Revised: 20 September 2020

Phase II trial of individualized/dynamic cisplatin regimens for definitive concurrent chemoradiation therapy in patients with head and neck squamous cell carcinoma

Dongbin Ahn¹ | Gil Joon Lee¹ | Jin Ho Sohn¹ | Jeong Eun Lee²

¹Department of Otolaryngology-Head and Neck Surgery, School of Medicine, Kyungpook National University, Daegu, Korea

²Department of Radiation Oncology, School of Medicine, Kyungpook National University, Daegu, Korea

Correspondence

Dongbin Ahn, Department of Otolaryngology-Head and Neck Surgery, Kyungpook National University, 130 Dongdeok-ro, Jung-gu, Daegu, 41944, Korea. Email: godlikeu@naver.com

Abstract

The current standard cisplatin regimen for concurrent chemoradiation therapy (CCRT) involves generalized static administration of cisplatin without considering patient characteristics and patient/tumor responses during treatment. We aimed to evaluate the oncological feasibility of individualized/dynamic cisplatin regimens for definitive CCRT in patients with head and neck squamous cell carcinoma (HNSCC). This prospective, single-center study enrolled patients with biopsy-confirmed HNSCC for whom CCRT was indicated as the primary treatment. Concurrent with radiation therapy (RT), patients received individualized and dynamically modified cisplatin chemotherapy based on patient characteristics, such as age and Eastern Cooperative Oncology Group performance status (PS), and patient/tumor treatment responses. The primary endpoints of the study were grade \geq 3 toxicity and progression-free survival (PFS). The study enrolled 150 patients; 146 (97.3%) received ≥ 2 cycles of cisplatin in addition to scheduled RT. Incidence of any grade 3-4 toxicities was 40.7% (61/150). During the 40.1 \pm 25.1month follow-up period, the 2-year locoregional control, distant control, PFS, diseasespecific survival, and overall survival were 81.7%, 89.2%, 73.0%, 89.2%, and 86.1%, respectively. The treatment compliance and grade ≥ 3 toxicities did not differ between patients aged <70 years and ≥70 years, or those with PS 0 and PS 1-2, respectively. CCRT using individualized, dynamic cisplatin regimens based on patient age, PS, and patient/tumor responses during treatment was oncologically safe and effective for treating patients with HNSCC, including those aged \geq 70 years and with PS 1-2.

KEYWORDS

chemoradiotherapy, cisplatin, head and neck squamous cell carcinoma, performance status, protocol, toxicity

1 **INTRODUCTION**

Concurrent chemoradiation therapy (CCRT) with three cycles of tri-weekly high-dose (100 mg/m²) cisplatin is the current standard for the definitive treatment of advanced head and neck squamous

cell carcinoma (HNSCC) and the preferred treatment choice in major international practice guidelines.¹⁻⁷ Notwithstanding the survival benefits that can be achieved with CCRT over radiation therapy (RT) alone, toxicities related to high-dose cisplatin present considerable obstacles to the completion of the treatment

This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

© 2020 The Authors. Cancer Medicine published by John Wiley & Sons Ltd.

regimen.¹⁻⁴ According to three large randomized trials on CCRT with a tri-weekly high-dose cisplatin regimen, overall grade 3-4 acute toxicities occurred in 77-85% of patients, and treatment-related death occurred in up to 5.3% of the cohort.^{1,3,4} In addition, there are concerns that treatment-related toxicities could be more frequent and critical in elderly patients with diminished performance status (PS) and could possibly lead to lower treatment compliance and ultimately treatment failure.^{6,8,9}

To decrease the therapeutic burden of high-dose cisplatin regimens, there have been growing efforts to minimize acute toxicity without compromising the anticancer effect by tweaking several parameters of the cisplatin, such as peak dose, dose intensity, cumulative dose, and timing of delivery.¹⁰⁻¹³ Recently, weekly low-dose cisplatin regimens have gradually gained clinical acceptance, replacing the standard tri-weekly schedule at some institutions.¹⁰ However, this regimen has little support from large comparative phase III trials, and several systematic reviews have failed to demonstrate the true benefits of weekly low-dose regimens in survival outcomes as well as toxicity evaluation.^{6,10,11,14,15} Although a recent phase III trial showed significantly lower incidence of grade >3 toxicity in the weekly low-dose cisplatin arm (71.6%) than in the tri-weekly high-dose cisplatin arm (84.6%), the incidence was still high and ultimately unsatisfactory.¹⁶

Current cisplatin regimens, regardless of weekly low-dose or tri-weekly high-dose regimens, are generalized and static regimens that infuse a fixed standard dose of cisplatin in every chemotherapy cycle without considering patient characteristics and patient/tumor responses during treatment. However, given that drug susceptibility can depend on the patient and changes in patient/tumor status as CCRT progresses, such conventional regimens would not be a reasonable approach to minimizing toxicity or providing bespoke cisplatin doses for individual patient requirements.¹⁷ Instead, a more tailored standard dose determination along with dynamic dose modifications during treatment, would be a more reasonable approach to reduce drug toxicity without compromising the anticancer effect of cisplatin. In fact, although cisplatin dose modification during CCRT is a common practice in the clinical setting, its indication, protocols, and results have not been evaluated prospectively; consequently, there are no established recommendations or guidelines concerning this practice.^{6,8,18} Therefore, this phase II study evaluated the oncological feasibility of individualized/dynamic cisplatin regimens for definitive CCRT in patients with HNSCC.

2 | MATERIALS AND METHODS

2.1 | Patients

This study was designed as a single-center, prospective phase II trial. The institutional review board of our institution

approved the study protocol, and written informed consent was obtained from all patients. The study followed the ethical principles of the Declaration of Helsinki.

