





Citation: Fokam J, Elat J-BN, Billong SC, Kembou E, Nkwescheu AS, Obam NM, et al. (2015) Monitoring HIV Drug Resistance Early Warning Indicators in Cameroon: A Study Following the Revised World Health Organization Recommendations. PLoS ONE 10(6): e0129210. doi:10.1371/journal.pone.0129210

Academic Editor: Dimitrios Paraskevis, University of Athens, Medical School, GREECE

Received: October 21, 2014 Accepted: May 7, 2015 Published: June 17, 2015

Copyright: © 2015 Fokam et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are available in the manuscript and its Supporting Information files.

Funding: The World Health Organization (WHO) supported this work, through technical and financial assistance allocated to the Division of Operational Health Research of the Ministry of Public Health in Cameroon.

Competing Interests: The authors have declared that no competing interests exist.

RESEARCH ARTICLE

Monitoring HIV Drug Resistance Early Warning Indicators in Cameroon: A Study Following the Revised World Health Organization Recommendations

Joseph Fokam^{1,2,3,4}*, Jean-Bosco N. Elat^{4,5}, Serge C. Billong^{2,4,5}, Etienne Kembou^{4,6}, Armand S. Nkwescheu^{2,4,7}, Nicolas M. Obam^{4,7}, André Essiane^{2,4}, Judith N. Torimiro^{1,2,4}, Gatien K. Ekanmian⁸, Alexis Ndjolo^{1,2}, Koulla S. Shiro^{2,9,10}, Anne C. Z-K. Bissek^{2,4,7}

1 Chantal BIYA International Reference Centre for research on HIV/AIDS prevention and management (CIRCB), Yaoundé, Cameroon, 2 Faculty of Medicine and Biomedical Sciences (FMBS), University of Yaoundé I, Yaoundé, Cameroon, 3 University of Rome Tor Vergata, Department of Experimental Medicine and Surgery, Rome, Italy, 4 National HIV Drug Resistance Surveillance and Prevention Working Group, Ministry of Public Health, Yaoundé, Cameroon, 5 National AIDS Control Committee, Ministry of Public Health, Yaoundé, Cameroon, 6 World Health Organization (WHO), Afro, Country Office, Yaoundé, Cameroon, 7 Division of Operational Health Research, Ministry of Public Health, Yaoundé, Cameroon, 8 United Nations program on HIV/AIDS (UNAIDS), Yaoundé, Cameroon, 9 General Secretariat, Ministry of Public Health, Yaoundé, Cameroon, 10 Agence National de Recherche sur le SIDA et les hépatites virales (ANRS), Yaoundé, Cameroon

* josephfokam@gmail.com

Abstract

Background

The majority (>95%) of new HIV infection occurs in resource-limited settings, and Cameroon is still experiencing a generalized epidemic with ~122,638 patients receiving antiretroviral therapy (ART). A detrimental outcome in scaling-up ART is the emergence HIV drug resistance (HIVDR), suggesting the need for pragmatic approaches in sustaining a successful ART performance.

Methods

A survey was conducted in 15 ART sites of the Centre and Littoral regions of Cameroon in 2013 (10 urban versus 05 rural settings; 8 at tertiary/secondary versus 7 at primary health-care levels), evaluating HIVDR-early warning indicators (EWIs) as-per the 2012 revised World Health Organization's guidelines: EWI₁ (*on-time pill pick-up*), EWI₂ (*retention in care*), EWI₃ (*no pharmacy stock-outs*), EWI₄ (*dispensing practices*), EWI₅ (*virological sup-pression*). Poor performance was interpreted as potential HIVDR.

Results

Only 33.3% (4/12) of sites reached the desirable performance for "on-time pill pick-up" (57.1% urban versus 0% rural; p<0.0001) besides 25% (3/12) with fair performance. 69.2%



(9/13) reached the desirable performance for "retention in care" (77.8% urban versus 50% rural; p=0.01) beside 7.7% (1/13) with fair performance. Only 14.4% (2/13) reached the desirable performance of "no pharmacy stock-outs" (11.1% urban versus 25% rural; p=0.02). All 15 sites reached the desirable performance of 0% "dispensing mono- or dual-therapy". Data were unavailable to evaluate "virological suppression" due to limited access to viral load testing (min-max: <1%-15%). Potential HIVDR was higher in rural (57.9%) compared to urban (27.8%) settings, p=0.02; and at primary (57.9%) compared to secondary/tertiary (33.3%) healthcare levels, p=0.09.

Conclusions

Delayed pill pick-up and pharmacy stock-outs are major factors favoring HIVDR emergence, with higher risks in rural settings and at primary healthcare. Retention in care appears acceptable in general while ART dispensing practices are standard. There is need to support patient-adherence to pharmacy appointments while reinforcing the national drug supply system.

Introduction

By end 2012, ~9.7 million individuals were receiving antiretroviral therapy (ART) in low- and middle-income countries (LMIC), representing ~60% and 30% of global ART coverage in adult and pediatric populations, respectively [1]. Importantly, >95% of new human immunodeficiency virus (HIV) infection occurred in LMICs [2]. Among LMICs, sub-Saharan Africa (SSA) is the most affected by HIV/AIDS (67%-71% of the global epidemics from 2010–2013), in spite of the increasing access to ART (>62% coverage) in this region [2–3].

