# **Cytoplasmic Organelle DNA Preferentially Inserts** into Open Chromatin

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#### **Abstract**

DNA transfer from chloroplasts and mitochondria to the nucleus is ongoing in eukaryotes but the mechanisms involved are poorly understood. Mitochondrial DNA was observed to integrate into the nuclear genome through DNA double-strand break repair in Nicotiana tabacum. Here, 14 nuclear insertions of chloroplast DNA (nupts) that are unique to Oryza sativa subsp. indica were identified. Comparisons with the preinsertion nuclear loci identified in the related subspecies, O. sativa subsp. japonica, which lacked these nupts, indicated that chloroplast DNA had integrated by nonhomologous end joining. Analyzing public DNase-seq data revealed that nupts were significantly more frequent in open chromatin regions of the nucleus. This preference was tested further in the chimpanzee genome by comparing nuclear loci containing integrants of mitochondrial DNA (numts) with their corresponding *numt*-lacking preinsertion sites in the human genome. Mitochondrial DNAs also tended to insert more frequently into regions of open chromatin revealed by human DNase-seg and Formaldehyde-Assisted Isolation of Regulatory Elements-seg databases.

**Key words:** endosymbiotic gene transfer, chloroplast, mitochondrion, double-strand break repair, open chromatin.

## Introduction

Endosymbiont DNAs have constantly bombarded the nucleus since the appearance of eukaryotes, and it is usual for nuclear genomes to contain multiple chromosomal integrants derived from cytoplasmic organellar genomes (Timmis et al. 2004). This process of DNA escape and integration has resulted in massive functional relocation to the nucleus of genes that once belonged to the prokaryotic ancestors of mitochondria and chloroplasts. Simple DNA transposition and functional gene relocation from the extant organellar genomes have both been demonstrated experimentally (Thorsness and Fox 1990; Huang et al. 2003; Stegemann et al. 2003) and found to occur at previously unexpectedly high frequencies. However, the mechanisms responsible for organellar DNA escape and incorporation into the nuclear genome have not been extensively investigated and relatively little is known about how relocated genes become functional.

DNA double-strand break (DSB) repair sometimes results in the integration of mitochondrial DNA into the nuclear genome in yeast (Ricchetti et al. 1999) and tobacco (Wang et al. 2012a), and chloroplast DNA incorporation is also implicated during repair of DSBs by nonhomologous end joining (NHEJ) (Lloyd and Timmis 2011). Bioinformatic analysis also suggests that NHEJ is involved in the formation of nuclear integrants of mitochondrial DNAs (numts) in primate genomes (Hazkani-Covo and Covo 2008).

Moreover, recent human *numt*s were shown to insert preferentially into genes, especially into introns (Ricchetti et al. 2004) that are often flanked by regions of open chromatin (Tsuji et al. 2012). However, whether this is a general rule, and whether it applies to the plastid counterparts of numts (nuclear integrants of plastid DNAs [nupts]), has not been investigated. A recent study in tobacco showed that mild heat stress increases DNA transfer from chloroplast-to-nucleus (Wang et al. 2012a) suggesting the possibility that heterochromatin relaxation that is associated with heat stress (Pecinka et al. 2010) may be responsible. We have investigated the hypothesis that cytoplasmic organelle DNA tends to integrate preferentially into DNA in open chromatin regions by comparing nuclear organelle DNAs (norg) maps with chromatin status as revealed by DNase I hypersensitivity (DH) sites or Formaldehyde-Assisted Isolation of Regulatory Elements (FAIRE) (Boyle et al. 2008; Song et al. 2011).

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We identified recent nupts that are unique to Oryza sativa subsp. indica, which had inserted into the nuclear genome after its very recent divergence from O. sativa subsp. japonica. The mechanism involved in the plastid DNA integration into nuclear genome was analyzed. Furthermore, the nupt insertion sites were studied to determine whether they include, or are flanked by, DH sites in chromatin derived from seedling or callus tissues. A related study (Tsuji et al. 2012) found that numt loci in the human nucleus were often found in open regions of open chromatin. However, a problem with this approach is that it examines the chromatin status of numt junction sites after, rather than before insertion. Thus, there is no certainty that mitochondrial DNA inserted into pre-existing open chromatin, and it is possible that the *numt* insertion event may cause chromatin relaxation. To avoid this ambiguity, and to investigate the generality of norg insertion mechanisms, we identified chimpanzee-specific numts and characterized the equivalent loci in the human genome, allowing us to analyze the probable chromatin status before mitochondrial DNA insertion. Preinsertion loci were also analyzed for Oryza nupts, in the same manner as for chimpanzeespecific *numt*s.

