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## Original Article

# Impact of age on pathological complete response and locoregional recurrence in locally advanced breast cancer after neoadjuvant chemotherapy



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## ABSTRACT

**Background:** Neoadjuvant chemotherapy (NAC) is the standard approach for downstaging of locally advanced breast cancer and can improve breast conservation rates. A pathological complete response (pCR) after NAC associated with favorable long-term outcomes has been described. There is still a high locoregional recurrence (LRR) rate after NAC and the influence of age on LRR after NAC is unclear. This study analyzed the relationship between age and LRR after NAC.

**Methods:** Two hundred and sixty-three patients with invasive breast cancer who received NAC followed by mastectomy or breast conserving surgery (BCS) were enrolled. Concurrent weekly epirubicin and docetaxel was the NAC regimen.

**Results:** Twenty-nine patients (11%) achieved a pCR after NAC. In univariate analysis, age <50 years, luminal B (HER2 positive) subtype, HER2 overexpression subtype, and triple-negative subtype were factors to predict a pCR. In multivariate analysis, age <50 years, luminal B (HER2 positive) type, HER2 overexpression, and triple-negative subtype were the independent factors to predict a pCR. No patients in the pCR group developed LRR compared with 31 patients in the non-pCR group. Eleven patients (6.9%) in the younger group (age <50 years) developed LRR compared with 20 patients (19.4%) in the older group (age ≥50 years). In multivariate analysis, younger age (<50 years) was the only independent prognostic factor for a LRR-free survival.

**Conclusion:** Younger age can predict a pCR and is an independent prognostic factor for LRR in locally advanced breast cancer patients after NAC as concurrent epirubicin and docetaxel.

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## At a glance of commentary

### Scientific background on the subject

Young age is an independent prognostic factor both in locoregional control and survival analysis in early breast cancer. There is a high locoregional recurrence rate after neoadjuvant chemotherapy for locally advanced breast cancer in meta-analysis, but lack of data in those pathological complete response achieved after chemotherapy.

### What this study adds to the field

Our finding demonstrate age younger than 50, HER-2 overexpression and triple negative subtypes were the predicting factors of pathological complete response. Age younger than 50 is an independent prognostic factor for locoregional recurrence after concurrent epirubicin and docetaxel neoadjuvant chemotherapy in the study.

Neoadjuvant chemotherapy (NAC) is a systemic treatment for breast cancer that is administered prior to definitive surgical treatment. In locally advanced and large operable tumors, NAC may reduce the tumor size and achieve operability, or reduce the extent of the surgery. Furthermore, it checks the sensitivity of certain drugs to the tumor or determines which drugs will achieve the optimal response [1–5]. NAC can be used to determine which of the tumor subtypes has a favorable response, even in small size; this is the case for human epidermal growth factor receptor 2 (HER2)-positive and triple-negative breast cancer (TNBC) [6]. Tumors that are positive for hormone receptors (HR) and negative for HER-2 have a poor clinical and pathological response; therefore, surgery may be more preferable than NAC in such subtypes [7–9]. The Definition of a pathological complete response (pCR) is no residual invasive tumor in the surgical specimen after NAC and definitive surgery. HER-2-positive breast cancer is associated with a high pCR rate, especially if the NAC contained HER-2 directed therapy such as trastuzumab, which was also proved in the Investigation of Serial Studies to Predict Your Therapeutic Response with Imaging and Molecular Analysis (I-SPY 1) Trial [10–12]. The high pCR rate of HER-2-positive breast cancer is independent of the HR status [6,10,11]. A higher pCR rate of 27%–45% has been reported in TNBC, in contrast to <10% in HR-positive and HER-2-negative breast cancer [11–14]. Across the entire TNBC population, patients who achieved a pCR have a similar prognosis to that of patients with other breast cancer subtypes. However, patients with TNBC with residual tumor at surgery have a higher risk of early distant disease recurrence [13,15]. In a clinical trial, the National Surgical Adjuvant Breast and Bowel Project (NSABP) B-18, comparing preoperative and postoperative chemotherapy, no statistically significant differences in overall survival or disease-free survival in long term follow up was observed between the two groups. For example, no patients with clinically node-positive disease who achieved a pCR at mastectomy (ypT0N0) could also get a 0% locoregional