With the target of 15 million individuals on ART by 2015 [1], the wide use of low genetic-barrier drugs and the recently revised guidelines for earlier ART initiation (CD4<500 cells/ μ l), scale-up of ART would drive faster, suggesting upward risks of HIV drug resistance (HIVDR) emergence in SSA [4].

Based on recent evidences supporting optimal surveillance, care and prevention strategies, the 2012 updated HIVDR global strategy of the World Health Organization (WHO) now shelters five distinct components, among which: (a) the threshold of transmitted HIVDR in recently infected populations; (b) HIVDR in populations initiating ART; (c) acquired HIVDR in populations receiving ART; (d) initial HIVDR among children aged <18 months; (e) monitoring HIVDR early warning indicators (EWI) [3,4]. The last component, known to be a pragmatic and low-cost approach, is very efficient to support the performance of ART programs in LMICs [5–8].

As several SSA countries, Cameroon is still experiencing a generalized HIV epidemiology (4.3% prevalence in ~20 million inhabitants), with ~122,638 patients receiving ART [min-max: 117,998–122,856] (accounting for ~50% treatment eligible patients in 2013, up from 2% in 2003) [9–11]. In addition to this rapid scale-up of ART, poor adherence, lost to follow-up and pharmacy stock-outs have been addressed nationwide [7–8], as well as low-moderate levels of HIVDR in ART-naïve populations [12–14] and increasing rates with treatment-experience [15]. It is therefore necessary to monitor and evaluate country performance, following lessons and challenges in the ART program [7,14], and provide evidence for public health actions [3,16].



Although HIVDR is inevitable, surveillance strategies using EWIs have help limiting the spread of preventable HIVDR patterns in several LMICs [17–18]. Moreover, there are limited reference laboratory facilities and genotypic resistance testing is generally for population-based HIVDR surveillance [3–5,19]. In this context, EWI would efficiently optimize local HIV treatment performance [3,6].

Following the 2012 revision, five simplified EWIs are currently suitable to evaluate clinic and programmatic factors significantly associated with HIVDR [6], among which EWI₁: "ontime pill pick-up" (previously referred to as "on-time pill pick-up" or as "on-time clinic appointment keeping", or as "pill count or standardized adherence measure"); EWI₂: "retention in care at 12 months" (previously referred to both as "retention on appropriate first-line ART at 12 months" and as "lost to follow-up at 12 months"); EWI₃: "pharmacy stock-outs" (previously referred to as "pharmacy stock-outs"); EWI₄: "dispensing practices" (previously referred to as "prescribing practices"), EWI₅: "virological suppression" (previously referred same as "virological suppression") [6,20].

Based on: (a) our national HIVDR working group experience in monitoring EWI [7,8], (b) our contribution to the Lusaka resolutions related to challenges in previous EWIs (Zambia, Lusaka, 2012 meeting), and (c) our commitment to the global resistance surveillance network (WHO visioconference, Switzerland, Geneva, August 2013), it was deemed relevant to evaluate the feasibility of the revised EWIs [6], through a pilot study, for strategic planning, monitoring and evaluation of the national ART program.

Materials and Methods

Study design

A retrospectively designed survey was conducted during the months of February and March 2014 to evaluate HIVDR-EWIs accounting for the year 2013 in 15-selected sentinel ART sites in Cameroon, within the reporting period of October 2012 to September 2013, including an additional three months (October—December 2013) to allow time to enter site and abstract data, as well as allowing sufficient timing for patients requiring further monitoring to complete a 12-month follow-up schedule.

Sampling procedure of ART sites

For the first attempt in evaluating these revised EWIs, this pilot study was planned in sampled ART sites located in the Centre and Littoral regions of Cameroon, following the WHO "*prima-ry sampling strategy*" for monitoring EWI [6]. These regions represent 20% of national regions, and are the most experienced on ART management (≥ 10 years) as compared to other regions. Of note, the Centre and littoral region have respectively the political and economic capital cities, and accounting for 33% of the national demography [9,10,21]. Selected as the most appropriate national settings, representativeness in these regions was ensured using a selective scoring system: (i) the clinic experience on ART (<3 years = 0, 3–5 years = 1 point, 5–7 years = 2 points, >7 years = 4 points); (ii) number of patients newly enrolled on ART per trimester (<30=0, 30-40=1 point, 40-50=2 points, 50-60=3 points, 60-80=4 points, >80=5 points); (iii) levels of healthcare delivery (primary [i.e. basic level health facilities] = 2 points, secondary [i.e. intermediate level in the health facilities] = 4 points, tertiary [i.e. specialized health facilities] = 5 points); (iv) geographic location (rural or urban = 5 points); and (v) clinic affiliation (public, private or religious) [6]. ART clinics were ranked and those with the highest scores selected as sentinel sites (Table 1).



Table 1. List of Selected Sites and their Required Sample Size for the 2013 EWI Survey (Adopted from the WHO EWI HIVDR Guidelines, 2010).

