

Clinical course and prognosis in patients with Gaucher disease and parkinsonism

OPEN

Grisel Lopez, MD*
Jenny Kim, BA*
Edythe Wiggs, PhD
Dahima Cintron, BS
Catherine Groden, CRNP
Nahid Tayebi, PhD
Pramod K. Mistry, MD
Gregory M. Pastores, MD
Ari Zimran, MD
Ozlem Goker-Alpan, MD
Ellen Sidransky, MD

Correspondence to
Dr. Sidransky:
sidranse@mail.nih.gov

ABSTRACT

Objective: The goal of this study was to characterize the parkinsonian phenotype in patients with Gaucher disease (GD) who developed parkinsonism in order to evaluate clinical course and prognosis.

Methods: This is a retrospective observational study conducted at the Clinical Center of the NIH, Bethesda, MD, over a period of 10 years. The study included 19 patients with GD and parkinsonism. The severity of Gaucher and parkinsonian symptoms was determined from clinical data including physical, neurologic, pathologic, and neurocognitive evaluations, family histories, imaging studies, olfactory testing, and validated questionnaires.

Results: We found an earlier age at onset of parkinsonism and evidence of mild cognitive dysfunction in our cohort. Although the clinical course in some patients was similar to that of idiopathic Parkinson disease with a favorable levodopa response, others exhibited features characteristic of dementia with Lewy bodies. When we examined the patients as a group, we did not observe a uniformly aggressive form of parkinsonism after the initial onset of symptoms, contrary to other published reports.

Conclusions: Appreciable clinical variation was seen in this cohort with GD and parkinsonism. Although some patients had early onset and prominent cognitive changes, others had a later, slower course, indicating that *GBA1* mutations may not be a reliable prognostic indicator in Parkinson disease in clinical settings. *Neurol Genet* 2016;2:e57; doi: 10.1212/NXG.0000000000000057

GLOSSARY

AAD = age at death; **AAO** = age at onset; **BVMT-R** = Brief Visuospatial Memory Test-Revised; **COD** = cause of death; **DLB** = dementia with Lewy bodies; **ERT** = enzyme replacement therapy; **GCase** = glucocerebrosidase; **GD** = Gaucher disease; **iPD** = idiopathic Parkinson disease; **PD** = Parkinson disease; **UPDRS** = Unified Parkinson's Disease Rating Scale; **UPSIT** = University of Pennsylvania Smell Identification Test.

The association between the lysosomal enzyme glucocerebrosidase (*GCase*) and parkinsonism has radically influenced research in this field. Hints of this relationship came from sporadic cases¹ and small cohorts of patients with Gaucher disease (GD) and Parkinson disease (PD).²⁻⁶ Family histories revealed that even heterozygosity for glucocerebrosidase (*GBA1*) mutations was associated with PD.⁷ A multicenter analysis including more than 5,000 patients with PD and controls unequivocally demonstrated that patients were over 5.43 times more likely to carry a *GBA1* mutation, rendering mutations in *GBA1* the most common genetic risk factor for parkinsonism.^{8,9} Similar associations were also reported between *GBA1* mutations and both dementia with Lewy bodies (DLB)¹⁰ and multiple system atrophy,¹¹ emphasizing the role of the lysosome in the pathogenesis of these neurodegenerative diseases.

*These authors contributed equally to the manuscript.

From the Section on Molecular Neurogenetics (G.L., J.K., E.W., D.C., C.G., N.T., O.G.-A., E.S.), Medical Genetics Branch, NHGRI, NIH, Bethesda, MD; Yale School of Medicine (P.K.M.), New Haven, CT; Mater Misericordiae University Hospital (G.M.P.), Dublin, Ireland; Gaucher Clinic (A.Z.), Shaare Zedek Medical Center, Hebrew University-Hadassah Medical School, Jerusalem, Israel; and Lysosomal Disorders Research & Treatment Unit (O.G.-A.), O & O Alpan LLC, Fairfax, VA.

Funding information and disclosures are provided at the end of the article. Go to Neurology.org/ng for full disclosure forms. The Article Processing Charge was paid by the NHGRI.

This is an open access article distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives License 4.0 (CC BY-NC-ND), which permits downloading and sharing the work provided it is properly cited. The work cannot be changed in any way or used commercially.

PD affects approximately 2% of the population by age 70.¹² It is characterized by bradykinesia in addition to tremor, rigidity, and/or postural instability.

GD is caused by mutations in *GBA1* resulting in deficient GCase in lysosomes and glycolipid storage in macrophages. The disorder often manifests with hepatosplenomegaly, skeletal involvement, anemia, and thrombocytopenia. Although only a minority of patients ultimately develop PD, characterization of these patients may help to elucidate the mechanisms underlying this association and potential prognostic indicators.

We describe 19 patients with homozygous or compound heterozygous mutations in *GBA1* with parkinsonism, aiming to characterize the disease phenotype and prognosis in this population.

