

# Patterns of local failures and suggestions for reduction of clinical target volume for nasopharyngeal carcinoma patients without cervical lymph node metastasis

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**Background:** To demonstrate the robustness of clinical target volume delineation for nasopharyngeal carcinoma (NPC) patients, this study makes a detailed analysis of the initial irradiated dose of the recurrent site and local failure patterns after intensity-modulated radiation therapy (IMRT). Based on this analysis, further improvement of delineation recommendations may be made in order to improve the quality-of-life in NPC, without decreasing the local control and survival rate.

**Methods:** In total, 382 newly diagnosed non-metastatic NPC patients were retrospectively enrolled, receiving elective neck irradiation to levels II, III, and VA. For patients with local failure, the location and extent of local failures were transferred to the pretreatment planning computed tomography (CT) for dosimetric analysis. The dose of radiation received by GTV<sub>r</sub> (gross tumor volume of recurrence) was calculated and analyzed with dose-volume histogram (DVH). Failures were classified as: “in field” if 95% of GTV<sub>r</sub> was within the 95% isodose, “marginal” if 20%–95% of GTV<sub>r</sub> was within the 95% isodose, or “outside” if less than 20% of GTV<sub>r</sub> was inside the 95% isodose.

**Results:** With a median follow-up time of 61.3 months, 12 patients developed local recurrence (10 cases available). The 5-year overall survival, local relapse-free survival, regional relapse-free survival, distant metastasis failure-free survival, and disease-free survival were 87.8%, 95.2%, 99.1%, 93.3%, and 82.5%, respectively. Dose conformity with IMRT was excellent, and the recurrence was mainly within 3 years after the first treatment. The dosimetric analysis showed that seven failures were classified as “in-field”, two failures as “marginal”, and only one failure as “out-field”. Most local relapse sites located just the same site of primary tumor and most anatomic sites were at low risk of concurrent bilateral tumor invasion.

**Conclusions:** IMRT with elective neck irradiation provides excellent local control for NPC patients without cervical lymph node metastasis. In-field failures are the main patterns for local recurrence, and the radioresistant subvolumes within the gross tumor volume are needed to be identified. This study proposed suggestions for reduction of target volume during IMRT treatment for NPC patients.

**Keywords:** nasopharyngeal carcinoma, intensity-modulated radiotherapy, patterns of local failure, reduction of clinical target volume

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## Introduction

Nasopharyngeal carcinoma (NPC) is the most common head and neck malignancy in Southeast Asia, and it is highly sensitive to radiotherapy (RT) or chemotherapy.<sup>1</sup> Intensity-modulated radiation therapy (IMRT) has been widely applied in the field of

radiation oncology over the last decade, and is considered as a major breakthrough for NPC, due to its capability of delivering a high radiation dose to the target, while sparing the adjacent organs.<sup>2,3</sup>

The application of IMRT and systemic therapy has greatly improved the local control of NPC. However, local recurrence still accounted for 60% of failures among patients with locoregional advanced disease.<sup>4</sup> The management of post-treatment recurrence remains an intractable issue. In 2000, Dawson et al<sup>5</sup> first analyzed the relationship of the recurrent region to the previously treated dose distribution and, with a median follow-up of 27 months, they found that the majority of local recurrences after conformal and segmental IMRT were “in-field,” in areas which were judged to be at high risk at the time of RT planning, including the gross tumour volume (GTV) and the operative bed. Over the past few years, many studies reported similar failure patterns in NPC patients.<sup>4,6–8</sup> On the other hand, Lin et al<sup>9</sup> reported similar local control in a series of NPC patients retreated with reduced-volume IMRT, compared with results from another institution with a larger clinical tumor volume. This suggested the potential of reducing clinical target volume (CTV), meanwhile not impairing local control.

Nevertheless, IMRT planning is usually associated with sharp dose gradients outside the target volumes; therefore, the importance of accurate target delineation at planning should be stressed. An inadequate definition of target volumes could increase the risk of geographic misses, which eventually leads to local recurrence.<sup>10</sup> We assume the analysis of local failure patterns is essential because it is concerned with the evaluation of the quality of target volume delineation.

In our study, we make a detailed analysis of the initial irradiated dose of the recurrent site and local failure patterns, with the aim to demonstrate the robustness of CTV delineation. Based on this analysis, further improvement of delineation recommendation may be made in order to improve the quality-of-life in NPC, without decreasing the local control and survival rate.