### **Materials and Methods**

Identification of O. sativa Subsp. indica-Specific nupts

The nuclear, chloroplast, and mitochondrial genome sequences of O. sativa subsp. indica and O. sativa subsp. japonica were downloaded from NCBI (versions are described in the supplementary table S1, Supplementary Material online). The O. rufipogon nuclear genome was from Rice Haplotype Map Project Database (Huang et al. 2012). Nupts present in the subsp. indica genome were identified using BlastN (version 2.2.23) (Altschul et al. 1990). Local BlastN was carried out with the parameters previously described (Wang, Rousseau-Gueutin, et al. 2012). The same process was used to identify nupts in O. sativa subsp. japonica and O. rufipogon. Then, nupts that appeared only in O. sativa subsp. indica were selected and those that could not be located in O. sativa subsp. japonica and O. rufipogon genomes were eliminated from the study. A total of 14 nupts whose loci were clearly identified in O. sativa subsp. japonica and O. rufipogon were analyzed in detail.

#### **NHEJ Analysis**

The NHEJ analysis was as previously described (Hazkani-Covo and Covo 2008). In short, *nupt*s were classified by known NHEJ patterns (microhomology and blunt end repair). Microhomology was identified only if the nucleotide(s) adjacent to the fusion point was shared among the *nupt*, the corresponding subsp. *japonica* and *rufipogon* nuclear sequences, and the plastomes of subsp. *japonica* or *indica*. If no microhomology was found, then the NHEJ was classified as

a blunt-end repair. Any sequences of less than 10 nucleotides found at the junction sites, other than known nuclear, mito-chondrial, or chloroplast DNA, was classified as a nontemplate insertion.

#### Open Chromatin Regions

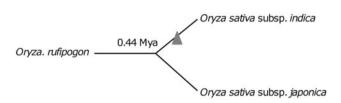
To test whether insertion sites of *norg*s correlate with open chromatin regions, we downloaded the open chromatin data generated by DNase-seq for *O. sativa* subsp. *japonica* (Zhang et al. 2012) and human (Song et al. 2011) and the human database of FAIRE-seq (Song et al. 2011) from NCBI. Four cell lines were chosen for analysis, H1-ES has highest coverage of open chromatin by DNase-seq; and GM12878 has least coverage. HUVES has most coverage of open chromatin through FAIRE-seq, and HeLa-S3 has least coverage (Song et al. 2011). The position of individual *norg*s and their 1 kb flanking regions was superimposed on the coordinates of open chromatin to identify the *norg* chromatin status.

#### Results

Comparative Analysis of *Nupt* Integration Sites in *Oryza* Species Supports NHEJ-Mediated Chloroplast DNA Insertion

Using *O. rufipogon*, the wild progenitor of *O. sativa* (Khush 1997) as a control, we identified *nupt*s that had inserted into the nuclear genome of *O. sativa* subsp. *indica* after its divergence from subsp. *japonica* (fig. 1). By comparing the same loci and their flanking genomic regions between the two subspecies, we were able to deduce the mechanism of DSB repair (Hazkani-Covo and Covo 2008). We reasoned that, if *O. sativa* subsp. *indica* contains a *nupt* that is absent at the equivalent loci in both *O. sativa* subsp. *japonica* and *O. rufipogon*, the latter two taxa will reveal the *nupt* preinsertion site. Therefore, the differences among the chromosomal *nupt* loci of these three *Oryza* taxa may be considered as record of the insertion process.

Among the 14 insertional events with their  $14 \times 2$  molecular ligation points, eight involved perfect or slightly imperfect microhomology of more than 1 bp (fig. 2 and supplementary



**Fig. 1.**—A phylogenetic tree of *Oryza rufipogon*, *O. sativa* subsp. *Indica*, and subsp. *japonica* showing the recent *nupt* insertions (gray triangle) used to investigate *nupt* insertion mechanisms. Subspecies *indica* and *japonica* diverged 0.44 Ma (Ma and Bennetzen 2004).



