

Neuronal-specific methylome and hydroxymethylome analysis reveal replicated and novel loci associated with alcohol use disorder

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18 1 Abstract

19 Alcohol use disorder (AUD) is a complex condition associated with adverse health consequences that
20 affect millions of individuals worldwide. Epigenetic modifications, including DNA methylation
21 (5mC), have been associated with AUD and other alcohol-related traits. Epigenome-wide association
22 studies (EWAS) have identified differentially methylated genes associated with AUD in human
23 peripheral and brain tissue. More recently, epigenetic studies of AUD have also evaluated DNA
24 hydroxymethylation (5hmC) in the human brain. However, most of the epigenetic work in
25 postmortem brain tissue has examined bulk tissue. In this study, we investigated neuronal-specific
26 5mC and 5hmC alterations at CpG sites associated with AUD in the human orbitofrontal cortex
27 (OFC). Neuronal nuclei from the OFC were evaluated in 34 human postmortem brain samples (10
28 AUD, 24 non-AUD). Reduced representation oxidative bisulfite sequencing was used to assess 5mC
29 and 5hmC at the genome-wide level. Differential 5mC and 5hmC were evaluated using the methylKit
30 R package and significance was set at false discovery rate <0.05 and differential methylation >2.
31 Functional enrichment analyses were performed and replication was evaluated replication in an
32 independent dataset that assessed 5mC and 5hmC of AUD in bulk cortical tissue. We identified 417
33 5mC and 363 5hmC genome-wide significant differential CpG sites associated with AUD, with 59%
34 in gene promoters. We also identified genes previously implicated in alcohol consumption, such as
35 *SYK*, *CHRM2*, *DNMT3A*, and *GATA4*, for 5mC and *GATA4*, and *GAD1*, *GATA4*, *DLX1* for 5hmC.

36 Replication was observed for 28 CpG sites from a previous AUD 5mC and 5hmC study, including
37 *FOXP1*. Lastly, GWAS enrichment analysis showed an association with AUD for differential 5mC
38 genes. This study reveals neuronal-specific methylome and hydroxymethylome dysregulation
39 associated with AUD. We replicated previous findings and identified novel associations with AUD
40 for both 5mC and 5hmC marks within the OFC. Our findings provide new insights into the
41 epigenomic dysregulation of AUD in the human brain.

42 **2 Introduction**

43 The detrimental effects of alcohol use disorder (AUD) are substantial, resulting in more than 150,000
44 deaths globally (GBD 2019 Risk Factors Collaborators, 2020). AUD is characterized by persistent,
45 uncontrollable, and excessive alcohol consumption despite its negative consequences. Although
46 genome-wide association studies (GWAS) have identified genetic risk factors of AUD (Gelernter et
47 al., 2019; Zhou et al. 2020, 2021, 2023), these only account for a portion of the variation observed.

48 Epigenetic mechanisms, such as DNA methylation (5mC), have been implicated in AUD and
49 alcohol-related traits in human studies evaluating various tissues, including saliva, blood, and brain
50 (Longley et al., 2021; Clark et al., 2022; Montalvo-Ortiz et al., 2022; Zillich et al., 2022). The 5mC
51 mechanism involves the addition of a methyl group to the carbon 5 position of the nucleotide, which
52 is catalyzed by DNA methyltransferases (DNMTs) (Gibney and Nolan, 2010). DNA
53 hydroxymethylation (5hmC) occurs when this methyl group is removed through oxidation catalyzed
54 by a family of ten-eleven translocase proteins (TET1, TET2, and TET3) during the DNA
55 demethylation process. Recent work from our group and others has shown that 5hmC is functionally
56 distinct from 5mC. This epigenetic mark is associated with transcriptional activation and highly
57 prevalent in the brain (Rompala et al., 2022). Several studies have implicated 5hmC in anxiety-
58 related behaviors (PMID: 28128679), schizophrenia, bipolar disorder (PMID: 25410542 , PMID:
59 27411884), autism (PMID: 26423458), and Alzheimer’s disease (PMID: 33910000). Interestingly, a
60 recent study evaluating 5mC and 5hmC in bulk tissue from the human postmortem brain identified a
61 role for 5hmC in AUD (Clark et al., 2022).

62 Epigenetic patterns, such as 5mC and 5hmC, are tissue- and cell-type specific, and particularly 5hmC
63 is highly enriched in the brain and abundant in neuronal cells, underscoring the need to investigate
64 this epigenetic mark in brain tissue, particularly in neurons (Kriaucionis and Heintz, 2009; Szulwach
65 et al., 2011; Mellén et al., 2012). However, most epigenetic studies have used bulk brain tissue,
66 which can mask cell-type specific biological signals, highlighting the need for a cell-type-specific
67 approach when evaluating the epigenetic landscape of AUD in the human brain.

68 The orbitofrontal cortex (OFC) has been implicated in decision making and motivated reward-related
69 behavior (Morisot et al., 2019; Lohoff et al., 2021), and recent neuroimaging studies have associated
70 alterations in this brain region with AUD (Shields and Gremel, 2020; Bracht et al., 2021; Atmaca et
71 al., 2023). Individuals diagnosed with AUD exhibit a reduction in the OFC volume, accompanied by
72 a decrease in gray matter, and an impact on dopaminergic pathways (Volkow et al., 2007, 2007;
73 Coleman et al., 2011; Le Berre et al., 2014; Nimitvilai et al., 2017; Moorman, 2018; Morisot et al.,
74 2019; Hernandez and Moorman, 2020). Recent 5mC studies from our group and others have
75 revealed a role of epigenetic mechanisms in OFC in the context of substance use disorders (SUDs)
76 (Kozlenkov et al., 2017; Rompala et al., 2022).

77 In this study, we examined neuronal-specific 5mC and 5hmC profiles in the OFC of AUD (n = 10)
78 and non-AUD (n = 24) groups to identify epigenetically dysregulated genes and evaluate the
79 differences between 5mC and 5hmC marks in the OFC. We also identify the functional pathways

80 enriched by these epigenetically dysregulated genes, evaluate replication in an independent dataset,
81 and assess its relationship with GWAS studies.

82 **3 Materials and Methods**

83 **3.1 Sample collection**

84 Our study cohort comprised 34 postmortem brain samples obtained from the National Post-Traumatic
85 Stress Disorder (PTSD) Brain Bank51 (NPBB) (Friedman et al., 2017), a brain tissue repository at
86 the U.S. Department of Veterans Affairs (VA). Consisting of European American and African
87 American men with a mean age of 41 (s.d \pm 12) (Friedman et al., 2017). The tissue samples were
88 collected after obtaining informed consent from the next-of-kin and processed as described by
89 (Friedman et al., 2017). The clinical diagnosis followed the antemortem assessment protocol (AAP)
90 and postmortem diagnostic assessment protocol (PAP) based on the DSM-IV criteria (Friedman et
91 al., 2017). The samples were categorized into AUD and non-AUD groups. The AUD group included
92 10 donors with alcohol use disorder (AUD) history, which refers to those diagnosed with alcohol
93 dependence or alcohol abuse. The non-AUD group included 24 donors without an AUD diagnosis.
94 AUD and non AUD groups were matched by posttraumatic stress disorder (PTSD), opioid use
95 disorder (OUD), and current smoking. **Table 1** presents the demographic and clinical characteristics
96 of the study cohort.

