#### **Analysis**

# **Integrated multi‑omics characterization of SMAD4 mutant colorectal cancer**

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#### **Abstract**

Colorectal cancer is one of the most common cancers around the world, which is a severe threat to people's health. SMAD4 belongs to the dwarfn/SMAD family, which plays a crucial role in TGF-β and BMP signal pathways. As the molecular characterization of colon cancer patients following SMAD4 mutations remains unclear, we integrated multi-omics data of SMAD4 mutant patients to reveal the profle of molecular characterization of SMAD4 mutation. A missense mutation is the most common mutant type of SMAD4. Patients with SMAD4 mutation had worse survival. Tumor tissues from patients carrying the SMAD4 mutation showed a reduction in various immune cells, such as CD4+memory T cells and memory B cells. Many diferential genes were identifed compared to the SMAD4 mutation-free group and could be signifcantly enriched for tumor- and immune-related signaling pathways. In addition, the mutant group had diferent drug sensitivities than the non-mutant group.

**Keywords** Colorectal cancer · SMAD4 · Multi-omics data · Single cell RNA sequence

# **1 Introduction**

SMAD4 gene, also known as the DPC4 gene, is located on the long arm of chromosome 18 and encodes a protein called SMAD4. It is a tumor suppressor gene that helps prevent cancer development by regulating cell growth and diferentiation, and it is often mutated in various types of cancer [[1\]](#page-8-0). SMAD4 is a transcription factor that plays a critical role in the transforming growth factor-beta (TGF-β) signaling pathway and is involved in multiple cellular processes, including cell proliferation, diferentiation, apoptosis, and migration [\[2](#page-8-1)]. When TGF-β ligands bind to their receptors, SMAD4 is activated and translocated into the nucleus, where it acts as a transcription factor, regulating the expression of target genes [[3](#page-8-2)]. Loss-of-function mutations in the SMAD4 gene have been reported in several types of cancer, including pancreatic, colorectal, gastric, and ovarian cancers [\[4–](#page-8-3)[8\]](#page-8-4). These mutations decrease SMAD4 activity, resulting in dysregulated TGF-β signaling and aberrant cell growth and proliferation.

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SMAD4 gene mutations are prevalent in tumors. Of these, approximately 10–30% of colorectal cancer cases are found to carry mutations in the SMAD4 gene [[9\]](#page-9-0). Similarly, up to 55% of pancreatic cancer patients harbor mutations in this gene [[10](#page-9-1)]. This mutation makes the disease more aggressive and is associated with a poorer prognosis [\[11,](#page-9-2) [12\]](#page-9-3). However, SMAD4 mutations may also have therapeutic implications. Preclinical studies have shown that re-expression of functional SMAD4 in SMAD4-defcient cancer cells can lead to growth suppression and sensitization to chemotherapy [[13](#page-9-4)]. Therefore, strategies aimed at restoring SMAD4 function may have potential therapeutic value in certain types of cancer.

Research on the SMAD4 gene has also revealed its involvement in other diseases and conditions beyond cancer. For example, mutations in the SMAD4 gene can cause a rare genetic disorder known as juvenile polyposis syndrome (JPS) [[14](#page-9-5), [15\]](#page-9-6). JPS is characterized by multiple polyps in the gastrointestinal tract, particularly in the colon and rectum. These polyps have the potential to become cancerous if left untreated [[16\]](#page-9-7). Along with gastrointestinal polyps, individuals with JPS may also have other features, such as pigmented spots on the lips and hands, as well as a predisposition to certain types of tumors. Studies have suggested that the SMAD4 gene may be involved in the pathogenesis of cardiovascular disease. Research has shown that SMAD4 plays a role in the development and function of blood vessels, and disruptions in SMAD4 signaling may contribute to abnormal blood vessel growth and cardiovascular disorders [[17](#page-9-8)].

Understanding the function and regulation of the SMAD4 gene has important implications for both research and clinical practice. It provides insights into the underlying molecular mechanisms of cancer development and progression, ofering potential targets for therapeutic interventions. Furthermore, identifying SMAD4 mutations in specifc cancers can have diagnostic and prognostic implications, helping to guide treatment decisions and predict patient outcomes. Thus, the main objective of this study was to explore the altered transcriptome, immunity, and drug sensitivity of patients with SMAD4 mutant colorectal cancer through multi-omics data.