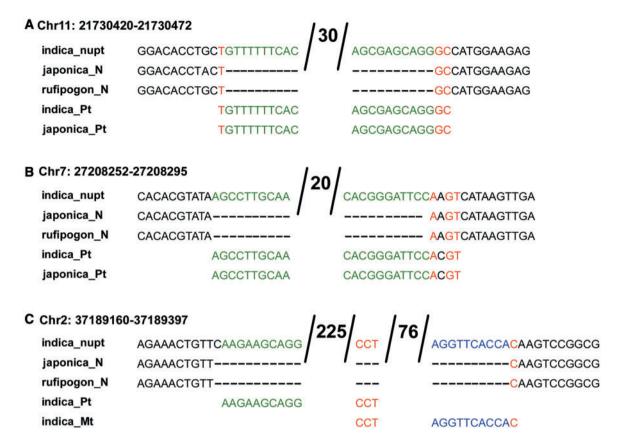


Fig. 2.—Examples of *nupt*-mediated DSB repair reflect NHEJ. Alignments show *Oryza sativa* subsp. *indica* loci containing a *nupt*, their corresponding nuclear sequence in subsp. *japonica* and *O. rufipogon* (TIGR database release 5), and the chloroplast DNA sequences (Pt) from subsp. *indica* and *japonica*. (A) A *nupt* insertion (shown in green) that involved short microhomology (1 or 2 bp shown in red) at both fusion points. The number 30 indicates nucleotides in the *nupt* that are identical to chloroplast DNA. (B) A *nupt* that involved imperfect microhomology at the right fusion point and blunt-end repair at the left fusion point. The number 20 indicates nucleotides in the *nupt* that are identical to chloroplast DNA. (C) A chimeric insertion containing a *nupt* of 225 bp (in green) and a *numt* of 76 bp (in blue). Complementary microhomology of AGG in the *norg* with CCT in chloroplast DNA and mitochondrial DNA sequence (Mt) is shown in blue. The numbers 225 and 76 indicate nucleotides that are identical to chloroplast DNA and mitochondrial DNA, respectively.

table S1, Supplementary Material online), with a single matching base seen at six other junctions (supplementary table S1, Supplementary Material online), implicating DSB repair by NHEJ. The remaining 14 junctions involved blunt-end ligation (supplementary table S1, Supplementary Material online). Consistent with the observations in primate *numts* (Hazkani-Covo and Covo 2008), only 2 of the 14 *nupt* insertions resulted in deletion of nucleotides, suggesting that DSB repair with cytoplasmic organelle DNA insertion reduces sequence loss when the break is healed. It is known that DSB repair of incompatible ends always involve deletion of a few nucleotides (Guirouilh-Barbat et al. 2004; Nick McElhinny et al. 2005; Lloyd et al. 2012).

Three chimeric insertions involving both mitochondrial- and chloroplast-derived sequences were observed, confirming their relative abundance as described previously (Lloyd and Timmis 2011; Wang et al. 2012a). The *nupt*s examined show extensive variation, consisting of DNA fragments

originating from different parts of the chloroplast circular genome, mixed chloroplast and mitochondrial DNA integrants (fig. 2), and mosaic DNA inserts containing nuclear, mitochondrial DNA, and chloroplast DNA (supplementary table S1, Supplementary Material online). Two of the loci reveal that mitochondrial and chloroplast DNA fragments also join together through microhomology (fig. 2C).

These results suggest that *norg* insertion precludes deletion at DSBs and involves both blunt-end repair and variable lengths of microhomology, whereas, if other filler DNAs are included in repairs, there is often some deletion at the preinsertion site (Lin and Waldman 2001a, 2001b). For example, capture of adeno-associated virus in I-Scel-induced breaks is associated with a high frequency of deletion (Miller et al. 2004; Hazkani-Covo and Covo 2008). Thus, our results suggest that organelle DNAs play a role in preserving genome integrity during potentially deleterious DSB repair (Hazkani-Covo and Covo 2008).

Cytoplasmic Organelle DNA GBE

#### Norg Insertion Sites Prefer Open Chromatin Regions

Open chromatin regions often show nucleosome depletion that allows genomic DNA segments to be exposed to interacting molecules (Hogan et al. 2006; Kim et al. 2007; Song et al. 2011). The recent nupts we identified in subsp. indica provided an opportunity to examine whether open chromatin is more accessible to organellar DNA insertion. For each subsp. indica-specific nupt, we reasoned that the preinsertion sites located in the O. sativa subsp. japonica genome would represent the chromatin status before integration. Therefore, DNase-seq data for O. sativa subsp. japonica (Zhang et al. 2012) shed light on subsp. indica chromatin status before nupt insertion. Crosschecking subsp. japonica preinsertion sites together with 1kb of flanking DNA revealed that 6 cases of the 14 examined were located in open chromatin regions in seedling chromatin, and 8 were seen in callus (table 1). The rice genome contains 420 Mb of DNA, of which only 5% and 7% is DNase I hypersensitive in seedling and callus chromatin, respectively (Zhang et al. 2012). Therefore, on average, one of these 14 recent insertions is expected in 30 Mb of the genome if the events are random. However, one of these recent *nupts* occurs in 16 kb (seedling) or 19kb (callus) of DH DNA, indicating that nupt insertion strongly favors open chromatin (P < 0.0001,  $\chi^2$  test).