## Methods

### Patient and pretreatment evaluations

In this retrospective analysis, data from 1,732 consecutive and nonselected histologically proven NPC patients were collected at Fudan University Shanghai Cancer Center between January 2009 and December 2011, and 382 patients had no clinical evidence of neck node metastasis according to 2002 American Joint Committee on Cancer (AJCC) staging criteria. The pretreatment magnetic resonance images were

retrospectively reviewed to confirm proper staging, and all patients were restaged based on 2010 AJCC staging criteria. Hence, a portion of the patients with retropharyngeal lymph node involvement was diagnosed as N1, based on new staging criteria.

Pretreatment evaluation consisted of a complete history and physical examination, indirect or fiber-optic endoscopic examination, complete blood counts, determination of serum electrolytes, chest computed tomography (CT) scan or X-ray, magnetic resonance imaging (MRI) scan of the head and neck, ultrasound of the liver and abdomen, and dental evaluation. Urinalysis, bone scan, and positron emission tomography–computed tomography (PET-CT) were performed when clinically indicated.

### Ethical approval and informed consent

All procedures performed in studies involving human participants were in accordance with the ethical standards of Fudan University Shanghai Cancer Center Ethics committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. The experimental protocols were also approved by Fudan University Shanghai Cancer Center Ethics committee. Written informed consent was obtained from all individual participants included in the study.

### Intensity-modulated radiotherapy

#### RT: immobilization and simulation

All patients were immobilized in the supine position with a thermoplastic mask, followed by conventional simulation and planning. Intravenous contrast-enhanced CT, using a slice thickness of 5 mm, was performed for planning. Image fusion of the T1 sequences with gadolinium enhanced MRI was performed with the CT simulation images for target delineation. The CT data were imported to the treatment planning system for treatment design.<sup>7</sup>

#### RT: target volume delineation

The target volumes were defined in accordance with the International Commission on Radiation Units and Measurements Reports. The primary gross tumour volume (GTV\_P) included all gross tumours, and was determined by imaging, clinical, and endoscopic findings. The enlarged retropharyngeal nodes were outlined, together with primary GTV on the IMRT plans.

All received elective neck irradiation to levels II, III, and VA and the other node levels were spared. One CTV was defined in our radiotherapy: CTV1. The CTV1 was defined as the high-risk region that included GTV\_P plus a 5–10 mm margin to take into account subclinical extension.

CTV1 should also include the entire nasopharynx, skull base, parapharyngeal space, retropharyngeal lymph nodal regions, inferior sphenoid sinus, pterygoid fossae, clivus, the posterior third of the nasal cavity and maxillary sinuses, and any high risk nodal regions, including the bilateral upper deep jugular nodes, according to the current protocol in our center.<sup>2</sup>

The planning target volume (PTV<sub>C</sub>) encompassed the CTV with a 3-mm margin in all directions. However, when the CTV was near the brainstem and spinal cord, PTV<sub>C</sub> was generated with a margin less than 1 mm.

The organs at risk include the brainstem, spinal cord, parotid glands, optic pathways, chiasm, eyeballs, lens, mandible, temporal lobes, inner ears, larynx, thyroid, and oral mucosa. A 5-mm margin was added to the spinal cord and at least a 1-mm margin was added to the brainstem during optimization to form the planning organ-at-risk volume.

### RT: treatment planning and delivery

All patients were treated with external-beam radiation therapy using 6-MV photons, 7–9 radiation fields. The treatment technique used was the simultaneous integrated boost technique. The prescribed dose was 66 Gy in 30 fractions to planning target volume of primary tumor (PTV<sub>G</sub>) for T1–2 and 70.4 Gy in 32 fractions for T3–4. The dose delivered to PTV<sub>C</sub> for subclinical disease and regional lymphatics was 60 Gy at high risk in 30–32 fractions. All patients were treated with one fraction per day for 5 days per week. At least 95% of PTV volume received the prescription dose. The volume fraction receiving a dose less than 95% of the prescription dose did not exceed 1%. No patients received more than 110% of the prescription dose into or out of the PTV. The dose received by each organ at risk was limited to tolerance, according to the Radiation Therapy Oncology Group (RTOG) 0225 protocol.<sup>11</sup> The dose distribution was also examined slice-by-slice on the CT images.

