ORIGINAL RESEARCH

Acute Malaria in Malawian Children and Adults is Characterized by Thrombocytopenia That Normalizes in Convalescence

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Background: *Plasmodium falciparum* malaria has been linked with significant perturbations of the peripheral cell-mediated immune system during acute phase. Some of these changes include lower than normal platelet counts. Although the exact mechanisms that drive thrombocytopenia in *P. falciparum* malaria are not fully known, a number of hypotheses have been proposed. We conducted two sets of studies with one aimed at determining platelet counts in Malawian children, and the other in adults during acute *P. falciparum* malaria and a month post treatment.

Materials and Methods: We recruited a total of 113 HIV-uninfected children with acute malaria [n=54 with uncomplicated malaria (UCM), n=30 with severe malarial anemia (SMA), n=29 presenting with cerebral malaria (CM)]. We also recruited 42 HIV-uninfected healthy controls. Out of the 113 participants with malaria, 73 (65%) [n=34 (63%) UCM, n=21 (70%) SMA and n=18 (62%) CM] were successfully followed-up one month after treatment. A 5mL peripheral blood sample was collected for platelet count using HMX Haematological Analyzer analysis both at baseline (acute malaria) and at follow-up a month later. Platelet counts were also determined in blood samples of 106 HIV-uninfected adults, 47 of whom presented with UCM and 29 with severe malaria (SM) and these counts were compared to those of 30 healthy controls. Of the malaria cases, platelet counts for 44 UCM and 21 SM were determined again during follow-up a month after treatment.

Results: In both children and adults, platelet counts were significantly lower during acute disease compared to the levels in the healthy controls with the lowest levels observed in CM (children) or SM (adults). These lower than normal levels increased close to normal levels a month post treatment.

Conclusion: *P. falciparum* malaria in Malawian children and adults was characterized by profound thrombocytopenia which recovered during convalescence.

Keywords: acute malaria, platelet counts, children and adults, convalescence

Introduction

Although remarkable achievements have been made in reducing the devastating effect of malaria globally,^{1,2} each year *P. falciparum* malaria still causes deaths of thousands of children globally, with Sub-Saharan Africa accounting for most of these deaths.³ Clinical *P. falciparum* malaria can arbitrarily be divided into the following main categories: uncomplicated malaria (UCM), cerebral malaria (CM), severe malarial anemia (SMA), and respiratory distress (RD), with the last three considered severe forms of the disease.^{4,5} Although these four groups can be detected in isolation, some children present with malaria characterized by combined features of any two or more of these syndromes and other complications.^{4,5} Of these four groups, CM is linked with the poorest clinical outcome with mortality observed to be highest in this group, and with some CM cases who survive suffering severe complications⁴ such as severe neurological

sequelae and debilitating neurological impairments.⁶ However, the actual mechanistic basis of death in CM is yet to be fully elucidated.

Various studies have shown that *P. falciparum* malaria is characterized by profound perturbations of the cell-mediated immune system during acute stage,^{7–9} and some of the changes include significantly lower than normal peripheral platelet counts^{10–12}. Although the exact mechanisms that drive thrombocytopenia in *P. falciparum* malaria are not well characterized, several hypotheses have been proposed and these include increased platelet aggregation,¹³ microvascular sequestration,¹⁴ and endothelial activation.^{13,14}

A number of previous studies conducted in either children or adults presenting with acute malaria, have characterized either *P. falciparum* malaria or *P. vivax* malaria and a combination of these two with thrombocytopenia, with some^{15–17} even proposing that thrombocytopenia should either be used as an indicator of malaria in adults¹⁵ or be considered as one of the parameters to be used in classifying the severity of *P. falciparum* malaria disease.¹⁸ We conducted two sets of studies, one recruiting Malawian children and another adults, with the aim of determining absolute platelet counts at the time when they were presenting with acute *P. falciparum* malaria disease of different clinical types, and secondly during convalescence a month after treatment.