Region	N°	Name of the selected sites	Geographic location of site (Urban or Rural)	Healthcare category (Primary, Secondary, or Tertiary)	Patients enrolled on ART in 2012	Sample size (WHO guidelines)
Centre	1	Yaoundé Central Hospital	Urban	Secondary	1921	180
	2	National Social Welfare Hospital	Urban	Secondary	796	160
	3	Yaoundé Jamot Hospital	Urban	Secondary	938	175
	4	Yaounde General Hospital	Urban	Tertiary	421	140
	5	Yaoundé Pediatric and Gyneco-Obstetric Hospital	Urban	Tertiary	263	120
	6	University Health Centre— Yaoundé	Urban	Tertiary	634	155
	7	Cité Verte District Hospital	Urban	Primary	435	145
	8	Mbalmayo District Hospital	Rural	Primary	323	130
	9	Obala District Hospital	Rural	Primary	254	120
Littoral	10	Douala Laquintinie Hospital	Urban	Secondary	615	155
	11	Douala General Hospital	Urban	Tertiary	377	135
	12	Nylon District Hospital	Urban	Primary	958	175
	13	Saint jean Malte Hospital of Njombe	Rural	Primary	260	120
	14	Nkongsamba District Hospital	Rural	Primary	381	135
	15	Edéa District Hospital	Rural	Primary	292	120
			Total			2165

EWI, early warning indicator; WHO, World Health Organization; HIVDR, HIV drug resistance; "Urban" referred to city/township settings; "Rural" referred to peripheral/village settings; "Primary" referred to first-level health facilities; "Secondary" referred to medium/intermediate level health facilities; "Tertiary" referred to high/reference level health facilities.

doi:10.1371/journal.pone.0129210.t001

EWI definition, Data Abstraction and Required Targets

At the level of each site, data clerks performed collection of data from ART registers, referred to as "data abstraction", following standard criteria (<u>Table 2</u>). Abstraction tools (in English and French versions) are provided as supporting information (<u>S1 Tables</u>).

Sampling timeframe for EWI data abstraction

Sampling timeline was based on a 12-month "reporting period" (i.e. October 2012—September 2013) required to assess "retention in care", "pharmacy stock-out" and "virological suppression"; while "on-time pill pick-up" and "dispensing practices" required a cross-sectional data abstraction following a consecutive enrollment.

Quality Assurance and Data Analysis

Prior to data collection, site staff was trained on EWIs and methodology for data abstraction. Data were abstracted from ART registers, pharmacy stock records and/or patient medical records (for assessing VL) using standardized EWI abstraction sheets (S1 Tables).

To minimize bias in the entire dataset, data abstracted onsite were reviewed and validated (using 10% data) by supervisors. Data were then centralized at the national level, entered on a predefined Excel spreadsheet (*routine data quality assessment [RDQA]* tool) following the 2012 WHO-EWI guidelines, for final validation.



Table 2. Definition of EWIs and their respective performance targets.

EWI and title	Definition	Numerator	Denominator	Target
EWI ₁ : On-time pill pick-up.	Proportion of patients (adult or children) that pick-up ART no more than two days late at the first pick-up after the baseline pick-up.	Number of patients picking-up their ART "on time" at the first drug pickup after baseline pick-up date.	Number of patients who picked- up ARV drugs on or after the designated EWI sample start date.	Desirable performance (green): >90%; fair performance (amber): 80–90%; poor performance (red): <80%.
EWI ₂ : Retention in care.	Percentage of adults and children known to be alive and on ART 12 months after initiation.	Number of adults (or children) who are still alive and on ART 12 months after initiating treatment.	Total number of adults or children (excluding transfers out) who initiated ART and were expected to achieve 12-month outcomes within the reporting period.	Desirable performance (green): >85%; fair performance (amber): 75–85%; poor performance (red): <75%.
EWI ₃ : Pharmacy stock-outs.	Percentage of months in a designated year in which there were no ARV drug stock-outs (both for adult and pediatric patients).	Number of months in the designated year in which there were no stock-out days of any ARV drug routinely used at the site.	12 months of the reporting period.	Desirable performance (green): 100%; poor performance (red): <100%.
EWI ₄ : Pharmacy dispensing practice.	Percentage of patients (adults or children) being dispensed a mono- or dual-ART.	Number of patients who pick up from the pharmacy, a regimen consisting of one or two ARVs.	Number of patients picking up ART on or after the designated EWI sample start date	Desirable performance (green) defined as 0% patients picking-up a mono- or dual-ART; poor performance (red) defined as >0% patients picking-up a mono- or dual-ART.
EWI ₅ : Virological suppression.	Percentage of patients (adult or children) receiving ART at the site after the first 12 months of ART whose viral load is <1000 copies/ml.	Number of patients receiving ART at the site after the first 12 months of ART whose viral load is <1000 copies/ml.	Number of patients at the site who by national policy should have had a viral load performed 12 months after ART initiation.	Desirable performance (green): >85%; fair performance (amber): 70–85%; poor performance (red): <70%.

EWI, early warning indicator

EWI₄ is cross sectional in nature and is intended to assess pharmacy dispensing practices for populations on ART after any period of time on ART.

doi:10.1371/journal.pone.0129210.t002

Threshold of each EWI was analyzed and performance was interpreted according to defined target (<u>Table 2</u>): desirable (green), fair (amber) or poor (red) performance. Clinics presenting a poor performance for any EWI were interpreted as sites with potential HIVDR. Fisher exact test was used for categorical data analysis, and p-values <0.05 were considered statistically significant.

Ethical Considerations

The present survey was implemented by the Cameroonian Ministry of Public Health, through the Division of health operational research (i.e. the national regulatory authority in health research), and with support from the WHO. The Ministry of Public Health provided administrative authorization (N°D84-20/MP/MINSANTE/SESP/SG/DROS/CRS/CEA1), following the application N°194-13/NHA/MINSANTE/SG/DROS/CRC/CEA2/AP; waiving ethical clearance and informed consent as-per the retrospective design and the routine landscape of this public health surveillance activity using de-identified archived data and conducted for regular performance evaluation of the national ART program.

