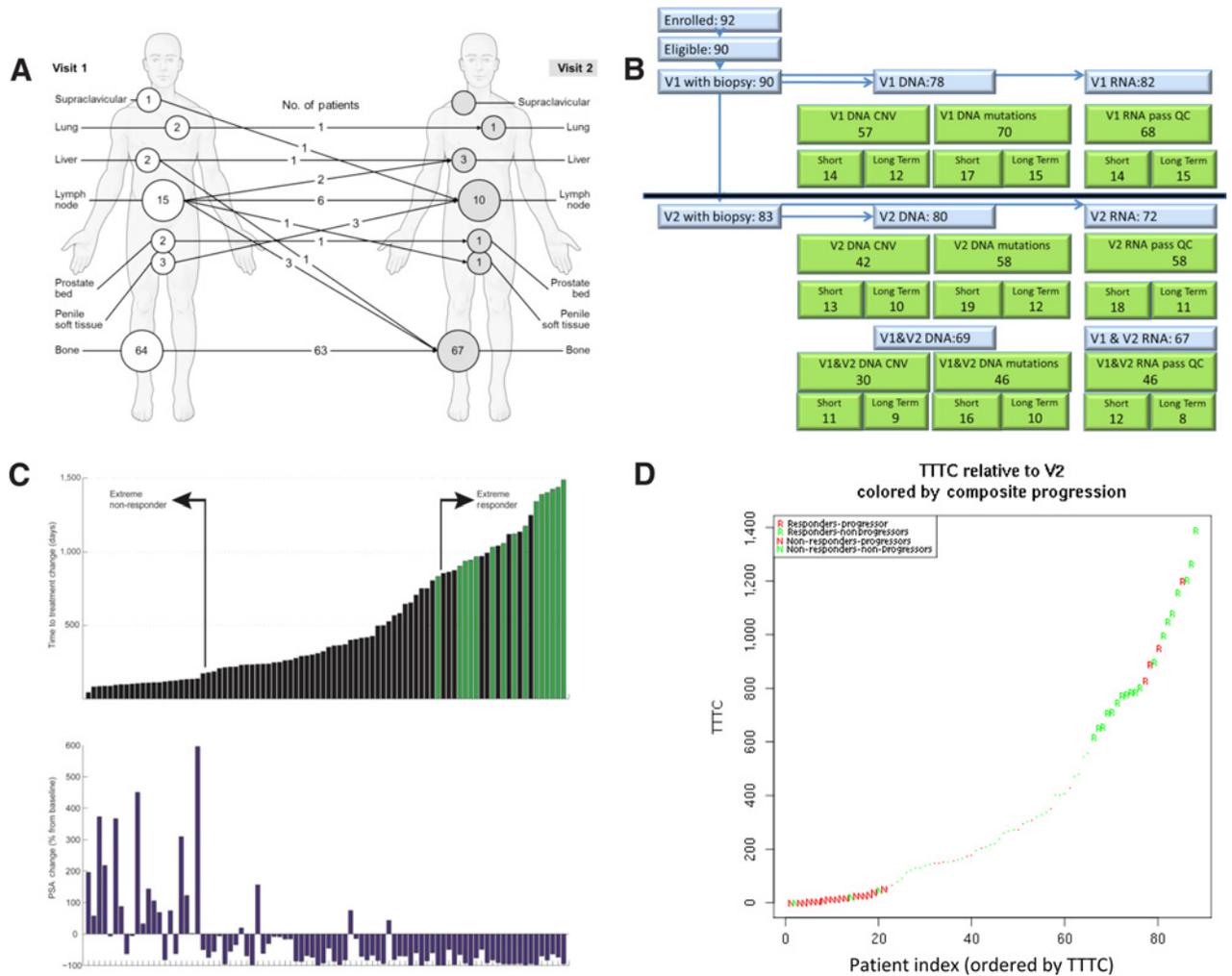


Figure 1. Basic information of the study. **A**, Biopsy sites pre- and posttreatment. **B**, Consort flow diagram and samples passing QC per data type **(C)** PSA change versus TTTC (or last-follow-up—green bar). Nonresponders (low TTTC) and responders (high TTTC) show positive and negative PSA changes respectively **(D)** V2 TTTC versus composite progression criteria at 12 weeks from V1 manuscript.

Somatic mutations in MYC target genes are associated with the TTTC in the posttreatment tumors

We next determined the mutation profile in posttreatment samples associated with outcome measures, including both the binary responders/nonresponders and the continuous TTTC. Four hundred and ninety mutated genes identified in posttreatment samples were associated with TTTC in the survival analysis with Cox-model *P* values ≤ 0.05 (Supplementary Table S8). However, most significant genes only involved two samples (such as *BRAF*—deleterious K601E and *VUS* R239Q). Six genes *CSMD3*, *DNAH17*, *WDR52*, *INO80E*, *HP*, and *MKI67* had mutations in at least three samples. Mutations in these genes was associated with poor response (left of Fig. 2A).

We then performed a gene set association with outcome in post-treatment samples. We identified 29/424 gene sets with an excess of mutations in nonresponders (Fisher exact test; Supplementary Table S9), with 22 that were also significantly differentiated between responders and nonresponders. Driving this gene set signal, were several genes with at least two more mutations in nonresponders

compared with responders: *APC* (four nonresponders with mutation vs. 0 mutations in responders), *CSMD3* (4 vs. 0), *AXIN2* (3 vs. 0), and *TP53* (4 vs. 1). Only *EFNB3* (0 vs. 2) had more mutations in responders, but only one of these mutations is consistently predicted to be pathogenic, and the other one has inconsistent pathogenicity predictions in dbNSFP4.0 (16–18) 8 of these 29 gene sets had 10 or more genes that were more frequently mutated in nonresponders than responders.