Materials and Methods

Study Participants

Pediatric Cohort

Study design and details of the study participants for this pediatric cohort have been reported previously.⁷ In summary, the study was conducted at Malawi-Liverpool-Wellcome Trust Clinical Research Programme (MLW) and Department of Paediatrics, College of Medicine (CoM), University of Malawi (UNIMA), and Blantyre Malaria Project from November 2005 to April 2006. We recruited children who acutely presented with different clinical forms of malaria at Queen Elizabeth Central Hospital (QECH) either in the outpatient department (OPD) or short-stay ward or in children's malaria admission ward. Medically well children who were attending outpatient surgical clinics at QECH and Beit Cure International Hospital (BCIH), in Blantyre, Malawi, were also recruited. Recruitment of study participants was mainly done during the malaria season which in Malawi usually coincides with the rainy season (November/December of one year to March/April of the next year).

Firstly, for each potential study participant, informed written consent was obtained from the parent or guardian, after which they were examined by a research nurse and/or a clinical officer. Once the potential participant fulfilled the preset recruitment criteria for the study, baseline demographic data were collected and recorded and a 5mL peripheral blood sample was collected. All malaria participants, regardless of the clinical form of malaria, were then assessed for level of consciousness using the Blantyre Coma Score (BCS). The consciousness assessment was done several times for each participant starting from admission and followed by two to four hourly intervals during intensive clinical care. Although in total over forty children were prospectively enrolled into each of the four groups (UCM, SMA, CM and Healthy Controls), the data for some participants from each group were excluded from the final analysis either because they tested positive for HIV, or were asymptomatically positive for malaria when included in the healthy control group, or the parents or guardians withdrew their consent after the child had already been recruited into the study.

For the purpose of this study and the other one that has already been reported⁷ we defined malaria as a clinical syndrome associated with febrile condition without any other apparent possible cause, which was confirmed by a thick blood film positive for *P. falciparum* asexual parasites on microscopy. Study participants who presented with CM had a BCS of two or less at admission and four hours later, while study participants for SMA and UCM and healthy controls had a score of five both times.⁷ SMA study participants had a blood hemoglobin concentration of 5 g/dl or less whereas study participants in UCM, CM and Healthy controls had a hemoglobin concentration above 5 g/dL.

All participants who tested positive for HIV infection during the screening stage were excluded from the study in order to eliminate HIV infection as a possible confounder and were immediately referred to the antiretroviral therapy clinic. Following exclusion of some study participants either because they were infected with HIV or due to detection of other infections that could be confounders, data from 113 children with malaria (54 with UCM, 30 with SMA, 29 with CM) and

Clinical Group	Healthy Controls	Uncomplicated Malaria	Severe Malarial Anemia	Cerebral Malaria
Number	42	54	30	29
Died During Admission	_	0	I	4
Reviewed in Convalescence	_	34	21	18
Sex (M:F)	29:13	38:16	19:11	10:19
Age (months) (Range)	20	27	23	30
	(5–76)	(6–58)	(5–38)	(5–84)
Parasites/µL blood) (Range)	0	52,300	3500	41,800
		(460–768,000)	(20–296,000)	(900–517,000)
Blantyre Coma Score (Range)	5	5	5	I
	_	-	_	(0–2)
Hemoglobin (g/dL) (Range)	11.2	9.3	3.9	7.7
	(7.0–14.1)	(5.0–13.0)	(2.4–4.9)	(5.3–12.5)
Acute Platelet Counts (x10 ³ cells/l) (Range)	441.0	199.0	98.0	85.5
	(122.0–781.0)	(9.0–550.0)	(15.0–399.0)	(16.0-420.0)
Convalescent Platelet Counts (x10 ³ cells/l) (Range)	_	328.0	387.0	343.0
	-	(65.0–711.0)	(56.0–537.0)	(154.0–500.0)

Table I Demographic Details, Parasitemia Levels and Platelet Counts for the Study Participants of the Pediatric Cohort. The
Presented Values are Medians with the Ranges Provided in Brackets

from 42 healthy controls were considered for analysis for this study. Out of the 113 children originally recruited with malaria, 73 (34 UCM, 21 SMA and 18 CM) were successfully followed-up a month after treatment and during the follow-up stage baseline demographic data were recorded and another 5mL peripheral blood sample was collected for analysis of platelet counts. Demographic data for all study participants whose data were used in this study are presented in Table 1.