### Chemotherapy

Chemotherapy, including neoadjuvant chemotherapy, concurrent chemotherapy, and adjuvant chemotherapy, was given to patients when clinically indicated. The most common regimen of neoadjuvant and adjuvant chemotherapy included two to three cycles of TP (docetaxel 75 mg/m<sup>2</sup>/day, day 1, cisplatin 25 mg/m<sup>2</sup>/day, days 1–3), TPF (docetaxel 75 mg/m<sup>2</sup>/day, day 1, cisplatin 25 mg/m<sup>2</sup>/day, days 1–3, and 5-fluorouracil 2.5 g/m<sup>2</sup> civ 120 h), or GP (gemcitabine 1 g/m<sup>2</sup>/day, day 1, day 8, cisplatin 25 mg/m<sup>2</sup>/day, days 1–3) regimen. Induction chemotherapy was given every 3 weeks. Four weeks after the completion of RT, the adjuvant chemotherapy was administered every 3 weeks. Concurrent chemotherapy consisted of 80 mg/m<sup>2</sup> of cisplatin every 3 weeks for 2–3 cycles.<sup>2</sup>

### Patient evaluation and follow-up

All patients were evaluated weekly for treatment response and toxicities during radiation therapy. After IMRT, patients were clinically evaluated every 3 months in the first 2 years, every 6 months from the third year to the fifth year, and annually thereafter. Each follow-up included examination of the nasopharynx and palpation of neck nodes. MRI of the nasopharynx was performed every half year, while a chest CT scan and ultrasound of the abdomen was scheduled annually after the completion of IMRT. Additional tests were ordered when indicated to evaluate local or distant relapse. Radiotherapy-related toxicities were graded according to the Acute and the Late Radiation Morbidity Scoring Criteria of RTOG. Chemotherapy-related toxicities were graded by the National Cancer Institute Common Toxicity Criteria (NCI-CTC) version 3.0.

### Definition of failure site

All local failures were documented clinically and with appropriate imaging (MRI and 18F-fluorodeoxyglucose positron emission tomography) and, when possible, confirmed pathologically. For patients with local failures, the location and extent of failures were transferred to the pretreatment planning CT for dosimetric analysis. The dose of radiation received by GTV<sub>r</sub> (gross tumor volume of recurrence) was calculated and analyzed with dose-volume histogram (DVH). Failures were classified as: “in field” if 95% of GTV<sub>r</sub> was within the 95% isodose, “marginal” if 20%–95% of GTV<sub>r</sub> was within the 95% isodose, or “outside” if less than 20% of GTV<sub>r</sub> was inside the 95% isodose.<sup>7</sup>

### Statistical analysis

The follow-up time was calculated from the day of the completion of IMRT. The Statistical Package for Social Sciences (SPSS version 22.0) software was used for statistical analysis. The Kaplan–Meier method was used to evaluate the overall survival (OS), local relapse-free survival (LRFS), regional relapse-free survival (RRFS), distant metastasis failure-free survival (DMFS), and disease-free survival (DFS). The ranked data was analyzed by Wilcoxon rank sum test and sites of primary and recurrent tumor invasion were compared by McNemar test. Two-tailed *P*-values less than 0.05 were considered statistically significant.

### Results

#### Patient characteristics and survival

Among the 382 patients, there were 279 males and 103 females. WHO types II and III were present in 83 and 287 patients, respectively. According to the 7th edition of AJCC Staging

System, there were 94, 116, 68, and 104 cases of T1, T2, T3, and T4 disease, respectively. Within this sample, 154 patients (40.3%) received induction chemotherapy, 141 patients (36.9%) received concurrent chemotherapy, and 69 patients (18.1%) received adjuvant chemotherapy. Patients' characteristics are listed in Table 1.

The median follow-up time was 61.1 months, with a range from 1–91 months. The 5-year OS, LRFS, RRFS, DMFS, and DFS were 86.9%, 96.7%, 99.1%, 93.3%, and 82.6%, respectively. A total of 12 (3.1%) patients developed local recurrence in the primary area, three (0.8%) patients experienced neck recurrence, and 23 (6.0%) patients developed

distant metastasis. The most frequent sites of metastasis were the lung ( $n = 9$ , 2.4%) and liver ( $n = 4$ , 1.0%) (Table 2).

## Dosimetric data

Table 3 shows the DVH statistics for patients with local recurrence. An analysis of all the target volumes failed to identify any shortcomings, and only 0.5% of the gross tumor volume of primary tumor (GTV-P) and 0.8% of the clinical tumor volume of the high-risk region (CTV-P) received <95% of the prescribed dose. The majority (96%) of the GTV-P actually received more than 100% of the prescribed dose. A similar situation was found in CTV-P. The mean dose to the GTV-P was 70.4 Gy and to the CTV-P was 65.0 Gy. Dose conformity with IMRT was excellent.