97 **3.2 Neuronal nuclei isolation and DNA extraction**

98 Neuronal nuclei isolation was performed using fluorescence-activated nuclei sorting (FANS),
99 described by Rompala and Nagamatsu et al. (2022) (Rompala et al., 2022), obtaining 0.5-1 M NeuN+
100 nuclei for DNA extraction. Sorted nuclei were centrifuged at 1500 \times g for 15 min at 4°C to obtain a
101 pellet. Next, 500 μ L and 50 μ L proteinase K (Cat. #69504, Qiagen, Valencia, CA) and 20 mg/mL
102 RNase A (Cat. #12091021; Thermo-Fischer, Waltham, MA) were used to refloat the pellet.
103 TheDNeasy Blood and Tissue Kit (Cat. #69504, Qiagen) manufacturer's protocol was used to
104 process the samples. Finally, eluted samples were concentrated to a final volume of 20 μ l with the
105 Zymo Genomic DNA Clean and Concentrator-10 kit (Cat. #D4010, Zymo Inc., Irving CA) and
106 stored at -80 °C.

107 **3.3 High-throughput bisulfite sequencing and data processing**

108 Sequencing data were obtained by reduced representation oxidative bisulfite-sequencing (RRoxBS),
109 carried out at the Weill Cornell Epigenomics Core (New York, NY). The library preparations for
110 5mC and 5hmC were made using MspI digestion for 400 ng of gDNA with the Ovation RRoxBS
111 Methyl-Seq library preparation kit (TrueMethyl oxBS; Tecan, Switzerland). Bisulfite conversion was
112 followed by a single-end 1x50 bp sequencing with the Illumina NovaSeq6000 system (mean depth of
113 42.7 \pm 1.5 (μ \pm SEM) million reads per library). The hg38 genome reference was used for adapter
114 trimming, alignment information, and mapping efficiency of the sequencing data using an in-house
115 BiSeq pipeline. (Garrett-Bakelman et al., 2015).

116 **3.4 Differential Methylation Analysis**

117 The MethylKit R package (Akalin et al., 2012) was used to conduct differential methylation (5mC)
118 and hydroxymethylation (5hmC) analyses at CpGs. The samples were filtered using a read coverage
119 above 10x and under the 99.9th percentile. Normalization was performed using the median method,
120 where the mean was used to calculate the scaling factor to reduce coverage bias in the statistical

121 analysis. Differential 5mC and differential 5hmC analyses were performed using logistic regression
122 with correction for overdispersion and chi-squared significance testing (Akalin et al., 2012). The
123 covariates included in the model were self-reported ancestry, age of death, PTSD, OUD, smoking,
124 and postmortem interval (PMI). The sliding linear model (SLIM) was used to fit the p-values to q-
125 values (Wang et al., 2011). The significance level for differential 5mC and 5hmC was defined as q-
126 value < 0.05 and a greater than 2% difference of 5mC and 5hmC between AUD and non-AUD
127 groups. Multiple correction sites (MTC) were used for annotation. We used the Genomation R
128 package (Akalin et al., 2015) to annotate CpGs in promoters, introns, exons, and intragenic regions as
129 well as CpG island, CpG islands shores, CpG shelves, and open sea (Akalin et al., 2015). Gene name
130 annotation was performed using EnsemblDb (Rainer et al., 2019).

131 **3.5 Functional enrichment analysis**

132 Genomation R package was used to perform genomic feature annotation (Akalin et al., 2015).
133 Functional enrichment analysis was made using the gene annotation obtained by scan_region.pl perl
134 tool and the UCSC genome browser annotation databases. Genes with a distance greater than 1500
135 bp were not considered for the enrichment analysis (Kuhn, Haussler, & James Kent, 2013). To
136 conduct functional enrichment analysis we used Metascape (Zhou et al., 2019), Enrichr (Kuleshov et
137 al., 2016), and WebGestalt (Liao et al., 2019), which integrates databases such as NCA TS BioPlanet
138 (Huang et al., 2019), Panther (Mi et al., 2013), Gene Ontology Consortium (Gene Ontology
139 Consortium, 2015), and the Kyoto Encyclopedia of Genes and Genomes (KEGG) (Kanehisa and
140 Goto, 2000). Protein–protein interaction (PPI) enrichment analysis was conducted using the MTC of
141 the differential 5mC and 5hmC. The molecular code detection (MCODE) algorithm was used to
142 cluster enrichment ontology terms to identify neighborhoods where proteins are densely connected in
143 the following databases: STRING, BioGrid, and OmniPath (Türei et al., 2016; Oughtred et al., 2019;
144 Szklarczyk et al., 2023)

145 **3.6 GWAS enrichment analysis**

146 The software Multi-marker Analysis of GenoMic Annotation (MAGMA) v1.10 (de Leeuw et al.,
147 2015) was used to conduct gene-level association analysis for 5mC and 5hmC using the GWAS data
148 of alcohol use disorder (AUD) (Zhou et al., 2020), problematic alcohol use (PAU) (Zhou et al.,
149 2020), cannabis use disorder (CUD) (Johnson et al., 2020), opioid use disorder (OUD) (Polimanti et
150 al., 2020), and posttraumatic stress disorder (PTSD) (Nievergelt et al., 2019). The analysis was based
151 on genetic variants in the 1000 Genomes Project dataset available on the MAGMA website
152 (g1000_eur.bim). Gene annotation for the analysis was performed using the MAGMA
153 NCBI37.3.gene.loc file.

154 An gene-level overlap between Clark et al. (2022) (Clark et al., 2022) reported genes, and our
155 significant findings was conducted. Fisher's exact test was applied using the GeneOverlap 1.38.0 R
156 package (Li Shen, 2017) to evaluate whether the gene-level overlap is statistically significant.

157 **4 Results**

158 **4.1 AUD-associated 5mC and 5hmC differential CpG sites**

159 For 5mC, we identified 417 CpG sites after multiple testing correction with a difference in the
160 percentage of methylation between AUD and non-AUD groups higher than 2. Of these, 137 were
161 hypomethylated and 280 were hypermethylated (**Figure 1**). For 5hmC, we identified 363 CpG sites
162 after multiple testing correction, with 213 hypo- and 150 hyper-methylated CpG sites (**Figure 2**).