Patients were eligible for the trial if they had biopsy-confirmed HNSCC in which CCRT was indicated as a primary treatment with curative intent according to the National Comprehensive Cancer Network Clinical Practice Guidelines in Oncology.⁷ Although this eligibility generally involved stage III and IV disease without distant metastasis based on the eighth edition of the American Joint Committee on Cancer (AJCC) staging system, some patients with locoregionally advanced disease (T3 or N1-2) also classified as stage I or II by the eighth AJCC staging system were eligible, particularly in patients with human papilloma virus-positive oropharyngeal and nasopharyngeal SCC. Patients who had head and neck malignancies other than SCC, who required CCRT as an adjuvant or palliative treatment, in whom chemotherapy agents other than cisplatin were indicated, and who had a history of previous head and neck malignancy were not eligible for this trial. Other eligibility criteria included an Eastern Cooperative Oncology Group (ECOG) PS of 0-2, life expectancy of >12 weeks, adequate hematological condition (i.e., white blood cell [WBC] count \geq 4000/µl, hemoglobin \geq 10 g/dl, and platelet count $\geq 100,000/\mu$ l), glomerular filtration rate >60 ml/min, and no current or recent history of infections.

2.2 | CCRT using individualized/dynamic cisplatin regimens

We used tri-weekly cisplatin regimens in this trial. The cisplatin was scheduled to be administered as a 1-h intravenous infusion on days 1, 22, and 43 of RT. Although the current standard dose for the tri-weekly cisplatin regimen is 100 mg/m², we tailored the dose according to patient age and ECOG PS and modified the dose for every chemotherapy cycle based on patient/tumor responses during the CCRT.

Table 1 shows the individualized standard dose of cisplatin, which ranged from 100 to 60 mg/m². With this standard dose as an initial dose for each patient, cisplatin doses during the second and third chemotherapy cycles were dynamically modified based on the toxicity grade, change in

TABLE 1Individualized standard cisplatin doses based on theage and Eastern Cooperative Oncology Group performance status (PS)

	PS 0 (mg/ m ²)	PS 1 (mg/ m ²)	PS 2 (mg/m ²)
<70 years	100	80	80
70-79 years	80	80	60
\geq 80 years	80	60	60

-WILEY

9258

TABLE 2 Protocol of dynamic dose modification during treatment

Assessment pa	– Decision of		
PS	Toxicity	Tumor response	modification
No change	Grade 0-1	_	None
+1 grade	Grade 1-2	≥50% reduction of initial tumor volume prior to second cycle No visible tumor prior to third cycle	20-25% reduction ^a
+2 grade	Grade 2-3	_	1-week postponement
≥3	Persistent grade 3-4	_	Consider withdrawal

Abbreviations: PS, Eastern Cooperative Oncology Groupperformance status.

^aDose reduction to <60 mg/m² was not permitted.

the patient PS, and tumor response to the treatment (Table 2). For example, if PS was unchanged and/or grade 0-1 toxicity was identified after the first cisplatin cycle, the second cisplatin dose was not modified. If PS increased by one grade and/or grade 1-2 toxicity was observed, the cisplatin dose was reduced by 20-25%. If PS increased by two grades and/or grade 2-3 toxicity was observed, chemotherapy was postponed, and patient condition was reevaluated 1 week later. In select patients with an excellent treatment response during CCRT, the cisplatin dose was also modified. If gross tumor volume was reduced by >50% (partial response, PR) after the first cisplatin cycle, the second cisplatin dose was reduced from 20 to 25% of the first dose. If the tumor disappeared (complete response, CR) after the second cisplatin cycle, the third cisplatin dose was reduced by 20-25% from the second dose. However, no dose was reduced to $<60 \text{ mg/m}^2$ in any patients; thus, at least 60 mg/ m² cisplatin was administered in each cycle.

For RT, an intensity-modulated radiotherapy technique was used with 2.0 Gy/day administered for 5 days a week, at a total dose of 70 Gy in 35 fractions to the primary site and neck metastasis. Elective neck irradiation up to 45-50 Gy was given to tumor-free areas when indicated.

2.3 | Assessment of treatment response and toxicity during CCRT

Patients were regularly followed up weekly after treatment initiation to evaluate their response and toxicity during CCRT. Response to the treatment regimen was evaluated based on office-based modalities prior to each chemotherapy cycle. For the primary tumor, treatment response during CCRT was primarily evaluated via laryngoscopic/ endoscopic examination. Although these examinations per se did not involve three-dimensional (3D) images or allow direct measurement of tumor diameter in the exact measurement unit (cm), relative tumor size and volume could be estimated using the picture archiving and communication system, which enabled comparison of relative tumor size and volume between initial and follow-up periods during treatment. For the neck metastasis, ultrasonography examination was used to evaluate treatment response by measuring the 3D diameter (cm) of the tumor and calculating tumor volume with the following equation: V (cm³) = $\pi abc/6$, where V is volume; a, the largest diameter (cm); and b (cm) and c (cm), the other two perpendicular diameters. This allowed comparison of tumor volume between initial and follow-up periods during treatment.

Toxicity and adverse effects were monitored with medical history and abovementioned office-based modalities, as well as laboratory studies including complete blood counts and serum biochemistry tests. Evaluation of toxicity was based on the fifth version of the Common Toxicity Criteria for Adverse Events.

2.4 | Assessment of treatment response after CCRT

Conventional definitions were used to describe the treatment responses. The response was assessed 8-12 weeks after completion of the CCRT by physical examination, laryngoscopic/ endoscopic examination, computed tomography (CT), and positron emission tomography-CT. If any suspicious remnant lesion was identified, a biopsy was performed to confirm disease status. Salvage surgery was recommended for patients who failed to achieve CR after completing CCRT or who experienced recurrence during follow-up after achieving CR as long as curative surgical management of the disease was still possible.