Adult Cohort

Similarly, demographic details for the adult cohort have previously been reported.¹⁹ Malawian adults diagnosed with either uncomplicated malaria (UCM) or severe malaria (SM) were prospectively recruited to the study after obtaining consent from the patient or a legally able guardian. Recruitment was done at the Accidents, Emergency and Trauma (AET) Centre and general medical male and female wards of QECH from July 2016 to March 2017. Of the screened 116 adults who were infected with malaria, 107 consented to participate in the study. Demographic and other related data were collected and recorded using Case Report Forms (CRFs). After recruitment, a 10mL venous blood sample was collected from each participant with a 2mL aliquot collected in EDTA tubes which was then used for confirmation of malaria parasitemia by means of thick and thin films and for the analysis of platelet counts.

For this cohort, we defined malaria as a clinical syndrome in a febrile adult who had no other possible apparent cause of disease, later confirmed by a positive malaria rapid diagnostic test (MRDT) and a positive thick blood film for *P. falciparum* asexual parasites using microscopy. The confirmed positive malaria cases were then divided into two clinical groups; UCM and SM. The UCM group had confirmed clinical malaria based on positive malaria slides and MRDT but did not have any unarousable coma, or lower than normal hemoglobin (Hb) levels or liver or kidney complications associated with the malaria infection. Among the SM cases were those who presented with cerebral malaria (CM), severe malarial anemia (SMA) and those with liver or renal dysfunction. In line with the WHO guidelines,

Total Participants = 106	Healthy Controls [HCs] (n=30)	Uncomplicated Malaria [UCM] (n=47)	Severe Malaria [SM] (n=29)
Female [number (%)]	12 (40)	22 (46.8)	13 (44.8)
Male [number (%)]	18 (60)	25 (53.2)	16 (55.2)
Median Age (Years) (Range)	25	27	26
	(18–66)	(18–62)	(28–54)
Acute Platelet Counts (x10 ³ cells/l) (Range)	234.0	113.0	60.5
	(100.0-485.0)	(9.0-415.0)	(9.0–248.0)
Convalescent Platelet Counts (x10 ³ cells/l) (Range)	-	200.0	195.0
	_	(130.0–330.0)	(136.0–330.0)

Table 2 Demographic Details of the Adult Study Participants and the Median Platelet Counts for Each Malaria Group During Acute
Malaria and in Convalescence a Month After Treatment

we defined adult CM cases as those with a Glasgow Coma Score (GCS) of <11, with unarousable coma which could not be attributable to any other cause, and who were unable to localize stimuli and were incomprehensible to sounds. In contrast, malaria infected participants presenting with UCM or other forms of SM had a GCS score of greater than 11 at both times of consciousness assessment.

Although study participants presenting with severe SMA were included among the SM group, these had a blood Hb concentration of 7 g/dL or less or a hematocrit concentration of 20% or less together with a parasite count of more than 10,000/L, whereas the other clinical groups had an Hb concentration above this level. All malaria infected study participants were clinically and neurologically monitored during the entire period they were in the admission wards until the day of discharge or death.

Study participants were aged 18 years or more and were either out- or in-patients at QECH presenting with a temperature >37.5°C, a positive MRDT with a positive thick and thin blood smear microscopy test and with signs consistent with malaria disease according to the WHO guidelines.²⁰ HIV-infected individuals, pregnant women, and all those who did not give consent were excluded from the study. The demographic details for the cohort are provided in Table 2. In summary, of the total 106 HIV-uninfected study participants recruited, 47 (62%) presented with UCM and 29 (38%) presented with SM, and 30 were healthy controls. Of the malaria cases, 44 UCM and 21 SM were seen again during convalescence a month after treatment.