163 **Table 2** and **Table 3** list the top MTC differential 5mC and 5hmC CpG sites. The 5mC MTCs
164 annotation showed that 59% of them were located at the promoter region, 21% at the intragenic
165 region, 14% at introns, and 6% at exons. For the 5hmC MTCs, 66% were located at the promoter
166 region, 17% at the intragenic region, 11% at introns, and 6% at exons.

167 **4.2 Q-Q plot**

168 The quantile– quantile (QQ) plots (**Supplementary Figure 1**) for the 5mC and 5hmC differential
169 analyses are shown. The lambda values were $\lambda=1.21$ for 5mC and $\lambda=1.46$ for 5hmC.

170 **4.3 5mC and 5hmC-enriched pathways**

171 For 5mC, we found significant enrichment (using 0bp annotation) for 31 pathways after multiple
172 testing correction (**Supplementary Figure 2**). Top-level gene ontology (GO) pathways enriched
173 included cellular process (GO:0009987), developmental process (GO:0032502), regulation of
174 biological process (GO:0050789). The most significantly enriched pathways were cell-cell adhesion
175 (GO:0098609), homophilic cell adhesion via plasma membrane adhesion molecules (GO:0007156),
176 cell-cell adhesion via plasma-membrane adhesion molecules (GO:0098742), positive regulation of
177 nervous system development (GO:0051962), regionalization (GO:0003002), and anterior/posterior
178 pattern specification (GO:0009952). The enrichment of 5mC using the 1500bp annotation included
179 67 pathways (**Supplementary Figure 3**), with top-level GO pathways including cellular process
180 (GO:0009987), developmental process (GO:0032502), and biological regulation (GO:0065007). The
181 most significant enriched pathways were cell-cell adhesion (GO:0098609), homophilic cell adhesion
182 via plasma membrane adhesion molecules (GO:0007156), cell-cell adhesion via plasma-membrane
183 adhesion molecules (GO:0098742), anterior/posterior pattern specification (GO:0009952),
184 regionalization (GO:0003002), pattern specification process (GO:0007389), and regulation of
185 nervous system development (GO:0051960).

186 For 5hmC, significant enrichment using the 0bp annotation was found for 260 pathways
187 (**Supplementary Figure 4**), including the top-level GO pathways of cellular process (GO:0009987),
188 developmental process (GO:0032502), regulation of biological process (GO:0050789). After
189 multiple testing correction, the most significantly enriched pathways were homophilic cell adhesion
190 via plasma membrane adhesion molecules (GO:0007156), cell-cell adhesion via plasma-membrane
191 adhesion molecules (GO:0098742), pattern specification process (GO:0007389), and cell-cell
192 adhesion (GO:0098609). The enrichment analysis using the 1500bp annotation identified 165
193 significant pathways (**Supplementary Figure 5**), including top-level GO pathways enriched for
194 5hmC of cellular process (GO:0009987), developmental process (GO:0032502), growth
195 (GO:0040007). The most significant enriched pathways were homophilic cell adhesion via plasma
196 membrane adhesion molecules (GO:0007156), cell-cell adhesion via plasma-membrane adhesion
197 molecules (GO:0098742), pattern specification process (GO:0007389), regionalization
198 (GO:0003002), and cell-cell adhesion (GO:0098609).

199 **4.4 Protein-protein interaction analysis**

200 The PPI network analysis of the differential 5mC genes showed as significant pathways cell-cell
201 adhesion (GO:0098609), homophilic cell adhesion via plasma membrane adhesion molecules
202 (GO:0007156), cell-cell adhesion via plasma-membrane adhesion molecules (GO:0098742). The
203 MCODE cluster algorithm identified GO pathways related to neurogenesis, chromatin organization,
204 and cell adhesion (**Supplementary Figure 6** and **Supplementary Figure 7**).

205 For the 5hmC marks, the significant pathways identified in the PPI network analysis were cell-cell
206 adhesion homophilic cell adhesion via plasma membrane adhesion molecules (GO:0007156),
207 embryonic organ development (GO:0048568), and cell–cell adhesion via plasma-membrane adhesion
208 molecules (GO:0098742). The MCODE cluster algorithm identified GO pathways implicated in
209 neurogenesis, cell adhesion, calcium ion transport, and Wnt signaling (**Supplementary Figure 8** and
210 **Supplementary Figure 9**).

211 **4.5 GWAS enrichment results**

212 A significant enrichment was identified between genes with differential 5mC and GWAS signals of
213 AUD ($p = 0.0022$) and PAU ($p = 0.019$). No significant enrichment of alcohol-related GWAS was
214 observed for 5hmC or for CUD, OUD, or PTSD with either 5mC or 5hmC (**Figure 3**).

215 **4.6 Gene overlap analysis**

216 We compared our findings with those reported by Clark et al. (2022) (Clark et al., 2022), which
217 evaluated AUD-associated 5mC and 5hmC in bulk tissue from the human postmortem PFC. For
218 5mC, we found an overlap of 14 genes with their reported 576 (Figure 4A). Fisher exact test showed
219 that the overlap is significant ($p = 8.5e-25$, odds ratio=124.00). Similarly, for 5hmC, we found a
220 significant overlap of 14 genes with their reported 1023 genes (Figure 5B; $p = 3.9e-22$, odds
221 ratio=79.80).

222 **5 Discussion**

223 This study presents a neuronal-specific epigenomic investigation of AUD in the human brain. We
224 profiled 5mC and 5hmC at the genome-wide scale and revealed previously reported as well as novel
225 differential CpG sites associated with AUD. Our analysis identified 417 and 363 AUD-associated
226 CpG sites for 5mC and 5hmC, respectively.

227 Our 5mC findings revealed several genes of interest in the AUD context, including *SYK*. This gene
228 has been previously associated with alcohol metabolism in the liver and its inhibition is linked to
229 reduced liver inflammation (Qu et al., 2018; Kurniawan et al., 2020). Furthermore, previous studies
230 have found that binge drinking can induce *SYK* activation, and pharmacological inhibition of *SYK*
231 significantly decrease alcoholic liver disease in a mouse model of binge drinking (Bukong et al.,
232 2017).

233 Another differential 5mC gene identified is the *DNMT3A*, which encodes for an enzyme involved in
234 de novo DNA methylation (Fischer et al., 2021; Pino et al., 2017). Ethanol exposure can induce a
235 prolonged upregulation of *DNMT3A* in neuronal precursor cell lines and primary mouse embryonic
236 fibroblasts (Miozzo et al., 2018). Similarly, *Dnmt3a* has been found upregulated in the nucleus
237 accumbens of alcohol-preferring rats exposed to intermittent ethanol exposure (Niinep et al., 2021).