2.5 Study endpoints and statistical analysis

The major endpoint of the study to demonstrate weather an individualized/dynamic cisplatin regimen resulted in acceptable oncological outcomes minimizing severe toxicities was grade 3-4 toxicity and progression-free survival (PFS). Secondary endpoints included CR rate, locoregional (LR) control, distant control, ultimate PFS (PFS after completion of overall treatment, including primary CCRT and salvage

surgery), disease-specific survival (DSS), and overall survival (OS). Survival data were analyzed using the Kaplan-Meier method and the significance of difference was tested by log-rank tests between subgroups. Survival was calculated from the date of completion of CCRT.

As a subgroup analysis, the results of CCRT, including treatment compliance, toxicity, and oncological outcomes, were evaluated in patients aged \geq 70 years and with PS 1-2 who were primary candidates for receiving a tailored cisplatin dose in this study. To evaluate the impact of the major clinicopathological characteristics on treatment failure, a Cox proportional hazards regression model was used, and the results are presented as hazard ratios (HRs) with 95% confidence intervals (CIs) and *p*-values.

SPSS for Windows (version 18.0; SPSS Inc.) was used to analyze the data. To evaluate the results, *p*-values were twosided throughout, and statistical significance was defined as p < 0.05.

3 | RESULTS

3.1 | Baseline patient characteristics

From January 2012 to December 2019, 150 patients participated in this study (Table 3). Of the total 150 patients, 127 patients (84.7%) were male and 38 patients (25.3%) were \geq 70 years old. The primary tumor sites were the larynx, oropharynx, nasopharynx, and hypopharynx in 52 (34.7%), 38 (25.3%), 24 (16.0%), and 21 (14.0%) patients, respectively. According to the eighth AJCC staging system, 105 patients (70.0%) had stage III-IV disease, and 45 patients had stage I-II disease with T3 or N1-2.

3.2 | Compliance and toxicity

One hundred forty-six patients (97.3%) received two or more cycles of cisplatin with planned RT and 119 patients (79.3%) received planned cisplatin cycles. The maximum cumulative dose of cisplatin (300 mg/m²) was administered in only seven patients (4.7%), whereas cisplatin doses were reduced at any cisplatin cycle in the remaining 143 patients (95.3%). In 25 patients (16.7%), the cisplatin dose was reduced based on tumor response during CCRT, regardless of toxicity. The mean cumulative cisplatin dose was 212.3 \pm 54.7 mg/m². All patients received their planed radiation dose.

The most common toxicity was anemia, with 94.0% overall incidence, followed by nausea (91.3%) and mucositis (91.3%). Most common grade 3-4 toxicities were mucositis (26.7%), followed by leukopenia (16.0%) and vomiting (9.3%). Incidence of any grade 3-4 toxicities was