Investigations

Primary and confirmatory HIV tests were done using two rapid test kits; Determine (Abbott Laboratories, Japan) and Unigold (Trinity Brotch, Dublin). For confirmation of malaria parasites, both thick and thin blood smears were prepared by standard methods and screened on standard microscopy. Platelet counts were determined using a HMX Haematological Analyzer (Coulter, USA) using a blood sample collected in the EDTA tube. The HMX Haematological Analyzer performs a complete blood count and the analysis for platelet counts was conducted in accordance with a provided standard protocol and manufacturer's instructions. All analyses were done by an experienced Laboratory Technologist who had been certified to operate this automated Haematological Analyzer. In summary, an aliquot of the EDTA blood sample was sucked into the Haematological Analyzer and once the analysis was complete for each sample, results were provided in a print-out. On each day, a standard sample was run first before the analysis of samples from patients. The analysis for some samples was repeated in the event of platelet counts that would be regarded as outliers (either too low or too high) based on the manufacturers' standard protocol.

Ethics Review and Approval

For both the pediatric and adult studies, ethics review and approval of the study protocols were conducted by the College of Medicine Research and Ethics Committee (COMREC) of Kamuzu University of Health Sciences (KUHeS) previously known as College of Medicine. For the pediatric cohort, written informed consent was obtained from the parent or guardian of every child before the child was enrolled into the study. A 5mL venous blood sample was taken at the time of recruitment and a month after treatment. An aliquot of this blood sample was collected in EDTA tubes and it was this sample which was used for the determination of the platelet counts. For the adult study, each participant or an appropriate guardian provided informed written consent. From the 10mL venous blood sample that was collected from each participant, a 2mL EDTA aliquot was used for confirmation of malaria parasitemia using thick and thin films and for platelet count determination. Both the pediatric and adult studies were conducted in accordance with the Declaration of Helsinki.

Data Analysis

For statistical analysis, the data from the various clinical malaria groups (Healthy Controls, UCM, SMA and CM for children and healthy controls, UCM and SM for adults) were statistically analyzed using GraphPad Prism version 6.01 for Windows (GraphPad Software, San Diego California USA). For all statistical comparisons, a *p* value equal to 0.05 or less than 0.05 was considered statistically significant at 95% level confidence. The Kruskal–Wallis test was employed in the comparison of absolute platelet counts. Wilcoxon-matched pairs test was used to establish if the differences in platelet counts observed during acute infection and in convalescence were statistically significant for both pediatric and adult cohorts. The results in Figures 1 and 2 (and Tables 1 and 2) are medians and 10th and 90th percentiles of absolute platelet counts during acute and convalescent stages (except healthy controls) for the different study groups. For these scatter plots presented in Figures 1 and 2, the b line through the middle of the dots corresponds to the median value with the 10th percentile presented at the bottom of the scatter plot and the 90th percentile at the top).

Results

Variation of Platelet Counts in Different Malaria Types in Children

We have previously shown that the median platelet counts for children aged between 1–6 months and 3 to 7 years were 346×10^3 cells/L and 447×10^3 cells/L respectively,²¹ which compared well with the median platelet counts for healthy controls of the same age group of 441×10^3 cells/L observed in the pediatric cohort of this study. Platelet counts were markedly lower in all malaria groups during acute infection compared to the levels in controls (Figure 1A and Table 1). The counts were significantly (p<0.0001) lower in UCM (199x10³ cells/L), SMA patients (98x10³ cells/L) and in CM patients (85.5x10³ cells/L) compared to the controls (441x10³ cells/L). There was no association between parasitemia levels (median values of 52,300 parasites/L of blood in UCM, 3500 parasites/L of blood in SMA and 41,800 parasites/L of blood in CM) with the platelet counts for the three groups (199x10³ cells/L for UCM, 98×10³ cells/L for SMA and 85.5×10³ cells/L for CM) (Table 1).