Results

Description of ART clinics selected as sentinel sites

All selected ART sites participated in the survey, among which 9 in the Centre and 6 in the Littoral regions of Cameroon. According to geographical locations, there were 10 urban versus 5



Table 3. Overall Performance of EWIs.

EWI	EWI ₁		EWI ₂		EWI ₃		EWI ₄		EWI ₅		
Performance	Desirable	Fair	*Poor	Desirable	Fair	*Poor	Desirable	*Poor	Desirable	*Poor	NA
Sites (%)	33,3% (4/ 12)	25% (3/ 12)	41,6% (5/ 12)	69,2% (9/ 13)	7,8% (1/ 13)	23% (3/ 13)	14,4% (2/ 14)	85,6% (12/ 14)	100% (15/ 15)	0% (0/ 15)	NA

NA. Not available:

doi:10.1371/journal.pone.0129210.t003

rural sites. According to levels of healthcare, there were 7 sites at primary, 4 sites at secondary and 4 sites at tertiary levels. Up to 14 sites belonged to the public and only one from the religious sector. First-line ART regimens were prescribed in all sites while second-line regimens were offered exclusively at secondary or tertiary healthcare levels (<u>Table 1</u>).

A therapeutic committee (a weekly consultative body for ART initiation made of clinicians, pharmacists/clerks, medical biologists/technicians, nurses, counselors) was present in all sites but poorly functioning. ART registers were available (not always standard across sites) but poorly handled some sites. By end 2013, 8,868 patients were receiving ART in all the entire sites, with only 26.7% (4/15) of sites having an electronic software (i.e. *ESOPE* approved by the Ministry of Public Health, *SUPATARV* or Excel spreadsheets) for routine monitoring of patients on ART.

Levels of HIVDR EWIs

Overall EWI-based Performance of ART sites. A total of 2165 ARV-treated adult patients were effectively enrolled, as-per statistical requirement (<u>Table 1</u>). Overall, desirable performances were reported in 33.3% of ART sites for EWI₁ (*on-time pill pick-up*), 69.2% for EWI₂ (*retention in care 12 months after initiation*), 14.4% for EWI₃ (*no pharmacy stock-outs*) and 100% for EWI₄ (*no dispensing of mono- or bi-therapy*); data were not available for EWI₅ (*virological suppression*). Thus, potential HIVDR was driven by delayed pill pick-up and frequent pharmacy stock-outs (<u>Table 3</u>).

Performance for each EWI. For EWI₁ (*on-time pill pick-up*), data from three sites were invalid (due to inconsistent reporting in the ART register). Only 33.3% (4/12) sites with desirable performance of >90%, 25% (3/12) with fair performance (80–90%), against 41.7% (5/12) (<80%) with poor performance (Fig 1). For entire dataset, see supporting information ($\underline{S2}$ and $\underline{S3}$ tables).

For EWI₂ (*retention in care 12 months after initiation*), data from two sites were invalid. Up to 69.2% (09/13) sites with desirable performance of 85%, 7.7% (01/13) with fair performance of 75–85%, against 23.1% (03/13) with poor performance (min-max: 48.6%-72.5%), as shown in Fig 2.

For EWI₃, (*no pharmacy drug stock-outs during 12 months*) data from one site was invalid. Only 14.4% (2/14) sites with desirable performance, against 85.6% (12/14) on poor perfromance (min-max: 16.7%-91.7%), as shown in Fig 3.

For $\mathrm{EWI_4}$ (dispensing practices of mono- or bi-therapy), all 15 (100%) sites reached the desirable performance of 0% mono or bi-therapy, indicating dispensing and prescribing practices according to national guidelines.

For EWI₅ (*virological suppression at 12 months ART*), none of the sites reached the required sample size (i.e. \geq 90% eligible patients with VL coverage at 12 months of ART) for evaluation. Only 20% (03/15) of sites had a laboratory facility for HIV VL measurement, operating

Poor performance interpreted as "Potential HIVDR"



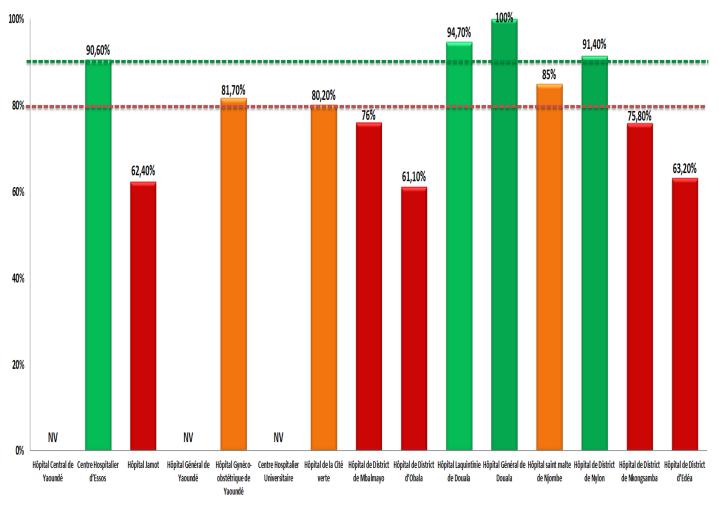


Fig 1. Site Performance for "On-time Pill Pick-up" in 2013. NV, Not Validated; green, desirable performance; amber, fair performance; red, poor performance. Horizontal lines indicate the lower limit thresholds for "desirable" (in "green" color) and for "fair" (in "amber" color) performance.

doi:10.1371/journal.pone.0129210.g001

intermittently. VL testing, ranging from <1% (mainly in rural sites) to 15% (in some urban sites), was mainly to confirm ART failure among patients with immunological/clinical failure. Thus, EWI $_5$ evaluation was not feasible.