### **2 Materials and methods**

#### **2.1 Data acquirement and analysis**

Somatic mutation data of colorectal cancer were downloaded from the TCGA. The transcriptome RNA sequence data were downloaded from UCSC Xena. The cBioportal [\(https://www.cbioportal.org/](https://www.cbioportal.org/)) was applied to plot the mutant lollipop. cBioPortal plotted the survival cure between the SMAD4 mutant and non-mutant groups. The tumor mutation burden was calculated using the somatic mutant fle of colorectal cancer. The "maftools" was used to analyze the mutant waterfall plot.

#### **2.2 Single cell and immune microenvironment analysis**

Single-cell analysis of SMAD4 mutant and non-mutant samples (GSE132465) was downloaded by Gene Expression Omnibus (<https://www.ncbi.nlm.nih.gov/geo/>). The t-SNE algorithm was applied to single-cell cluster analysis. Serut analyzed single-cell analysis. The annotation of single-cell RNA data was conducted by "SingleR". Microenvironment infltration of immune cells was evaluated by the cell algorithm through the R package of IOBR.

#### **2.3 Correlation of immune related gene**

All immune-related genes were downloaded from IMMPORT [\(https://www.immport.org/home](https://www.immport.org/home)).

Patients of colorectal cancer were divided into two groups, with mutant and non-mutant. Immune-related genes between the two groups were analyzed using the Wilcox test.

#### **2.4 Diferential genes between mutant‑ and non‑mutant groups**

The diferential expressed R packages of "limma" enumerated genes. Enrichment analysis of diferential genes is mainly done by "clusterProfler". The enrichment pathway gene sets were downloaded from the MsigDB [\(https://www.gsea](https://www.gsea-msigdb.org/gsea/msigdb)[msigdb.org/gsea/msigdb](https://www.gsea-msigdb.org/gsea/msigdb)). Visualization is principally done through ggplot2.



#### **2.5 Drug sensitivity analysis between mutant‑ and non‑mutant groups**

The oncoPredict was applied to calculate the relative drug sensitivity. The drug sensitivity value was tumor-related drugs or molecular compounds based on Genomics of Drug Sensitivity in Cancer.

#### **2.6 Statistical Analysis**

All the data was processed by R 4.3.0. Wilcox, unpaired and paired student t-tests for the continuous variable and chisquare test for dichotomous variables were applied to determine the statistical signifcance of diferences between mutant- and non-mutant groups. Unless otherwise noted, p-value≤0.05 was used to determine statistical signifcance for all tests.

### **3 Results**

#### **3.1 Clinical diference between mutant and non‑mutant group**

In the clinical factor analysis, 437 patients were included in the TCGA cohort, of which 49 colon cancer patients carried SMAD4 gene mutations. The mean age of the mutated and non-mutated groups was  $68.9 \pm 12.6$  and  $66.4 \pm 13.1$ , respectively (p =0.207). There was no signifcant diference between the two groups regarding the history of colon polyps  $(p=0.499)$ . There may be some difference in mismatch repair protein between the two groups ( $p=0.029$ ). In addition, the two groups had a signifcant diference in BMI. Other clinical factors (TNM stage, number of positive lymph nodes, etc.) did not show any diference between the two groups. Table [1](#page-3-0) shows the detailed information.

#### **3.2 Mutation profle**

Using the cBioPortal, we plotted a lollipop map of mutations in the SMAD4 gene (Fig. [1A](#page-4-0)). Its mutation rate was about 10.99%, and the primary type of mutation was missense mutation. To investigate whether the SMAD4 mutation would lead to diferences in patients' prognosis, we plotted the survival curves of patients with or without the mutation by the cBioPortal, and the results showed that the prognosis of patients harboring the SMAD4 mutation became worse. It implies that the prognosis of patients without SMAD4 mutation is signifcantly better than that of patients carrying SMAD4 mutation (Fig. [1](#page-4-0)B). Further, we explored the relationship between tumor mutation load and SMAD4 gene mutation and found that TMB was elevated in patients with SMAD4 mutation compared with the unmutated group (Fig. [1C](#page-4-0)).

To clarify the specifc characteristics of SMAD4 mutations, we drew a waterfall plot of patients who carried mutations in the SMAD4 gene. In the SMAD4 mutation group, the top 6 mutated genes were APC, TNN, KRAS, FAT4, MUC16 and TP53 (Fig. [1](#page-4-0)D). Figure [1](#page-4-0)E demonstrates the signifcantly diferent genes between the mutant and non-mutant groups. The mutation profle difered from that of the patients who did not carry the SMAD4 gene.