Platelet counts were observed to be significantly (p<0.0001) higher in convalescence than the values observed during acute disease for all three clinical malaria groups (328×10^3 cells/L versus 199×10^3 cells/L for UCM, 387×10^3 cells/L versus 98×10^3 cells/L for SMA and 343×10^3 cells/L versus 85.5×10^3 cells/L for CM) (Figure 1B and Table 1).

Variation of Platelet Counts in Different Malaria Types in Adults

Similar to the child cohort, we have also previously shown that in Malawian adults aged between 20 and >60 years, the median platelet counts ranged between 214×10^3 cells/L and 253×10^3 cells/L²¹ which also compared reasonably well with the median platelet counts for the healthy controls in this study (234x10³ cells/L). Therefore, when compared to the counts in healthy children (441x10³ cells/L), healthy adults had significantly (p<0.0001) lower platelet counts (234x10³ cells/L). Within the adult cohort, the absolute counts of these cells were significantly (p<0.0001) lower in patients presenting with acute UCM (113x10³ cells/L) and in those presenting with acute SM (60.5x10³ cells/L) compared to those observed in healthy controls (234x10³ cells/L) (Figure 2A and Table 2).

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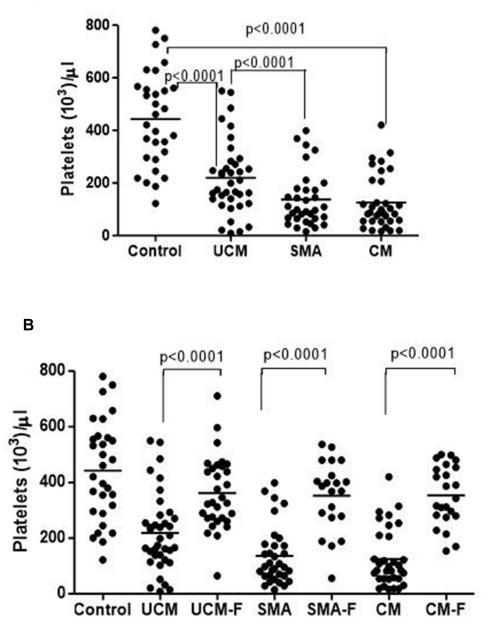


Figure I Medians (10th and 90th percentiles) of platelet counts in controls and different malaria groups (uncomplicated malaria (UCM), severe malarial anemia (SMA) and cerebral malaria (CM)) in acute disease (A) and during follow-up (UCM-F, SMA-F and CM-F) one month after treatment (B).

As was the case with the children, platelet counts were significantly (p=0.0006 for UCM versus UCM-F and p=0.0012 for SM versus SM-F) higher during convalescence compared to the values observed during acute disease for both UCM and SM ($200x10^3$ cells/L versus 113×10^3 cells/L for UCM and 195×10^3 cells/L versus 60.5×10^3 cells/L for SM) (Figure 2B and Table 2).

Discussion

Thrombocytopenia, defined as a platelet count of less than 150×10^3 cells/L, is a recognized characteristic of *P. falciparum* malaria in adults²² which, just as our results showed, has been reported to be common in malaria infected children²³ and in adults.¹⁵ Some have previously reported that platelet counts of less than 100×10^3 cells/L were linked to a higher risk of death in a pediatric Senegalese CM cohort.²⁴ Although the mechanisms that may contribute to thrombocytopenia are not