**Table 1** Patient characteristics

	Number of patients	%
Age, years		
Median	52	
Range	14–93	
Sex		
Male	279	73.0
Female	103	27.0
Pathology		
Differentiated non-keratinizing carcinoma (II)	9	2.4
Undifferentiated non-keratinizing carcinoma (III)	268	70.2
Non-keratinizing carcinoma (III)	19	5.0
Low differentiated squamous cell carcinoma (II)	74	19.4
Others	12	3.1
T stage		
T1	94	24.6
T2	116	30.4
T3	68	17.8
T4	104	24.2
RLN		
RLN (–)	196	51.3
RLN (+)	186	48.7
Radiotherapy		
Median dose (Gy)	68.2	
Range (Gy)	52–77	
Chemotherapy		
No	161	42.1
Induction	24	6.3
Concurrent	56	14.7
Induction+concurrent	72	18.7
Concurrent+adjuvant	11	2.9
Induction+adjuvant	56	14.7
Induction+concurrent+adjuvant	2	0.5
Boost		
No	349	91.4
Nasopharyngeal boost	28	7.3
Nodal boost	4	1.0
Nasopharyngeal and nodal boost	1	0.3

**Abbreviation:** RLN, retropharyngeal lymph nodes.

## Patterns of local recurrences

In the 12 patients who developed local recurrences, there were two missing values because of the unavailability of the diagnostic image. As shown in Table 4, the median recurrence time was 15 months (10–59 months), and recurrence was mainly within 3 years after the first treatment (90%), except for one case in 59 months. Among the 10 cases with local recurrence, seven (70%) occurred within the 95% isodose lines and were considered in-field failures; two (20%) were marginal, occurring in a steep dose gradient region at the unilateral margin of the high-dose planning target volume of high-risk region (PTV-C) volume, the other one (10%) was outside-field failure. The rate of marginal and out-of-field recurrence after a complete treatment response and in the whole cohort was 2/382 (0.5%) and 2/382 (0.3%), respectively.

## Time and location of onset of recurrence

The time of onset of recurrence ranged from 10–59 months after radiotherapy, with an average of 28 months; eight cases (80%) recurred within 3 years, and no patient had a recurrence more than 5 years after radiotherapy.

With the exception of anatomic sites on the midline, such as the base of the sphenoid bone and clivus, the bilateral NPC was defined by MRI as a tumor extending across the midline of the nasopharynx. Most patients had bilateral tumor

**Table 2** Failure patterns of all patients

	Frequency	%
Local recurrence	11	30.6
Distant metastases	21	58.3
Regional recurrence	2	5.6
Local recurrence and distant failures	1	2.8
Regional recurrence and distant failures	1	2.8
Total	36	100.0

**Table 3** DVH statistics for patients of local recurrence

	GTV-P (range)	CTV-P (range)
Volume (cc)	71.60 (30.46–135.52)	466.10 (306.17–609.30)
Dmin (cGy)	6,143.87 (5,542.00–6,471.00)	3,308.38 (1,289.80–5,468.30)
Dmax (cGy)	7,350.32 (6,956.00–7,956.00)	7,352.38 (6,956.10–7,956.60)
Dmean (cGy)	7,042.25 (6,733.00–7,589.00)	6,500.09 (6,343.00–6,791.30)
V95%	99.50 (98.03–100)	99.20 (98.62–99.94)
V100%	96.00 (88.00–99.00)	96.00 (94.55–97.68)
V110%	0 (0–0)	28.00 (0–53.27)

**Abbreviations:** DVH, dose-volume histogram; GTV-P, gross tumor volume of primary tumor; CTV-P, clinical tumor volume of the high-risk region; Dmax, maximum dose; Dmean, mean dose; Dmin, minimum dose; V95%, percentage of volume receiving >95% of the prescribed dose; V100%, percentage of volume receiving >100% of the prescribed dose; V110%, percentage of volume receiving >110% of the prescribed dose.

invasion into the mucous membrane of the nasopharynx (90% at initial diagnosis and 70% at recurrence). Nevertheless, as shown in Table S1, most anatomic sites were at low risk of concurrent bilateral tumor invasion at initial diagnosis and at recurrence.