METHODS This is primarily a retrospective observational study of 19 patients with GD and parkinsonian manifestations. The clinical diagnosis of GD was confirmed by low GCase activity in leukocytes or fibroblasts¹³ and/or molecular analysis by full *GBA1* sequencing.¹⁴ Seventeen patients were evaluated at the NIH, and patients had follow-up evaluations between 0 and 10 years after the initial visit. The remaining 2 patients were autopsied at the Clinical Center at the NIH and clinical records were provided. Several of the patients included were previously reported in the literature without longitudinal follow-up.¹⁵ All patients had a diagnosis of PD or DLB that was made based on the UK Parkinson Disease Society Brain Bank clinical diagnostic criteria¹⁶ or DLB criteria,¹⁷ respectively. Clinical data describing GD clinical manifestations and PD clinical course and outcome were determined by review of medical records and longitudinal evaluations when possible. These data included family history, physical and neurologic evaluations, neurocognitive testing, imaging studies, and laboratory parameters. Part III of the Unified Parkinson's Disease Rating Scale (UPDRS-III) was used to assess the severity of motor symptoms ($n = 11$ in the "on" state and $n = 5$ in both the "on" and "off" states).¹⁸ Patients were examined by a single neuropsychologist who performed a consistent battery of tests during each visit for the majority of patients ($n = 12$). The intellectual domains evaluated include memory, verbal and nonverbal reasoning, processing speed, focus/attention, and visuospatial skills. However, not all subtests could be administered in every patient. The University of Pennsylvania Smell Identification Test (UPSIT) was used to test olfactory function ($n = 10$); scores were adjusted for age and sex.¹⁹ Autopsy reports, when available, were also reviewed ($n = 5$). Unfortunately, not all of these evaluations were available for each patient, but because of the rarity of patients sharing the 2 diagnoses, we included as much clinical data as possible.

Standard protocol approvals, registrations, and patient consents. The National Human Genome Research Institute Institutional Review Board at the NIH approved the study (clinical trial identifier number NCT00001215). All participants or their legal representatives provided written informed consent.

The protocol consent explicitly stated that participation was entirely voluntary and that if enrolled, a study participant could withdraw from the study at any time.

RESULTS The genotypes and Gaucher clinical features of the 19 patients (10 male and 9 female) are summarized in table 1. Consistent with the clinical history of GD, a vast spectrum of systemic manifestations was observed. Although the cohort was genotypically heterogeneous, most patients carried at least 1 N370S allele. Nine of 19 patients (47.4%) were homozygous for N370S. Only 1 patient (genotype L444P/D409H) had a diagnosis of GD type 3 based on slowed horizontal saccades.⁶ The mean age at onset (AAO) of Gaucher symptoms was 27.6 years with a median of 24 years and a range of 4 to 61 years. The mean duration between symptom onset and most recent evaluation was 26.6 years with a median of 26 years and a range of 5 to 60 years (table 2). In most patients, the presenting manifestations were an enlarged spleen and/or liver or hematologic abnormalities. Eight of the 19 patients (42.1%) had undergone splenectomy. The majority of patients (14 of 19 [73.7%]) received enzyme replacement therapy (ERT) with recombinant GCase, and most started the therapy many years before the onset of parkinsonian manifestations (table 3). Skeletal involvement, especially osteoporosis, was common in this cohort. In addition, 9 of 19 patients (47.4%) experienced either avascular necrosis of one or both hips or spontaneous fractures.

The parkinsonian phenotype (table 3) was also diverse. The mean AAO of symptoms was 49.7 years with a median of 50 years and a range of 33 to 64 years (table 2). In the majority of patients, a unilateral rest tremor was the initial presenting sign. The classical parkinsonian motor features—tremor, bradykinesia, rigidity, and gait problems—became prevalent as the disease progressed. The 15 patients who received dopaminergic therapy reported at least some positive response. Olfactory function was assessed using the UPSIT in 10 patients at the time of evaluation (table 3). The average UPSIT score was 19.3 (a normal score is >35 of 40 items) and the median was 19, indicating severe microsmia in this cohort (table 2).

In a subgroup of patients, the severity of motor dysfunction was assessed with the UPDRS-III, which has a maximum score of 108; higher numbers indicate more motor impairment (table 3). For the 11 patients tested, the average "on" score was 26.9 with a median of 29. Of these 11 patients, 5 were also evaluated in the "off" state and showed an average motor improvement of $\sim 19.1\%$ with levodopa therapy.

Neuropsychiatric symptoms were commonly reported in this cohort (table 3). The most prevalent