recurrence (LRR) at 10 years [16]. This trial also pointed out that younger patients may benefit from preoperative therapy. A large pooled meta-analysis from the Collaborative Trials in Neoadjuvant Breast Cancer (CTNeoBC) groups could not validate a pCR as a surrogate endpoint for improved event-free survival and overall survival for all subtypes of breast cancer [17]. The prognostic value is the greatest in aggressive tumor subtypes, such as triple negative breast cancer and HER2 positive receiving Trastuzumab.

Breast conservation after neoadjuvant chemotherapy results in acceptably low rates (5% at 5-year and 10% at 10-year) of local recurrence in appropriately selected patients, even with T3 or T4 disease at MD Anderson Cancer Center. A higher rate of local recurrence was predicted in advanced nodal involvement at diagnosis, residual tumor larger than 2 cm, multifocal residual disease, and lymphovascular space invasion [18]. Recent reports of 751 patients undergoing breast-conserving surgery (BCS) after NAC demonstrated that a pCR, clinical stage, and triple-negative subtypes were independent factors for locoregional control [19]. In 2016, a combined analysis of NSABP B-18 and B-27 reported that in patients treated with NAC, age, clinical tumor characteristics before NAC, and both pathologic nodal status/breast tumor response after NAC can be used to predict risk for LRR. Younger age (<50 years) was a significant predictor to LRR for BCS, but not for mastectomy [20]. Furthermore, the role of age on locoregional control has been rarely demonstrated in the literature. Among these previous studies, most patients were limited to a smaller tumor size (<5 cm) and clinically negative node status before NAC. Therefore, the emphasis on the impact of age factor in locally advanced breast cancer on locoregional control was unknown. This study aimed to analyze the relationship between age and LRR after NAC in locally advanced breast cancer.

## Patients and methods

### Patient selection

The study was a retrospective cohort study and therefore the requirement for patient consent was waived. The study was approved by our institutional review board and have therefore been performed in accordance with the ethical standards laid down in the 1964 declaration of Helsinki and all subsequent revisions. Patients with invasive breast cancer who received NAC and underwent mastectomy or BCS from 2002 to 2011 at the Linkou branch of Chang Gung Memorial Hospital were enrolled. Eligible patients met the following criteria: histologically proven unilateral invasive breast carcinoma, normal baseline blood count, normal serum creatinine, alanine aminotransferase, aspartate aminotransferase, alkaline phosphatase, and bilirubin levels, a negative pregnancy test, and a World Health Organization (WHO) performance status <2. Exclusion criteria were: inflammatory cancer and the initial presence of metastasis. Initial staging was determined using physical examination, mammography, ultrasonography of the breast and axillary lymph nodes, chest radiography, bone scan, and whole body computed tomography (CT) scan.

## Treatment

All patients received 3 to 6 cycles of NAC and the regimen was weekly epirubicin/docetaxel. Epirubicin was administered at a dose of 45 mg/m<sup>2</sup> via intravenous infusion on day 1 and day 8, while docetaxel was administered at a dose of 35 mg/m<sup>2</sup> via intravenous infusion on day 1 and day 8 of a 3-week cycle. Dose modification was based on nadir blood counts and interval toxicity. Surgery (mastectomy or BCS) and axillary sentinel lymph node biopsy or axillary lymph node dissection were performed 2–4 weeks after NAC was completed. The indication for postoperative radiotherapy included all patients after BCS and the part of patients if receiving mastectomy with initial tumor size >5 cm or clinical N2 status. Patients received postoperative adjuvant therapy, including hormone therapy, chemotherapy and targeted therapy, according to their clinicopathological factors.