238 For 5hmC, one of the identified differential CpG sites previously linked to AUD mapped to *GADI*, a
239 gene that encodes for one of the glutamate decarboxylases that catalyze the conversion of glutamate
240 to Gamma-aminobutyric acid (GABA). Chronic alcohol exposure has been linked to a decrease in
241 GABA levels and an increase in GABA receptors (Sytinsky et al., 1975; Tran et al., 1981; Dodd et
242 al., 1996; Behar et al., 1999). *GADI* has also been found to be upregulated in the dorsomedial
243 thalamus of human subjects in individuals with AUD (Hade et al., 2021). However, a recent study
244 did not find a difference in *GADI* mRNA levels in OFC between individuals with AUD and the non-
245 alcoholic group AUD (Underwood et al., 2019; Edenberg et al., 2010). More research is needed to
246 fully elucidate the role of these genes in AUD.

247 Additional AUD-associated CpG sites with differential 5hmC were those mapped to *DLX1* and
248 *DLX2*, which are also implicated GABA signaling, specifically in interneuron GABA synthesis (Le
249 et al., 2017; Pla et al., 2018) and play a role in interneuron synaptogenesis and dendritogenesis (Pla et
250 al., 2018). Moreover, these genes are suggested to directly promote the expression of *Grin2b*, a gene
251 previously reported in human stem-cell-derived cortical neurons exposed to chronic alcohol
252 consumption and also reported in the prefrontal cortex and hippocampus of mice treated with chronic
253 alcohol consumption followed by withdrawal (Endele et al., 2010; Xiang et al., 2015; Pla et al., 2018;
254 Myers et al., 2019). In the current study, both *DLX1* and *DLX2* showed hyper-5hmC and were
255 located in the exon region. *DLX2* CpG site was located in the promoter region, suggesting that it
256 could be directly impacting gene expression regulation in individuals with AUD. The effect of 5hmC
257 in the exon region is not well understood; however, in the case of 5mC, it is suggested that the
258 density of 5mC in the exon can enhance gene expression (Li et al., 2018).

259 *GATA4* was identified in both 5mC and 5hmC differential analyses and has been previously
260 associated with alcohol dependence (Treutlein et al., 2009; Edenberg et al., 2010; Karpyak et al.,
261 2014). *GATA4* encodes the GATA-motif binding protein type 4, a transcription factor that controls
262 the expression of proteins involved in drug metabolism (Karpyak et al., 2014). In addition, high
263 doses of alcohol increase its expression (Zhong et al., 2010). In our study, this gene was
264 hypomethylated and hypo hydroxymethylated (5mC location at 11697675bp, 5hmC location at
265 11703255). The differential 5mC CpG site was located in the intronic region, suggesting
266 chromosomal instability. The 5hmC CpG sites were located in the promoter and intronic regions. The
267 presence of 5hmC at the promoter region has been associated with protection of gene transcription in
268 regions where 5mC is present (Ehrlich and Ehrlich, 2014). This suggests an interaction of these
269 epigenetic mechanisms in the modulation of *GATA4* expression in the OFC.

270 The findings of our enrichment analysis are consistent with prior AUD-related studies identifying
271 development, and neurogenesis. For instance, in a previous report examining fetal alcohol syndrome
272 (FAS), these pathways were found to be highly significant (Fischer et al., 2021). Moreover, studies
273 have also reported that AUD impacts cell adhesion and neurogenesis, which involves the
274 development of new neurons and their integration into functional neural networks (Ramanathan,
275 1996; Arevalo et al., 2008; Pino et al., 2017; Poulouse et al., 2017; Lees et al., 2020; Wooden et al.,
276 2021). The effects on developmental processes and neurogenesis may contribute to the cognitive
277 impairment reported in individuals with AUD and may also be linked to brain dysfunction in various
278 regions, including the OFC (Arevalo et al., 2008; De Wilde et al., 2007).

279 GWAS enrichment analysis showed enrichment of differential 5mC genes with AUD and PAU
280 GWASes (Zhou et al., 2020). No enrichment was identified with GWAS of other SUDs, suggesting a
281 specificity of our differential 5mC marks with alcohol-related traits. When comparing our findings
282 with those reported by Clark et al. (2022), we observed 14 overlapping genes for each epigenetic
283 mark, 5mC and 5hmC. Several overlapping genes with differential 5hmC have been previously
284 linked to SUDs. For instance, *KCNQ1*, a gene encoding a potassium ion channel, was identified in a
285 genome-wide association study (GWAS) of alcohol dependence (Edenberg et al., 2010; Feng et al.,
286 2022). Similarly, *APBB2* has been associated with opioid and amphetamine dependence (Gelernter et
287 al., 2014; Liu et al., 2018). Among the 5mC overlapping genes, *ASIC2* has been linked to addiction-
288 related behavior in mice (Kreple et al., 2014). These findings underscore the importance of
289 evaluating both 5mC and 5hmC to fully investigate the associations between AUD and epigenetic
290 mechanisms, at least in the brain where 5hmC is highly prevalent and enriched in neurons. Future
291 studies should explore these genes in greater depth to better understand their involvement in AUD.

292 The limitations of this study are that the donors of the study cohort present heterogeneous
293 comorbidities. All individuals in the OUD group were also diagnosed with PTSD. We controlled this
294 by using PTSD as a covariate in the differential 5mC and 5hmC analyses. In addition, we conducted
295 a GWAS enrichment analysis of our 5mC and 5hmC annotated genes to determine whether the
296 reported genes were enriched for the comorbidity traits, including PTSD and other SUDs. In
297 addition, the cohort size is limited; however, it is comparable to other recently published postmortem
298 brain studies. Another limitation is that all samples are male, limiting to identify the effect of sex in
299 our results. The analyses were only carried out on CpGs sites and it would be important to conduct a
300 study on non-CpGs sites, because of the role of 5mC at non-CpGs on neuropsychiatric diseases from
301 our group and others (Jang et al., 2017; Nagamatsu et al., 2022). To determine if the epeigenetic
302 marks in the reported genes in this study are a cause of AUD or an effect, it is necessary to conduct
303 research in model organisms.

304 Our study characterized the methylome and hydroxymethylome profiles of AUD in neurons from the
305 OFC. Our results replicate previous findings in certain genes and highlight new findings for both
306 5mC and 5hmC. This study reveals new insights into the epigenomic dysregulation of AUD in the
307 human brain and pinpoints potential drug targets for the treatment of individuals suffering from
308 AUD.

309 6 Figures

310 **Figure 1. 5mC differential CpG sites associated with AUD.** A) Volcano plot shows the 5mC
311 differential CpG sites associated with AUD. B) Pie chart depicts the gene location of the MTC CpG
312 sites identified.

313 **Figure 2. 5hmC differential CpG sites associated with AUD.** A) Volcano plot shows the 5hmC
314 differential CpG sites associated with AUD. B) Pie chart depicts the gene location of the MTC CpG
315 sites identified.