Table 1 Gaucher disease: Clinical characteristics

| Patient, sex | Ethnicity | Genotype | Age at onset of symptoms, y | Initial presentation | Findings | Splenectomy | ERT | Age at death, y |
|---------------|----------------|-----------------|-----------------------------|---|--|----------------|-----|-----------------|
| Patient 1, F | AJ | N370S/L444P | 29 | Thrombocytopenia | Mild osteopenia, minimal Erlenmeyer flask deformity, HSM | No | No | — |
| Patient 2, F | French/English | N370S/L444P | 17 | Splenomegaly | Kidney tumor in 2000, history of absence seizures, spontaneous fracture of ribs, Erlenmeyer flask deformity, osteopenia | Yes, at age 18 | Yes | 70 |
| Patient 3, F | AJ | N370S/IVS 2 + 1 | 5 | HSM, bone crisis | AVN of the right hip | Yes, at age 14 | Yes | — |
| Patient 4, M | Irish | N370S/N370S | 47 | Brother diagnosed | Osteoporosis, spontaneous vertebral fracture, abnormal EEG | No | No | — |
| Patient 5, F | AJ | N370S/rec | 24 | Splenomegaly, thrombocytopenia | Osteoporosis, liver enzyme abnormalities | Yes, at age 30 | Yes | — |
| Patient 6, M | AJ | N370S/c.84insG | 12 | Bone crisis | 6–7 blackout episodes daily of unknown etiology, osteoporosis | Yes, at age 12 | Yes | 59 |
| Patient 7, M | Italian | N370S/N370S | 24 | AVN of the right femur | AVN of the right hip, mild HSM | No | Yes | — |
| Patient 8, F | AJ | N370S/N370S | 4 | HSM | AVN of the right hip, Erlenmeyer flask deformity | No | Yes | — |
| Patient 9, M | AJ | N370S/N370S | 48 | Thrombocytopenia, fatigue | Osteoporosis | No | Yes | 62 |
| Patient 10, M | AJ | N370S/N370S | 33 | HSM, CMV infection | HSM, mild osteopenia, thrombocytopenia | No | No | 59 |
| Patient 11, M | NA | N370S/R257Q | 31 | Thrombocytopenia but bone crisis since age 4 | AVN of the right hip (replaced), splenomegaly | No | Yes | — |
| Patient 12, F | Scottish | N370S/55bpdel | 16 | Splenomegaly, nosebleeds, bone crisis | Osteopenia, spinal surgery, restless legs syndrome | Yes | Yes | — |
| Patient 13, M | AJ | N370S/N370S | 26 | Splenomegaly | Marrow involvement, AVN of the left femoral head, cortical renal cysts | Yes, at age 26 | Yes | — |
| Patient 14, F | Irish/English | L444P/D409H | 19 | HSM | 11-lb spleen, osteopenia, abnormal EEG | Yes, at age 34 | Yes | 53 |
| Patient 15, M | AJ | N370S/N370S | 61 | Thrombocytopenia | Osteopenia, splenomegaly | No | No | 76 |
| Patient 16, M | AJ | N370S/N370S | 47 | HSM, thrombocytopenia | Osteoporosis, splenomegaly, abnormal EEG | No | Yes | 73 |
| Patient 17, F | AJ | N370S/c.84insG | 14 | Bone crisis since age 10 | Bilateral hip replacement, severe bone pain, liver involvement | Yes, at age 18 | Yes | 61 |
| Patient 18, M | AJ | N370S/V394L | 20 | Low platelets, anemia | Pain crises, osteopenia | No | No | — |
| Patient 19, F | AJ | N370S/N370S | 47 | Anemia, vasculitis of legs, polyclonal gammopathy | Osteopenia, thrombocytopenia, mild hepatosplenomegaly, AVN of the right hip (replaced), severe disc disease, lymphocytic interstitial pneumonitis, renal failure | No | Yes | 73 |

Abbreviations: AJ = Ashkenazi Jewish; AVN = avascular necrosis; CMV = cytomegalovirus; ERT = enzyme replacement therapy; HSM = hepatosplenomegaly; NA = not available.

Table 2 Quantitative measures related to Gaucher disease and/or parkinsonism

| | Average | Median |
|--|---------|--------|
| Age at onset of GD symptoms, y (n = 19) | 27.6 | 24 |
| Length of time followed since GD diagnosis, y (n = 19) | 26.6 | 26 |
| Age at PD onset, y (n = 19) | 49.7 | 50 |
| UPSIT score (of 40) (n = 10) ^a | 19.3 | 19 |
| Age at death, y (n = 9) | 65.1 | 62 |

Abbreviations: GD = Gaucher disease; PD = Parkinson disease; UPSIT = University of Pennsylvania Smell Identification Test.

^aAbnormal score is <35.

symptoms were depression and anxiety, reported by 13 of 19 patients. Ten patients described hallucinations, including 1 patient who was naive to dopaminergic therapy. Other neuropsychiatric symptoms observed included panic attacks, fugue episodes, “blackout” episodes, and paranoia.

The neurocognitive assessments indicated impairments in memory and processing speed, with performance in the mildly impaired or low average ranges (table 4). Verbal and nonverbal reasoning were evaluated by the Similarities and Block Design tests, respectively. These domains were not impaired, as evident from the average score of 10.4 (n = 11, range 4–17) on Similarities and the average score of 8.7 (n = 12, range 2–15) on Block Design. The normal scores on Block Design indicate intact visuospatial function. The Digit Span test was administered to evaluate attention and effort, revealing performance in the normal range with an average score of 9.2 (n = 8, range 7–12). Analysis of the memory test results demonstrated mildly impaired performance on both the Hopkins Immediate and Delayed Memory Test, a list-learning test (n = 7, average T-score = 30.6; range = 20–54), and the Brief Visuospatial Memory Test-Revised (BVMT-R) Immediate and Delayed Memory Test, a test of visual learning and memory (n = 8, average T-score = 33.4; range = 20–50). On the BVMT-R Immediate Memory subtest, the average T-score was 31.6 (range 20–53), whereas on the BVMT-R Delayed Memory subtest, the average T-score was 31 (range 20–50). Lastly, the Digit Symbol Coding and Symbol Search tests, used to evaluate processing speed, revealed average scaled scores of 7.8 (n = 12, range 4–14) and 8 (n = 10, range 4–13), respectively, indicating a relative deficit in processing speed when compared with the other test scores. Overall, the cohort had lower-than-predicted performance on memory and processing speed tasks, ultimately demonstrating mild cognitive dysfunction as a group.

