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## Gut microbiota: A new therapeutic target for diabetic cardiomyopathy

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Diabetic cardiomyopathy seriously affects quality of life and even threatens life safety of patients. The pathogenesis of diabetic cardiomyopathy is complex and multifactorial, and it is widely accepted that its mechanisms include oxidative stress, inflammation, insulin resistance, apoptosis, and autophagy. Some studies have shown that gut microbiota plays an important role in cardiovascular diseases. Gut microbiota and its metabolites can affect the development of diabetic cardiomyopathy by regulating oxidative stress, inflammation, insulin resistance, apoptosis, and autophagy. Here, the mechanisms of gut microbiota and its metabolites resulting in diabetic cardiomyopathy are reviewed. Gut microbiota may be a new therapeutic target for diabetic cardiomyopathy.

#### KEYWORDS

diabetic cardiomyopathy, gut microbiota, oxidative stress, inflammation, apoptosis, autophagy

## Introduction

Diabetic cardiomyopathy (DCM) refers to the existence of abnormal myocardial structure and performance in individuals with diabetes mellitus (DM) in the absence of other cardiac risk factors such as hypertension, coronary artery disease and significant valvular disease (Jia et al., 2018a). DCM is a pathophysiological condition that is associated with DM and can lead to heart failure (Dillmann, 2019), which is initially characterized by remodeling, myocardial fibrosis, and associated diastolic dysfunction, which is followed by systolic dysfunction, and ultimately by clinical heart failure (Jia et al., 2018a). The pathophysiological factors in patients with diabetes that drive the development of cardiomyopathy include oxidative stress (Tang et al., 2019), insulin resistance, inflammation (Jia et al., 2018a), autophagy (Dewanjee et al., 2021), cell apoptosis (Zhang et al., 2016), and pyroptosis (Shi et al., 2021). Cardiovascular diseases (CVD) are the leading cause of death with DCM among diabetes mellitus regardless previous risks for coronary disease, and the CVD risk of cardiomyopathy is 2–5 times higher than in non-diabetic patients (Kannel et al., 1974). Therefore, it is highly important to find a new target for the treatment of DCM.

Gut microbiota creates a unique ecosystem. It is considered an endocrine organ (Brown and Hazen, 2015). Recent studies have demonstrated that gut microbiota plays a significant role in human health and in diseases such as CVD, atherosclerosis, hypertension, chronic kidney disease, obesity, and type 2 diabetes mellitus (Tang et al., 2017). Interestingly, recent studies have shown that the gut microbiota is closely linked to mechanisms that influence the development of DCM. In this review, the roles of gut microbiota in DCM are discussed and a theoretical basis for the gut microbiota as a new therapeutic target for DCM is provided.

## Gut microbiota and its metabolites

The gut microbiota is a complex microbial community in the gut, consisting of 1,014 species of bacteria, viruses, archaea, fungi, and rotifers (Rajilić-Stojanović et al., 2012; Palm et al., 2015). Most of them belong to *Firmicutes*, *Actinobacteria*, *Bacteroidetes*, *Proteobacteria*, and *Microflora verrucose* families (Koren et al., 2012; Tremaroli and Bäckhed, 2012; Goodrich et al., 2014). Dysregulation of the gut microbiota has been linked to a variety of diseases, such as the metabolic syndrome, atherosclerosis, hypertension, heart failure, chronic kidney disease, obesity, cancer, and diabetes (Bäckhed et al., 2004; Turnbaugh et al., 2006; Jackson and Theiss, 2020). Changes in the composition of gut microbes and their corresponding products, such as lipopolysaccharide (LPS), trimethylamine N-oxide (TMAO), and lactic acid, are associated with risk of diabetes (Yuan et al., 2019). According to Luedde et al. (2017), the microbiome diversity of 20 patients with heart failure and reduced ejection fraction was lower than that of the control group, especially in those with obesity or type 2 diabetes. Reduced diversity of gut microbiota is associated with insulin resistance, dyslipidemia, and inflammatory phenotypes (Le Chatelier et al., 2013). Close attention has been paid to the relationship between cardiovascular diseases (including coronary heart disease, hypertension, and DCM) and gut microbiota in numerous studies. However, findings were inconsistent, gut microbiota has both protective and negative effects on cardiovascular disease. Some studies have shown that the presence of Enterobacteraceae, Ruminococcus gnavus, and Eggerthella lenta increased significantly in the atherosclerosis group compared with the control group, whereas the presence of butyrate-tensteria nestialis and Faecalibacterium prausnitzii decreased significantly (Jie et al., 2017). In heart failure patients, the level of pathogenic bacteria and Candida species (Pasini et al., 2016), increased, and the level of antiinflammatory bacteria, such as Faecalibacterium prausnitzii, Lact. Fermentum, Lactobacillus Shirota, and F. Prau snitzii decreased.