The survival data analysis of the most significant gene set (for *MYC* target genes) is shown in Fig. 3A. Figure 3B shows gene set mutation scores (the value from the GSVA analysis) in *MYC* target genes as a function of TTTC. We also found that some genes in the Wnt-pathway (*CTNBN1*, *APC*, *AXIN2*), *AKT1* pathways (*AKT1*, *BRAF*, *PTEN*), and *PI3K_AKT_MTOR* signaling gene sets have a higher mutation rate in nonresponders in the posttreatment samples (84% of these mutations are judged pathogenic by VEST (Supplementary Table S10). For Wnt, mutations of these genes are associated with constitutive activation of the Wnt-signaling pathway (19).

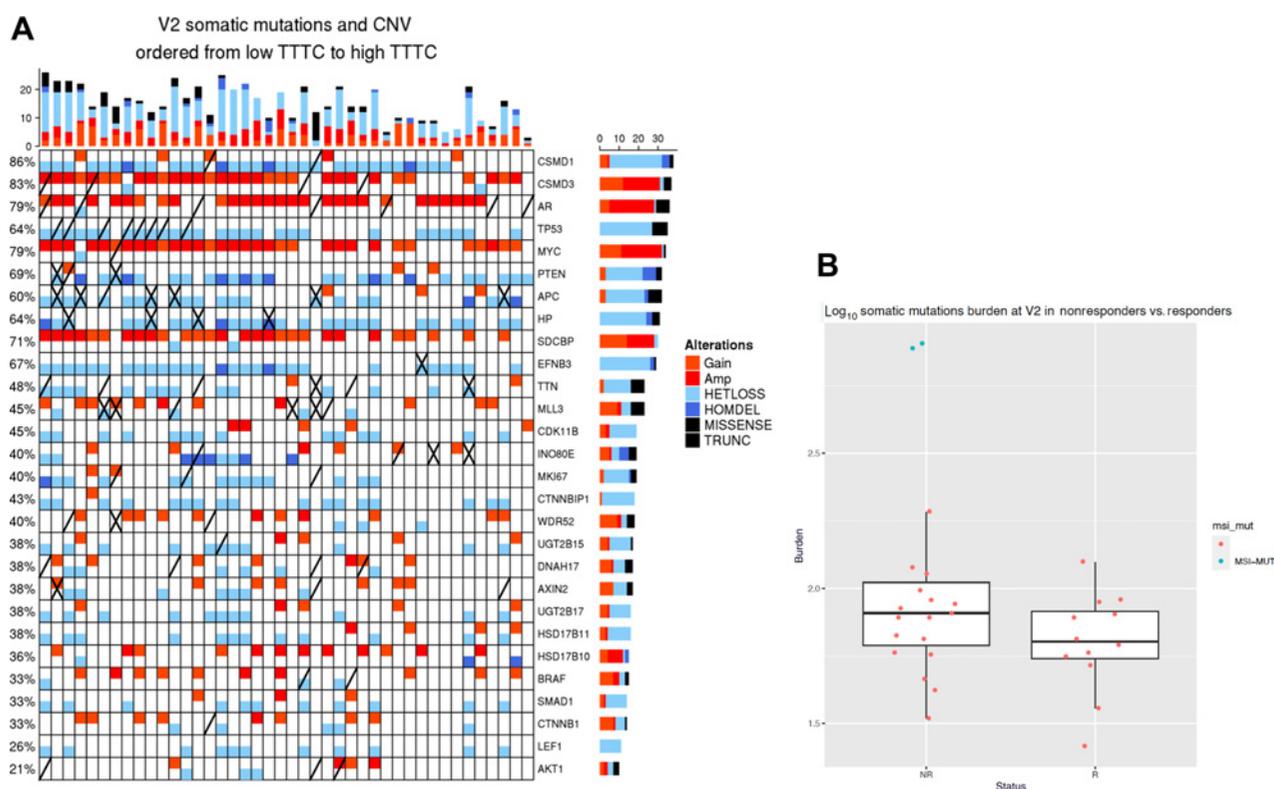


Figure 2. **A**, OncoPrint of samples with both somatic mutations and SCN posttreatment (V2). Mutations worse than missense (truncating, frame-shift, early stop) denoted with an "X," nonsynonymous mutations denoted by a diagonal line. Samples are ordered by TTTTC from nonresponders (left) to responders (right). Second and third TTTTC quartile patients are included as well. **B**, Somatic burden higher in nonresponders. Two outliers, in nonresponders, were found to have mutations in MSI genes.