Comparison of EWI Performance between Urban and Rural Sites. With the exception of EWI₄ (*dispensing practices*) reaching desirable target performance in all sites, higher rates of poor performance were reported in rural (57.9%) as compared urban (27.8%) settings; p = 0.02. Specifically, for EWI₁ (*on-time pill pick-up*), desirable performance was significantly higher in urban (57.1%) as compared to rural (0.0%) settings, p < 0.0001; similar for EWI₂ (*retention in care after 12 months of initiation*) with 57.1% in urban and 0.0% in rural settings, p = 0.01. In contrast, EWI₃ (*no drug stock-outs*) was significantly higher (p = 0.02) in rural settings (Table 4). Overall, potential HIVDR was higher in rural settings.

Comparison of EWI Performance according to Levels of Healthcare Delivery. With the exception of EWI₄ (dispensing practices) reaching desirable target performance in all sites, higher rates of poor performance were reported at primary (57.9%) as compared to secondary/tertiary (33.3%) healthcare levels; p = 0.09. Specifically, for EWI₁ (*on-time pill pick-up*), desirable performance was significantly higher at secondary/tertiary healthcare (60.0%) as

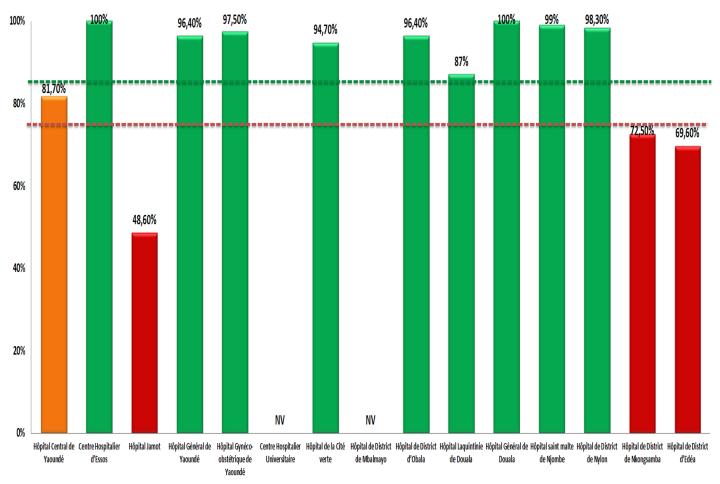


Fig 2. Site Performance for "Retention in Care 12 months after ART Initiation" in 2013. NV, Not Validated; green, desirable performance; amber, fair performance; red, poor performance. Horizontal lines indicate the lower limit thresholds for "desirable" (in "green" color) and for "fair" (in "amber" color) performance.

doi:10.1371/journal.pone.0129210.g002

compared to primary (14.3%) healthcare levels (p = 0.001), with a similar trend observed for EWI₂ (retention in care 12 months after ART initiation); p = 0.005. In contrast, desirable performance for EWI₃ (no drug stock-outs) was significantly higher at the level of primary healthcare (28.6%) as compared to secondary/tertiary (0%) levels, p = 0.03 (Table 5). Overall, potential HIVDR was higher at the level of primary healthcare.

Discussion

With the goal to optimize patient care and to prevent HIVDR in Cameroon, we effectively monitored EWIs as-per the latest WHO recommendations, and identified clinics and programmatic factors with sub-optimal performance. Of note, majority of ART clinics experienced delay in drug pick-up, indicating the need to support adherence to pharmacy appointments. This performance was significantly poor in rural settings and at primary healthcare deliveries, possibly favored by the poor transportation systems [7,8]. As HIVDR is likely to emerge more in these settings, a priority plan for adherence support should be implemented for patients attending rural or primary healthcare clinics [21], as recently addressed in Namibia for this EWI (42% in adults and 49% in pediatrics) [22].



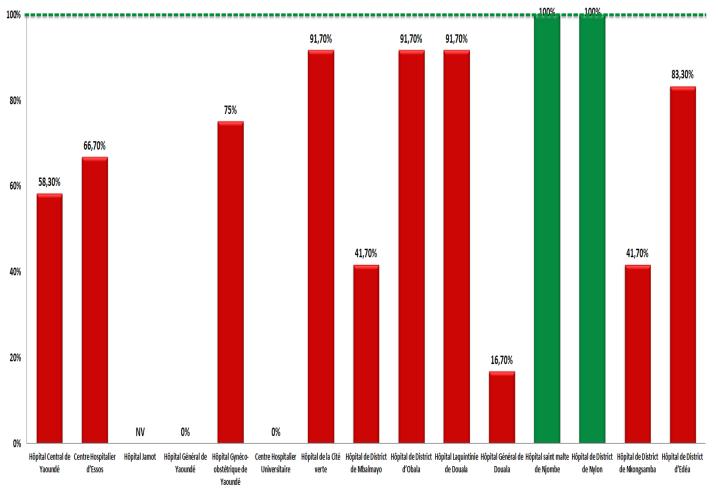


Fig 3. Site Performance for "No Pharmacy ARV Stock-outs" in 2013. NV, Not Validated; green, desirable performance; red, poor performance. The horizontal line in "green" color indicates the lower limit threshold for a "desirable" performance.

doi:10.1371/journal.pone.0129210.g003

Table 4. Comparison of EWIs Performance between Urban and Rural Sites.