Cardiac dysfunction is associated with a variety of changes in microbiota and bacterial metabolite secretion (Bastin and Andreelli, 2020). Some gut bacterial metabolites such as shortchain fatty acids (SCFAs) and trimethylamine (TMA)/TMAO (Brown and Hazen, 2015) also play an important role in cardiovascular disease. However, their role in the heart may



#### FIGURE 1

Gut microbiota and bile acids (BAs). In the gut, BAs are a detergent required for the formation of mixed micelles, dissolution, and digestion. BAs regulate metabolic homeostasis by activating BA receptors, such as G protein-coupled bile acid receptor 1 (TGR5), which are expressed in the intestinal tract, heart, liver, kidney, and other organs. Primary bile acids, such as cholic acid and chenodesoxycholic acid, could be converted into secondary BAs, including deoxycholic acid and lithocholic acid, under the regulation of gut microbiota.

be two-sided. On the one hand, TMA plays a role in increasing cardiometabolic risk and is produced from phosphatidylcholine, choline, carnitine, and food through the enzymatic action of the microbiome. TMAO, which has been shown to increase not only the cardiovascular risk, but also the risk of developing cardiac insufficiency, is formed by oxidation of TMA in the liver (Tang et al., 2019). TMAO can induce myocardial hypertrophy and fibrosis in rats with aortic contraction (Li et al., 2019). In addition, TMAO can induce inflammatory responses through SIRT3-SOD2-mtROS (sirtuin-3-superoxide dismutase 2mitochondrial reactive oxygen species) pathway and nuclear factor ĸ-light-chain-enhancer of activated B cells (NF-kB) pathway (Seldin et al., 2016; Chen et al., 2017). On the other hand, SCFAs have a protective effect on the heart due to antiinflammatory properties. This can be explained by different effects of bacteria and metabolites on the host. In case of a maladjusted gut microbiota in an organism, the microbiota may induce a series of changes such as abnormal glucose metabolism, oxidative stress, inflammatory response and apoptosis, all of which are important factors causing DCM. (The roles of these bacteria and their metabolites in oxidative stress, inflammatory response, and other mechanisms is elaborated below) (Table 1).

G protein-coupled bile acid receptor 1 (TGR5) is a bile acid (BA) specific receptor, which is part of the G protein coupled receptors family. TGR5 is highly expressed in immune cells and gut tissues, as well as in organs such as heart, liver and kidney. TGR5 can be activated by decoupled and coupled BAs (Baars et al., 2015) (Figure 1). BA is an important component of bile. Primary BAs are converted to secondary BAs by microbiota, and changes in the composition of BA pools also affect the distribution of gut microbiota (Sayin et al., 2013). Thus, gut microbiota and its metabolites can influence BA metabolism. The exact role of TGR5 in BA metabolism remains to be clarified. However, circulating BA levels were reduced in TGR5 KO mice compared with WT mice, suggesting that TGR5 plays a role in BA homeostasis (Li et al., 2011). Deng et al. (2019) have confirmed that activation of the TGR5 has a cardioprotective effect against mice myocardial cell damage induced by high glucose. Therefore, BA metabolism may play an important role in linking TGR5 closely to gut microbiota.

## **Oxidative stress**

### Oxidative stress in DCM

Oxidative stress can induce insulin resistance and β-cell dysfunction, which is a potential culprit in diabetes (Zhang et al., 2020). Oxidative stress has been implicated in the progression of diabetic vascular pathogenesis and complications, including CVD, neuropathy, nephropathy, and retinopathy (Rurali et al., 2013) etc. Studies have shown that DCM increases oxidative stress, and oxidative stress can also accelerate the DCM process (Jia et al., 2018b). In addition, sustained hyperglycemia and the signaling pathway involved in β-oxidation is impaired can lead to reactive oxygen species (ROS) overproduction by disrupting mitochondrial function, increasing mitochondrial oxygen consumption, or activating NOX (an evolutionarily conserved ROS-producing enzyme) (Jia et al., 2016; Zhang and Hu, 2020). Increased ROS levels further induce mitochondrial dysfunction and reduce the oxidative capacity of fatty acids, leading to oxidative stress and inflammation in the heart (Jia et al., 2016). Increased oxidative stress and inflammation in the heart leads to cardiac lipid accumulation, fibrosis, diastolic and systolic dysfunction, and resulting heart failure in patients with diabetes (Jia et al., 2018a).

## Gut microbiota and oxidative stress

It is well known that increased ROS production can induce cardiac mitochondrial dysfunction, and ultimately lead to clinical heart failure in patients with diabetes. Thus, reducing oxidative

TABLE 1 The influence of different gut microbiota and related products associated with diabetic cardiomyopathy.