## Discussion

Owing to widespread application of IMRT and the use of combined chemotherapy, the local control of NPC patients is excellent, which has been proven by treatment outcomes from various centers.<sup>8,12–14</sup> In our study, the 5-year LRFS for patients with stage T1–4 was 98.8%, 97.6%, 96.2%, and 90.0%, respectively ( $P=0.032$ ), which indicated that a higher T category was associated with poorer LRFS. Whereas, as shown in Table 5, 40% of the recurrence occurred in patients who were diagnosed with T1 or T2 at the initial treatment. Multiple studies have indicated that hypoxia plays an important role in affecting the tumor microenvironment, and is the ultimate cause of radioresistance, which results in worse local control.<sup>15–17</sup> In addition, weight loss and the contraction of primary tumor during the course of RT can result in changes in body contour and target volume, which will affect

the dosage distribution and lead to in-field failure.<sup>18</sup> Qi et al<sup>19</sup> investigated the relationships between critical weight loss and long-term survival by categorizing weight change into critical weight loss (CWL) and non-critical weight loss (Non-CWL) in 2,399 NPC patients. They found that, in the IMRT cohort, CWL was significantly associated with a lower OS and failure-free survival rates ( $P=0.04$  and  $0.04$ , respectively). The mean relative weight loss during treatment was 13.2% (+6.0%), and a significant correlation between the volume reduction and weight loss was observed ( $P=0.01$ ).

In our study, there were two “marginal failures” occurring in a steep dose gradient region at the unilateral margin of the high-dose PTV-C volume (patient 3 and patient 6). CTV delineation of the primary lesion plays an important role in local tumor control and normal tissue protection, and the current definitions are largely derived from our experience of 3D or conventional radiation fields, but with a different dose gradient and a lack of individualization. Although RTOG 0225 and 0615<sup>11,20</sup> have provided a practical reference for the delineation of CTV-1 for NPC, the optimal definition of CTV for the primary disease has not been determined, and the individual CTV should be delineated both by distance from GTV and the

**Table 4** Details of local recurrence patients

Patient	Gender	Recurrence period (m)	Initial T stage	Initial-GTV (cc)	Location of the recurrence volume	DVH statistics to recurrence volume					Type
						GTVr (cc)	Dmin (cGy)	Dmax (cGy)	Dmean (cGy)	V95%	
1	M	14.8	T2	39.00	GTV	8.80	6,588.0	7,111.00	6,855.0	100	In-field
2	M	16.4	T2	30.46	GTV	23.45	6,171.0	7,042.00	6,820.0	99.7	In-field
3	F	33.1	T1	46.15	Marginal to CTV	9.35	5,775.1	7,182.60	6,782.6	94.6	Marginal
4	M	59	T2	80.67	GTV	19.06	6,489.9	6,956.10	6,767.4	100	In-field
5	F	6	T4	82.43	GTV	9.80	7,015.9	7,544.10	7,307.0	100	In-field
6	M	28	T3	88.50	Marginal to CTV	43.55	5,710.0	7,637.02	7,222.3	94.5	Marginal
7	M	10	T4	99.32	GTV	11.49	7,283.7	7,953.90	7,683.5	100	In-field
8	M	35	T4	91.35	GTV	27.99	6,506.7	7,718.80	7,402.2	99.9	In-field
9	M	12	T4	135.52	GTV	23.06	6,701.7	7,575.10	7,213.9	100	In-field
10	M	12	T2	49.00	Outside CTV	18.03	328.3	6,668.90	2,598.8	3.2	Out-field

**Notes:** In-field refers to 95% of the recurrence volume receiving more than 95% of the prescribed dose. Marginal refers to 20%–95% of the recurrence volume receiving 95% of the prescribed dose. Outside refers to less than 20% of the recurrence volume receiving 95% of the prescribed dose.

**Abbreviations:** CTV, clinical target volume; DVH, dose-volume histogram; F, female; GTV, gross tumor volume; GTVr, the recurrent tumor volume; M, male; Dmin, minimum dose; Dmax, maximum dose; Dmean, mean dose; V95%, percentage of volume of failure to receive at least 95% of prescribed total dose.

**Table 5** Comparison between the delineation of CTV in our center, in the Cancer Hospital of Fujian Medical University, and those of the RTOG