316 **Figure 3. Generalized Gene-Set Analysis of GWAS for 5mC and 5hmC.** The bar plot shows the
317 gene-set analysis of GWAS for 5mC and 5hmC, including alcohol use disorder (AUD), problematic
318 alcohol use (PAU), cannabis use disorder (CUD), opioid use disorder (OUD), and post-traumatic
319 stress disorder (PTSD).

320 **Figure 4. Overlapped differential methylated (5mC) and hydroxymethylated genes in human
321 brain tissue.** A) shows the overlap between our 5mCG MTCs and 5mCG reported sites from Clark
322 et al. (2022), where 14 genes overlap between both results. B) shows the overlap between our
323 5hmCG from OFC and 5hmCG reported sites from Claret al. (2022), where 14 genes overlap
324 between both results.

325 7 Tables

Table 1. Demographic and clinical information of the study cohort

	Cases (10)	Controls (24)
Ancestry	EA	7
	AA	3
Male	10	24

Age of death	34.18 (+-6.79)	41.35 (+-12.17)
PTSD	10	11
OD	4	4
Smoking	9	10
PMI	28.65 (+-5.42)	30.34 (+-8.67)

326

327

Table 2. Top MTC differential methylated (5mC) CpG sites

Gene	Chr	BP	strand	meth.diff	pvalue	qvalue
<i>GNPNAT1</i>	chr14	52791636	-	2.73	3.93E-12	7.12E-06
<i>CYP26B1</i>	chr2	72147339	+	2.02	3.17E-11	2.87E-05
<i>PCDH8P1</i>	chr13	53201122	-	2.21	9.86E-11	5.95E-05
<i>SNORA57</i>	chr11	62665457	+	3.04	2.46E-10	7.42E-05
<i>FAM163B</i>	chr9	1.34E+08	+	2.55	2.03E-10	7.42E-05
<i>KEAP1</i>	chr19	10503028	-	3.88	1.33E-09	0.000142
<i>STAM2</i>	chr2	1.52E+08	+	2.55	1.20E-09	0.000142
<i>ARX</i>	chrX	25013092	-	3.48	1.22E-09	0.000142
<i>AL033528.1</i>	chr1	25922969	-	2.70	1.76E-09	0.000162

328

329

Table 3. Top MTC differential hydroxymethylated (5hmC) CpG sites

Gene	chr	BP	strand	meth.diff	pvalue	qvalue
<i>SLC12A8</i>	chr3	125141732	+	5.48	0.000104	0.049906
<i>TFAP2A</i>	chr6	10419244	+	-3.16	0.000104	0.049906
<i>TLX1</i>	chr10	101135137	-	-4.18	0.000103	0.049903
<i>SOX17</i>	chr8	54453592	+	-3.17	0.000103	0.049903
<i>MAFA</i>	chr8	143431381	+	3.19	0.000103	0.049903
<i>ZIC2</i>	chr13	99989614	-	11.33	0.000102	0.049745

<i>RP11-570J4.2</i>	chr21	9592720	-	6.70	0.000102	0.04968
<i>ARL6IP4</i>	chr12	122981331	-	-3.29	0.000101	0.049664
<i>PHOX2A</i>	chr11	72244124	+	-3.20	0.0001	0.049519
<i>NID2</i>	chr14	52068116	+	-3.41	0.0001	0.049519

330

331 **8 Conflict of Interest**

332 The authors declare that the research was conducted in the absence of any commercial or financial
333 relationships that could be construed as a potential conflict of interest.

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351 **11 References**

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668 7.

669 **12 Supplementary Material**

- 670 1. Differential CpG Methylation (FDR-adjusted $q < 0.05$)
- 671 2. Differential CpG Hydroxymethylation (FDR-adjusted $q < 0.05$)
- 672 3. GWAS enrichment analysis results
- 673 4. Metascape enrichment analysis results for Methylation
- 674 5. Metascape enrichment analysis results for Hydroxymethylation
- 675 6. Overlapped MTC genes vs Clark et al. (2022) for Methylation
- 676 7. Overlapped MTC genes vs Clark et al. (2022) for Hydroxymethylation