EWI	Target performance	Urban Sites (10)	Rural Sites (05)	P-value
EWI ₁	Desirable (>90%),	57.1% (4/7)	0% (0/5)	< 0.0001
	Fair (80-90%)	28.6% (2/7)	20% (1/5)	0.05
	*Poor (<80%)	14.3% (1/7)	80% (4/5)	0.0001
EWI ₂	Desirable (>85%)	77.8% (7/9)	50% (2/4)	0.01
	Fair (75-85%)	11.1% (1/9)	0% (0/4)	0.4
	*Poor (<75%)	11.1% (1/9)	50% (2/4)	0.0001
EWI ₃	Desirable (100%)	11.1% (1/9)	25% (1/5)	0.02
	*Poor (<100%)	88.9% (8/9)	75% (4/5)	0.22
EWI ₄	Desirable (0%)	100% (10/10)	100% (5/5)	0.9
	*Poor (>0%)	0% (0/10)	0% (0/0)	1
EWI ₅	NA	NA	NA	NA

EWI, early warning indicator; NA, Not Available; "Urban" referred to city/township settings; "Rural" referred to peripheral/village settings;

doi:10.1371/journal.pone.0129210.t004

^{*}Poor performance interpreted as "Potential HIVDR".



Table 5. Comparison of EWI Performance between the Primary versus Secondary/Tertiary Levels.

EWI	Target performance	Secondary/Tertiary Levels (08)	Primary Level (07)	P-value
EWI ₁	Desirable (>90%)	60% (3/5)	14.3% (1/7)	0.001
	Fair (80-90%)	20% (1/5)	28.6% (2/7)	0.7
	*Poor (<80%)	20% (1/5)	57.1% (4/7)	0.00002
EWI ₂	Desirable (>85%)	71.4% (5/7)	66.7% (4/6)	0,8
	Fair (75–85%)	14.3% (1/7)	0% (0/6)	< 0.0001
	*Poor (<75%)	14.3% (1/7)	33.3% (2/6)	0.005
EWI ₃	Desirable (100%)	0% (0/7)	28.6% (2/7)	0.03
	*Poor (<100%)	100% (7/7)	71.4% (5/7)	0.0289
EWI ₄	Desirable (0%)	100% (8/8)	100% (7/7)	0.99
	*Poor (>0%)	0% (0/0)	0% (0/0)	1
EWI ₅	NA	NA	NA	NA

EWI, early warning indicator; NA, Not available; "Primary" referred to first-level health facilities; "Secondary" referred to medium/intermediate level health facilities; "Tertiary" referred to high/reference level health facilities;

doi:10.1371/journal.pone.0129210.t005

Retention in care was satisfactory in about 2/3 of surveyed sites, suggesting that many ART clinics in these Cameroonian regions may have the capacity to sustain patients on ART; a performance higher in urban settings, likely due to easier access to health facilities and better transportation system. However, further studies would provide distinct variability between settings at the national level, as well as delineating poor retention due to lost-to-follow-up, unreported deaths, ART interruption, etc. [7,8]. Re-defining the role played by community health agents might improve long-term performance [23]. Variable performances were reported between adults (45%) and children (57%) in Namibia, [22], attaining up to 80.2% in other SSA-settings [23].

Drug stock-outs were frequent, especially in urban and secondary/tertiary healthcare facilities, partly attributed to the growing burnout syndrome (heavy workload) in the cities and the eventual ineffectiveness of the drug management system [7,8]. Of note, only sites receiving supports from partners (i.e. "Doctors without Borders" and the only religious clinic) did not experience any stock out [7,8]. Though several SSA-countries encountered similar challenges [18, 24], the Namibian experience (90% and 76% months without adult and pediatric stock-outs, respectively) suggests improving inventory management, storage space, avoiding short-dated ARVs and inappropriate estimates, with procurement/supply under constant supervision [4,22,25].

No case of mono- or dual-therapy was identified, suggesting low risk of HIVDR, mainly due to simplified/standard regimens (fixed-dose combinations) and the role of therapeutic committees [4,10,19], suggesting sharing of experience to support performance in Namibia (96% in adults and 89% in children) [7,8, 22].

The poor coverage of VL testing was due to limited laboratory facility, lack of manpower, and high costs for VL testing (USD \$40–85 per test in other settings) [26]. VL testing was mainly performed when suspecting treatment failure (CD4 decline or poor clinical outcome), mainly at patient's cost, (range: \$33 - \$55) and performed at reference laboratories. Current efforts in cost reduction by partners (\$20 per test) should supplement by the government with "point-of-care" VL assays (\$10-20 per test), especially at the periphery [27-28]. While requesting for ART registers to be standardized to capture VL data, evaluating EWI₅ at the moment is not yet feasible.

Poor performance interpreted as "Potential HIVDR".



The major limitation of our study is the number study sites (15 sentinel sites with 2165 patients on ART), which weakens the scope of representativeness. Nonetheless, such pilot study, prior to larger surveys, gives a clue on the feasibility of revised EWIs. Of note, we have confirmed the persistent drug stock-outs, good dispensing practices, and poor virological monitoring in Cameroon. Thus, further studies would now focus on delineating retention in care [7–8], besides evaluating the relevance in setting-up a national task force on antimicrobial resistance [27–30].