## Analysis of estrogen receptor (ER), progesterone receptor (PgR), and HER-2/neu expression

ER, PgR, and HER-2/neu analysis was performed on pretreatment core needle biopsy specimens using

immunohistochemical (IHC) staining techniques. For ER and PgR, positivity was defined as expression in >1% of tumor cells. For HER-2/neu, IHC staining with a score of 3+ (moderate to strong complete membrane staining observed in 10% of the tumor cells) or a positive fluorescence in situ hybridization (FISH) test if IHC staining with a score of 2+ (weak to moderate complete membrane staining in 10% of the tumor cells) was defined as positive. The molecular subtype was classified according to the 13th St Gallen International Breast Cancer Conference (2013) Expert Consensus [21].

## Definition of response

The rates of objective response were evaluated according to the revised Response Evaluation Criteria in Solid Tumors (RECIST) guidelines (version 1.1). At least a 30% decrease in the sum of the diameters of the tumor was considered a partial response (PR). Progressive disease (PD) was defined as an increase of >20% in the sum of the diameters. Stable disease (SD) was defined as neither sufficient shrinkage to qualify for PR nor sufficient increase to qualify for PD. A pCR was defined as no residual invasive breast cancer in the histopathology specimen of the breast and axillary lymph nodes (ypT0/ypTisN0).

**Table 1 Comparison between patients with age <50 and age ≥50.**

Parameters	Age <50 (n = 160)	Age ≥50 (n = 103)	Total (n = 263)	p value
Initial tumor size (cm)				0.852
<5	82 (51.3)	54 (52.4)	136 (51.7)	
>5	78 (48.7)	49 (47.6)	127 (48.3)	
Clinical nodal status				0.039
Positive	116 (72.5)	86 (83.5)	202 (76.8)	
Negative	44 (27.5)	17 (16.5)	61 (23.2)	
Surgery type				<0.0001
Mastectomy	107 (66.9)	93 (90.3)	200 (76.0)	
BCS	53 (33.1)	10 (9.7)	63 (24.0)	
ER				0.755
Negative	56 (35.0)	38 (36.9)	94 (35.7)	
Positive	104 (65.0)	65 (63.1)	169 (64.3)	
PgR				0.538
Negative	73 (45.6)	51 (49.5)	124 (47.1)	
Positive	87 (54.3)	52 (50.5)	139 (52.9)	
HER2				0.074
Negative	109 (68.1)	59 (57.3)	168 (63.9)	
Positive	51 (31.9)	44 (42.7)	95 (36.1)	
Molecular subtype				0.496
Luminal A	14 (8.7)	9 (8.7)	23 (8.7)	
Luminal B (HER-2 negative)	63 (39.4)	33 (32.0)	96 (36.5)	
Luminal B (HER-2 positive)	27 (16.9)	23 (22.3)	50 (19.0)	
HER-2 overexpression	24 (15.0)	21 (20.4)	45 (17.1)	
Triple-negative	32 (20.0)	17 (16.5)	49 (18.6)	
Clinical response				0.069
CR	12 (7.5)	4 (3.9)	16 (6.1)	
PR	139 (86.9)	84 (81.6)	223 (84.8)	
SD	5 (3.1)	9 (8.7)	19 (7.2)	
PD	4 (2.5)	6 (5.8)	5 (1.9)	
pCR				0.031
No	137 (85.6)	97 (94.2)	234 (88.9)	
Yes	23 (14.4)	6 (5.8)	29 (11.0)	

Numbers in parentheses are percentages.

Abbreviations: CR: complete response; ER: estrogen receptor; HER-2: human epidermal growth factor receptor 2; pCR: pathological complete response; PgR: progesterone receptor; PD: progressive disease; PR: partial response; SD: stable disease.

## Statistical analysis

Numerical data were compared using a Student's t-test and presented as the mean + standard deviation (SD) and a two-tailed  $p$  value  $< 0.05$  was considered significant. Pearson's chi-square test ( $\chi^2$  test) was used to compare the differences in the proportions of categorical data. A log-rank test and the Kaplan–Meier method were used for survival analysis. For multivariate analysis of prognostic factors, Firth's penalized maximum likelihood logistic regression was used. The statistical software used for assessment was SPSS 17.0 for Windows (SPSS, Chicago, IL).