#### **3.3 Single cell analysis and immune infltration analysis**

To clarify whether there is a correlation between SMAD4 mutation and tumor microenvironment, we downloaded 4 patients with SMAD4 mutation from GEO and 4 patients without mutation for control analysis. Figure [2](#page-5-0)A (Left) demonstrates the overall clustering distribution. Figure [2](#page-5-0)A (Middle) refects the distribution of various immune cells. Figure [2](#page-5-0)A (Right) presents the distribution of SMAD4 mutations. Figure [2B](#page-5-0) shows the proportion of diferent types of cells in the 8 patients, with some variability between the mutated and non-mutated groups. To facilitate quantitative analysis of the diferences between the two groups, we performed immune infltration analysis on bulk RNA sequencing data from TCGA, and the results showed signifcant diferences in a variety of immune cells, such as CD4+memory T cells, memory B cells, etc. (Fig. [2C](#page-5-0)).

#### **3.4 Immune‑related genes**

Immune-related genes were extracted from bulk RNA sequencing of TCGA datasets, and the Wilcox test was performed to detect any differences between mutant and non-mutant groups. Figure [3](#page-6-0) shows the part of differentially expressed immune-related genes between the two groups.



#### <span id="page-3-0"></span>**Table 1** The clinical characteristics of patients









<span id="page-4-0"></span>**Fig. 1** The SMAD4 mutation profle in colorectal cancer. **A** The lollipop map of mutations in the SMAD4 gene. **B** Comparison of survival rate between the mutant and non-mutant groups of SMAD4. **C** The relationship between TMB and SMAD4 gene mutation. **D** The top 6 mutated genes in the SMAD4 mutation group. **E** The signifcantly diferent genes between the mutant and non-mutant groups

#### **3.5 Diferentially expressed genes**

Based on the presence or absence of SMAD4 mutations, we divided the patients into two groups and performed diferential gene expression analysis. We identifed 36 upregulated genes and 34 down-regulated genes in the diferential analysis. Fig-ure [4A](#page-6-1) shows the volcano plot of the differential expressed genes. Figure [4B](#page-6-1) shows the top 10 genes with the most significant diferences (5 upregulated and 5 downregulated). We performed GSEA enrichment analysis for the diferential genes and identifed some critical signaling pathways (Fig. [4D](#page-6-1), [E\)](#page-6-1).

#### **3.6 Drug sensitivity analysis**

Drug sensitivity analysis showed that multiple monoclonal antibodies signifcantly difered between the two groups (Fig. [5](#page-7-0)).





<span id="page-5-0"></span>**Fig. 2** The Single cell analysis and immune infltration analysis. **A** The overall clustering distribution (left), the distribution of various immune cells (middle) and the distribution of SMAD4 mutations (right). **B** The proportion of diferent types of cells in the 8 patients, with some variability between the mutated and non-mutated groups. **C** The immune infltration analysis based on TCGA data

# **4 Discussion**

Cancer is a complex disease that involves abnormal cell growth and proliferation. Many genes and signaling pathways contribute to the development and progression of cancer. SMAD4 is a tumor suppressor gene critical to the TGF-β signaling pathway, which controls cell growth, differentiation, and apoptosis [[18\]](#page-9-9).

One of the first indications of SMAD4's involvement in cancer was observed in colorectal cancer [[18\]](#page-9-9). Studies have shown that SMAD4 is frequently mutated or deleted in colorectal tumors. Loss of SMAD4 function in colorectal cancer leads to dysregulation of the TGF-β signaling pathway, promoting tumor growth and metastasis [\[19\]](#page-9-10). Moreover, SMAD4 inactivation is associated with poor prognosis and resistance to specific chemotherapeutic agents in colorectal cancer patients [[20](#page-9-11)].

In pancreatic cancer, SMAD4 alterations are even more prevalent, with nearly 55–60% of cases showing SMAD4 gene mutations or deletions. Loss of SMAD4 function is associated with aggressive tumor behavior, increased invasiveness, and resistance to treatment. SMAD4 acts as a pancreatic tumor suppressor by regulating cell cycle control, cell proliferation, and apoptosis. Therefore, abnormalities in SMAD4 contribute to the development of pancreatic cancer and its poor prognosis [[21\]](#page-9-12).