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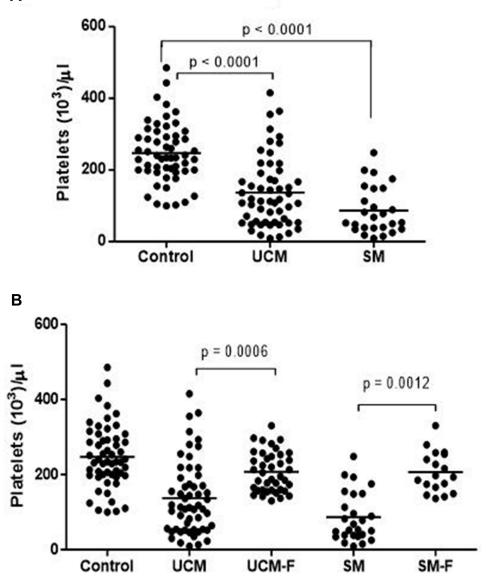


Figure 2 Medians (10th and 90th percentiles) of platelet counts in adult healthy controls (Control), in adults presenting with uncomplicated malaria (UCM) and adults presenting with severe malaria (SM) at recruitment (A), and in adults with uncomplicated malaria (UCM-F) and severe malaria (SM-F) during follow-up one month after treatment (B).

clear, sequestration of platelets and other factors in cerebral microvasculature have been linked with death in Malawian children.¹⁴

Furthermore, it is now known that platelets can adhere to endothelial cells by means of various molecules such as CD36. In addition to various endothelial cells and monocytes, human red blood cells which express CD36 are capable of binding to platelets. As such, CD36 could act as a receptor for some parasitized red blood cells (PRBCs) and could therefore indirectly play an important role in platelet and leucocyte sequestration in blood vessels in the brain.¹⁴ Platelets are also known to play a role in facilitating the clumping of PRBCs, a feature which is also known to characterize severe malaria.²⁵

This study's main finding, for both children and adults, showing that the number of platelet counts significantly increased in convalescence suggests that the malaria-associated thrombocytopenia is a transient phenomenon. Previous studies investigating *P. vivax* malaria²⁶ and *P. falciparum* malaria²⁷ have made a similar observation with the latter study showing that the lower than normal platelet counts observed during acute malaria increased significantly in both UCM

and SM, attaining normal levels within seven days post treatment. This rapid recovery therefore suggests that whether the platelets are contributing toward the pathogenesis of severe malaria¹⁴ or are involved in parasite clearance²⁸ once the malaria infection is cleared, there is a rapid re-emergence or formation of new platelets.

These two sets of studies of ours had a few limitations, the main one being the time difference between when the pediatric study was conducted (2005/2006) and when we recruited the adult cohort (2016/2017). Secondly, overall the sample sizes for both the child and adult cohorts were not as large as was the case in other previous studies. In addition, although we were able to divide the pediatric cohort (aged between 5 months and seven years) into three different clinical malaria groups (UCM, SMA and CM), for adults we only had two clinical groups (UCM and SM with the SM group combining SMA, CM and other severe manifestations of adult malaria). Furthermore, although we were able to confirm positivity for *P. falciparum* for both the pediatric and adult cohorts, we did not test for *P. vivax* malaria.

Although the overall prevalence of *P. vivax* malaria in Malawi is very low, with one recent study reporting its prevalence to be as low as 0.1% in adolescents and adults across the nation,²⁹ it is still possible that some of the adults might have been co-infected by both *P. falciparum* malaria parasites and *P. vivax* parasites at the time of recruitment. Lastly, although pseudothrombocytopenia is now recognized to be a common phenomenon in the field of blood analysis of samples collected from individuals presenting with various infectious diseases including malaria,³⁰ we did not account for this in our analyses. Nevertheless, we feel that the inclusion of analyzing samples from healthy controls adequately addressed this problem.

Conclusion

Thrombocytopenia is now a well-known and extensively studied characteristic of severe *P. falciparum* and *P. vivax* malaria that manifests during acute disease.^{31–33} In this report, we have shown that the thrombocytopenia that had previously been reported in different clinical forms of severe *P. falciparum* malaria in subjects of different age groups in other countries where malaria is endemic, also occurs in Malawians of different ages during acute malaria infection but disappears during convalescence a month post treatment.

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Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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Disclosure

All authors for this study declare no conflicts of interest.

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