## Results

We enrolled 263 consecutive patients with locally advanced breast cancer who were treated with NAC followed by surgery and adjuvant therapy. The median follow-up time was 54.6 months (range 9.2–126.9). The median age was 48 years (range 18–75). The mean initial tumor size was 6.01 cm (SD  $\pm$  3.41 cm) and clinically positive nodes were detected in 202 patients

(76.8%). Among the patients, 62.7% had stage II disease and 37.3% had stage III disease respectively. The distribution of the 5 subtypes according to the final pathologic reports, was 8.7%, 36.5%, 19.0%, 18.6%, and 17.1% for luminal A, luminal B/HER-2 negative, luminal B/HER-2 positive, triple-negative, and HER-2 overexpression, respectively. Two hundred seventeenth patients (82.5%) completed at least 4 cycles of NAC. There was no dose reduction among the cycles and the treatment cycle was delayed for 1 week if hematological toxicity occurred. Most patients could tolerate it. The most common side effects were grade 1–2 nausea/vomiting, alopecia and leukopenia. Post-operative adjuvant chemotherapy regimens included anthracycline or taxane containing regimens. CEF (cyclophosphamide, epirubicin, 5-fluorouracil) was the most common adjuvant regimen in 230 patients (87.5%). No grade 4 cardiac toxicity was found after completion of NAC and adjuvant chemotherapy.

After NAC, 200 (76%) patients underwent mastectomy and 63 (24%) underwent BCS. Sixteen patients (6.1%) achieved a clinical complete response (CCR), 223 (84.8%) achieved a clinical partial response (PR), 19 (7.2%) had SD, and 5 (1.9%) had progressive disease (PD). Twenty-nine patients (11%) achieved

**Table 2** Tumor response.

Tumor size	All cases	Luminal A-like	Luminal B-like (HER2 negative)	Luminal B-like (HER2 positive)	HER2 overexpression	Triple negative
Pre-NAC echo size (cm), mean $\pm$ SD	5.89 $\pm$ 3.27	5.83 $\pm$ 2.74	5.81 $\pm$ 3.14	5.45 $\pm$ 2.50	5.90 $\pm$ 2.83	6.65 $\pm$ 4.54
Pathological size (cm), mean $\pm$ SD	2.13 $\pm$ 2.08	3.31 $\pm$ 2.23	2.35 $\pm$ 2.12	1.96 $\pm$ 2.23	1.64 $\pm$ 1.68	1.83 $\pm$ 1.87
Molecular subtype	Size reduction (%) Median (IQR)	Pairwise comparisons <sup>b</sup>				
		Luminal A-like	Luminal B-like (HER2 negative)	Luminal B-like (HER2 positive)	HER2 overexpression	Triple negative
Luminal A-like	47.4 (32.8)	–				
Luminal B-like (HER2 negative)	57.6 (53.7)	NS	–			
Luminal B-like (HER2 positive)	69.3 (47.0)	0.020	NS	–		
HER2 positive (non-luminal)	84.4 (46.0)	0.001	0.034	NS	–	
Triple negative (ductal)	78.8 (56.8)	0.006	NS	NS	NS	–
$p$ value <sup>a</sup>	<0.001					
Pathological response		Luminal A-like	Luminal B-like (HER2 negative)	Luminal B-like (HER2 positive)	HER2 overexpression	Triple negative
pCR	29 (11.0%)	0	4 (4.2%)	8 (16.0%)	7 (15.6%)	10 (20.4%)
Non-pCR	234 (89.0%)	23 (100.0%)	92 (95.8%)	42 (84.0%)	38 (84.4%)	39 (79.6%)
$p$ value <sup>c</sup>				0.007		

Abbreviations: HER-2: human epidermal growth factor receptor 2; pCR: pathological complete response; mean  $\pm$  SD, mean  $\pm$  standard deviation.

<sup>a</sup> Kruskal-Wallis Test.

<sup>b</sup> Dunn's pairwise tests were carried out for the five pairs of groups.