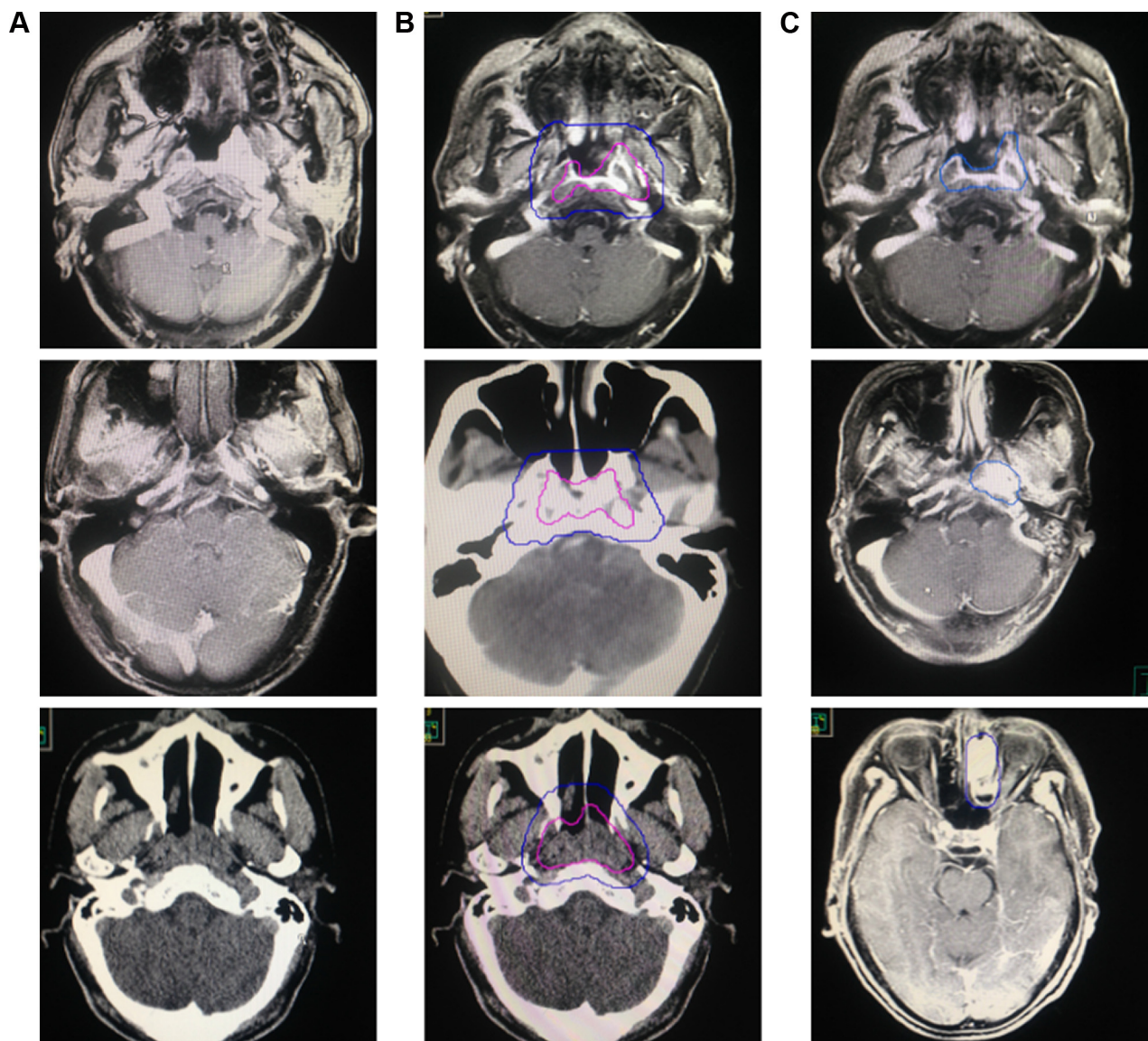
Region	RTOG 0225	RTOG 0615	Cancer Hospital of Fujian Medical University	Current protocol in our center
Sphenoid sinus	Inferior part	Inferior part (in T3–T4 disease, the entire sphenoid sinus)	Inferior part (in sphenoid sinus involved disease, the entire sphenoid sinus)	Inferior part
Ethmoid sinus	Not included	Not included	Posterior	Posterior 1/2
Nasal cavity	Posterior 1/3	Posterior 1/4 to 1/3	5-mm anterior to posterior nasal aperture	Posterior 1/3
Maxillary sinus	Posterior 1/3	Posterior 1/4 to 1/3	5-mm anterior to maxillary mucosa	Posterior 1/3
Clivus	Entire	Anterior 1/2 to 2/3	Anterior 1/3	Entire
Retropharyngeal lymph nodes	Base of skull to cranial edge of the hyoid	Base of skull to cranial edge of the hyoid	Base of skull to cranial edge of the second cervical vertebra	Base of skull to cranial edge of the hyoid