The in	fluence	for	diabetic	cardiomy	opathy
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	Positive	Negative
Gut Microbiota	Faecalibacterium prausnitzii	Enterobacteraceae
	Lact. Fermentum	Ruminococcus gnavus
	Lactobacillus Shirota	Eggerthella lenta
	Bifidobacterium (BIF)	candida
	Bacteroides fragilis (B. fragilis)	Vibrio proteolyticus (VPRH)
Related products associated with Gut Microbiota	Short-chain fatty acid (SCFA)	Trimethylamine (TMA)
	Bile acids (BAs)	Trimethylamine N-oxide (TMAO)
	Butyrate	Branched chain amino acids (BCAA)
	Butyric acid	Lipopolysaccharide (LPS)

### TABLE 2 Summary of findings in clinical, cell and animal studies.

Mechanisms and diseases	Animal/Clinical/ Cell studies	Summary of findings	References
CVD	Clinical	the CVD risk of cardiomyopathy is 2-5 times higher than in non-diabetic patients	Kannel et al. (1974)
Heart failure	Clinical	The microbiome diversity in those with obesity or type 2 diabetes was lower	Luedde et al. (2017)
Heart failure	Clinical	the level of pathogenic bacteria and <i>Candida</i> species increased, the level of anti- inflammatory bacteria decreased	Pasini et al. (2016)
Atherosclerosis	Clinical	Enterobacteraceae, Ruminococcus gnavus, and Eggerthella lenta increased, butyrate- tensteria nestialis and Faecalibacterium prausnitzii decreased	Jie et al. (2017)
CVD	Animal	TMAO can induce myocardial hypertrophy and fibrosis in rats with aortic contraction	Li et al. (2019b)
Inflammation	Animal	TMAO can induce inflammatory responses through SIRT3-SOD2-mtROS pathway and NF-kB pathway	Chen et al. (2017)
		circulating BA levels were reduced in TGR5 KO mice, suggesting that TGR5 plays a role in BA homeostasis	Li et al. (2011)
DCM	Cell	TGR5 has a cardioprotective effect against myocardial cell damage induced by high glucose	Deng et al. (2019)
Oxidative stress	Cell	physiological levels of oxidative stress can be generated by the gut epithelial lining	Dumitrescu et al. (2018)
Autophagy	Cell	PI3K/Akt/mTOR pathway can be significantly attenuated by the exposure of cells to cell-free supernatant of Lact. Fermentum	Kumar et al. (2020)
Insulin resistance	Animal	In diet-induced obese mice, supplementation with SCFAs improved insulin resistance and reduced obesity	Perry et al. (2016)
Insulin resistance	Animal	butyric-producing bacteria reduced insulin resistance	Tolhurst et al. (2012)
Inflammation	Animal	Butyrate inhibits proinflammatory factors in gut macrophages by inhibition of histone deacetylase	Chang et al. (2014)
Inflammation	Cell	inflammation induced by TMAO can lead to endothelial dysfunction in human umbilical vein endothelial cells	Sun et al. (2016)
Inflammation	Cell	TMAO can activate the release of the inflammatory cytokines IL-18 and IL-1 $\beta$	Yue et al. (2017)
Autophagy	Animal	Cardiac dysfunction and abnormalities can cause autophagy injury in diabetic hearts	Xiao et al., 2018; Wu et al., 2020
Autophagy	Animal	Autophagy damage by AMPK suppression can lead to dyslipidemia in the diabetic environment	Zhang et al. (2014)
Autophagy	Cell	$BIF$ improved $TNF\mbox{-}\alpha\mbox{-}induced$ autophagy in Caco-2 cells by inhibiting p62 levels and expression of autophagy-related markers	Nie et al. (2020)
Autophagy	Cell	SCFAs can induce autophagy in hepatocytes through the UCP2	Iannucci et al. (2016)
Autophagy	Cell	sodium butyrate promoted the decrease of $\alpha\mbox{-synuclein}$ by regulating the autophagy pathway	Qiao et al. (2020)
Cell apoptosis	Cell	A long-term hyperglycemic state induces apoptosis by activating caspase apoptosis, which leads to myocardial injury and dysfunction	Wei et al. (2018)
Cell apoptosis	Animal	lncRNA MIAT can modulate myocardial cell apoptosis in DCM through miR-22-3p	Zhou et al. (2017)
Pyroptosis	Animal and Cell	Abnormal pyroptosis of cardiac fibroblasts can induce cardiac dysfunction and collagen deposition, thus aggravating the development of diabetic myocardial fibrosis	Shi et al. (2021)
Cell apoptosis	Animal and Cell	<i>Bacteroides fragilis (B. fragilis)</i> had a protective effect on the apoptosis of HT29 cells induced by Shiga toxin	Saito et al. (2019)
Pyroptosis	Animal and Cell	TMAO promotes the pyroptosis of vascular endothelial cells through the production of ROS, which leads to the development of atherosclerosis	Cohen et al. (2020)
Pyroptosis	Cell	sodium butyrate has an antipyroptosis effect on glomerular endothelial cells and protects them from damage caused by high glucose	Gu et al. (2019)
Oxidative stress	Cell	gut microbiota can reduce myocardial damage by alleviating oxidative stress	Finamore et al. (2018)
Insulin resistance	Animal	FMT prevented weight gain, reduced local TNF- $\alpha$ expression in the ileum and ascending colon, and ameliorated insulin resistance in diabetic mice	Bastos et al. (2022)
Insulin resistance	Cell	The improvement in peripheral insulin sensitivity of male metabolic syndrome recipients after receiving heterogenous gut microbiota from lean donors is attributed to an increased diversity in gut microbiota	Vrieze et al. (2012)
FMT	Clinical	a major disadvantage of FMT is that viruses are also transplanted	Chehoud et al. (2016)