TABLE 3 Baseline patient characteristics

ancer Medicine

Sex I27 (84.7%) Female 23 (15.3%) Female 23 (15.3%) Age 62.2 ± 11.0 <70 years 112 (74.7%) 70 years 38 (25.3%) Performance status 78 (52.0%) 0 78 (52.0%) 1 41 (27.3%) 2 31 (20.7%) 1 41 (27.3%) 2 31 (20.7%) Nasal cavity/paranasal sinus 4 (2.7%) Nasopharynx 24 (16.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) 1 24 (16.0%) 2 33 (22.3%) 4 20 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) 1 33 (22.0%) 2 47 (31.3%) 3 32 (21.3%) 3 32 (21.3%) 3 32 (21.3%) 4 32 (21.3%)		Patients (N = 150)
Female 23 (15.3%) Age 23 (15.3%) Mean ±standard deviation (years) 62.2 ± 11.0 <70 years	Sex	
Age 62.2 ± 11.0 <70 years	Male	127 (84.7%)
Mean ±standard deviation (years) 62.2 ± 11.0 <70 years	Female	23 (15.3%)
<70 years	Age	
70 years 38 (25.3%) Performance status 78 (52.0%) 1 41 (27.3%) 2 31 (20.7%) 2 31 (20.7%) Primary sites 4 (2.7%) Nasal cavity/paranasal sinus 4 (2.7%) Nasopharynx 24 (16.0%) Oral cavity 6 (4.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) 1 24 (16.0%) 2 4 (16.0%) 2 4 (16.0%) 1 24 (16.0%) 2 4 (16.0%) 2 4 (16.0%) 2 4 (16.0%) 2 4 (16.0%) 3 3 (21.3%) 4 32 (21.3%) 4 32 (21.3%) 4 33 (22.0%) 3 3 (22.0%) 3 3 (22.0%) 3 17 (11.3%) 3 17 (11.3%) 3 27 (18.0%) 3 38 (25.3%) <td>Mean ±standard deviation (years)</td> <td>62.2 ± 11.0</td>	Mean ±standard deviation (years)	62.2 ± 11.0
Performance status 0 78 (52.0%) 1 41 (27.3%) 2 31 (20.7%) Primary sites 4 (2.7%) Nasal cavity/paranasal sinus 4 (2.7%) Nasopharynx 24 (16.0%) Oral cavity 6 (4.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) 1 24 (16.0%) Q 5 (3.3%) I 24 (16.0%) Unknown 5 (3.3%) 1 24 (16.0%) 2 3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) 4 33 (22.0%) 2 33 (32.0%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	<70 years	112 (74.7%)
0 78 (52.0%) 1 41 (27.3%) 2 31 (20.7%) Primary sites 4 (2.7%) Nasal cavity/paranasal sinus 4 (2.7%) Nasopharynx 24 (16.0%) Oral cavity 6 (4.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) 1 24 (16.0%) 2 3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 2.3.3%) 1 24 (16.0%) 2 3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) 4 33 (22.0%) 2 33 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) 3 17 (11.3%) 3 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%) <td>70 years</td> <td>38 (25.3%)</td>	70 years	38 (25.3%)
1 41 (27.3%) 2 31 (20.7%) Primary sites 4 (2.7%) Nasal cavity/paranasal sinus 4 (2.7%) Nasopharynx 24 (16.0%) Oral cavity 6 (4.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 0 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	Performance status	
2 31 (20.7%) Primary sites 4 (2.7%) Nasal cavity/paranasal sinus 4 (2.7%) Nasopharynx 24 (16.0%) Oral cavity 6 (4.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 0 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	0	78 (52.0%)
Primary sites 4 (2.7%) Nasal cavity/paranasal sinus 4 (2.7%) Nasopharynx 24 (16.0%) Oral cavity 6 (4.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 0 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	1	41 (27.3%)
Nasal cavity/paranasal sinus 4 (2.7%) Nasopharynx 24 (16.0%) Oral cavity 6 (4.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) 3 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	2	31 (20.7%)
Nasopharynx 24 (16.0%) Oral cavity 6 (4.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) 3 17 (11.3%) 3 17 (11.3%) 3 38 (25.3%) 3 38 (25.3%)	Primary sites	
Oral cavity 6 (4.0%) Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	Nasal cavity/paranasal sinus	4 (2.7%)
Oropharynx 38 (25.3%) Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	Nasopharynx	24 (16.0%)
Larynx 52 (34.7%) Hypopharynx 21 (14.0%) Unknown 5 (3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 0 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	Oral cavity	6 (4.0%)
Hypopharynx 21 (14.0%) Unknown 5 (3.3%) T stage 0 0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 33 (22.0%) 2 47 (31.3%) 3 32 (21.3%) N stage 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	Oropharynx	38 (25.3%)
Unknown 5 (3.3%) T stage 0 5 (3.3%) 1 24 (16.0%) 24 (16.0%) 2 42 (28.0%) 3 3 47 (31.3%) 4 4 32 (21.3%) 32 (21.3%) N stage 0 53 (35.3%) 1 33 (22.0%) 33 (22.0%) 2 47 (31.3%) 33 (22.0%) 3 17 (11.3%) 33 (22.0%) 3 17 (11.3%) 33 (22.0%) 3 17 (11.3%) 33 (22.0%) 3 3 17 (11.3%)	Larynx	52 (34.7%)
T stage 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 32 (21.3%) 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	Hypopharynx	21 (14.0%)
0 5 (3.3%) 1 24 (16.0%) 2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 32 (21.3%) 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	Unknown	5 (3.3%)
124 (16.0%)242 (28.0%)347 (31.3%)432 (21.3%)N stage32 (21.3%)053 (35.3%)133 (22.0%)247 (31.3%)317 (11.3%)Overall stage1118 (12.0%)227 (18.0%)338 (25.3%)	T stage	
2 42 (28.0%) 3 47 (31.3%) 4 32 (21.3%) N stage 0 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	0	5 (3.3%)
3 47 (31.3%) 4 32 (21.3%) N stage 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	1	24 (16.0%)
4 32 (21.3%) N stage 0 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	2	42 (28.0%)
N stage 0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	3	47 (31.3%)
0 53 (35.3%) 1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	4	32 (21.3%)
1 33 (22.0%) 2 47 (31.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	N stage	
2 47 (31.3%) 3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	0	53 (35.3%)
3 17 (11.3%) Overall stage 1 1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	1	33 (22.0%)
Overall stage 1 18 (12.0%) 1 2 27 (18.0%) 3 38 (25.3%)	2	47 (31.3%)
1 18 (12.0%) 2 27 (18.0%) 3 38 (25.3%)	3	17 (11.3%)
2 27 (18.0%) 3 38 (25.3%)	Overall stage	
3 38 (25.3%)	1	18 (12.0%)
	2	27 (18.0%)
4 67 (44.7%)	3	38 (25.3%)
	4	67 (44.7%)

Stage was classified according to eighth American Joint Committee on Cancer staging system.

40.7% (61/150). Scheduled chemotherapy was delayed by at least ≥ 1 week in 41 patients (27.3%), and hospitalization for the management of toxicities was required in 31 patients (20.7%). Transient and permanent tube feeding was required in six patients (4.0%) and one patient (0.7%), respectively. No treatment-related deaths occurred during the trial (Table 4).

'ILEY

Hematologic (overall/grade 3-4)	
Anemia 141	(94.0%) / 9 (6.0%)
Leukopenia 121	(80.7%) / 24 (16.0%)
Thrombocytopenia 40	(26.7%) / 2 (1.3%)
Febrile neutropenia 1	(0.7%) / 1 (0.7%)
Non-hematologic (overall/grade 3-4)	
Nausea 137	(91.3%) / 11 (7.3%)
Vomiting 111	(74.0%) / 14 (9.3%)
Mucositis 137	(91.3%) / 40 (26.7%)
Radiation dermatitis 105	(70.0%) / 4 (2.7%)
Nephrotoxicity 8	(5.3%) / 0 (0.0%)
Infection 8	(5.3%) / 3 (2.0%)
Any grade 3-4 toxicity 61	(40.7%)
Chemotherapy delay due to toxicity 41	(27.3%)
Hospitalization due to toxicity 30	(20.0%)
Tube feeding (transient/permanent) 6	(4.0%) / 1 (0.7%)
Treatment-related death 0	(0.0%)

3.3 | Oncological outcomes

The disease assessment after CCRT indicated that CR was achieved in 123 patients (82.0%) and not in 16 (10.7%), 11 (7.3%), and 9 (6.0%) patients in the local, regional, and distant sites, respectively.