677

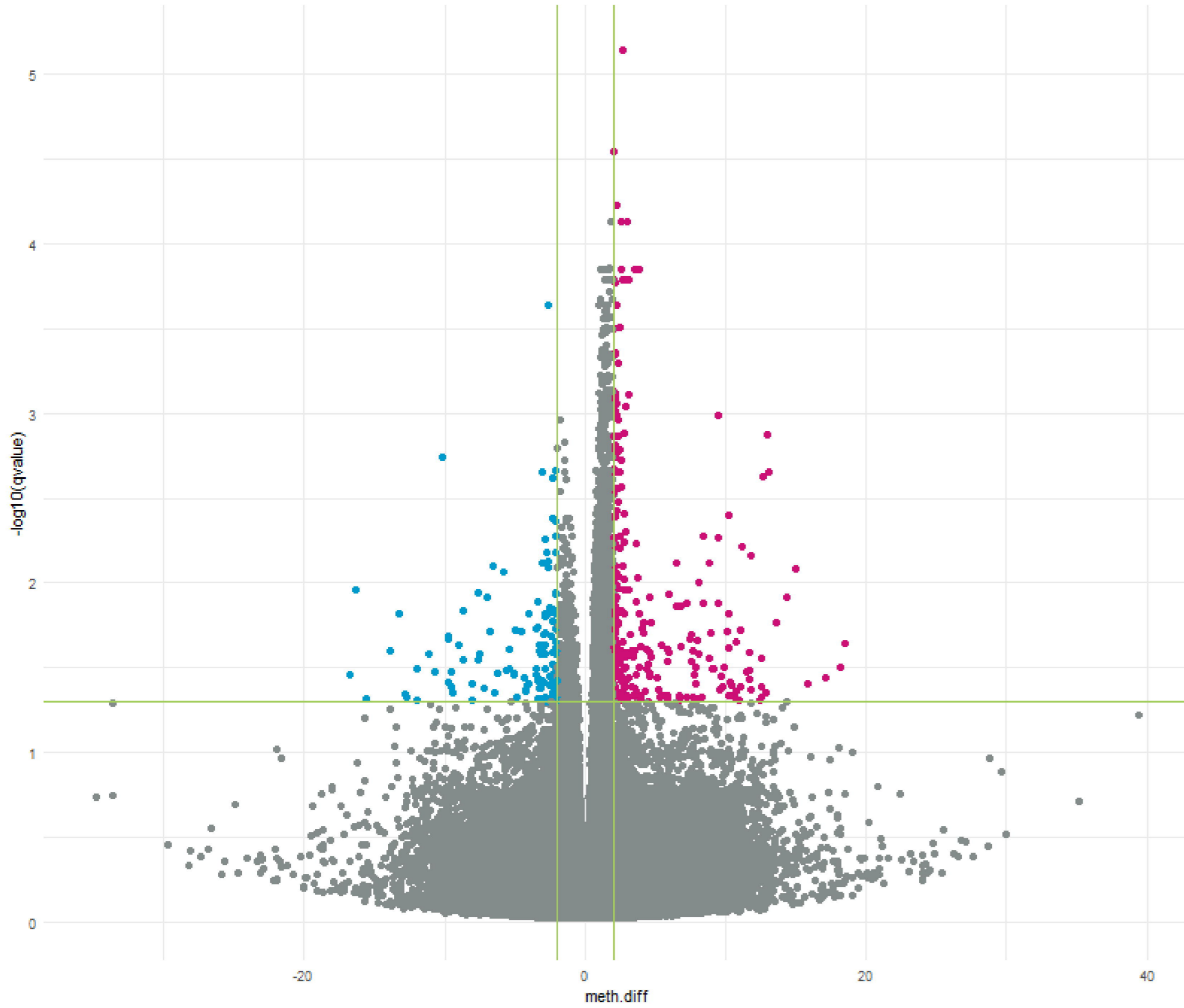
678 **13. Data Availability Statement**

679 Summary statistics are available in Supplementary Tables. Summary-level data produced in the
680 present work are included in the Supplementary materials. All data produced are available upon