Abbreviations: CVD, cardiovascular diseases; SIRT3-SOD2-mtROS, sirtuin-3-superoxide dismutase 2-mitochondrial reactive oxygen species; TMAO, trimethylamineN-oxide; NF-kB, nuclear factor κ-light-chain-enhancer of activated B cells; DCM, diabetic cardiomyopathy; TGR5, G protein-coupled bile acid receptor 1; PI3K, phosphatidylinositol 3-kinase; Akt, protein kinase B; mTOR, mammalian target of rapamycin; SCFAs, short-chain fatty acids; IL, interleukin; AMPK, AMP-activated protein kinase; BIF, Bifidobacterium; UCP2, uncoupling protein 2; MIAT, myocardial infarction associated transcript; lncRNA, long non-coding RNA; ROS, reactive oxygen species; miR, microRNA; FMT, fecal microbiota transplantation.

stress by regulating gut microbiota will be an important mean to treat DCM. The effect of gut microbiota on oxidative stress remains controversial. Recent research shows that physiological levels of oxidative stress can be generated by the gut epithelial lining (Dumitrescu et al., 2018). Gram-negative bacteria could increase lipopolysaccharide (LPS) levels (Lee and Hüttemann, 2014; Mafra et al., 2019), which could produce a large number of ROS, mainly from macrophages and infiltrating neutrophils (Sah et al., 2011). Moreover, Yang and Zhang (2021) proved that TMAO could promote oxidative stress by mediating inositolrequiring enzyme 1a (IRE1a)/X-box binding protein 1 (XBP-1) pathway. However, some researchers have suggested that the gut microbiota can mitigate oxidative stress. For example, a recent report by Kumar et al. (2020) has shown that Lactobacillus fermentum (Lact. fermentum) significantly attenuated hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)-induced ROS production in 3T3-L1 preadipocytes. Meanwhile, another study showed that Lactobacillus Shirota can protect gut cell-like epithelial cells from 2, 2'-azobis (2-amidinopropane) dihydrochlorideinduced oxidative and inflammatory stress by regulating the expression of antioxidant enzymes (Finamore et al., 2018). This contradiction may be explained by differences in the richness and composition of the gut microbiota. Therefore, the most critical issue is to maintain the ecological stability of gut microbiota and improve the types of beneficial bacterias for the host in gut microbiota, which will be a major breakthrough in the treatment of DCM.

## Insulin resistance

# Impaired insulin metabolism and cardiac insulin resistance in DCM

Impaired insulin metabolic signaling in the heart plays a key role in the pathogenesis of DCM (Jia et al., 2018b). Cardiac insulin signaling regulates intracellular stability by regulating substrate use, protein synthesis, and cell survival (Jia et al., 2018a). In advanced DCM, the PPARy coactivator 1a (PGC-1a)/AMPactivated protein kinase (AMPK) signaling pathway involved in β-oxidation is impaired, leading to further mitochondrial dysfunction (Jia et al., 2016). In skeletal muscle, liver, and adipose and heart tissues, glucose transport is mediated by the glucose transporter 4 (GLUT4) (Jia et al., 2016). Under normal physiological conditions, the phosphatidylinositol 3-kinase (PI3K)/protein kinase B (PKB; also called Akt) signaling pathway stimulates the translocation of GLUT4 to the membrane in cardiomyocytes, resulting in glucose uptake of cells in the heart (Jia et al., 2016). In addition, cardiac insulin receptor knockout models showed reduced cardiac glucose uptake, induced mitochondrial dysfunction, and increased cardiac ROS production. In case of dual knockout of insulin receptor substrate-1/2 (IRS-1/2), the ATP content in cardiomyocytes was reduced, cardiomyocyte contractility and function were impaired, and the incidence of fibrosis and heart failure was increased (Bugger et al., 2012; Qi et al., 2013). When the PI3K/protein kinase B (Akt)/ mammalian target of rapamycin (mTOR) pathway is activated by insulin signaling, not only protein synthesis is stimulated, but autophagy is also inhibited (Figure 2), which could accelerate the DCM process (Mizushima, 2005; Meijer and Codogno, 2006).