Nine of 19 patients (5 male and 4 female) died during our study follow-up period. Table 3 summarizes age

at death (AAD), PD duration, and cause of death (COD), when known, for all 9 patients. For these patients, the average PD duration was 11.1 years with a median of 11 years. The average AAD was 65.1 years with a median of 62 years. The COD was unknown for patients 10 and 16. Three patients died of aspiration pneumonia. Other CODs included acute pyelonephritis, intractable infected decubitus ulcers, complications from cardiac surgery, and multiorgan failure with terminal pneumonia. Five autopsy studies were performed. Three patients were pathologically confirmed as having DLB and 2 others met pathologic criteria for PD.

Although our numbers are extremely limited, we attempted to explore whether the parkinsonian features correlated with the *GBA1* genotype by comparing patients with genotype N370S/N370S with those with N370S/other. The 9 N370S homozygotes had a mean AAO of GD of 37.4 years vs 18.6 years in those with N370S/other, indicating that, as expected, the non-N370S allele correlated with more severe systemic disease. Among the deceased patients, the 5 N370S homozygotes had a mean duration of PD of 11.8 years, whereas the 3 N370S compound heterozygotes had a mean duration of 9.6 years. These preliminary observations require substantiation with a larger group of patients in each cohort.

DISCUSSION Our phenotypic characterization of these 19 patients with GD and parkinsonism provides insight into the parkinsonian spectrum associated with *GBA1* mutations. Consistent with smaller published studies, we generally observed an earlier AAO of PD symptoms in these patients (mean 49.7 years) compared with the mean AAO of 62.4 years seen in patients with idiopathic Parkinson disease (iPD)²⁰ and the mean AAO of 54.9 years seen in *GBA1* heterozygotes with PD.⁸ In this study, the mean PD duration in the deceased individuals was 11.1 years, which is 2 years shorter than the mean PD duration of 13.1 years reported with iPD.²⁰ However, many factors may contribute to this finding. The clinical course of the 10 surviving patients in the cohort and their current length of disease duration demonstrate that the PD duration can be longer in some individuals. Also, 4 of the deceased patients had parkinsonism for 12 to 15 years. In addition, this cohort, like others reported in the literature, includes patients who met the diagnostic criteria for synucleinopathies other than PD. This heterogeneity complicates interpretation of the findings because the different synucleinopathies are characterized by different AAO and disease duration, so an average may not be a clinically useful measure. For example, disease duration and survival of patients with DLB tends to be shorter than in patients with PD (range 5–7 years²¹), and this could be a confounding factor in data analysis.

Table 3 Parkinsonian features

| Patient, sex | Age at onset, y | Clinical diagnosis | Presenting features | Other motor symptoms | Mood disturbances | Dopaminergic therapy | UPSIT score (of 40) | ERT duration before PD onset, y | UPDRS-III score (of 108) | | Age at death, y/disease duration, y | Cause of death |
|---------------|-----------------|--------------------|--|---|---|----------------------|---------------------|---------------------------------|--------------------------|-----|-------------------------------------|---------------------------------------|
| | | | | | | | | | On | Off | | |
| Patient 1, F | 48 | PD | Stiffness on left | Rest tremors, bradykinesia, balance problems | Depression, anxiety, panic episodes | NT | 24 | NT | — | 29 | — | — |
| Patient 2, F | 60 | PD | Rest tremor of right hand, wrist, and foot | Rigidity, bradykinesia, shuffling gait, balance problems, falls | Anxiety, fugue episodes | Yes | 26 | 9 | 33 | 41 | 70/10 | Acute pyelonephritis |
| Patient 3, F | 44 | Functional tremor | Rest tremor of left leg, bradykinesia | Uses crutches, antalgic gait, slouched posture | Anxiety | NT | 19 | 23, interrupted | — | 40 | — | — |
| Patient 4, M | 52 | PD | Rest tremor of left hand, bradykinesia | Rigidity, shuffling gait, freezing gait, falls | Hallucinations, depression, anxiety | Yes | 11 | NT | 36 | NA | — | — |
| Patient 5, F | 52 | PD | Gait problems | Dystonic dyskinesia, rigidity, shuffling gait, start hesitation | Hallucinations | Yes | NA | 6 | 23 | NA | — | — |
| Patient 6, M | 45 | DLB | Rest tremor of right hand, soft speech | Bradykinesia, rigidity, myoclonus, freezing, shuffling gait, balance problems | Psychosis, hallucinations, blackout episodes, anxiety | Yes | NA | 2 | NA | 36 | 59/14 | Aspiration pneumonia |
| Patient 7, M | 37 | PD | Rest tremor of right hand and leg | Rigidity, cramps, pain | Depression, anxiety | NT | 34 | 9 | NA | 8 | — | — |
| Patient 8, F | 33 | PD | Left leg, neck, and shoulder pain | Rest tremors, dystonia, rigidity, movement arrest | None | Yes | NA | 17 | 9 | NA | — | — |
| Patient 9, M | 53 | DLB | Rest tremor of right hand | Rigidity, dyskinesia, shuffling gait, propulsion, balance problems | Hallucinations, panic attacks, depression, anxiety, anhedonia | Yes | 25 | 1 | 36 | 36 | 62/10 | Intractable infected decubitus ulcers |
| Patient 10, M | 50 | PD vs DLB | Rest tremor of left hand, fatigue | Rigidity, dyskinesia, bradykinesia, shuffling gait, balance problems | Depression, anxiety | Yes | 19 | NT | 27 | 30 | 59/10 | Unknown |
| Patient 11, M | 46 | PD | Rest tremor of right leg | Rigidity, numbness, dyskinesia, dystonia | Anxiety, hallucinations | Yes | NA | 13 | NA | 29 | — | — |
| Patient 12, F | 59 | PD | Rest tremor of left leg | Rigidity, bradykinesia, stooped posture, falls | Hallucinations, anger, tearfulness | NT | NA | 18 | NA | 18 | — | — |
| Patient 13, M | 40 | PD | Rest tremor of right hand and wrist, stiffness | Bradykinesia, stiffness, masked facies, balance problems | Depression, anxiety, irritability | Yes | 11 | >8 | 17 | 32 | — | — |
| Patient 14, F | 42 | PD with dementia | Rest tremor of left hand | Stiffness, masked facies, balance problems, falls | Depression, confusion | Yes | NA | 0 | NA | NA | 53/12 | Aspiration pneumonia |
| Patient 15, M | 64 | PD | Rest tremor of right hand | Rigidity, bradykinesia, stiffness, shuffling, falls | Irritability | Yes | 14 | NT | 34 | 42 | 76/13 | Complications from cardiac surgery |