**Abbreviations:** CTV, clinical target volume; RTOG, Radiation Therapy Oncology Group.

patterns of local extension. In 2009, Liang<sup>21</sup> analysed the data of 943 NPC patients who underwent MRI of the nasopharynx and neck. With reviewing by two radiologists, they found that most anatomic sites surrounding the nasopharynx were at low risk of concurrent bilateral tumor invasion (<10%), local disease spreads stepwise from proximal sites to more distal sites, and that a skip pattern of local extension was unusual. Therefore, they believed that, when the tumor invades on one side of the nasopharynx, the bilateral anatomic sites at high risk should be included in the CTV, whereas the sites at medium or low risk and contralateral to the tumor invasion area should be excluded from the CTV. In 2014, Lin<sup>22</sup> analyzed the data of 414 NPC patients who were treated with IMRT to define CTV through GTV plus 5–10-mm margin in different directions and encompassed the entire nasopharyngeal mucosa plus 5-mm submucosal volume. With 60 months of follow-up, the 5-year OS, DFS, and local control were 80%, 77%, and 95%, respectively. No increase of local recurrence was associated with the limited margins used in the strategy. For stage T4 disease, margins in all six directions were significantly smaller than that of the whole group of patients. Therefore, they concluded that CTV-1, which included GTV plus a 5–10-mm margin and encompassed the entire nasopharyngeal mucosa plus 5-mm submucosal volume might be feasible (Table 5). This finding suggested that the target volumes used in the Cancer Hospital of Fujian Medical University were adequate. On the other hand, our institution adopted a symmetric coverage of CTV delineation and attained excellent local control as well. By analyzing the location of relapse site, we presented our proposed guidelines on CTV target volume delineation. As it was shown in our study that most anatomic sites were at low risk of concurrent bilateral tumor invasion, and most local relapse sites located just the same site of primary tumor, we suggest that contralateral to the tumor invasion area should be excluded from the CTV.

As shown in Table 4, most of the local relapses (90%) in our study occurred within the high dose region, and only one out-field local failure was observed in this study. Patient 10 had T2N0 disease with parapharyngeal space invasion, and he was treated with radiation alone. However, he complained of a foreign body in the left nasal cavity 1 year after primary treatment. The fine-needle aspiration confirmed the presence of local recurrence and the pathology was (left nasal cavity) undifferentiated non-keratinizing carcinoma (Figure 1). A retrospective review of the pretreatment MRI did not show any disease at the paranasal sinus. He underwent salvage chemotherapy (four cycles of GP) and IMRT (66 Gy/33Fx) and he is still surviving without evidence of disease for 60.2 months. A similar case has been reported; Ng et al<sup>4</sup> reported a patient had both local and regional failures 1 year after primary treatment, and the sites of local recurrence were predominantly at the maxillary and ethmoid sinus. At the primary treatment, he had T3N2 disease with sphenoid sinus invasion, and pretreatment MRI did not show any disease at any of these paranasal sinuses. The ethmoid sinus is a highly unusual site of recurrence of NPC, and there remains a question over whether this could represent a new primary tumor.<sup>21</sup> Both of these recurrences occurred within 1 year of primary treatment, which suggests that they do not represent new primary tumors. Longer follow-up and a large sample of study are needed to identify whether the posterior ethmoid sinus should be included in the high-risk CTV.

There were several limitations of this study. First, the retrospective nature of this analysis certainly constitutes a pitfall of this study, although a relatively large number of contiguous and nonselected patients were included. Second, image fusion and dose-analysis cannot guarantee 100% accuracy because of the influence of various factors such as position, time, and surgery.



**Figure 1** Disease extent for patients having local failures.

**Notes:** (A) In-field failure. (B) Marginal failure. (C) Outside-field failure. Left, pretreatment MRI/CT. Middle, the recurrent tumor volumes were transferred from the diagnostic MRI/CT at the time of recurrence to the planning CT to show doses delivered to the recurrence sites. Right, MRI/CT at time of failure. Blue line indicates clinical tumor volume of the high-risk region; purple line indicates gross tumor volume of primary tumor.

**Abbreviations:** MRI, magnetic resonance imaging; CT, computed tomography.

## Conclusion

Our study investigated the local failure patterns of NPC patients after IMRT in a relatively large number of patients and found that IMRT with elective neck irradiation provides excellent local control for NPC patients without cervical lymph node metastasis. In-field failures are the main patterns for local recurrence, and the radioresistant subvolumes within the GTV need to be identified. Most local relapse sites located just the same site of primary tumor and most anatomic sites were at low risk of concurrent bilateral tumor invasion, so we proposed suggestions for reduction of target volume during IMRT treatment for NPC patients.