<sup>c</sup> Pearson's Chi-square Test.

a pathological complete response pCR. In comparison with patients with the older group ( $\geq 50$  years old), the younger group ( $< 50$  years old) had fewer clinical positive lymph nodes (72.5% vs 83.5%,  $p = 0.039$ ) and received more breast conserving surgery (33.1% vs 9.7%,  $p < 0.0001$ ) [Table 1].

The mean initial tumor size was 6.01 cm. The average percentage of tumor size reduction (the median size measured pathologically after NAC/the median initial clinical size) was 47% in the luminal A group, 69% in the luminal B HER2+ group, 58% in the luminal B HER2-group, 84% in the HER-2 overexpression group, and 79% in the triple-negative group. A pCR was achieved in 20.4% triple-negative cases, 16.0% luminal B HER2+ cases, 15.6% HER-2 overexpression cases, 4.2% luminal B HER2-cases, and none in the luminal A group [Table 2].

Younger age ( $p = 0.031$ ), negative ER status ( $p = 0.006$ ), negative PgR status ( $p = 0.004$ ), luminal B HER2+ type, HER2 overexpression, and triple-negative subtype ( $p = 0.007$ ) were predictors of a pCR in univariate analysis [Table 3]. There was no statistically significant difference in the use of radiotherapy in terms of locoregional control. Multivariate analysis showed younger age, luminal B HER2+, HER2 overexpression, and triple-negative subtype were predictive factors of a pCR [Table 4].

Further, 31 patients (11.8%) developed LRR while any disease recurrence (LRR with or without distant metastasis) happened in 88 patients (33.5%). Patients with a pCR had significantly a lower incidence of disease recurrence and LRR ( $p = 0.05$  and  $0.037$ , respectively). In univariate analysis of the locoregional relapse-free survival rate, the younger group ( $< 50$  years) had better outcomes, as did those who achieved a pCR [Table 5]. Other parameters, including ER, PgR, HER2 status, and types of surgery, did not influence LRR. Eleven patients (6.9%) in the younger group ( $< 50$  years) developed LRR compared with 20 patients (19.4%) in the older group ( $\geq 50$  years) ( $p = 0.002$ ). In multivariate analysis, younger age ( $< 50$  years) remained an independent factor favorable for LRR-free survival ( $p = 0.016$ ) [Table 6]. The LRR-free survival rate in the pCR group and the non-pCR group is shown in Fig. 1A. The effect of age on LRR-free survival rate is demonstrated in Fig. 1B.

## Discussion

Our study revealed that pCR after NAC provided better local control in all subtypes of breast cancer. This study was conducted to follow our previous phase II trial at a single center in 2009 [22], whereby we have concluded that weekly docetaxel and epirubicin were well-tolerated and a very high pCR rate was achieved in HER-2 overexpression subtypes. Furthermore, this regimen showed a high clinical response rate with good patient compliance, and also shortened the period between the initial diagnosis and definitive surgery. One of the aims of this study was to enroll patients with all different subtypes of the same indication to avoid patient bias. Trastuzumab was included as a neoadjuvant treatment in 2010 in our institution; however, we excluded patients receiving trastuzumab because of possible bias resulting from a different regimen.

**Table 3 Comparison between patients with a pathologic complete response (pCR) and a non-pCR.**

Parameters	pCR (n = 29)	Non-pCR (n = 234)	p value
Age (yrs), mean + SD	41.4 ± 11.4	48.9 ± 9.5	<0.001
<50	23 (79.3)	137 (58.5)	0.031
≥50	6 (20.7)	97 (41.5)	
Initial tumor size (cm)	5.6 + 3.3	6.1 + 3.4	0.540
<5	15 (51.7)	121 (52.6)	0.928
≥5	14 (48.3)	109 (47.4)	
Surgery type			0.005
Mastectomy	16 (55.2)	184 (78.6)	
BCS	13 (44.8)	50 (21.4)	
ER			0.006
Negative	17 (58.6)	77 (32.9)	
Positive	12 (41.1)	157 (67.1)	
PgR			0.004
Negative	21 (72.4)	103 (44.0)	
Positive	8 (27.6)	131 (56.0)	
HER2			0.064
Negative	14 (48.3)	154 (65.8)	
Positive	15 (51.7)	80 (34.2)	
Subtype			0.007
Luminal A	0	23 (9.8)	
Luminal B (HER-2 negative)	4 (13.8)	92 (39.3)	
Luminal B (HER-2 positive)	8 (27.6)	42 (17.9)	
HER-2 overexpression	7 (24.1)	38 (16.2)	
Triple-negative	10 (34.5)	39 (16.7)	
Any recurrence			0.050
No	24 (82.8)	151 (64.5)	
Yes	5 (17.2)	83 (35.5)	
Locoregional recurrence			0.037
No	29 (100.0)	203 (86.8)	
Yes	0	31 (13.2)	