Continued

Table 3 Continued

| Patient, sex | Age at onset, y | Clinical diagnosis | Presenting features | Other motor symptoms | Mood disturbances | Dopaminergic therapy | UPSIT score (of 40) | ERT duration before PD onset, y | UPDRS-III score (of 108) | | | Age at death, y/disease duration, y | Cause of death |
|----------------------|-----------------|--------------------|-----------------------------------|--|--------------------------------------|----------------------|---------------------|---------------------------------|--------------------------|-----|----|-------------------------------------|--|
| | | | | | | | | | On | Off | NA | | |
| Patient 16, M | 63 | PD | Shuffling gait, dragging left leg | Rest tremor, rigidity, bradykinesia, dystonia, masked facies | Hallucinations | Yes | NA | 16 | NA | NA | NA | 73/11 | Unknown |
| Patient 17, F | 56 | DLB | Memory problems | Cogwheel rigidity, abnormal gait, masked facies | Hallucinations, paranoia, depression | Yes | NA | >14 | NA | NA | NA | 61/5 | Aspiration pneumonia |
| Patient 18, M | 42 | PD | Rest tremor of right hand | Stiffness, weakness, masked facies, falls, had DBS | Hallucinations, anxiety, depression | Yes | 10 | NT | 1.2 | NA | NA | — | — |
| Patient 19, F | 58 | DLB | Rest tremor of right hand | Balance problems, dyskinesias | Cognitive decline, psychosis | Yes | NA | 0 | NA | NA | NA | 73/15 | Multorgan failure, with terminal pneumonia, chronic renal failure, respiratory failure |

Abbreviations: DBS = deep brain stimulation; DLB = dementia with Lewy bodies; ERT = enzyme replacement therapy; GD = Gaucher disease; NA = not available/applicable; NT = not tried; PD = Parkinson disease; UPDRS = Unified Parkinson's Disease Rating Scale; UPSIT = University of Pennsylvania Smell Identification Test.

Although PD and DLB have overlapping pathologic findings, the 2 disorders have important clinical differences and present distinct clinical challenges. For example, because patients with DLB have psychotic features and hallucinations, the use of dopaminergic medications is of great concern in this population.²¹ The inclusion of patients with different synucleinopathies will also skew generalizations regarding levodopa response, as patients with DLB have a more limited response to dopaminergic therapy.²² Thus, a poorer prognosis does not necessarily apply to all patients with *GBA1*-associated parkinsonism but will greatly depend on the specific underlying pathologic mechanism. However, given the small sample size, we could not perform subgroup analyses to establish the contribution of the inclusion of patients ultimately found to have DLB to the overall findings.

The UPDRS-III score is the most widely used measure to assess motor function in patients with parkinsonism, and the average “on” score of 26.9 for the 11 patients assessed does identify impairment. Although there is variation in therapeutic response to L-dopa, patients with iPD usually have an improvement of 20% to 70% in their motor symptoms,²³ especially early in the disease course. The small subgroup of patients who were tested in both “on” and “off” states had an average response of 19.1% to L-dopa therapy, which is relatively low, and the interpretation of this finding can be complicated by several factors. First, many of these patients had a diagnosis of parkinsonism several years before their evaluation at the NIH and may have developed motor fluctuations and a more limited response to levodopa associated with disease progression. Second, the fact that some patients had a DLB phenotype likely contributes to the limited response seen in the group as a whole. Third, the orthopedic and mobility problems sometimes encountered in patients with GD could contribute to higher motor scores on specific items on the UPDRS-III.