681 reasonable request.

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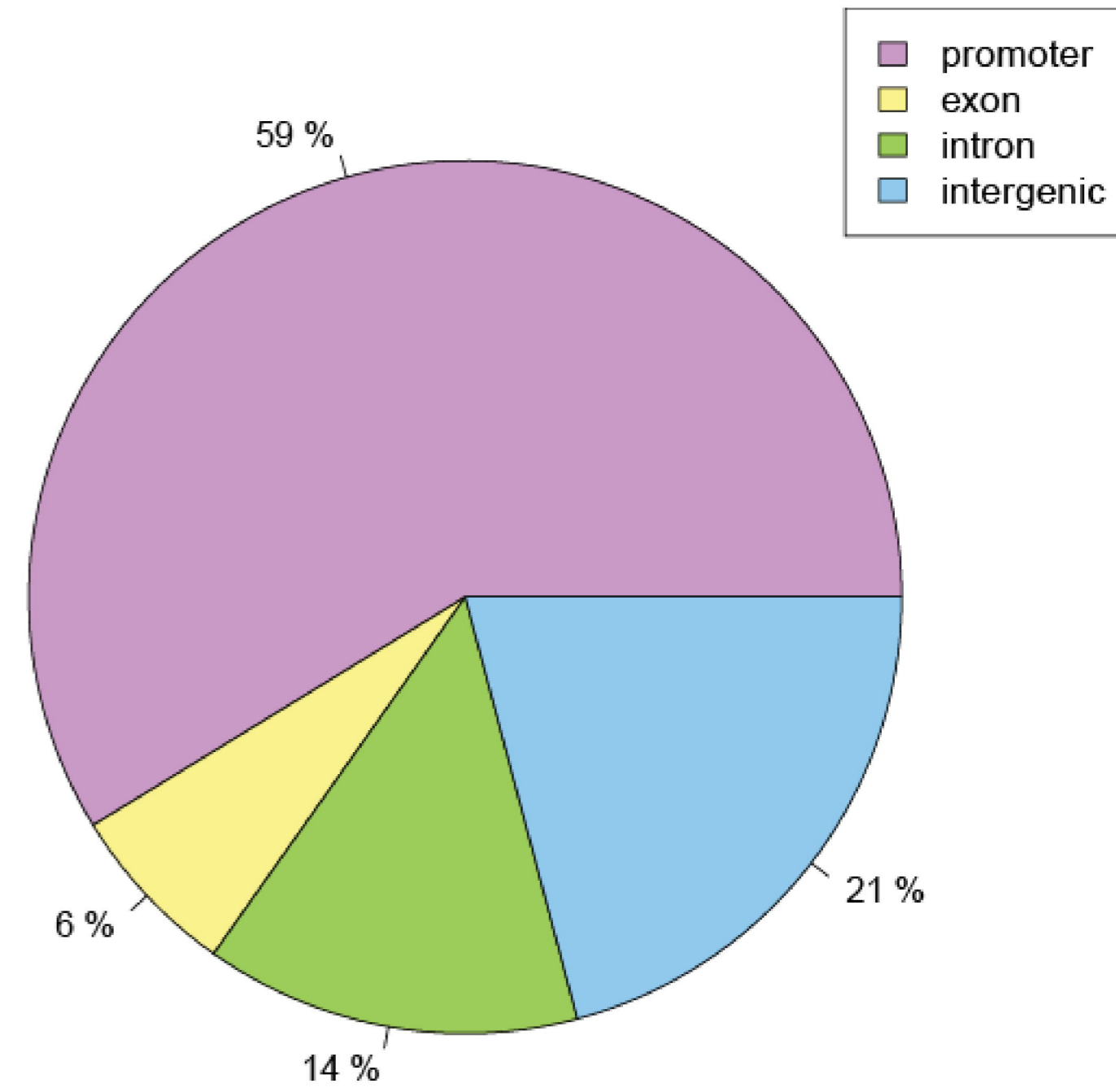
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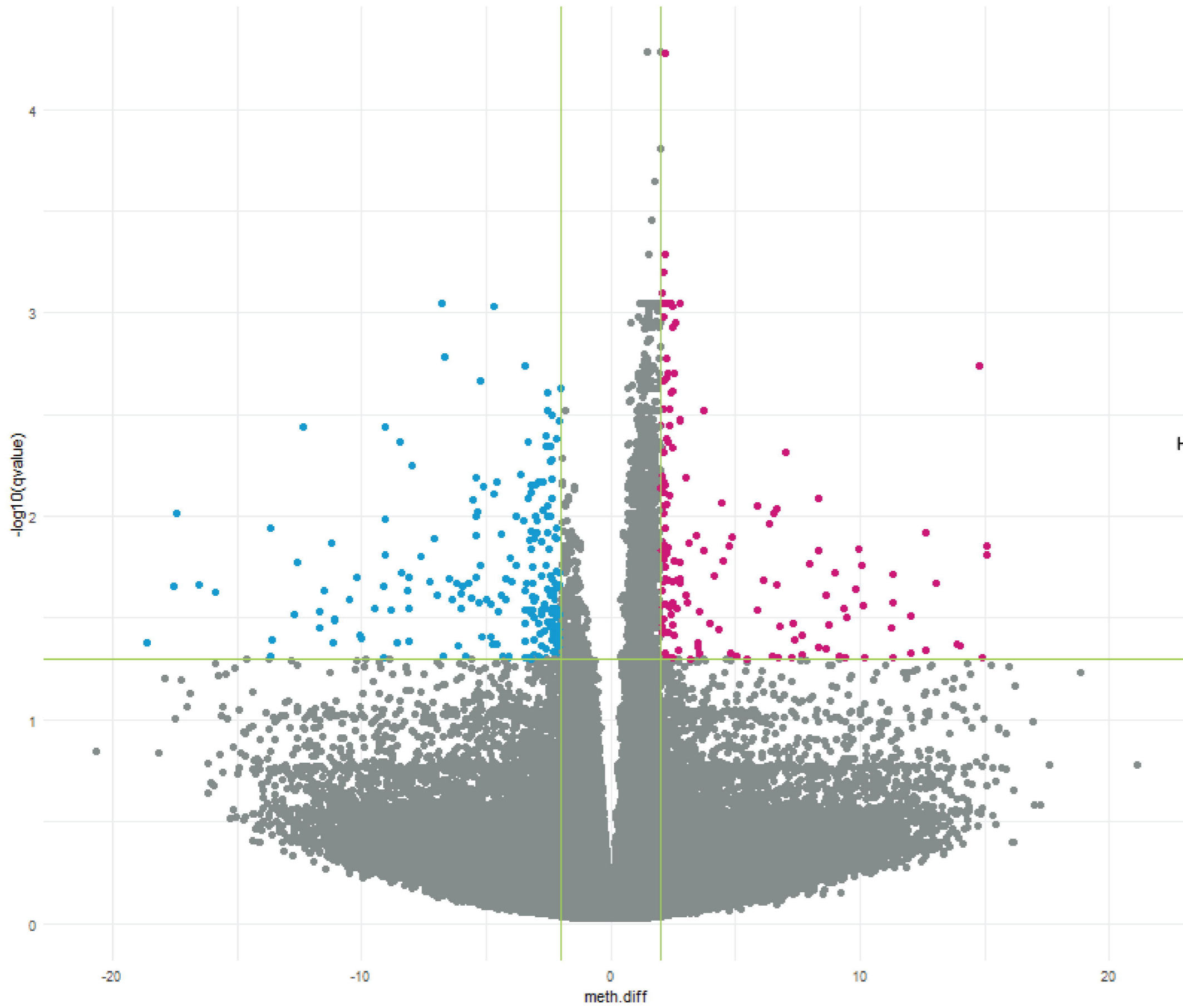
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- NO
- UP