Numbers in parentheses are percentages.

Abbreviations: ER: estrogen receptor; HER-2: human epidermal growth factor receptor 2; mean + SD: mean + standard deviation; pCR: pathological complete response; PgR: progesterone receptor.

Previous reports from the NSABP B27 trial showed that anthracycline-based regimens with the addition of a taxane are associated with increased pCR rates [23]. Our overall pCR rate at 11% was consistent with that of Taucher's series at 12.4%, both with the same regimen of weekly combination of epirubicin and docetaxel [24]. Although trastuzumab was

**Table 4 Firth's bias-reduced penalized-likelihood logistic regression to predict a pCR.**

Parameters	Odds ratio	95% C.I. of odds ratio	p value
Age (yrs)			
<50	2.894	1.207–7.898	0.016
≥50	1		
Subtype			
Luminal A	0.080	0.001–0.684	0.016
Luminal B (HER-2 negative)	0.177	0.049–0.547	0.002
Luminal B (HER-2 positive)	0.835	0.294–2.321	0.729
HER-2 overexpression	0.818	0.276–2.339	0.708
Triple-negative	1		

Abbreviations: pCR: pathological complete response; HER-2: human epidermal growth factor receptor 2.

**Table 5** Locoregional relapse (LRR) free survival analysis (N = 263) (all patients).

Parameters	N	N of events	Mean	95% C.I. of mean	p value
Age (yrs)					0.002
<50	160	11 (6.9)	118.9	114.3–123.5	
≥50	103	20 (19.4)	100.4	91.9–108.9	
Initial tumor size (cm)					0.640
<5	136	14 (10.3)	114.9	108.9–120.9	
≥5	123	15 (12.2)	109.4	103.0–115.9	
Surgery type					0.274
Mastectomy	200	26 (13.0)	111.2	105.7–116.6	
BCS	63	5 (7.9)	117.8	110.3–125.5	
ER					0.843
Negative	94	11 (11.7)	113.0	105.2–120.7	
Positive	169	20 (11.8)	110.9	105.5–116.2	
PgR					0.743
Negative	124	15 (12.1)	112.6	105.9–119.4	
Positive	139	16 (11.5)	102.9	97.6–108.2	
HER2					0.429
Negative	168	18 (10.7)	114.3	108.8–119.8	
Positive	95	13 (13.7)	108.5	100.7–116.3	
Subtype					0.946
Luminal A	23	2 (8.7)	99.0	89.3–108.7	
Luminal B (HER2 negative)	96	11 (11.5)	110.2	103.0–117.3	
Luminal B (HER2 positive)	50	7 (14)	108.9	98.5–119.2	
HER2 overexpression	45	6 (13.3)	106.6	95.3–117.9	
Triple-negative	49	5 (10.2)	114.7	104.5–124.9	
NAC cycles					0.144
≤4	256	29 (11.3)	113.8	109.3–118.3	
>4	7	2 (28.5)	78.4	30.4–126.4	
Pathology response					0.044
pCR	29	0 (0)	N/A		
Non-pCR	234	31 (13.2)	111.5	106.4–116.5–	

N: number; Numbers in parentheses are percentages.