In addition to motor symptoms, it is now understood that patients with iPD have a wide range of nonmotor features, often many years before motor manifestations.²⁴ These nonmotor manifestations, including cognitive impairment, olfactory dysfunction, and sleep and neuropsychiatric disturbances, are experienced by a majority of patients with iPD at some point during their disease course and contribute to decreased independence in activities of daily living and increased emotional burden.²⁵

Many of the patients in our cohort demonstrated some form of cognitive impairment, especially in memory and processing speed (table 4), although not all patients performed poorly. Decision-making, visuospatial abilities, and attention were preserved in

Table 4 Neurocognitive testing

| Test | Mean score | Median score | Z-score | Percentile |
|--|------------|--------------|---------|------------|
| Similarities (n = 11) ^a | 10.4 | 10 | 0.07 | 50th |
| Block Design (n = 12) ^a | 8.7 | 8.5 | -0.67 | 25th |
| Digit Span (n = 8) ^a | 9.2 | 9.5 | -0.33 | 37th |
| Hopkins Immediate Memory (n = 7) ^b | 30.6 | 24 | -2 | 2nd |
| Hopkins Delayed Memory (n = 7) ^b | 33.4 | 33 | -1.7 | 4th |
| Brief Visuospatial Memory Test-Revised Immediate Memory (n = 8) ^b | 31.6 | 27 | -1.8 | 3rd |
| Brief Visuospatial Memory Test-Revised Delayed Memory (n = 8) ^b | 31 | 28 | -1.9 | 3rd |
| Digit Symbol Coding (n = 12) ^a | 7.8 | 6.5 | -0.84 | 20th |
| Symbol Search (n = 10) ^a | 8 | 7.5 | -0.67 | 25th |

^aMean ± SD is 10 ± 3.

^bMean ± SD is 50 ± 10.

many patients even though these cognitive domains are frequently affected in patients with Parkinson-associated dementia,²⁶ hinting that a different mechanism might be responsible for cognitive dysfunction in our cohort. Moreover, it suggests that not all patients with *GBAI*-associated parkinsonism are destined to develop dementia, which highlights the need to proceed with caution when counseling patients and their families about the probability of severe cognitive dysfunction early in the disease process. Some patients may have a very similar disease progression to that seen in iPD. More generally, evaluations of cognitive function in patients with *GBAI*-associated parkinsonism showed stronger verbal than nonverbal abilities, similar to what has been reported in patients with iPD.

Studies of iPD indicate that many of these patients experience varying levels of depression and anxiety at some point during their PD course. Psychotic symptoms and hallucinations are also often seen in iPD, most frequently among patients who are on antiparkinsonian medications,²⁷ necessitating close monitoring and careful management of these patients. Almost half of our patients experienced depression. Anxiety and/or panic attacks were seen in 58% of patients. In addition, 53% of patients reported psychotic features, most often presenting as hallucinations. Although such mood and psychotic features may be more frequent in this cohort, it is unclear whether they are related to parkinsonism, mutant GCase, or our mixed population of patients with different synucleinopathies. Further exploration of these nonmotor features is necessary.

Pneumonia is a frequent COD among patients with PD.²⁸ In this cohort, 3 of the 9 deceased patients died of aspiration pneumonia as an immediate cause. Other CODs included acute pyelonephritis and sepsis, also common in the elderly population and in patients with mobility issues.

Other smaller studies have examined specific disease manifestations in patients with GD who developed parkinsonism. In a study from Israel of 11 patients with both disorders, an earlier AAO of parkinsonism, more frequent cognitive problems, and more frequent and earlier complications from levodopa therapy, including motor fluctuations and dyskinesias, were noted. In a study of 10 patients with GD and PD from the French national Gaucher disease registry pooled with 49 cases of GD and PD taken from the literature, it was noted that these patients exhibited a poorer response to L-dopa therapy when compared with patients with iPD.²⁹ However, at this time, no specific phenotypic presentation is unique to patients with parkinsonism who carry *GBAI* mutations. A study of 4 patients with both GD and PD identified from a tertiary Parkinson center described prominent neuropsychiatric problems, anosmia, as well as hyperechogenicity of the substantia nigra on ultrasound and presynaptic dopaminergic cell loss on PET, all of which are seen in patients with iPD.³⁰ Of note, all 4 patients were diagnosed with GD only after the development of PD.

In contrast to reports that *GBAI*-associated parkinsonism is uniformly associated with a more aggressive course, our 19 patients exhibited an array of Gaucher manifestations, PD symptoms, and nonmotor manifestations. This heterogeneity in patients with a rare disorder and an even rarer comorbidity needs to be taken into consideration, especially because the majority of patients with GD and *GBAI* mutation carriers never develop parkinsonism. The published literature is confusing: in 1 study of American patients with GD type 1, those who developed parkinsonism had higher scores on the GD Severity Score Index and Hermann score,³¹ whereas in a study from the global International Collaborative Gaucher Group Gaucher Registry, patients who developed parkinsonism had a milder

Gaucher phenotype than those without PD.³² Thus, even within this very specific subset of patients, there are complexities and nuances in the disease presentation and course, rendering it difficult to make generalizations about all patients with GD and PD. It is also unknown whether chronic therapy with ERT will alter (increase or decrease) the risk of developing PD in the future.

In addition to the small sample size, one limitation of this study is that it was conducted at a tertiary referral center. It is possible that patients with GD and PD with more severe manifestations might have been unable or unwilling to travel to the NIH, and this may be a source of bias in recruitment.