Conclusions

The revised set of EWIs is feasible at 80% (4/5) in ART-programs facing similar challenges like Cameroon, thus recommending scale-up of VL monitoring. Frequent drug stock-outs and delayed pill pick-up are major factors favoring risks of preventable HIVDR emergence, especially at the periphery. While implementing corrective measures, further studies are needed to better outline patient retention in care.

Supporting Information

S1 Tables. EWI-Abstraction-Tools French-English. (DOC)

S2 Tables. EWI Centre region sites. (ZIP)

S3 Tables. EWI Littoral region sites. (ZIP)

Acknowledgments

We are thankful to members of the national HIVDR working group for their contribution; staff of ART clinics for participating as sentinel sites in this study. Our appreciation goes to partners ("Doctors without Borders", the Department of Disease Control of the Ministry of Public Health, and the US Center for Disease Control and prevention), for their collaboration during technical meetings related to the survey.

The Chantal BIYA International Reference Center for research on HIV/AIDS prevention and management (CIRCB) is acknowledged for supporting the participation of Dr. Fokam Joseph to the symposium on "New Guidelines in designing, conducting and interpreting HIV drug resistance surveillance surveys", organized by the World Health Organization, AFRAVIH 2014, Montpellier, France.

Author Contributions

Conceived and designed the experiments: JF EK ASN SCB JBNE ACZB KSS AN JNT AE NMO. Performed the experiments: JF ASN NMO AE JNT ACZB. Analyzed the data: JF NMO AE EK SCB KSS AN GKE. Contributed reagents/materials/analysis tools: JF NMO AE EK SCB JBNE AN GKE. Wrote the paper: JF SCB EK JBNE NMO ASN. Reviewed the manuscript: ACZB KSS AN JNT AE GKE. Approved the final submitted version: JF EK ASN SCB JBNE ACZB KSS AN JNT AE NMO GKE.



References

- UNAIDS. Global Report: UNAIDS report on the global AIDS epidemic 2013. Geneva: 2014. Available: http://www.unaids.org/en/media/unaids/contentassets/documents/epidemiology/2013/gr2013/
 UNAIDS Global Report 2013 en.pdf.
- UNAIDS. Political declaration on HIV and AIDS: intensifying our efforts to eliminate HIV and AIDS. Geneva: 2011. http://www.unaids.org/en/aboutunaids/unitednationsdeclarationsandgoals/2011highlevelmeetingonaids. Accessed 20 October 2012.
- World Health Organization. HIV Drug Resistance Report. ISBN 978 92 4 150393 8. Geneva: 2012. http://www.who.int/hiv/pub/drugresistance/report2012/en/index.html; accessed on 15 September 2012.
- 4. World Health Organization. Consolidated Guidelines on HIV Prevention, Diagnosis, Treatment and Care for Key Populations. Geneva: 2014. Available: http://www.who.int/
- World Health Organization. Antiretroviral therapy for HIV infection in adults and adolescents: recommendations for a public health approach-revision. Geneva: 2010. Available: http://whqlibdoc.who.int/publications/2012/6789241599774 eng.pdf.
- World Health Organization. Report of the Early Warning Indicator Advisory Panel Meeting. Meeting Report on Assessment of World Health Organization HIV Drug Resistance Early Warning Indicators. Geneva: 2011.
- Fokam J, Billong SC, Bissek ZKA, Kembou E, Milenge P, Abessouguie I, et al. Declining Trends in Early Warning Indicators for HIV Drug Resistance in Cameroon from 2008 to 2010: Lessons and Challenges for low-resource settings. BMC Public Health. 2013; 8 13: 308. doi: <u>10.1186/1471-2458-13-308</u>
 PMID: 23565992
- Billong SC, Fokam J, Nkwescheu AS, Kembou E, Milenge P, Tsomo Z, et al. Early Warning Indicators for HIV Drug Resistance in Cameroon during the Year 2010. PLoS ONE. 2012; 7 (5): e36777. doi: 10.1371/journal.pone.0036777 PMID: 22615810
- République du Cameroun, Institut National de la Statistique. Rapport 2011 de l'Enquête Démographique de Santé (EDS IV). Yaoundé: 2011.
- Comité Nationale de Lutte contre le SIDA. Rapport d'Evaluation de la file active des PVVIH au Cameroun. Yaoundé: April–June 2014.
- WHO/UNAIDS. The WHO and UNAIDS global initiative to provide antiretroviral therapy to 3 million people with HIV/ AIDS in developing countries by the end of 2005. Geneva: 2003. Available: www.who.int/3hv5/
- Fokam J, Salpini R, Santoro MM, Cento V, Perno CF, Colizzi V, et al. Drug Resistance Among Drugnaive and First-line Antiretroviral Treatment-failing Children in Cameroon. Pediatr Infect Dis J. 2011; 30 (12): 1062–1068. doi: 10.1097/INF.0b013e31822db54c PMID: 21817951
- Aghokeng AF, Kouanfack C, Laurent C, Ebong E, Atem-Tambe A, Butel C, et al. (2011) Scale-up of antiretroviral treatment in sub-Saharan Africa is accompanied by increasing HIV-1 drug resistance mutations in drug-naive patients. AIDS. 2011; 25 (17): 2183–8. doi: 10.1097/QAD.0b013e32834bbbe9
 PMID: 21860346
- 14. Billong SC, Fokam J, Aghokeng AF, Milenge P, Kembou E, Abessouguie I, et al. Population-Based Monitoring of Emerging HIV-1 Drug Resistance on Antiretroviral Therapy and Associated Factors in a Sentinel Site in Cameroon: Low Levels of Resistance but Poor Programmatic Performance. PLoS One. 2013; 8 (8): e72680. doi: 10.1371/journal.pone.0072680 PMID: 23991142
- 15. Kouanfack C, Montavon C, Laurent C, Aghokeng A, Kenfack A, Bourgeois A, et al. Low levels of antire-troviral-resistant HIV infection in a routine clinic in Cameroon that uses the World Health Organization (WHO) public health approach to monitor antiretroviral treatment and adequacy with the WHO recommendation for second-line treatment. Clin Infect Dis. 2009; 1318–1322. doi: 10.1086/606046 PMID: 19814624
- Nachega JB, Hislop M, Dowdy DW, Chaisson RE, Regensberg L, Maartens G, et al. Adherence to nonnucleoside reverse transcriptase inhibitor-based HIV therapy and virologic outcomes. Ann Intern Med. 2007; 146–8: 564–573.
- 17. Bennett DE, Jordan MR, Bertagnolio S, Hong SY, Ravasi G, McMahon JH, et al. HIV drug resistance early warning indicators in cohorts of individuals starting antiretroviral therapy between 2004 and 2009: World Health Organization global report from 50 countries. Clin Infect Dis. 2012; 54–4: S280–9.
- 18. Ministère de la Santé Publique, Cameroun. Directives nationales de prise en charge par les antirétroviraux des personnes infectées par le VIH. Version revisée, 2010. Yaoundé: 2010.
- 19. World Health Organization. HIV Drug resistance Early Warning Indicators. World Health Organization indicators to monitor HIV drug resistance prevention at antiretroviral treatment sites. Geneva: 2010. Available: http://www.who.int/hiv/topics/drugresistance/hiv_dr_early_warning_indicators.pdf.