After follow-up of 40.1 ± 25.1 months, LR failure occurred in 30 patients (20.0%), including 19 patients with non-CR and 11 patients with recurrence. The 2- and 5-year LR control rates were 81.7% and 76.7%, respectively. Distant failure occurred in 16 patients (10.7%), and the 2- and 5-year distant control rates were 89.2% and 87.8%, respectively. Overall treatment failure occurred in 42 patients (28.0%), and the 2- and 5-year PFS were 73.0% and 67.8%, respectively. Among the 42 patients with treatment failure in definitive CCRT, 12 patients were salvaged with surgery with/without adjuvant treatment; thus, ultimate treatment failure occurred in 30 patients (20.0%). The 2- and 5-year ultimate PFS were 81.4% and 77.5%, respectively.

Twenty-four patients (16.0%) died. Among these patients, non-disease-related deaths occurred in four patients (pneumonia in two patients and lung cancer in two patients). The 2- and 5-year DSS were 89.2% and 83.4%, respectively; the 2- and 5-year OS were 86.1% and 79.4%, respectively (Figure 1).

3.4 | Results of CCRT in patients aged ≥70 years and with initial ECOG PS 1-2

Table 5 summarizes the treatment compliance and toxicities of CCRT according to patient age and initial ECOG PS. In

comparison with patients aged <70 years and \geq 70 years, patients receiving \geq 2 cycles of cisplatin, patients receiving all planned cycles of cisplatin, incidence of grade 3 toxicities, and incidence of hospitalization for toxicity management were not significantly different. The incidence of chemotherapy delay due to toxicity was considerably lower in patients aged \geq 70 years compared with patients aged <70 years (15.8% vs. 31.3%, p = 0.065). A cumulative cisplatin dose was significantly lower in patients aged \geq 70 years compared with patients aged <70 years (172.9 mg/m² vs. 225.7 mg/ m², p < 0.001). Oncological outcomes including LR control, distant control, PFS, ultimate PFS, DSS, and OSS were not significantly different between patients aged <70 years and \geq 70 years (Figure 2).

In comparison with patients with PS 0 and PS 1-2, patients receiving ≥ 2 cycle of cisplatin, patients receiving all planned cycles of cisplatin, incidence of grade 3 toxicities, incidence of chemotherapy delay due to toxicity, and incidence of hospitalization for toxicity management were not significantly different. A cumulative cisplatin dose was significantly lower in patients with PS 1-2 compared with patients with PS 0 (191.8 mg/m² vs. 231.2 mg/m², p < 0.001). The oncological outcomes, including LR control, PFS, ultimate PFS, DSS, and OS, were significantly better in patients with PS 0 than PS 1-2. Distant control was not different between patients with PS 0 and PS 1-2 (Figure 3).

3.5 | Factors associated with treatment failure

In the univariate analysis, only stage III-IV disease was significantly associated with increased risk of treatment failure (HR, 4.377; 95% CI, 1.560-12.284; p = 0.005). Although PS 1-2 was also associated with increased risk of treatment failure, the statistical significance was not verified (HR, 1.713; 95% CI, 0.925-3.171; p = 0.087). In the multivariate analysis, PS 1-2 (HR, 2.074; 95% CI, 1.040-4.137; p = 0.038) and stage III-IV disease (HR, 4.263; 95% CI, 1.462-12.431; p = 0.008) were significantly associated with increased risk of treatment failure, while development of grade ≥ 3 toxicity and cumulative cisplatin dose <200 mg/m² were not associated with treatment failure. An age ≥ 70 years was associated with decreased risk of treatment failure (HR, 0.352; 95% CI, 0.143-0.866; p = 0.023; Table 6).

4 | DISCUSSION

This phase II study showed that CCRT that used individualized/dynamic cisplatin regimens based on patient age,

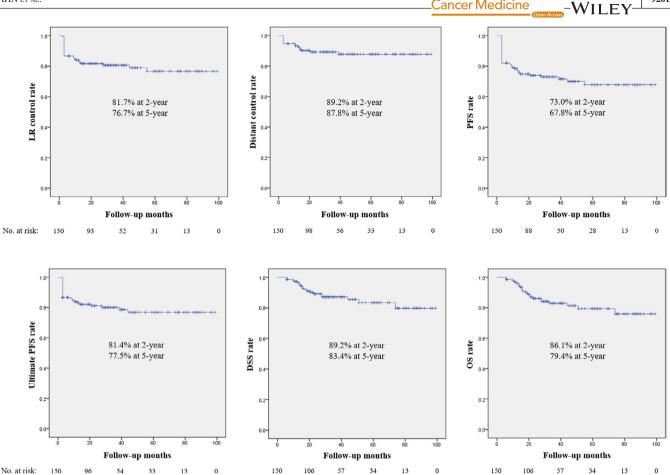


FIGURE 1 Kaplan-Meier curves for oncological outcomes. DSS, disease-specific survival; LR, locoregional; OS, overall survival; PFS, progression-free survival

TABLE 5 Summary of treatment compliance and toxicity in patients aged ≥70 years and with PS 1-2

	Age <70	Age ≥70				
	(n = 112)	(n = 38)	<i>p</i> -value	PS 0 $(n = 78)$	PS 1-2 $(n = 72)$	<i>p</i> -value
Patients receiing ≥ 2 cisplatin cycle	110 (98.2%)	36 (94.7%)	0.266	77 (98.7%)	69 (95.8%)	0.351
Patients receiing all planned cisplatin cycle	90 (80.4%)	27 (71.1%)	0.232	58 (74.6%)	50 (69.4%)	0.503
Cumulative cisplatin dose (mg/m ²)	225.7 ± 50.8	172.9 ± 46.6	< 0.001	231.2 ± 43.9	191.8 ± 58.1	< 0.001
Any grade 3-4 toxicity	53 (47.3%)	15 (39.5%)	0.401	36 (46.2%)	19 (46.3%)	0.834
Chemotherapy delay due to toxicity	35 (31.3%)	6 (15.8%)	0.065	20 (25.6%)	16 (39.0%)	0.628
Hospitalization due to toxicity	21 (18.8%)	9 (23.7%)	0.551	13 (16.7%)	11 (26.8%)	0.288

PS, and patient/tumor responses during treatment resulted in favorable oncological outcomes with low toxicity in patients with HNSCC, even in those aged \geq 70 years and with PS 1-2.