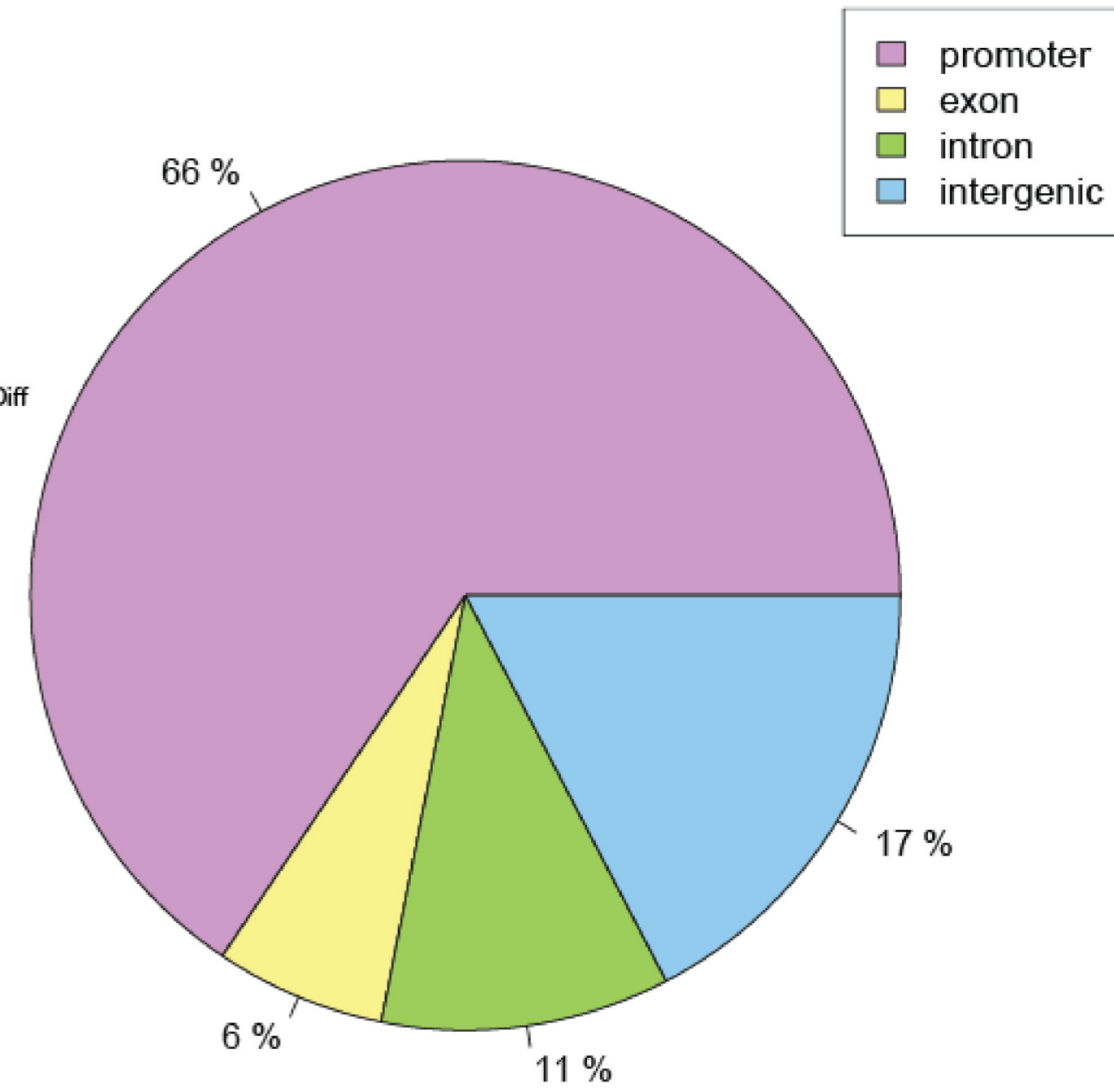
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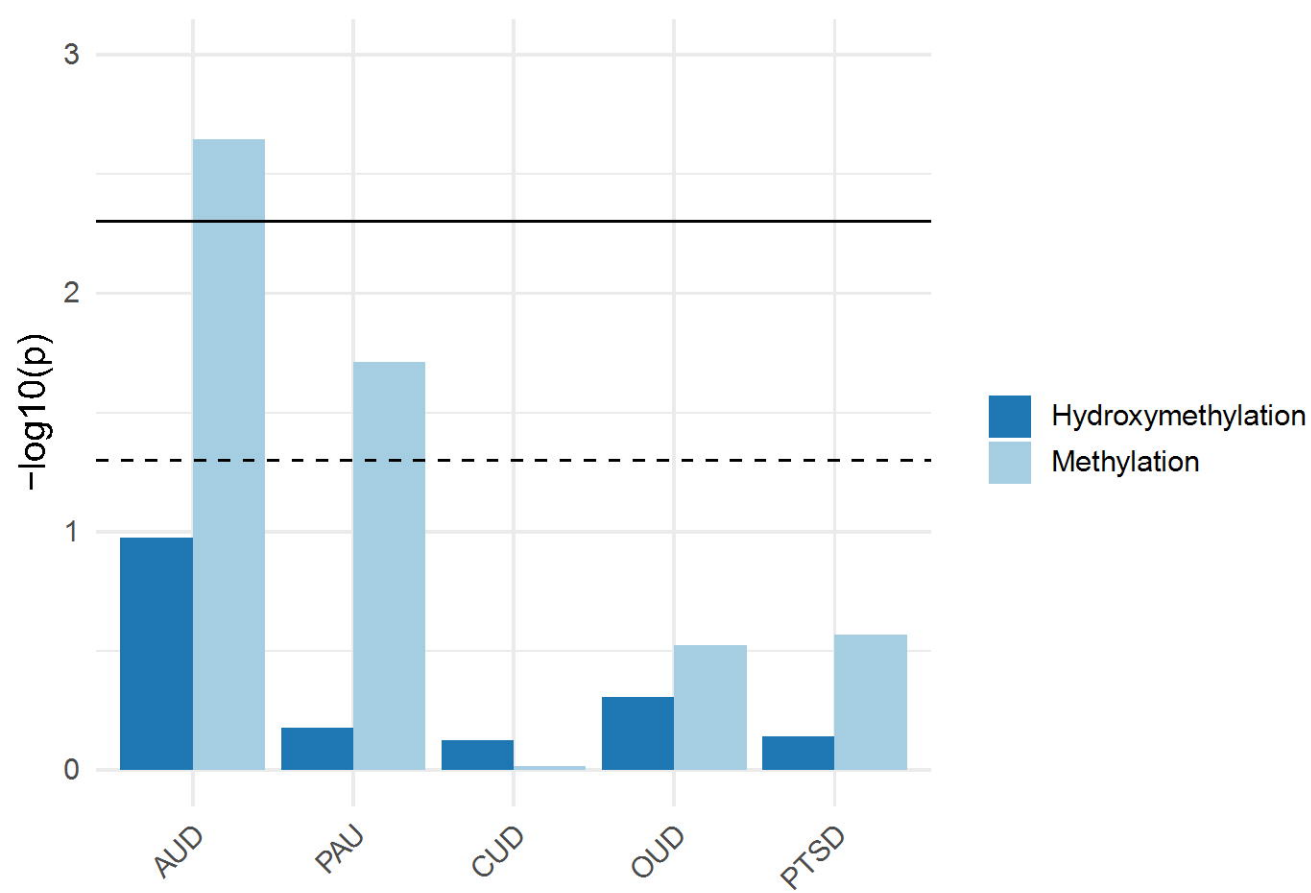
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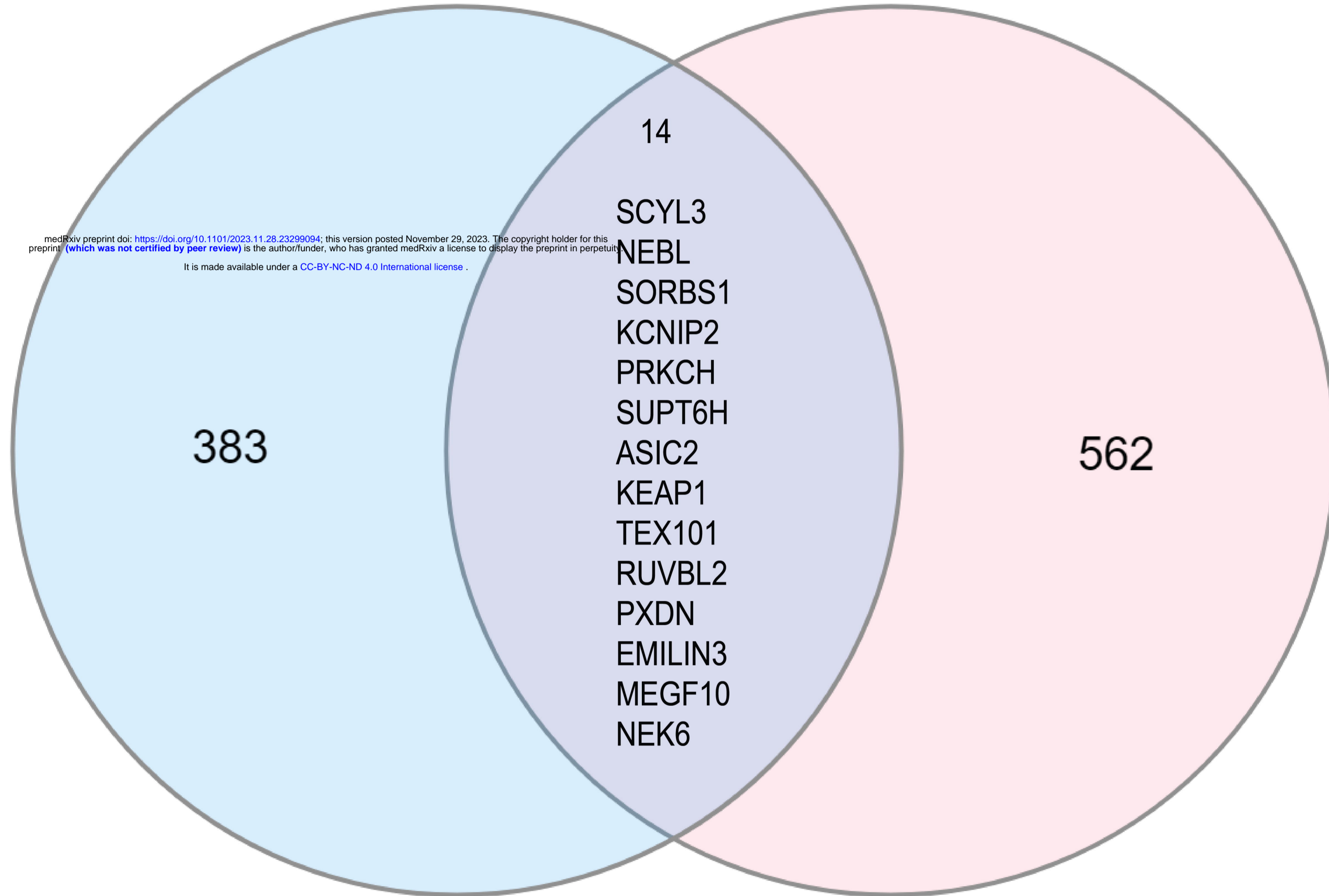




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