Further characterization of patients with both GD and parkinsonism will continue to provide insight into our understanding of the relationship between the 2 disorders. Clarification of the prognostic indicators for each is of value to patients and caregivers as they consider lifestyle changes as both diseases progress. Although this study is limited by the small cohort size, we identify issues to consider when deliberating prognosis and treatment for patients with *GBA1*-associated parkinsonism. Standardized clinical outcome measures, longitudinal follow-up of at-risk individuals, and pathologic confirmation of clinical diagnosis will greatly enhance our ability to identify pathophysiologic mechanisms underlying disease onset and progression, discover useful clinical biomarkers, and develop preventive treatments for PD.

AUTHOR CONTRIBUTIONS

Ellen Sidransky had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. Grisel Lopez, Jenny Kim, and Ellen Sidransky designed the study, analyzed data, and wrote the manuscript. Edythe Wiggs performed the neurocognitive testing and interpreted the data, Dahima Cintron analyzed clinical data, Nahid Tayebi performed the patient genotyping and interpreted the results, and Ozlem Goker-Alpan, Pramod K. Mistry, Gregory M. Pastores, Ari Zimran, and Catherine Groden were involved in conceptualization of the study, patient care, and the interpretation of clinical data.

ACKNOWLEDGMENT

The authors acknowledge the help of the Departments of Pathology at the NIH Clinical Center and Yale University School of Medicine and the editing assistance of Nina Monestime.

STUDY FUNDING

The work of Grisel Lopez, Jenny Kim, Edythe Wiggs, Dahima Cintron, Catherine Groden, Nahid Tayebi, and Ellen Sidransky was supported by the Intramural Research Programs of the National Human Genome Research Institute and the NIH. Pramod K. Mistry is supported by NIH-NIAMS 65932. His research is also supported by Center of Excellence Grant in Clinical Translational Research in Gaucher disease. Ari Zimran's clinic receives grant support from Genzyme and Shire for participation in their Gaucher disease registries.

DISCLOSURE

Grisel Lopez, Jenny Kim, Edythe Wiggs, Dahima Cintron, Catherine Groden, and Nahid Tayebi report no disclosures. Pramod K. Mistry has received honoraria for lectures and consulting fees from Genzyme,

has served on the scientific advisory board of DSMB of acute liver failure study group (PALF) NIH, and has received research support from Genzyme-Sanofi and NIH. Gregory M. Pastores has received honoraria for consultation and advisory board participation from BioMarin, Genzyme (Sanofi), Pfizer, and Shire and has served on the editorial boards of *Orphanet* and *JIMD*. Ari Zimran is a consultant to Protalix BioTherapeutics, is a member of its scientific advisory board, and has stock options with the company; has received speaker honoraria and travel expenses from Shire, Genzyme-Sanofi, and Pfizer; has served on the editorial board of *Blood Cells, Molecules and Diseases*; and has been on the speakers' bureaus of Pfizer, Genzyme-Sanofi, and Shire. Ozlem Goker-Alpan is on the scientific advisory boards of Shire, Genzyme, BioMarin, Amicus, Pfizer, and Protalix BioTherapeutics; is involved in clinical studies or trials sponsored by Shire, Genzyme, Protalix, Alexion, and Amicus; receives research support from Shire, Genzyme, Actelion, and Pfizer; has received travel funding/speaker honoraria from Genzyme, Shire, Pfizer, Actelion, and the National Gaucher Foundation; receives consulting fees from Shire, Genzyme, Pfizer, BioMarin, Amicus, Protalix BioTherapeutics, and Actelion; has been an employee of O & O Alpan LLC; and has been on the speakers' bureaus of Genzyme, Shire, Pfizer, and Actelion. Ellen Sidransky has served on the medical advisory board of the National Gaucher Foundation; has served on the editorial board of *Molecular Genetics and Metabolism*; and has received research support from NIH, NHGRI, and Merck under a Collaborative Research Agreement with NHGRI. Go to Neurology.org/ng for full disclosure forms.

Received September 16, 2015. Accepted in final form January 5, 2016.

REFERENCES

1. McKernan RO, Bradbury P, Taylor D, Stern G. Neurological involvement in type 1 (adult) Gaucher's disease. *J Neurol Neurosurg Psychiatry* 1985;48:172–175.
2. Neudorfer O, Giladi N, Elstein D, et al. Occurrence of Parkinson's syndrome in type I Gaucher disease. *QJM* 1996;89:691–694.
3. Machaczka M, Rucinska M, Skotnicki AB, Jurczak W. Parkinson's syndrome preceding clinical manifestation of Gaucher's disease. *Am J Hematol* 1999;61:216–217.
4. Tayebi N, Callahan M, Madike V, et al. Gaucher disease and parkinsonism: a phenotypic and genotypic characterization. *Mol Genet Metab* 2001;73:313–321.
5. Itokawa K, Tamura N, Kawai N, Shimazu K, Ishii K. Parkinsonism in type I Gaucher's disease. *Intern Med* 2006;45:1165–1167.
6. Tayebi N, Walker J, Stubblefield B, et al. Gaucher disease with parkinsonian manifestations: does glucocerebrosidase deficiency contribute to a vulnerability to parkinsonism? *Mol Genet Metab* 2003;79:104–109.
7. Goker-Alpan O, Schiffmann R, LaMarca ME, Nussbaum RL, McNerney-Leo A, Sidransky E. Parkinsonism among Gaucher disease carriers. *J Med Genet* 2004;41:937–940.
8. Sidransky E, Nalls MA, Aasly JO, et al. Multicenter analysis of glucocerebrosidase mutations in Parkinson's disease. *N Engl J Med* 2009;361:1651–1661.
9. Lesage S, Anheim M, Condroyer C, et al. Large-scale screening of the Gaucher's disease-related glucocerebrosidase gene in Europeans with Parkinson's disease. *Hum Mol Genet* 2011;20:202–210.
10. Nalls MA, Duran R, Lopez G, et al. A multicenter study of glucocerebrosidase mutations in dementia with Lewy bodies. *JAMA Neurol* 2013;70:727–735.
11. Mitsui J, Matsukawa T, Sasaki H, et al. Variants associated with Gaucher disease in multiple system atrophy. *Ann Clin Transl Neurol* 2015;2:417–426.