In this study, the proportion of patients who received all planned cycles and ≥ 2 cycles was 79.3% and 97.3%, respectively, which represented similar or better compliance with chemotherapy regimens when compared with previous studies on cisplatin-based CCRT that reported 59-86% patients receiving all planned cycles and 79-93% receiving ≥ 2 cycles.^{1-4,11,14,16} In addition, our individualized/dynamic cisplatin regimens had an incidence of grade 3-4 toxicity of only 40.7% with no treatment-related deaths, which was lower than the incidence of severe toxicity reported previous studies (77-85%).^{1,3,4,16} These results indicated that individualized/dynamic cisplatin regimens were highly tolerable and safe, further supporting their application in patients as a reasonable approach to minimizing treatment-related complications of cisplatin-based CCRT.

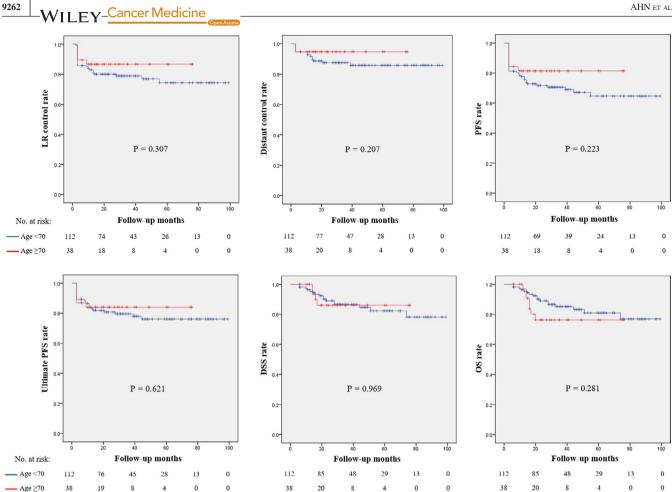


FIGURE 2 Kaplan-Meier curves for comparing oncological outcomes between patients aged <70 and ≥70 . DSS, disease-specific survival; LR, locoregional; OS, overall survival; PFS, progression-free survival

Even though individualized/dynamic cisplatin regimens present benefits in compliance and toxicity, a primary issue of these regimens is the possible decrease in the anticancer effect that can result from the decreased cumulative dose of cisplatin. Given that the current consensus of the target cumulative dose was 200 mg/m^2 , we intended to achieve at least 200 mg/m^2 of a cumulative cisplatin dose for most patients if they received three cycles of chemotherapy, except in a minority of patients who were aged ≥ 80 years and with PS 2.^{13,19,20} As a result, the mean cumulative cisplatin dose was 212.3 mg/m^2 , suggesting that the study regimen can provide an adequate exposure to cisplatin in spite of the individualized and dynamic dose reduction during treatment. However, for some patients aged ≥ 80 years or with PS 2, we aimed for 180 mg/m^2 as a target cumulative cisplatin dose to balance possible cisplatin-related harms and benefits. Indeed, controversy still exists concerning whether the improved survival of patients receiving $\geq 200 \text{ mg/m}^2$ was truly due to the dose-dependent effectiveness of cisplatin or merely attributable to favorable patient characteristics, such as younger age or better PS, that enabled the administration of $\geq 200 \text{ mg/m}^2$ cisplatin.^{13,19} In the present study, Cox regression analysis showed that receiving $<200 \text{ mg/m}^2$ cisplatin was not associated with treatment failure, while eighth AJCC stage III-IV disease and PS 1-2 were demonstrated as independent risk factors of treatment failure. In fact, our indication for dose reduction-included positive tumor response during CCRT, and 25 patients (16.7%) with excellent tumor response received reduced doses of cisplatin accordingly. Therefore, given the individuality and dynamics of the study protocol, the correlation between the cumulative cisplatin dose and oncological outcomes could not be verified in the present study. However, it is important to note that among the 25 patients who received a reduced cisplatin dose based on their excellent tumor response during CCRT, no treatment failure occurred. Therefore, we believe that cisplatin dose modification based on tumor response during CCRT was a feasible and reliable approach to minimize toxicity without compromising anticancer effects by providing an effective dose of cisplatin that fit the individual patient.

The oncological outcomes for the 2-year LR control, PFS, and OS were 81.7%, 73.0%, and 86.1%, respectively. Given that these outcomes have been reported in ranges of 58-84%, 47-69%, and 41-73%, respectively, the major oncological outcomes of the present study were comparable or superior to those of previous studies.^{2-4,10,14,16} In addition, because

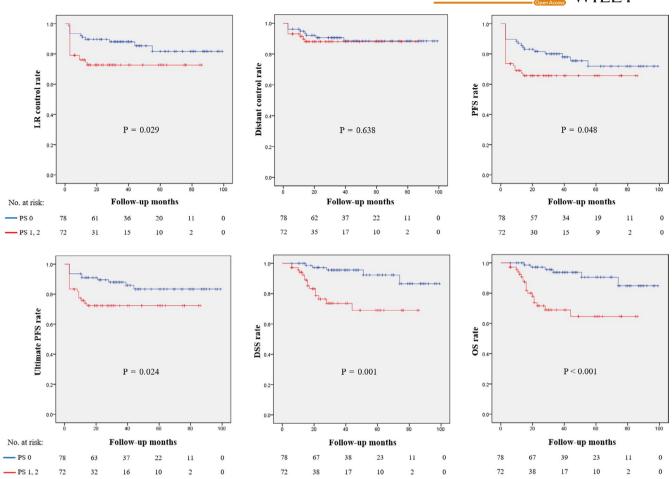


FIGURE 3 Kaplan-Meier curves for comparing oncological outcomes between patients with PS 0 and PS 1-2. DSS, disease-specific survival; LR, locoregional; OS, overall survival; PFS, progression-free survival; PS, performance status