<span id="page-6-0"></span>

<span id="page-6-1"></span>**Fig. 4** The diferentially expressed genes between the mutant and non-mutant groups. **A** The volcano plot of the diferential expressed genes. **B** The top 10 genes with the most signifcant diferences (5 upregulated and 5 downregulated). **C–E** The GSEA enrichment analysis and identifcation of some critical signaling pathways

Apart from colorectal and pancreatic cancers, dysregulation of SMAD4 has also been observed in other malignancies. SMAD4 gene alterations have been documented in gastric cancer, lung cancer, ovarian cancer, and others. In these tumors, SMAD4 inactivation has been linked to tumor progression, metastasis, and decreased patient survival. These fndings highlight the universal importance of the SMAD4 gene in various types of cancer. Given the critical role of SMAD4



<span id="page-7-0"></span>



in tumor suppression, targeting SMAD4 or the TGF-β signaling pathway holds promise as a therapeutic strategy [\[22](#page-9-13)]. Several approaches are being explored, including the restoration of SMAD4 function, inhibition of TGF-β signaling, and combination therapies. Gene therapies, such as gene replacement or targeted SMAD4 reactivation, are being investigated to restore the normal function of SMAD4 in cancer cells. Small molecule inhibitors and monoclonal antibodies targeting TGF-β receptors or downstream efectors are also being developed to block aberrant TGF-β signaling in tumors [[23](#page-9-14)].

As the critical role of SMAD4, we comprehensively explored the molecular characteristics. In colorectal cancer, we found that patients with mutations in the SMAD4 gene had a worse prognosis than those without mutations. It is suggested that SMAD4 mutation results in the loss of cancer inhibitory efect. Tumor mutation load was elevated in patients in the SMAD4 mutation group compared with the unmutated group, implying that patients carrying SMAD4 mutations may be more likely to beneft from immunosuppressive therapy [\[24\]](#page-9-15). SMAD4 mutation status may serve as a predictor of sensitivity to immunosuppressive agents in patients with colorectal cancer.

The mutation profle of patients in the SMAD4 mutation group was signifcantly diferent from that of patients without SMAD4 mutations, and mutations in the SMAD4 gene may afect the mutation status of other genes [\[25\]](#page-9-16). Future studies are necessary to delve deeper into the role of SMAD4 mutations in somatic mutations.

Using single-cell sequencing data and tumor microenvironment immune infltration analysis, we found that the tumor microenvironment of the patients changed signifcantly after the SMAD4 gene mutation. There was a signifcant diference in immune gene expression between the two groups. It is suggested that SMAD4 gene mutation can dramatically affect the immune status of the patients  $[26]$  $[26]$  $[26]$ . The CD4 + memory T cells were elevated, and the number of plasma-like dendritic cells was reduced [[19\]](#page-9-10). At the same time, the diferential gene enrichment analysis of the two groups suggested that multiple immune-related signaling pathways were altered. Multiple immune-related genes were also limited, and these changes indicated that SMAD4 gene mutations signifcantly afected the immune status of colon cancer patients [[27](#page-9-18)].

We deeply analyzed the relationship between SMAD4 gene mutation status and drug sensitivity. We found that multiple monoclonal antibody drug sensitivities were altered between the two groups. This has implications for the treatment regimen of patients carrying mutations and is expected to lead to individualized medication for patients. We hope that these studies will be of assistance to clinicians.

However, it is undeniable that our study could be better. First, although we collected sequencing data from TCGA and GEO databases, the amount of these data still needs to be more signifcant for extensive data validation. There may be issues with sequencing data selection bias and poor representation of patient cohorts. Second, this study was only supported by bioinformatics analysis, and experimental support is needed further to clarify the mechanism of SMAD4 mutations in colorectal cancer. In the follow-up study, we will collect colorectal cancer tissue specimens from clinical

patients and verify the conclusions obtained in this study by HE staining, PCR, Western Blot and immunohistochemical staining. If sufficient funds are available, we will consider constructing SMAD4 mutated colorectal cancer cells and mouse models to validate the molecular mechanism further. We will construct diferent mutants in cancer cell lines to explore the role of SMAD4 mutants in colorectal cancer. In addition, mice with SMAD4 mutations will be bred for further study.

# **5 Conclusion**

We analyzed the molecular characteristics between the mutated and non-mutated groups of the SMAD4 gene through multi-omics data. Colon cancer patients with SMAD4 gene mutations were found to have diferent immune infltration than non-mutated patients. In the SMAD4 mutation group, the tumor mutation load was signifcantly more than in the non-mutated group. Multiple monoclonal antibody drug sensitivities were very diferent compared to the non-mutated group. SMAD4 gene mutation status may serve as a predictive marker for immunotherapy.

**Author contributions** WT and GN designed the experiments. ZD, QY and GN performed the experiments and contributed reagents/materials/ analysis tools. ZD and QY wrote the paper. All authors have read and approved the manuscript.

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**Data availability** All datasets used and analyzed during the current study are available from the corresponding author upon reasonable request. Data is provided within the supplementary information fles.

#### **Declarations**

**Ethics approval and consent to participate** Not applicable.

**Consent for publication** All authors have read this manuscript and consented for publication.

**Competing interests** The authors declare no potential competing interests.

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