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## Author contributions

Chunying Shen, Tingting Xu, Weiwei Li, and Chaosu Hu participated in the treatment and planning, Yujiao Li and Xiaomin Ou contributed to the data collection, performed the statistical analysis, and drafted the manuscript. All authors contributed toward data analysis, drafting and revising the paper and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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## Supplementary material

**Table S1** Comparison of tumor invasion in patients at initial diagnosis and at recurrence

Tumor invasion (1 = Yes, 0 = no)	Patient at initial diagnosis										Patient at recurrence									
	No 1	No 2	No 3	No 4	No 5	No 6	No 7	No 8	No 9	No 10	No 1	No 2	No 3	No 4	No 5	No 6	No 7	No 8	No 9	No 10
Nasopharynx mucosa-right	1	1	1	1	1	1	1	1	1	1	0	1	1	1	1	1	0	1	1	1
Nasopharynx mucosa-left	1	1	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Parapharyngeal space-right	0	1	0	1	1	0	1	0	1	0	0	1	1	1	0	0	0	1	1	0
Parapharyngeal space-left	1	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	1	0	0	0
Levator veli palatine muscle-right	0	1	0	1	1	0	1	1	1	1	0	1	1	1	1	0	0	1	1	0
Levator veli palatine muscle-left	1	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	1	1	0	1
Tensor veli palatine muscle-right	0	1	0	1	1	0	1	1	1	1	0	1	1	1	1	0	0	1	1	0
Tensor veli palatine muscle-left	1	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	1	1	0	1
Nasal cavity	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Pterygoid process-right	0	0	0	0	1	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
Pterygoid process-left	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Basis of sphenoid bone	0	0	0	0	1	1	1	1	1	1	0	1	1	1	1	1	0	0	0	0
Petrous apex-right	0	0	0	0	1	1	1	1	1	1	0	1	1	1	1	1	0	0	0	0
Petrous apex-left	0	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
Prevertebral muscle	0	0	0	0	1	0	1	1	1	1	0	1	0	0	1	0	1	1	1	0
Clivus	0	0	0	0	1	1	1	1	1	1	0	1	1	1	1	1	0	0	0	0
Foramen lacerum-right	0	0	0	0	1	1	1	1	1	1	0	1	1	1	1	1	0	0	0	0
Foramen lacerum-left	0	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
Foramen ovale-right	0	0	0	0	1	1	1	1	1	1	0	1	0	1	1	1	0	0	0	0
Foramen ovale-left	0	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
Great wing of sphenoid bone	0	0	0	0	1	1	1	1	1	1	0	1	0	1	1	1	0	0	0	0
Medial pterygoid muscle-right	0	0	0	0	1	1	1	1	1	1	0	1	1	1	1	1	0	0	0	0
Medial pterygoid muscle-left	0	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
Oropharynx	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0
Cavernous sinus-right	0	0	0	0	0	0	1	1	1	1	0	1	1	1	1	1	0	0	0	0
Cavernous sinus-left	0	0	0	0	0	0	1	1	1	1	0	1	1	1	1	1	0	1	0	0
Sphenoidal sinus	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0
Pterygopalatine fossa-right	0	0	0	0	1	0	1	0	0	0	0	1	0	1	1	0	0	0	0	0
Pterygopalatine fossa-left	0	0	0	0	0	0	1	1	1	1	0	1	0	0	0	0	0	0	0	0
Lateral pterygoid muscle-right	0	0	0	0	0	0	1	1	1	1	0	1	0	0	0	0	0	0	0	0
Lateral pterygoid muscle-left	0	0	0	0	0	0	1	1	1	1	0	1	0	0	0	0	0	0	0	0
Hypoglossal canal-right	0	0	0	0	0	0	1	1	1	1	0	1	1	1	1	1	0	0	0	0
Hypoglossal canal-left	0	0	0	0	0	0	1	1	1	1	0	1	1	1	1	1	0	0	0	0
Foramen rotundum-right	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Foramen rotundum-left	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ethmoid sinus-right	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

(Continued)

**Table S1 (Continued)**

Tumor invasion (1 = yes, 0 = no)	Patient at initial diagnosis										Patient at recurrence									
	No 1	No 2	No 3	No 4	No 5	No 6	No 7	No 8	No 9	No 10	No 1	No 2	No 3	No 4	No 5	No 6	No 7	No 8	No 9	No 10
Ethmoid sinus-left	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Jugular foramen-right	0	0	0	0	0	0	0	1	1	0	0	0	0	1	0	0	0	0	0	0
Jugular foramen-left	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Inferior orbital fissure-right	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Inferior orbital fissure-left	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Cervical vertebrae	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
Infratemporal fossa-right	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
Infratemporal fossa-left	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Maxillary sinus-right	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Maxillary sinus-left	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Cistern	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Temporal lobe-right	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Temporal lobe-left	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Meninges	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Orbital apex	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Superior orbital fissure	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Hypopharynx	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Frontal sinus	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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