TABLE 6 Univariate and multivariate analyses for risk of treatment failure

	Univariate			Multivariate			
	HR	95% CI	<i>p-</i> value	HR	95% CI	<i>p-</i> value	
Age ≥70	0.625	0.277-1.409	0.257	0.352	0.143-0.866	0.023	
PS 1-2	1.713	0.925-3.171	0.087	2.074	1.040-4.137	0.038	
Stage III-IV	4.377	1.560-12.284	0.005	4.263	1.462-12.431	0.008	
Grade ≥3 toxicity	1.123	0.612-2.059	0.708	0.893	0.478-1.670	0.724	
Cumulative cisplatin dose <200 mg/m ²	0.923	0.479-1.777	0.810	1.235	0.600-2.542	0.566	

Abbreviations: CI, confidence internal; HR, hazard ratio; PS, Eastern Cooperative Oncology Group performance status.

one of the main goals of chemotherapy is to control distant metastases, there was a concern that the reduced dose protocol used in the present study would be associated with an increased risk of distant failure. However, the 2-year distant control rate was 89.2%, which was also comparable to rates of 73-92% reported in previous studies.^{2-4,11,16} Therefore, all oncological results involving LR control, distant control, and survival outcomes suggest that the individualized/dynamic cisplatin regimens did not compromise any oncological benefits of the currently used CCRT regimens at the price of reducing toxicity.

In general, older patients and deteriorated PS are more vulnerable to treatment toxicity compared to younger patients with normal PS, indicating that age and PS level might lead to poor treatment compliance and survival outcomes.^{6,8,18,21,22} In the present study, however, patients aged \geq 70 years and

WILEY-Cancer Medicine

with PS 1-2 achieved comparable treatment compliance and toxicities with those reported in patients aged <70 years and with PS 0. In patients aged \geq 70 years, all oncological outcomes, including LR control, distant control, PFS, ultimate PFS, DSS, and OS, were comparable with those in patients aged <70 years, although the mean cumulative dose was significantly lower in patients aged ≥ 70 years. These results suggest that CCRT using individualized/dynamic cisplatin regimen can be used safely and effectively, even in patients aged \geq 70 years who are considered to be at high risk for conventional high-dose cisplatin regimens. However, in patients with PS 1-2, all oncological outcomes, except distant control, were worse than those in patients with PS 0, indicating that any deteriorated PS is a major poor prognostic factor of CCRT in patients with HNSCC.^{9,23,24} Furthermore, the results from subgroup analyses implied that chronological age per se is neither an absolute contraindication for cisplatin-based CCRT nor a true risk factor for negative oncological outcomes. However, PS that represents a patient's functional age or comorbidities is a more important factor for making decisions about cisplatin-based CCRT and predicting their prognosis 6,24-26

This study had several limitations. First, we reported SCCs of all head and neck sites, including the nasopharynx, oral cavity, and nasal cavity/paranasal sinus, when cisplatin-based CCRT was indicated. Thus, a direct comparison of our oncological results with other studies that mainly involved the oropharynx, larynx, and/or hypopharynx would be difficult. Second, the number of enrolled patients was relatively small and did not include a control group; therefore, the results of the present study cannot be generalized. Despite these limitations, given that cisplatin-based CCRT is a major treatment modality for SCCs of all head and neck sites, and the aim of this study was to evaluate the oncological feasibility of individualized/dynamic cisplatin regimens, rather than demonstrate its superiority over conventional regimens, the protocols and results of this phase II study represent a good basis for establishing a standard protocol for cisplatin dose reduction and designing future phase III randomized controlled trials.^{5,7}

In conclusion, we found that CCRT using individualized/ dynamic cisplatin regimens based on patient age, PS, and patient/tumor responses during treatment was oncologically safe and effective in patients with HNSCC, even in patients who were \geq 70 years of age and had PS 1-2. Large randomized controlled trials are necessary to confirm the results of the present study.

AUTHOR CONTRIBUTIONS

Dongbin Ahn: Conceptualization, methodology, patient enrollment, data curation, visualization, statistical analyses, writing-original draft, writing-review, editing, data curation, and visualization. Gil Joon Lee: Patient enrollment, investigation, and resources. Jin Ho Sohn: Patient enrollment and resources. Jeong Eun Lee: Patient enrollment and supervision.