## Gut microbiota and cardiac insulin resistance

A healthy gut microbiota can decrease insulin resistance (Saad et al., 2016). It has been suggested that the response of bacterial SCFAs production levels to nutrient-lipid intake plays a key role in the gut microbiota's ability to regulate energy balance and metabolism (Kimura et al., 2013; Cani, 2014). Moreover, it was shown that an altered intestinal barrier and a dysregulated gut microbiota cause increased levels of branched chain amino acids (BCAA), secondary Bas, and LPS production, all of which can result in insulin resistance (Saad et al., 2016).

In diet-induced obese mice, supplementation with SCFAs improved insulin resistance and reduced obesity (Perry et al., 2016). In other animal studies, butyric-producing bacteria, such as *F. Prau snitzii*, induces colon L cells to secrete glucagon-like peptide 1 (GLP-1) *via* discrete sampling of the free fatty acid receptor 2(FFAR2), resulting in reduced insulin resistance (Tolhurst et al., 2012; Christiansen et al., 2018). BAs-induced activation of TGR5 promotes the release of GLP-1 by intestinal cells and indirectly affects the secretion of insulin by pancreatic  $\beta$ -cells, thereby affecting insulin sensitivity (Duboc et al., 2014). Therefore, TGR5 may be an important target to offset insulin resistance and reduce damage caused by diabetes.

## Inflammation

It is generally accepted that an inflammatory response accelerates the development of DCM. The Nucleotide-binding oligomerization domain-like receptor pyrin domains-containing 3 (NLRP3) inflammasome, a new molecular marker of DCM, is activated by impaired insulin metabolic signaling, high FFA levels, and hyperglycemia (Pal et al., 2017). Upon NLRP3 activation, increased migration of monocytes/ macrophages through the coronary endothelium occurs, resulting in an increased number of resident cardiac macrophages. When ROS is increased and bioavailable nitric oxide (NO) is reduced, monocytes/macrophages can be polarized into the proinflammatory M1 phenotype (Jia et al., 2016). In a recent study, it was shown that the anti-inflammatory response of M2 macrophages is repressed, whereas the pro-inflammatory polarization of M1 macrophages is upregulated in diabetic heart tissues (Figure 3) (Jia et al., 2015).



#### FIGURE 2

Insulin mechanisms in cardiac glucose regulation. 1) Insulin resistance may occur when cardiomyocytes are exposed to high glucose. 2) The phosphatidylinositol 3-kinase (PI3K)/protein kinase B (Akt) signaling pathway stimulates the translocation of glucose transporter type 4 (GLUT4) to the membrane, thereby resulting in glucose uptake to cells of the heart. However, in a knockout model of the cardiac insulin receptor, cardiac glucose uptake is reduced, resulting in mitochondrial dysfunction, and increased cardiac reactive oxygen species (ROS) production. 3) Mitochondrial dysfunction occurs when the PPAR $\gamma$  coactivator 1 $\alpha$  (PGC-1 $\alpha$ )/AMP-activated protein kinase B (Akt)/mammalian target of rapamycin1 (mTORC1) pathway is activated.



Recently, Bartolomaeus et al. (2019) confirmed the antiinflammatory effects of SCFAs. SCFAs are produced by the fermentation of fibers in the colon and include three main products, namely, propionate, acetate, and butyrate (Chang et al., 2014). Butyrate inhibits proinflammatory factors in gut macrophages, including interleukin-6, interleukin-12, and NO,

by inhibition of histone deacetylase (HDAC) (Chang et al., 2014). Besides, propionate has been shown to significantly reduce cardiovascular damage by reducing the number of T-helper 17 cells and effector memory T cells (Bartolomaeus et al., 2019).

However, gut microbiota and its bacterial products not only have anti-inflammatory effects, but also pro-inflammatory effects. For example, Sun et al. (2016) suggested that inflammation induced by TMAO can lead to endothelial dysfunction in human umbilical vein endothelial cells through activation of the inflammasome ROS- thioredoxin interacting protein (TXNIP)-NLRP3. According to Yue et al. (2017), TMAO can activate the release of the inflammatory cytokines interleukin (IL)-18 and IL-1β in the NLRP3 inflammation. TMAO markedly increased inflammatory markers, such as ICAM1, IL-6, E-selectin, and cyclooxygenase-2(COX-2), through activation of the mitogen-activated protein kinase (MAPK) and NF-KB signaling pathways, which then led to vascular inflammation (Seldin et al., 2016). This contradiction may be explained by differences in composition of gut microbiota. It is generally accepted that the inflammatory response is an important pathogenic mechanism of DCM. Together, the data indicate that interfering with the composition of the gut microbiota to increase the number of anti-inflammatory bacteria may result in new ways to treat DCM.