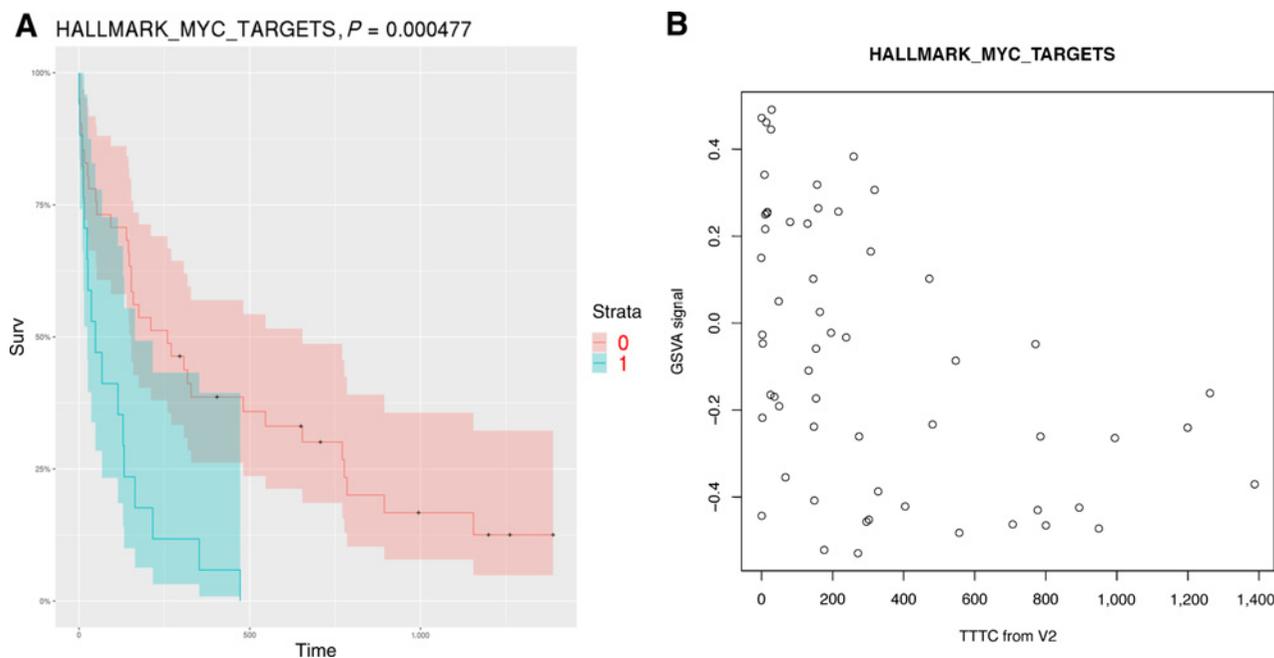


Figure 3. **A**, Kaplan-Meier plot of mutation load for posttreatment samples in MYC targets gene set (version 1 in MSIGDB) vs. TTTTC. Samples with high mutation load (above median) are in green band. The P value calculated using a Cox model. **B**, Gene set mutation load (GSVA value) in MYC targets genes as a function of TTTTC.

Somatic mutations changes from V1 to V2 associated with response

We also analyzed genesets with changes from V1 to V2 associated with response (Supplementary Table S11), and found 10 genesets showing an somatic changes associated with TTTC. One of those gene set was significant in a nonresponder only subset analysis (Supplementary Table S12). This geneset associated with a *PDCD1* signature had many more mutations at V2 in nonresponders with additional mutations gained in *MAP3K8*, *MAP4*, *TOR2A*, among others.

SCN alterations frequently observed in androgen biosynthesis-metabolism genes in posttreatment responders

We evaluated SCN alterations in post-AA/P treatment samples using WES data in 99 samples with a median tumor purity of 40%

(Supplementary Tables S13–S21). Twenty-four regions were identified with significantly different frequencies between responders and nonresponders in the posttreatment tumors (Fig. 4; Supplementary Table S14). The nonresponders showed increased SCN deletions for both *CTNNB1P1*, a negative regulator of Wnt, and *CDK11B*, an inhibitor of *AR* (20). Another segment on chromosome 8, containing the *CSMD1* gene, was more frequently deleted in nonresponders whereas another part of chromosome 8 containing *SDCBP* (aka Synthenin/mda-9) was more amplified in nonresponders. Five nonresponders had a heterozygous deletion in a small chromosome 4 region containing *HSD17B11/HSD17B13*, which are components of the androgen biosynthesis-metabolism pathway.