12. Pringsheim T, Jette N, Frolkis A, Steeves TD. The prevalence of Parkinson's disease: a systematic review and meta-analysis. *Mov Disord* 2014;29:1583–1590.
13. Wenger DA, Clark C, Sattler M, Wharton C. Synthetic substrate beta-glucosidase activity in leukocytes: a reproducible method for the identification of patients and carriers of Gaucher's disease. *Clin Genet* 1978;13:145–153.
14. Stone DL, Tayebi N, Orvisky E, Stubblefield B, Madike V, Sidransky E. Glucocerebrosidase gene mutations in patients with type 2 Gaucher disease. *Hum Mutat* 2000;15:181–188.
15. Goker-Alpan O, Lopez G, Vithayathil J, Davis J, Hallett M, Sidransky E. The spectrum of parkinsonian manifestations associated with glucocerebrosidase mutations. *Arch Neurol* 2008;65:1353–1357.
16. Hughes AJ, Daniel SE, Kilford L, Lees AJ. Accuracy of clinical diagnosis of idiopathic Parkinson's disease: a clinico-pathological study of 100 cases. *J Neurol Neurosurg Psychiatry* 1992;55:181–184.
17. McKeith IG. Consensus guidelines for the clinical and pathologic diagnosis of dementia with Lewy bodies (DLB): report of the Consortium on DLB International Workshop. *J Alzheimers Dis* 2006;9(3 suppl):417–423.
18. Fahn S, Elotian R. UPDRS Program Members. Unified Parkinson's Disease Rating Scale, Vol. 2. Florham Park, NJ: Macmillan Healthcare Information; 1987.
19. Doty RL, Shaman P, Kimmelman CP, Dann MS. University of Pennsylvania Smell Identification Test: a rapid quantitative olfactory function test for the clinic. *Laryngoscope* 1984;94:176–178.
20. Hughes AJ, Daniel SE, Blankson S, Lees AJ. A clinico-pathologic study of 100 cases of Parkinson's disease. *Arch Neurol* 1993;50:140–148.
21. Mayo MC, Bordelon Y. Dementia with Lewy bodies. *Semin Neurol* 2014;34:182–188.
22. Molloy S, McKeith IG, O'Brien JT, Burn DJ. The role of levodopa in the management of dementia with Lewy bodies. *J Neurol Neurosurg Psychiatry* 2005;76:1200–1203.
23. Lees AJ, Hardy J, Revesz T. Parkinson's disease. *Lancet* 2009;373:2055–2066.
24. Goldman JG, Postuma R. Premotor and nonmotor features of Parkinson's disease. *Curr Opin Neurol* 2014;27:434–441.
25. Duncan GW, Khoo TK, Yarnall AJ, et al. Health-related quality of life in early Parkinson's disease: the impact of nonmotor symptoms. *Mov Disord* 2014;29:195–202.
26. Beitz JM. Parkinson's disease: a review. *Front Biosci (Schol Ed)* 2014;6:65–74.
27. Akbar U, Friedman JH. Recognition and treatment of neuropsychiatric disturbances in Parkinson's disease. *Expert Rev Neurother* 2015;15:1053–1065.
28. Sato K, Hatano T, Yamashiro K, et al. Prognosis of Parkinson's disease: time to stage III, IV, V, and to motor fluctuations. *Mov Disord* 2006;21:1384–1395.
29. Kraoua I, Stirnemann J, Ribeiro MJ, et al. Parkinsonism in Gaucher's disease type 1: ten new cases and a review of the literature. *Mov Disord* 2009;24:1524–1530.
30. Saunders-Pullman R, Hagenah J, Dhawan V, et al. Gaucher disease ascertained through a Parkinson's center: imaging and clinical characterization. *Mov Disord* 2010;25:1364–1372.
31. Bultron G, Kacena K, Pearson D, et al. The risk of Parkinson's disease in type 1 Gaucher disease. *J Inherit Metab Dis* 2010;33:167–173.
32. Rosenbloom B, Balwani M, Bronstein JM, et al. The incidence of Parkinsonism in patients with type 1 Gaucher disease: data from the ICGG Gaucher Registry. *Blood Cells Mol Dis* 2011;46:95–102.