## Autophagy

Autophagy is a highly conserved catabolic process that involves the malformation of proteins, degradation of long-lived proteins, and injury of organelles through the actions of lysosomes (Li et al., 2016). Autophagy occurs in many cells of the cardiovascular system, including vascular smooth muscle cells, myocytes, macrophages, fibroblasts, and endothelial cells (Lavandero et al., 2015). In preclinical trials, autophagy disorders have been observed in diabetic hearts (Kobayashi and Liang, 2015; Jia et al., 2018a). Interestingly, autophagy has two-sided effects. Several investigators have revealed the pathogenic and protective role of autophagy in patients with DCM in type 1 and type 2 diabetes (Dewanjee et al., 2021). This contradiction may be explained by differences in the degree of autophagy. On the one hand, Autophagy is an adaptive protective response of cardiomyocytes to cellular stresses including hyperglycemia, hyperlipidemia, malnutrition, hypoxia, and redox stress (Mellor et al., 2011; Chen et al., 2020). Autophagy also could help restore plasticity in the heart (Lavandero et al., 2015). Besides, autophagy can enhance the antioxidant capacity of cells by activating the nuclear factor erythroid 2related factor 2 (Nrf2) (Wible and Bratton, 2018). On the other hand, autophagy damage can lead to heart damage (Orogo and Gustafsson Å, 2015). Cardiac dysfunction and abnormalities can cause autophagy injury in diabetic hearts (Xiao et al., 2018; Wu et al., 2020). Autophagy damage by AMP-activated protein kinase (AMPK) suppression can lead to dyslipidemia in the diabetic environment (Zhang et al., 2014), and dyslipidemia can further inhibit cardiac autophagy by enhancing mechanistic target of rapamycin kinase (mTOR) signaling of cardiomyocytes (Glazer et al., 2009). In addition, the myocardial inflammation in diabetic heart can also occur and establish by damaging cardiac autophagy (Zhang et al., 2016). Lavandero et al. (2015) suggested that autophagy hyperactivation may be a cause of heart failure. Autophagy overactivation in the diabetic heart can lead to selfdigestion and enhanced ROS production, which are potential contributors to DCM (Xu et al., 2019). Thus, both inhibition and overactivation of cardiac autophagy can have pathological effects on DCM.

Different scholars have different views on the role of gut microbiota in regulating autophagy. Nie et al. (2020) showed that Bifidobacterium (BIF) ameliorated tumor necrosis factor alpha  $(TNF-\alpha)$ -induced autophagy in colorectal adenocarcinoma cell line (Caco-2) cells by inhibiting p62 levels and expression of autophagy-related markers such as microtubule-associated protein 1 light chain 3- II (LC3II) and Beclin1. Lannucci and colleagues have shown that SCFAs can induce autophagy in hepatocytes through the uncoupling protein 2 (UCP2) (Iannucci et al., 2016). Furthermore, sodium butyrate promoted the decrease of asynuclein both by inhibiting the autophagy pathway of PI3K/ Akt/mTOR and enhancing autophagy-mediated by autophagy-related gene 5 (Atg5) (Qiao et al., 2020). Thus, different bacterias in the gut microbiota have different roles in regulating autophagy.

Combining all, with the emergence of new findings, autophagy has been regarded as a crucial player in regulating DCM. It is well known that gut microbiota can regulate the degree of autophagy through PI3K/Akt/mTOR pathway (Qiao et al., 2020). In addition, PI3K/Akt/mTOR pathway plays an important role in the regulation of autophagy in DCM(Zhao et al., 2020). Therefore, the PI3K/ Akt/mTOR pathway may be an important bridge between gut microbiota and DCM in autophagy. In addition, different kinds of bacterias in the gut microbiota also have different effects on autophagy, if we can adjust the gut microbiota to maintain autophagy in a favorable state for the body, it will bring benefits to patients with DCM. However, at present, there is no method to detect the autophagy state in the human heart (Dewanjee et al., 2021). Therefore, in order to find more treatments for DCM, it is very urgent for us to find a way to monitor the exact state of autophagy regulated by bacteria.