- 20. Bureau Central des Recensement et des Etudes des Populations, République du Cameroun. 3eme recensement générale de la population et de l'habitat. Yaoundé: 2010. Available: http://www.statistics-cameroon.org/downloads/La_population_du_Cameroun_2010.pdf
- Sethi AK, Celentano DD, Gange SJ, Moore RD, Gallant JE. Association between adherence to antiretroviral therapy and human immunodeficiency virus drug resistance. Clin Infect Dis. 2003; (37:): 1112– 8. PMID: 14523777
- Jonas A, Sumbi V, Mwinga S, DeKlerk M, Tjituka F, Penney S, et al. HIV Drug Resistance Early Warning Indicators in Namibia with Updated World Health Organization Guidance. PLoS One. 2014; 9(7): e100539. doi: 10.1371/journal.pone.0100539 PMID: 24988387
- 23. Fox MP, Rosen S. Patient retention in antiretroviral therapy programs up to three years on treatment in sub-Saharan Africa, 2007–2009: systematic review. Trop Med Int Health. 2010; 15: 1–15. doi: 10. 1111/j.1365-3156.2010.02508.x PMID: 20586956
- Sigaloff KC, Hamers RL, Menke J, Labib M, Siwale M, Ive P, et al. Early warning indicators for population-based monitoring of HIV drug resistance in 6 African countries. Clin Infect Dis. 2012; 54(4): S294–9.
- Marcellin F, Boyer S, Protopopescu C, Dia A, Ongolo-Zogo P, Koulla-Shiro S, et al. Determinants of unplanned antiretroviral treatment interruptions among people living with HIV in Yaounde, Cameroon (EVAL survey, ANRS 12–116). Trop Med Int Health 2008; 13: 1470–78. doi: 10.1111/j.1365-3156. 2008.02170.x PMID: 19000156
- Boyer S, March L, Kouanfack C, Laborde-Balen G, Marino P, Aghokeng AF, et al. Monitoring of HIV viral load, CD4 cell count, and clinical assessment versus clinical monitoring alone for antiretroviral therapy in low-resource settings (Stratall ANRS 12110/ESTHER): a cost-effectiveness analysis. Lancet Infect Dis. 2013; 13 (7): 577–86. doi: 10.1016/S1473-3099 (13) 70073-2 PMID: 23602084
- 27. Murtagh M.M. Viral Load: Current Technologies and the Pipeline, including Point-of-Care Assays. Consultation on Viral Load Monitoring for African HIV Treatment Programmes. Cape Town: 2013; April 18–20.
- Santoro MM, Armenia D, Alteri C, Flandre P, Calcagno A, Santoro M, et al. Impact of pre-therapy viral load on virological response to modern first-line HAART. Antivir Ther. 2013; 18(7): 867–76. doi: 10. 3851/IMP2531 PMID: 23343501
- Fokam J., Salpini R, Santoro MM, Cento V, D'Arrigo R, Gori C, et al. Performance evaluation of an inhouse human immunodeficiency virus type-1 protease-reverse transcriptase genotyping assay in Cameroon. Arch Virol. 2011; (705:) 982: doi: 10.1007/s00705-011-0982-3
- World Health Organization. Antimicrobial resistance: global report on surveillance 2014. Geneva: 2014. Available: www.who.int/entity/drugresistance/documents/surveillancereport/en/index.html. Accessed on October 09, 2014.