Abbreviations: BCS: breast-conserving surgery; CR: complete response; ER: estrogen receptor; HER-2: human epidermal growth factor receptor 2; NAC: neoadjuvant chemotherapy; pCR: pathological complete response; PgR: progesterone receptor.

not added in ours and Yang's series, the 15.8% pCR rate in our HER-2 positive patients looked better than the 8% rate in Yang's series also without using trastuzumab. The 5-year LRR rate was 11% and 8%, respectively in ours and Yang's series [25].

Our series confirmed that luminal B HER2+, HER-2 overexpression, and TNBC were favorable subtypes to achieve a pCR. This result was compatible with that shown in most of previous reports [11–15,17,19]. The tumor size reduction rate ranged from 47 to 84% according to different subtypes [Table 2]. However, 200 (76%) patients still chose mastectomy in our study, which was relatively high compared with

Western series. Worse disease-free survival rate was eventually observed in the mastectomy group (60.5%) than in the BCS group (85.7%); however, the choice of surgery types did not affect the LRR rate, 13% in mastectomy patients and 7.9% in BCS patients respectively ( $p = 0.274$ ). The probable causes were a smaller tumor size and more negative lymph nodes in the BCS group, although they were not statistically significant. The BCS group also had a lower clinical stage in the I-SPY 1 trial in which the surgeon chose the most appropriate surgery [25]. Results from the combined analysis of NSABP B-18 and B-27 showed the 10-year cumulative incidence of LRR was 12.3% for mastectomy patients and 10.3% for lumpectomy plus breast radiotherapy patients. The study found that independent predictors of LRR in all patients were younger age, clinical status before NAC, and residual tumor status. The beneficial effect of younger age was greater in the BCS group than in the mastectomy group [20]. There was no significant difference in LRR between the BCS group and mastectomy group in I-SPY trial as did in our series. Importantly, higher rate of breast conservation would not increase the incidence of LRR, which was also confirmed by the NSABP B-18 and the European Organisation for Research and Treatment of Cancer (EORTC) studies [26].

Although CTNeoBC trials did not validate pCR as a surrogate endpoint for an improved event-free survival or

**Table 6** Cox regression with Firth's penalized maximum likelihood for analysis the locoregional relapse (LRR) free survival (all patients).

Parameters	Hazard ratio	95% C.I. of hazard ratio	p value
Age (yrs)			
<50	1		
≥50	2.711	1.338–5.770	0.005
Response			
pCR	1		
Non-pCR	6.806	0.955–863.204	0.058

Abbreviation: pCR, pathological complete response.

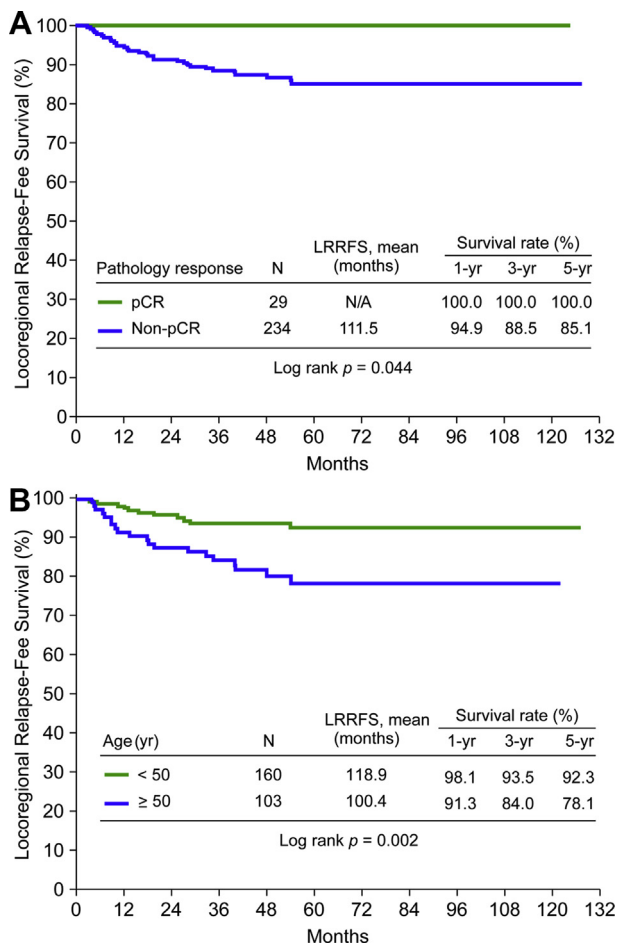


Fig. 1 (A) Locoregional relapse-free survival (LRRFS) rate in the pathological complete response (pCR) group compared with the non-pCR group. (B) Locoregional relapse-free survival rate according to age.