To investigate whether *norg* insertion in eukaryotes favors open chromatin in mammalian systems, a similar analysis was carried out for 52 previously identified species-specific *numt*s in the chimpanzee genome (version, panTro 2) (Hazkani-Covo and Covo 2008). The corresponding loci were then unequivocally located in the human genome (version, hg 18) (Karolchik et al. 2004), and 1 kb of flanking DNA was compared with open chromatin coordinates (table 1) defined by DNase-seq and FAIRE-seq in four different human cell lines (Song et al. 2011). In common with their *nupt* counterparts in *Oryza*, chimpanzee *numt* insertion sites strongly favored open chromatin in all the cell lines tested (P < 0.0001,  $\chi^2$  test). Furthermore, different cell lines showed different degrees of preference for *norg* insertion, reflecting their

known differences in chromatin status (Song et al. 2011). Consistent with these observations, more *norgs* correlate with open chromatin in parallel with the number of DH or FAIRE sites reported (table 1) in different human cell lines or in different plant tissues. We conclude that cytoplasmic organelle DNA preferentially inserts into open chromatin regions in diverse eukaryotes. Open chromatin regions are likely to be more accessible to the proteins involved in DNA breakage and repair, necessarily leading both to more cleavage and their more successful healing, sometimes with the incorporation of available mitochondrial or chloroplast DNA fragments.

#### **Discussion**

NHEJ has been suggested to associate with chloroplast DNA insertion into nuclear genome (Lloyd and Timmis 2011), and this is supported by the current comparative analysis using Oryza genome seguence data. Moreover, NHEJ-mediated DSB repair that includes chloroplast DNA insertion may protect genome integrity by precluding deletions, though insertional mutagenesis may be a by-product. However, mutation may be alleviated as approximately half of de novo nupts are unstable and may be very quickly deleted from the genome (Sheppard and Timmis 2009), though the precision or otherwise of excision remain to be established. The frequency of DNA transfer from chloroplast to nucleus is different in different tissues (Huang et al. 2003; Stegemann et al. 2003; Sheppard et al. 2008), and it is known that the frequency of organellar DNA transposition is positively correlated with the amount of available organelle DNA (Wang et al. 2012a, 2012b). Here, we describe that DNA transfer from organelle to nucleus also tends to occur in regions of open chromatin. Analyses of human chromatin data (Tsuji et al. 2012) suggested that human *numt* insertion sites are often colocalized with open chromatin regions. This analysis cannot rule out the possibility that open chromatin status results from, rather than being responsible for, *numt* insertion. Therefore, we studied the preference of chimpanzee-specific numt insertions by analyzing the preinsertion status revealed in human chromatin.

Table 1
Open Chromatin Data and *Norg* Descriptions Used in This Analysis

Rice Nupt			Primate Numt				
Tissue	DH Sites in subsp.	Number of subsp. indica nupts.	Human Cell Line	Human DH Sites (%)	Number of Chimpanzee Numts Colocated	Human FAIRE Sites (%)	Number of Chimpanzee Numts Colocated
Seedling	97,975 (5)	6	GM12878	103,075 (1.528)	6	146,147 (0.728)	10
Callus	155,025 (7)	8	HeLa-S3	142,403 (2.174)	7	131,935 (0.694)	2
			H1-ES	138,025 (3.224)	7	126,439 (0.695)	6
			HUVEC	133,091 (2.259)	3	225,564 (1.723)	5

Note.—Human cell line descriptions, GM12878, lymphoblast; Hela-S3, cervical carcinoma; H1-ES, human embryonic stem cells; HUVEC, human umbilical vein endothelial cells. Percentages (in parentheses) indicate the proportion of the rice or human genome identified as open chromatin regions. Example 1:6 of the 14 subsp. indica nupts (43%) examined were located in 5% of the genome identified as DH sites in subsp. japonica seedling tissues. Example 2:10 of the 52 (19%) chimpanzee numts examined were located in 0.728% of the human genome identified as FAIRE sites in human cell line GM12878.

This provided direct evidence that norgs insert into open chromatin (this article), and it is probable that the loci remain transcriptionally active after insertion (Tsuji et al. 2012). Thus, chromatin state appears to be a significant contributor to the successful relocation of cytoplasmic organellar genes to the nucleus. Moreover, as *norg*s are also present that are not in nonopen chromatin, it may be that the accessibility of chromatin is modified by stress (Pecinka et al. 2010), accounting for the significant increase in stable integration of plastid DNA after mild heat treatment (Wang et al. 2012a).

## **Supplementary Material**

Supplementary table S1 is available at Genome Biology and Evolution online (http://www.gbe.oxfordjournals.org/).

## **Acknowledgments**

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