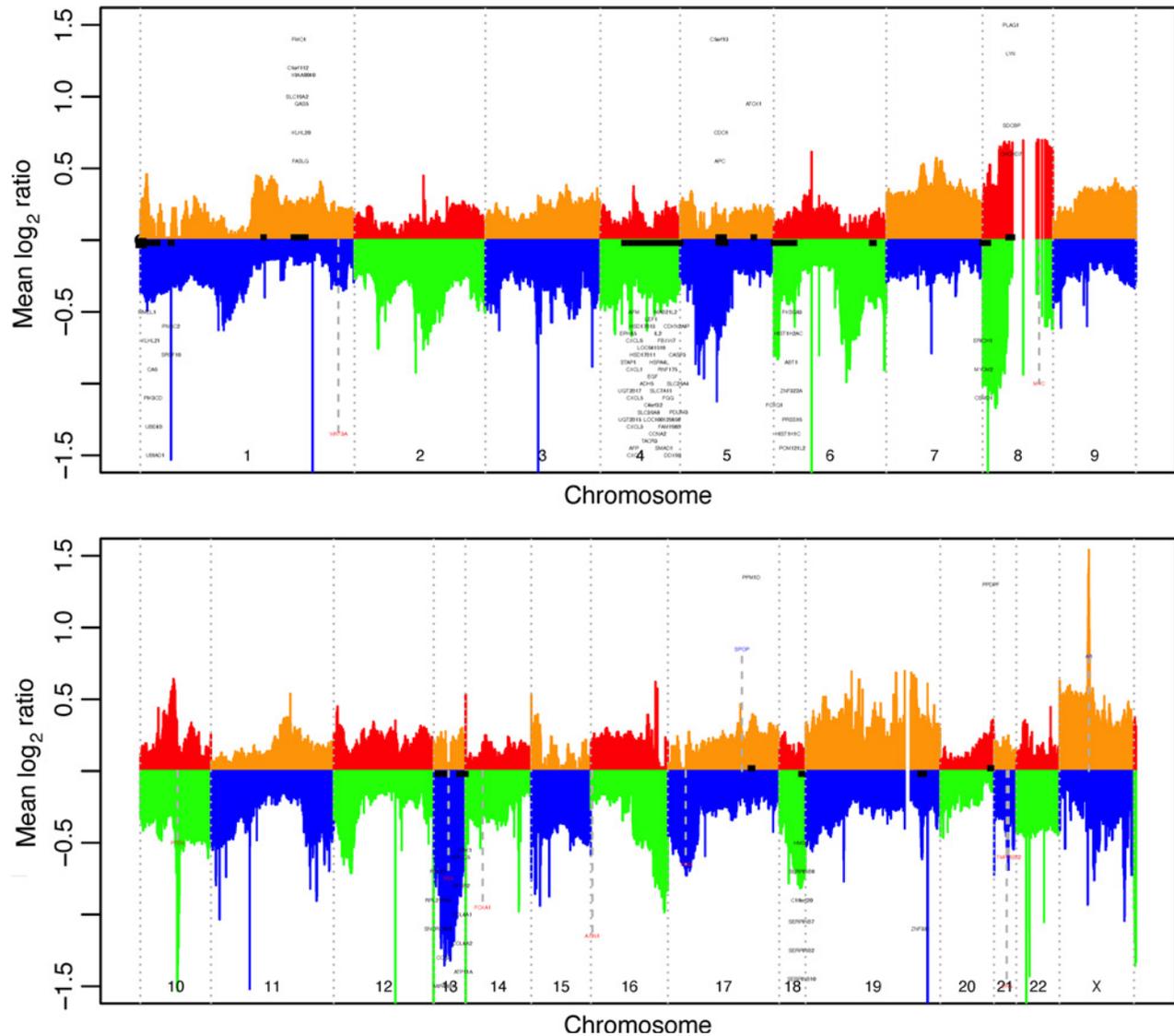


Figure 4.

Whole genome representation of copy-number data at Visit2: median copy number gains (alternating red- and orange-shaded regions) and median copy-number deletions (alternating green and blue shaded regions). Regions with significant association with TTTC are shown by black bands along the center axis (under horizontal axis if there were more deletions in nonresponders or above the axis if gains were more frequent). A subset of genes are shown for each band (in black), focusing with genes that were present in the significant genesets, genes that were known targets of *AR*, genes frequently altered in prostate cancer, or genes with published relevance to prostate cancer. Other genes plotted in red are either at peaks of focal amplification/deletions (*PTEN/AR/TP53*) or were significant in this paper (e.g., *MYC*, *WNT3A*). The focal deletions that are not annotated are in regions with many related genes, zinc fingers, miRNA, or long noncoding RNAs.

Posttreatment, the *APC* gene, an inhibitor of Wnt-signaling (Supplementary Table S14), showed more SCN gains in responders whereas deletion events were significantly increased in nonresponders. These results suggested that AA/P nonresponders may have activated Wnt-signaling whereas Wnt was repressed in responders, supporting our findings in our previous analysis for baseline samples (4).

An increased rate of deletion of the *HSD17B11/HSD17B13* region in nonresponders was observed after 12 weeks of AA/P treatment compared with baseline (Supplementary Table S15), which might lead to increased androgen levels in the nonresponders because these enzymes catalyze testosterone metabolism through glucuronidation.

Gene set analysis of SCN identified signatures of resistance to treatment

We performed gene set analysis on SCN data in posttreatment samples and identified 20 gene sets that differed significantly between responders and nonresponders (Supplementary Table S17; Supplementary Fig. S11). Among the 20 significant gene sets, we found one gene set indicating more frequent *TMPRSS2-ERG* fusion events in nonresponders and one gene set involved in TCR signaling that included *LEF1*, a downstream target of β -catenin.

Three SCN gene sets (200125, 200146, 200185) were significantly associated with both predictive (pretreatment) and acquired (post-treatment) AA/P resistance [Supplementary Tables S16 and S17 (V2) and S18 and S19 (V1); Supplementary Figs. S10 and S11]. In 200125 (E-cadherin signaling in the nascent adherens junction) is driven by *KLH20*, exclusively gained in five nonresponders, 200146 (*IL3*-mediated signaling events) does not have a key gene, and 200185(*IL2* signaling events mediated by *STAT5*) is driven by *SDCBP* (aka

Syntenin-1), which is has 10 Gains in nonresponders versus only three in responders. *SDCBP* has been shown to be a marker of resistance in CRPC that correlates with increased *MYC* activity (21), suggesting that *SDCBP* may be a predictive biomarker for AA/P treatment in this gene set.

Supplementary Tables S20 and S21 and Supplementary Fig. S12 shows gene sets with different changes from pre- to posttherapy in responders versus nonresponders. Interestingly, a four-gene signature (*HSD17B10*, *UBE2C*, *NUSAP1*, and *ANLN*) identified from a previous abiraterone trial, NCT0097198, appeared to show different evolution after 12 weeks of AA/P treatment in nonresponders versus responders.

Genes are differentially expressed between pairs of samples from V1 to V2

Cell cycle, DNA repair, Wnt-signaling, and Aurora kinase signaling pathway genes are differentially expressed between the responder and nonresponder cohorts in AA/P posttreatment tumors

In the posttreatment samples, 819 genes were significantly differentially expressed (FDR \leq 0.05) in nonresponders compared with responders (Supplementary Table S22), whereas at baseline, only 89 genes were differentially expressed with FDR \leq 0.05 (Supplementary Table S23). This large increase in the number of differentially expressed genes indicates a transcriptomic change due to AA/P treatment. Five hundred and seventy-two genes for which expression was altered from pre- to post-AA/P treatment showed differences between nonresponders and responders (Supplementary Table S24; FDR \leq 0.05). Among these 572 genes, 360 overlapped with the differentially expressed genes between responders and nonresponders in the posttreatment samples, whereas six genes overlapped with the differentially expressed genes (FDR \leq 0.05) in the pretreatment