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

ORCID

Dongbin Ahn https://orcid.org/0000-0002-4977-7406

REFERENCES

- Cooper JS, Pajak TF, Forastiere AA, et al. Postoperative concurrent radiotherapy and chemotherapy for high-risk squamous-cell carcinoma of the head and neck. N Engl J Med. 2004;350:1937-1944.
- Bernier J, Domenge C, Ozsahin M, et al. Postoperative irradiation with or without concomitant chemotherapy for locally advanced head and neck cancer. *N Engl J Med.* 2004;350:1945-1952.
- Adelstein DJ, Li YI, Adams GL, et al. An intergroup phase III comparison of standard radiation therapy and two schedules of concurrent chemoradiotherapy in patients with unresectable squamous cell head and neck cancer. J Clin Oncol. 2003;21:92-98.
- Forastiere AA, Goepfert H, Maor M, et al. Concurrent chemotherapy and radiotherapy for organ preservation in advanced laryngeal cancer. N Engl J Med. 2003;349:2091-2098.
- Grégoire V, Lefebvre J-L, Licitra L, Felip E. Squamous cell carcinoma of the head and neck: EHNS-ESMO-ESTRO Clinical Practice Guidelines for diagnosis, treatment and follow-up. *Ann Oncol.* 2010;21(Suppl 5):v184-186.
- Ahn M-J, D'Cruz A, Vermorken JB, et al. Clinical recommendations for defining platinum unsuitable head and neck cancer patient populations on chemoradiotherapy: a literature review. *Oral Oncol.* 2016;53:10-16.
- NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines) Head and Neck Cancer version 3.2019. Fort Washington, PA: National Comprehensive Cancer; 2019.
- VanderWalde NA, Fleming M, Weiss J, Chera BS. Treatment of older patients with head and neck cancer: a review. *Oncologist*. 2013;18:568-578.
- Truong MT, Zhang Q, Rosenthal DI, et al. Quality of life and performance status from a substudy conducted within a prospective phase 3 randomized trial of concurrent accelerated radiation plus cisplatin with or without cetuximab for locally advanced head and neck carcinoma: NRG oncology radiation therapy oncology group 0522. *Int J Radiat Oncol Biol Phys.* 2017;97:687-699.
- Szturz P, Wouters K, Kiyota N, et al. Weekly low-dose versus three-weekly high-dose cisplatin for concurrent chemoradiation in locoregionally advanced non-nasopharyngeal head and neck cancer: a systematic review and meta-analysis of aggregate data. *Oncologist.* 2017;22:1056-1066.
- 11. Szturz P, Wouters K, Kiyota N, et al. Low-dose vs. high-dose cisplatin: lessons learned from 59 chemoradiotherapy trials in head and neck cancer. *Front Oncol.* 2019;9:86.
- Veronesi A, Zagonel V, Tirelli U, et al. High-dose versus low-dose cisplatin in advanced head and neck squamous carcinoma: a randomized study. *J Clin Oncol.* 1985;3:1105-1108.
- Strojan P, Vermorken JB, Beitler JJ, et al. Cumulative cisplatin dose in concurrent chemoradiotherapy for head and neck cancer: a systematic review. *Head Neck*. 2016;38(Suppl 1):E2151-2158.

Cancer Medicine

- Jacinto JK, Co J, Mejia MB, Regala EE. The evidence on effectiveness of weekly vs triweekly cisplatin concurrent with radiotherapy in locally advanced head and neck squamous cell carcinoma (HNSCC): a systematic review and meta-analysis. *Br J Radiol.* 2017;90:20170442.
- 15. Tsan D-L, Lin C-Y, Kang C-J, et al. The comparison between weekly and three-weekly cisplatin delivered concurrently with radiotherapy for patients with postoperative high-risk squamous cell carcinoma of the oral cavity. *Radiat Oncol.* 2012;7:215.
- Noronha V, Joshi A, Patil VM, et al. Once-a-week versus onceevery-3-weeks cisplatin chemoradiation for locally advanced head and neck cancer: a phase III randomized noninferiority trial. *J Clin Oncol.* 2018;36:1064-1072.
- Trendowski MR, El Charif O, Dinh PC Jr, Travis LB, Dolan ME. Genetic and modifiable risk factors contributing to cisplatin-induced toxicities. *Clin Cancer Res.* 2019;25:1147-1155.
- Szturz P, Bossi P, Vermorken JB. Systemic treatment in elderly head and neck cancer patients: recommendations for clinical practice. *Curr Opin Otolaryngol Head Neck Surg.* 2019;27:142-150.
- Al-Mamgani A, de Ridder M, Navran A, Klop WM, de Boer JP, Tesselaar ME. The impact of cumulative dose of cisplatin on outcome of patients with head and neck squamous cell carcinoma. *Eur Arch Otorhinolaryngol.* 2017;274:3757-3765.
- Carlsson L, Bratman SV, Siu LL, Spreafico A. The cisplatin total dose and concomitant radiation in locoregionally advanced head and neck cancer: any recent evidence for dose efficacy? *Curr Treat Options Oncol.* 2017;18:39.
- 21. Maggiore R, Zumsteg ZS, BrintzenhofeSzoc K, et al. The older adult with locoregionally advanced head and neck squamous cell carcinoma: knowledge gaps and future direction in assessment and treatment. *Int J Radiat Oncol Biol Phys.* 2017;98:868-883.

- 22. Szturz P, Vermorken JB. Treatment of elderly patients with squamous cell carcinoma of the head and neck. *Front Oncol.* 2016;6:199.
- Chang P-H, Yeh K-Y, Huang J-S, et al. Pretreatment performance status and nutrition are associated with early mortality of locally advanced head and neck cancer patients undergoing concurrent chemoradiation. *Eur Arch Otorhinolaryngol.* 2013;270: 1909-1915.
- Amini A, Jones BL, McDermott JD, et al. Survival outcomes with concurrent chemoradiation for elderly patients with locally advanced head and neck cancer according to the National Cancer Data Base. *Cancer*. 2016;122:1533-1543.
- Muller von der Grun J, Martin D, Stover T, Ghanaati S, Rodel C, Balermpas P. Chemoradiotherapy as definitive treatment for elderly patients with head and neck cancer. *Biomed Res Int.* 2018;2018:3508795.
- Szturz P, Cristina V, Herrera Gomez RG, Bourhis J, Simon C, Vermorken JB. Cisplatin eligibility issues and alternative regimens in locoregionally advanced head and neck cancer: recommendations for clinical practice. *Front Oncol.* 2019;9:464.

How to cite this article: Ahn D, Lee GJ, Sohn JH, Lee JE. Phase II trial of individualized/dynamic cisplatin regimens for definitive concurrent chemoradiation therapy in patients with head and neck squamous cell carcinoma. *Cancer Med.* 2020;9:9256– 9265. <u>https://doi.org/10.1002/cam4.3529</u>