## Cell apoptosis and pyroptosis

## Cell apoptosis in DCM

A long-term hyperglycemic state in diabetic patients induces apoptosis by activating caspase apoptosis, which

leads to myocardial injury and dysfunction (Wei et al., 2018). It has been shown that long non-coding RNA (lncRNA) can modulate functions in DCM (Yang et al., 2018b; Pant et al., 2018). For example, Zhou et al. (2017) have shown that lncRNA myocardial infarction associated transcript (MIAT) can modulate myocardial cell apoptosis in DCM through microRNA (miR)-22-3p. Also, the modulation of growth the arrest-specific 5(Gas5)/miR-320-3p/ transcription factor 3 (Tcf3) pathway in nuclear management coactivator (NMC) and nuclear receptor coactivator (NRC) apoptosis was detected. Moreover, it was demonstrated that Tcf3-activated lncRNA Gas5 modulated the apoptosis of NMC in DCM (Su et al., 2020). However, to date, there is no reported explanation for the low rate of apoptosis in patients with late-stage diabetes and severe cardiac dysfunction (Gu et al., 2018), which needs more experiments to explore it.

## Pyroptosis in DCM

Pyroptosis is defined as programmed cell death associated with inflammation, and characterized by pore formation, cell swelling and destruction of the plasma membrane (Wan et al., 2020). Pyroptosis plays a role in the process of DCM (Yang et al., 2018a). Abnormal pyroptosis of cardiac fibroblasts can induce cardiac dysfunction and collagen deposition, thus aggravating the development of diabetic myocardial fibrosis (Shi et al., 2021). Shi et al. (2021) demonstrated that miR-21–3p can promote myocardial fibroblasts pyroptosis induced by high glucose (HG) *via* enhancing NLRP3 and caspase-1 expression. Recently, another data have shown that the regulation of miRs plays an important role in cell pyroptosis and fibrosis (Li et al., 2019), which bearing out Shi et al. (2021)'s research.

### Gut microbiota affects the development of DCM by regulating apoptosis and pyroptosis

Apoptosis is one of the most studied type of programmed cell death. It is characterized by the formation of unique apoptotic bodies. It is common in patients with heart failure, myocardial infarction and other vascular damage (Zhou et al., 2020). According to the study of Saito et al. (2019), *Bacteroides fragilis* (*B. fragilis*) had a protective effect on the apoptosis of HT29 cells induced by Shiga toxin. However, gut microbiota also has pro-apoptotic effects. Nie et al. (2020) showed that BIF improved TNF- $\alpha$ -induced apoptosis of Caco-2 cells. Li and Elsasser (2005) suggested that butyric acid induced apoptosis and cell cycle arrest in renal epithelial cells.

Pyrodeath, characterized by cell swelling, the release of cytokines, and damage to subcellular organelles, is a type of

pro-inflammatory cell death (Liu et al., 2019). Data have shown that TMAO promotes the pyroptosis of vascular endothelial cells through the production of ROS, which leads to the development of atherosclerosis (Cohen et al., 2020). According to the study of Cohen et al. (2020) the gram-negative bacteria *Vibrio proteolyticus* (*VPRH*) from the gut tract of borers induced pyroptosis by activating the NLRP3 inflammasome and caspase-1, resulting in the secretion of IL-1 $\beta$ . By contrast, another study demonstrated that sodium butyrate has an antipyroptosis effect on glomerular endothelial cells and protects them from damage caused by high glucose (Gu et al., 2019).

In summary, gut microbiota has apoptosis, anti-apoptosis, pyroptosis, and anti-pyroptosis effects in host cells. The role of bacterial metabolites of gut microbiota in apoptosis and pyroptosis is still controversial. This controversy may be explained by differences in the composition and species of gut microbiota and its metabolites.

# Gut microbiota and the level of calcium ions

High glucose levels increase  $Ca^{2+}$  levels in cardiac myocytes (Cheng et al., 2019). Calcium ions are the key regulator of cardiac hypertrophy; the  $Ca^{2+}$ -calcineurin-nuclear factor of activated T cells (NFAT) cascade is the main pathway resulting in cardiac hypertrophy (Fiedler and Wollert, 2004). Gut microbiota are the primary source of SCFAs in the plasma (Vinolo et al., 2011). SCFA can regulate the contraction of airway smooth muscle by regulating calcium channels (Mizuta et al., 2020).

Gut microbiota and its metabolites can affect the development of pyroptosis, oxidative stress, inflammation, insulin resistance, and autophagy in the host through the regulation TGR5, BA metabolism, and the PI3K/Akt/mTOR, ROS- TXNIP-NLRP3, and MAPK-NF- $\kappa$ B pathways, among others. Therefore, gut microbiota can affect the development of DCM (Figure 4).