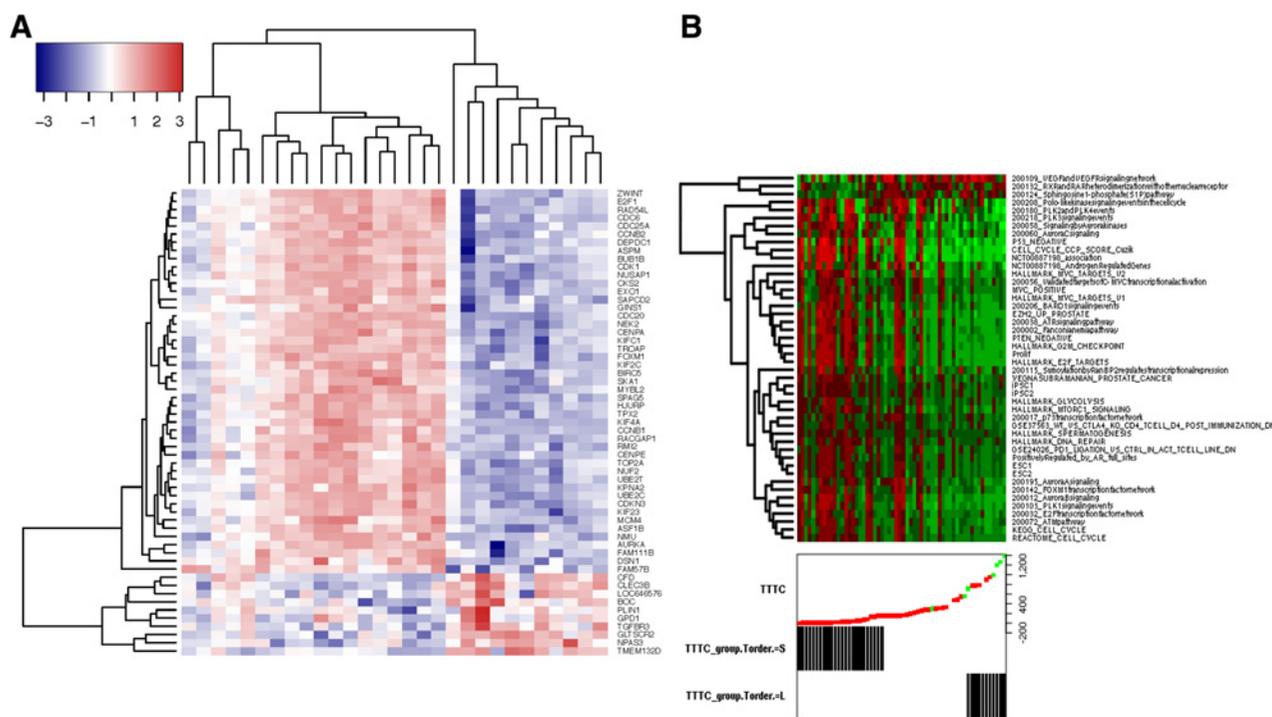


Figure 5. Gene expression activity. **A**, Heatmap of most differentially expressed genes from Supplementary Results. **B**, A heatmap of the most significant gene sets at V2, with samples (columns) ordered by TTTC. Red indicates elevated signature and green indicates low levels of the signature.

to *PDCD1*, Wnt-signaling, and cell polarity (Supplementary Table S28). We were able to support our results from our paper on the V1 data with the composite progression criteria. Supplementary Table S29 shows that the majority of the cell cycle genes identified in our visit 1 manuscript were significantly upregulated at V1 and even more significant at V2. *PLK1* was also significant downregulated in non-responders. Most Wnt Inhibitor activity genes have consistent differential expression, but were not significant at V1 (half were at V2).

In Supplementary Table S30, we show genes that are differentially expressed between V1 and V2 (columns A–H), the remaining present the *P* values related to TTTC. We see that genes *KIF188*, *SPC24*, *UBE2T*, and were slightly associated with TTTC at V1, but had strong decrease of expression in responders from V1 to V2 and then became even more strongly associated with TTTC at V2, whereas *TEF* was not even significantly associated with TTTC at V1 and became associated at V2 (increase in responders), suggesting a response to treatment. In general, the gene expression is more strongly associated with response at V2 and this is not a factor of the purity of the biopsies (Supplementary Fig. S3), which is lower, but not statistically significantly.

Finally, we collected a set of published signatures to verify the important biological pathways we found by various analyses (see Supplementary Materials and Methods for details). **Figure 6A** contrasts the RNA-seq signature values (or key genes) between responders and nonresponders for the top activity signatures in the posttreatment samples. Notable is that nonresponders cluster in two groups. One group (rightmost nonresponder group) has strong associations with elevated cell cycle, DNA repair, *MYC*, androgen biosynthesis, *AR*, and aurora kinase signaling in nonresponders. The second group (leftmost nonresponder group) was very similar to responders except for elevated *PARP2/TOP2B* expression and two signatures for DNA repair genes (*HR* and *NHEJ*) that are target of *AR* (note that the *HR* and *NHEJ* gene sets that is not limited to *AR* targets is not elevated). The two nonresponding samples on the left have elevated neuroendocrine signatures seemed to have inactivated DNA repair (the second sample has a truncating *MLL3* mutation R2609* that support this, while the first sample is at the limit of somatic mutation detection (7% cellularity) so that we cannot rule out mutations in DNA repair genes). Responders were associated with high levels of *RBI* at V2 (one of the 10 confidently downregulated genes in responders from Supplementary Table S22).

***PD-1* gene elevated at V2 in nonresponders**

The *PDCD1* gene (aka *PD-1*) showed no prognosis at V1, but it is significantly elevated in responders and relative to V2 ($P = 0.03$), whereas the expression dropped slightly in nonresponders at V2 relative to V1. Only responders showed that effect as *PDCD1* was slightly, but not significantly elevated from V1 to V2 in the paired analysis (Supplementary Table S30). The *PDL1* gene (*CD274*) was slightly elevated at V2 (more in nonresponders), but not statistically significantly.