overall survival [17], pCR is a suitable surrogate endpoint selectively for patients with the aggressive subtypes [6]. In our study, the LRR rate was 11.8% in all patients, but 0% in the pCR group. A pCR in our patients was achieved using only epirubicin and docetaxel. Yang et al. reported that 233 patients with stage II–III disease who were treated with NAC, mastectomy, and post-mastectomy radiotherapy at a median 62 months follow-up had an 8% LRR rate in 5 years. No LRR occurred in the pCR group versus a rate of 9% in the non-pCR group ( $p = 0.05$ ) in Yang's report [27]. LRR occurred in 10.2% and 8.7%, respectively in patients with TNBC and luminal A subtypes, it was difficult to predict which of the 5 molecular subtypes would have a lower or higher LRR rate in our study. In contrast, we found that younger age was associated with better locoregional control after both mastectomy and BCS. This finding may be because of the higher pCR rate in our young patients, which lead to a favorable outcome. Another large analysis of the EORTC 10994/BIG 1-00 study of patients with large operable or locally advanced breast cancer receiving NAC showed no significant association of age with LRR, and patient population had a more advanced disease stage than

that in NSABP B-18 and B-27 [28]. Therefore, the influence of age on LRR remained unclear in patients with locally advanced breast cancer after NAC. Without NAC, some other series demonstrated that younger age was a positive risk factor to predict local recurrence in breast cancer patients treated with BCS [29–32]. The result was strongly consistent with meta-analysis of 17 prospective randomized control trials of BCS, with or without radiotherapy, which revealed that a younger age was associated with higher rates of 10-year LRR [33]. In a retrospective study of 1451 patients who were all younger than 40 years old, local tumor control was worse after BCS than after mastectomy [34]. In our series, most patients underwent mastectomy following NAC. Radosa et al. retrospectively reported that younger patients were more likely to present with a higher stage of disease; however, younger age was not an independent risk factor for local recurrence in patients with TNBC [35]. Therefore, age may not be a crucial factor for LRR among patients who presented at a similar clinical stage and all received chemotherapy. Moreover, Lin et al. reported that younger breast cancer patients (<50 years) in Taiwan are characterized by a high prevalence of luminal A subtype and low prevalence of histologic grade 3 tumor and basal-like subtype [36]. The same study group, collected data from Taiwan Cancer Database, concluded that younger patient (<50 years) in Taiwan is uniquely associated with a higher rate of stage 1 and ER-positive and PgR-positive, favorable pathological features and better outcomes than the older patients ( $\geq 50$  years). These features are quite different from its Western counterparts. Difference in environmental, genetic, and ethnic factors may play a role in such discrepancy of clinicopathological features and outcome between Eastern and Western patients [37].

One of the limitations of this study is that it is a retrospective study from a single institution, which may result in selection bias. The relatively small sample size is another weakness. Another limitation of the study was the shorter follow-up time; however, it may not make a significant bias, as in an overview of the Early Breast Cancer Trialists' Collaborative Group, around 80% of LRRs developed in the first 5 years in patients with node positive disease [38]. Despite these limitations, a strength of our study is that all patients received the same treatment regimen.

In conclusion, our findings suggested that younger age (<50 years) and the three molecular subtypes (luminal B/HER2-positive, HER-2 overexpression, and triple-negative) are highly predictive of a pCR. The younger patients (<50 years) is the only independent factor favorable for LRR-free survival in patients with breast cancer after NAC with concurrent epirubicin and docetaxel. Further larger prospective studies will be conducted to draw more definitive conclusions.

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## Conflicts of interest

No any conflicts of interest to declare.

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