## The therapeutic prospect of DCM

The pathogenesis of DCM is various, and it is generally believed that oxidative stress, inflammation, insulin resistance, cell apoptosis and autophagy are closely related to DCM. In recent years, a large number of scholars have found some new methods to prevent and treat DCM by targeting these mechanisms. For example, Gu et al. (2018) have experimently confirmed that inhibition of p53 could prevent DCM by preventing early-stage apoptosis. At present, gut microbiota has been applied to some clinical diseases, such as inflammatory bowel disease (IBD), obesity and some other



pathways, among others. PI3K:phosphatidylinositol 3-kinase; Akt, protein kinase B, mTORC: mammalian target of rapamycin.

metabolic diseases (Patterson et al., 2016). The widespread use of probiotics in clinical practice is a good proof. Interestingly, numerous studies have found that the gut microbiota is associated with the pathogenesis of DCM. However, up to now, the role of gut microbiota in DCM is still controversial. Some scholars believe that gut microbiota can reduce myocardial damage in patients with DM by alleviating oxidative stress (Finamore et al., 2018), while others think that gut microbiota can also increase the harmful risk to DCM via increasing inflammatory response and insulin resistance (Sun et al., 2016). The contradiction of the two-sided effects of the gut microbiota can mainly be explained by the difference of the bacterial species present in the gut microbiota. It might be possible to reduce oxidative stress, inflammation response, insulin resistance and maintain appropriate levels of autophagy by intervening with the composition of the gut microbiota to increase the species richness of the bacterias which are beneficial for the host in gut microbiota, thereby reducing diabetic myocardial injury. However, it is very difficult for us to intervene with the composition of gut microbiota due to the limited technology available. If we can solve this thorny problem, it will bring a major breakthrough in the treatment of DCM in the future.

Fortunately, there are possibly three ways to modify the composition of the gut microbiota to treat DCM. First, dietary interventions are a good therapeutic option. Experimental studies have shown that dietary modifications for 5 days (short term) can change the number of bacteria in and species of gut microbiota (David et al., 2014). Second, probiotics may be used for the clinical treatment of DCM in the future. Probiotic bacteria mainly derive from the genera *Bifidobacterium* and

Lactobacillus. Probiotics supplementation has been found to restore the gut microbiota after it had been disrupted. Besides, probiotics supplementation induces changes in the composition of undisrupted gut microbiota. Recent evidence suggests that probiotics affect BA metabolism by altering the microbiota (Baars et al., 2015). Therefore, the probiotics may reduce myocardial injury in DCM by affecting BA metabolism, thereby activating TGR5 expression. Third, fecal microbiota transplantation (FMT) may be a future treatment. FMT is a treatment for patients with gut microecological imbalance. Bacteria or metabolites are introduced from donor feces to the diseased recipient (Cammarota et al., 2014). Bastos et al. (2022) showed that FMT is a safe treatment, they found that FMT prevented weight gain, reduced local TNF-a expression in the ileum and ascending colon, and ameliorated insulin resistance in diabetic mice. The improvement in peripheral insulin sensitivity of male metabolic syndrome recipients after receiving heterogenous gut microbiota from lean donors is attributed to an increased diversity in gut microbiota, including those associated with butyrate production (Vrieze et al., 2012). FMT alone is not sufficient to control glycemic levels effectively. Thus, the regulation of gut microbiota should be combined with other established classical therapies, which can obtain better metabolic parameters (Vallianou et al., 2019; Zhang and Hu, 2020). However, the effectiveness of FMT is challenged by several factors, such as delivery route, number of transplants, fecal volume per sample, disease burden, and target impact (Napolitano and Covasa, 2020). Therefore, FMT is highly difficult to implement and its possibility of success is low. Besides, a major disadvantage of FMT is that viruses are also transplanted (Chehoud et al., 2016) (Table 2). The problem of the balance between the advantages and disadvantages of FMT treatment is still unsolved. In the future, there will be more treatments for DCM by regulating the gut microbiota.

## Conclusion

DCM has a serious impact on people's quality of life, and even threatens lives of patients. Therefore, it is very important to find new therapeutic targets to treat DCM. The pathogenesis of DCM is complex and diverse. It is generally accepted that the mechanisms include oxidative stress, inflammation, insulin resistance, and cell apoptosis. In recent years, it has been suggested that the development of DCM is closely related to autophagy and cell pyroptosis.

The gut microbiota has become topic of interest in research. Some studies have shown that gut microbiota plays an important role in cardiovascular disease. However, the role of gut microbiota in DCM may be two-sided. On the one side, some bacteria can reduce myocardial damage by reducing the inflammatory response, while others can aggravate myocardial damage by increasing the oxidative stress response. This contradiction can mainly be explained by the difference in the composition of gut microbiota in patients. Therefore, finding an effective way to intervene with the composition of gut microbiota and regulate the metabolism of gut microbes will be a major breakthrough in the clinical treatment of DCM.

## Author contributions

SY, ZC, XL, and JF created the contents of this review article. XL, HW, and YZ conducted the initial search of studies and prepared the figures. SY drafted the manuscript. LD and JF revised the manuscript. All authors read and approved the final manuscript.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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