Upstream regulators of pathways disrupted in nonresponders versus responders

After Bonferroni correction, the significantly differently expressed genes were highly enriched in targets for transcription factors *E2F4*, *FOXM1*, *AR*, and *SIN3A* and that 22 kinase genes being activated (Supplementary Table S31). Functional annotation clustering of BioCarta, KEGG, and Reactome pathways (Supplementary Table S32) revealed four major clusters of function: (i) *AKT1*, *PRKACA*, *MAPK14*, *MAPK8*, *CHUK* signaling; (2) cell cycle and *TP53* checkpoint (*CDK2*, *CDK4*, *CHEK1*, *ATM*, *CDK1*); (3) pathways involving *CDK1*, *MAPK1*,

and *MAPK3* signaling; and (4) pathways involving *CSNK2A1*, *MAPK3*, and *MAPK8* signaling.

***AR_V(7, 8)* and *ARV7* isoforms are significant in posttreatment nonresponders**

We counted reads supporting specific *AR* isoform splice junctions, with splice junction *AR_V(1, 2, 3, 4)* common to four isoforms (V1, V2, V3, V4). Using a Fisher exact test and a Wilcoxon rank test, we found *AR_V(1, 2, 3, 4)*, *AR_V8*, *AR_V9*, and *AR_V23* occurred significantly more frequently in nonresponders at both time points. *AR_V3*, *AR_V(3, 4)* and *AR-45* were only significant at baseline while *AR_V(7, 8)* and *ARV7* were most significant in the posttreatment samples (Supplementary Table S33; Supplementary Fig. S14). Interestingly, 22 of 26 genes that were exclusively upregulated by *AR-V7* but not by *AR*-full length (25) were significantly different ($FDR \leq 0.05$) between responders and non-responders after AA/P treatment, but none were at baseline. (annotation column Q in Supplementary Table S22 and Supplementary Table S23).

TOP2A, aurora kinase, and CDK inhibitors may overcome acquired resistance to AA/P treatment

The L1000CDS and L1000FWD tools identified candidate drugs to turn nonresponders into responders. Supplementary Table S34 lists the top candidate drugs many of which target similar or complementary systems. The top candidates, palbociclib and PHA-793887, are CDK inhibitors. PHA-793887 targets *CDK2*, *CDK5*, and *CDK7*. Neither *CDK5* nor *CDK7* were differentially expressed between responders and nonresponders, but *CDK2* ($P = 0.0029$) and its downstream genes *CDC6* ($P = 2e-6$) and *CDC45* ($P = 3e-9$) were significantly elevated in nonresponders in posttreatment samples. On the other hand palbociclib, selectively targets *CDK4* and *CDK6*. Although a few nonresponders had *CDK4* highly expressed in their posttreatment tumors, overall *CDK4* and *CDK6* were not differentially expressed in the nonresponders. Moreover, most of the cell-cycle genes downstream of *CDK4* were not consistently differentially expressed. Three drugs were topoisomerase inhibitors targeting *TOP2A*, including mitoxantrone, an FDA approved treatment for patients with CRPC. This was consistent with the finding that *TOP2A* and *TOPBP1* were elevated in the nonresponders in the posttreatment samples. **Figure 6B** supports the use of a topoisomerase inhibitor for mCRPC abiraterone resistant patients; it shows elevated levels of cell-cycle signatures and of cell-cycle genes such as *TOP2A*, *TOP2B*, *AURKA*, *AURKB*, and *PARP2*, in the second cluster of nonresponders.

Another cell-cycle regulation target axis is the *PI3K_AKT_MTOR* inhibition. Three drug candidates inhibit *MTOR* (torin-2 and NVP-BEZ235, PP-110 (which is also a *PI3KCA* inhibitor)), four target *PI3K* (wortmannin, GSK-2126458, GDC-0941, and GDC-0980), two inhibit *AKT1* (MK-2206, canertinib), and two more affect the *AKT1* axis: *IGF1* inhibitor (BMS-536924) and *PRKCA* agonist (Ingenol 3,20 dibensotate) (26, 27). Of the remaining candidates two are MEK inhibitors (BED-K57080016 and selumetinib) and two are *EGFR* inhibitors (dovitinib and canertinib, with foretinib being a multikinase inhibitor including *PDGFR*, *KDR*, and *MAPK*). There are still a few other genes/pathways being targeted by other drugs, but the aforementioned drugs were consistently targeting related pathways.

Discussion

Our earlier report showed that activation of the Wnt/ β -catenin pathway and increased cell cycle-driven proliferation, were associated

with primary resistance to AA/P therapy of patients with mCRPC prior to AA/P treatment in the prospective PROMOTE clinical trial. This study identified genomic and transcriptomic alterations associated with acquired resistance after 12 weeks of AA/P therapy, based on the sequencing data for the metastatic samples after treatment. Once again, Wnt pathway and increased cell-cycle activity were found to play an important role in acquired resistance to AA/P in posttreatment metastases, with the *Proliferation* gene set being the most significantly associated with acquired resistance. A number of pathways are seen in multiple types of genomic alterations (Supplementary Table S35), including *MYC*, Wnt-signaling, *RXRG*-related pathways, and UV response.

Moreover, *AR* splicing variant *AR-V7*, *AR-V8*, *AR-V9*, *AR-V23*, *AR-V45* were significantly more frequently present in nonresponders,

both pretreatment and posttreatment samples. These results suggest that Wnt, cell-cycle signaling, and *AR* variants might all be predictive biomarkers for AA/P resistance regardless of abiraterone exposure. In posttreatment samples, the presence of *AR* splicing variants and *MYC* amplifications were associated with resistance (Fig. 7). Modulation of cell-cycle activity was so critical for resistance that associated alterations were seen in somatic mutation, SCN, and RNA-seq (Fig. 7).

We identified novel genomic biomarkers for acquired resistance gained after AA/P treatment. Compared with pre-AA/P treatment, analysis of the upstream regulators of the top differentially expressed genes between responders and nonresponders after treatment identified several genes regulating or regulated by *E2F4*, *FOXM1*, *AR*, and *SIN3A*. In addition, *EZH2* was also significant (FDR ≤ 0.05), but did not reach Bonferroni significance (Supplementary Table S31). The

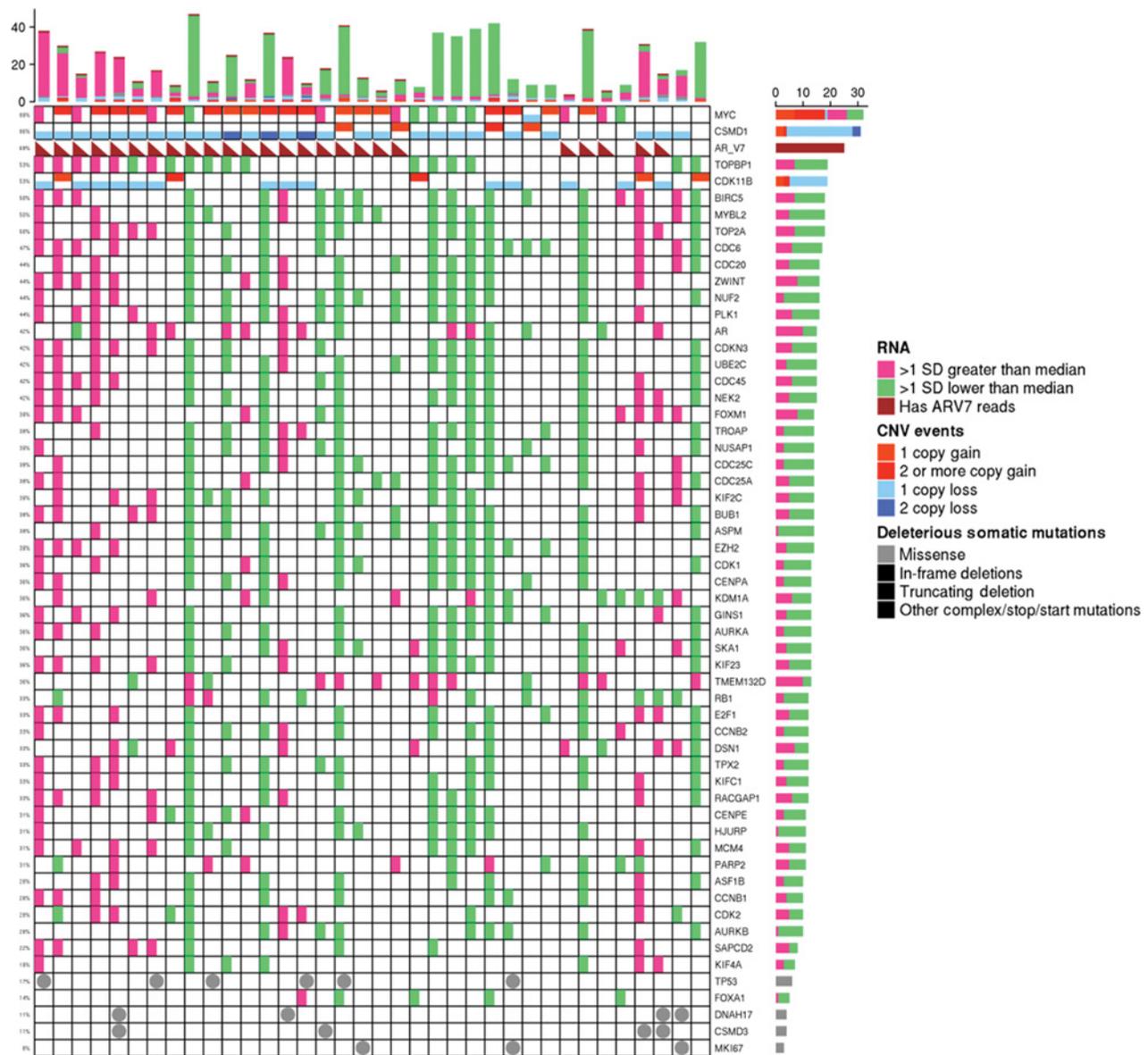


Figure 7. RNA-seq, CNV, and somatic mutations at V2 of significant genes related to cell-cycle progression, the aurora kinase pathway (including *EZH2* and *MYC*) together with *AR* expression and *AR V7* isoform presence (triangle). Samples ordered by TTTC (low to high TTTC from left to right).

annotation clustering (Supplementary Table S32) indicates that *TP53* checkpointing (supported by our high rate of *TP53* mutations in nonresponders) works in concert with cell-cycle regulation. This clustering also shows that *AKT1* (found in many of our analyses) also works in concert with several MAPK (*MAPK3*, *MAPK1*, *MAPK8*, *MAPK14*) as well as *PRKACA*.

The resistance is mediated by multiple genes for each pathway

AR was activated both by altering genes that modulated *AR* activity and by an increase in levels of constitutively active *AR* variants in nonresponders. We found that *HSD17B11* ($P = 0.019$), and *CYP19A1* ($P = 0.089$), which encode enzymes that metabolize androgens, showed increased SCN deletions in nonresponders after treatment, indicating another modality—other than *AR*—by which androgen response could be modulated. Another *AR* modulating gene was *RXRG*, which had elevated expression in responders and can inhibit *AR* binding. *CDK11B*, an inhibitor of *AR*, was frequently deleted in nonresponders. *AR* activity was also detected in significant gene sets in Fig. 5B (positively regulated by *AR* full sites and androgen regulated genes). We also found that the DNA repair pathways that were activated, were limited to genes regulated by *AR* (Fig. 6A). Figure 6A also shows that *AR* activity signatures are elevated in a subset of nonresponders.

We detected Wnt involvement in resistance several ways. We found significant RNA-seq gene sets, but also SCN deletions for *CTNNB1P1*, a negative regulator of Wnt, in nonresponders whereas responders showed SCN gains in *APC*, a regulator of Wnt. Somatic mutations in *CTNNB1*, *APC*, *AXIN2* in nonresponders are associated with constitutive activation of Wnt.

We found several lines of evidence that inactivating the *MYC* pathway was associated with good response and its activation consistent with poor response. The gene set analysis of somatic mutations indicated increased mutations in *MYC* downstream targets in responders after AA/P treatment. The gene signatures of genes regulated by *MYC* were significantly downregulated in nonresponders. *MYC* transcripts were not significantly differently expressed in our data even though it was downregulated by a factor of two in nonresponders (Supplementary Table S22). It is likely that the *MYC* activity is regulated at the protein level as it is known that the *MYC* protein is tightly regulated via phosphorylation driven degradation (28) and via cofactors. The *MYCBP2* protein, which binds *MYC* and negatively regulates its activity (29), was lower in nonresponders ($FDR = 0.056$). At the SCN level, we found gains of *SDCBP* in non-responders which has been shown to regulate *MYC* (30). The *VDR* gene has increased gains in responders (Supplementary Fig. S13A) and this gene was found to increase *MYC* turnover (31). We also found elevated levels of *TOP2A/AURKA/RAD21* in nonresponders and these genes interact with *MYC* (32) to regulate cell cycle. *AURKA* both is a target of *MYC* (33) and promotes elevated levels of *MYC* (34). Recent analysis of ChIP-seq data found that *MYC* binds to the promoter of *TOP2A*, *TOP2B*, *TOP3A*, *TOP3B* genes and that silencing of *MYC* downregulates these genes (35), consistent with our findings on *TOP2B*. Supporting this *MYC/AURKA/TOP2A* axis hypothesis, we find several drugs targeting the *AURKA* and *TOP2A* as candidates for second line therapy for nonresponders.

Somatic mutations were increased in nonresponders in the *AKT1* axis: *AKT1*, *BRAF*, *PTEN*, *PI3K_AKT_MTOR* gene sets, as well as TCR signaling gene sets. The *AKT1* axis is a potential point of pharmacologic intervention (36). The upstream regulator analysis and the drug signature analysis were very consistent and also identified the *PI3K_AKT_MTOR* axis and cell cycle as important targets.

Responder biomarkers

Responders were characterized by elevated levels of *RBI*, increased mutations in *MYC* downstream targets, increase SCN or expression gains in *AR* controlling genes (see above), and increase SCN gains in *APC*, an inhibitor of Wnt-signaling. Three gene sets had elevated expression posttherapy (Fig. 5B), the RXR and RAR heterodimers gene set, the *VEGFA* and *KDR* signaling network, and the Sphingosine1 phosphate pathway. We also found that changes in genes in the androgen biosynthesis and elimination pathway, Wnt signaling inhibition, *VEGFA/KDR*(*VEGFR*), *RXRA*, and RAR heterodimers binding to *AR*, and Sphingosine1 phosphatase play roles in positive response to therapy.

Our *in silico* analysis finds that cell-cycle inhibitors, topoisomerase inhibitors, *MTOR* inhibitors, and even a number of inhibitors in the *PI3K_AKT_MTOR* axis might be additional therapeutic options for patients with mCRPC who do not have a long term response to abiraterone. *PI3KCA* inhibitors combined with AA trials had difficulty achieving sufficient response without doses causing intolerable side effects (37). However, targeting *AKT1*, which is downstream in this axis, along with an AA regimen (38) showed promise. These suggest that targeting *MTOR* and or *AURKA*, which are downstream of *PI3KCA/AKT1* (39) might be targets that are even more robust against the development of resistance. Our data supports that the simultaneous targeting of the *MYC/TOP2A/AURKA* axis of cell-cycle control would increase effectiveness of a multitarget regimen which includes AA/P. This hypothesis would require additional validation.

We also found increased expression of the *PDCD1* gene (*PD1*) in responders suggesting a developing resistance in responders. We also found increased somatic mutations in genes involved in differential expression of *PDCD1* at Visit 2 in nonresponders, suggesting that investigation of combination therapy of abiraterone acetate and *PDCD1* inhibitors may be warranted, especially in light of the *MYC* and *AURKA* both of which were found to be associated with *PDCD1* expression in TNBC (40).

Nonresponder biomarkers

The nonresponders show universal low expression of a few genes, most notably *TGFBR3* (Fig. 6A). Low expression of *TGFBR3* has been associated with prostate cancer resistance and it was shown that *TGFBR3* knockdown led to the enhanced expression of *PROM1*(*CD133*) (41), a marker found in cancer stem cells. The majority of nonresponders appear to be *AR*-driven (Fig. 6A) with activation of all the pathways of resistance discussed at the beginning of the discussion section. A second nonresponder group often has gene expression similar to responders. This second group is not uniform, with different genes or signatures being activated per patients (including *PARP2/TOP2B*).

Analysis of patients with mCRPC treated with enzalutamide (42) found an association with neuro-endocrine features in nonresponders. In Fig. 5A, three signatures of neuro-endocrine (NE) are elevated in nonresponders, but mainly in the subgroup of patients with elevated *AR* activity and furthermore the NE signatures are not as discriminating as other we highlighted.

In summary, we find that several patients do exceptionally well on abiraterone treatment, and our study has identified not only critical genomic alterations in response to AA/P for both responders and nonresponders, but also defined a potential strategy that might help us to overcome resistance and prolong survival. Further studies will be needed to test these drug treatments to overcome AA/P resistance and further define subgroups of nonresponders.

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Authors' Contributions

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