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RESEARCH ARTICLE

# Diabetes mellitus in patients with chronic obstructive pulmonary disease-The impact on mortality 

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#### Abstract

\section*{Background}

Chronic obstructive pulmonary disease (COPD) is the leading cause of morbidity and mortality worldwide. There is evidence to support a connection between COPD and diabetes mellitus (DM), another common medical disorder. However, additional research is required to improve our knowledge of these relationships and their possible implications. In this study, we investigated the impact of DM on patient outcomes through the clinical course of COPD.

\section*{Methods}

We conducted a cohort study in patients from the Taiwan Longitudinal Health Insurance Database between 2000 and 2013. Patients with COPD were identified and assessed for pre-existing and incident DM. A Cox proportional hazards model was built to identify factors associated with incident DM and to explore the prognostic effects of DM on COPD patients. A propensity score method was used to match COPD patients with incident DM to controls without incident DM.

\section*{Results}

Pre-existing DM was present in 332 ( $16 \%$ ) of 2015 COPD patients who had a significantly higher hazard ratio (HR) [1.244, 95\% confidence interval (CI) 1.010-1.532] for mortality than that of the COPD patients without pre-existing DM. During the 10-year follow-up period, $304(19 \%)$ of 1568 COPD patients developed incident DM; comorbid hypertension (HR, $1.810 ; 95 \% \mathrm{Cl}, 1.363-2.403$ ), cerebrovascular disease (HR, $1.517 ; 95 \% \mathrm{Cl}, 1.146-2.008$ ) and coronary artery disease (HR, $1.408 ; 95 \% \mathrm{Cl} 1.089-1.820$ ) were significant factors associated with incident DM. Survival was worse for the COPD patients with incident DM than for the matched controls without incident DM (Log-rank, p=0.027).


## Conclusions

DM, either pre-existing or incident, was associated with worse outcomes in COPD patients. Targeted surveillance and management of DM may be important in clinical care of the COPD population.

## Introduction

Chronic obstructive pulmonary disease (COPD) is characterized by persistent airflow limitation and is a global health issue with high social and economic costs.[1, 2] Development of COPD is associated with chronic bronchial and alveolar inflammation in response to noxious particles or gases, primarily those in tobacco smoking exposure.[2] In addition to these pulmonary abnormalities, COPD has a systemic component that includes significant extra-pulmonary effects, such as skeletal muscle dysfunction, weight loss, osteoporosis, and depression.[3] The pathogenetic mechanisms behind these systemic effects are poorly understood but are probably interrelated and multifactorial, including systemic inflammation, physical inactivity, tissue hypoxia, and oxidative stress, among others.[3] Extra-pulmonary manifestations of COPD may inversely lead to worsened dyspnea, impaired functional status, reduced healthrelated quality of life, and increased death risks of these patients.[4]

Diabetes mellitus (DM) is a major global metabolic disorder affecting approximately 300 million individuals worldwide.[5] Numerous studies have shown that low-grade chronic inflammation is part of the insulin resistance syndrome and is associated with development of DM. [6, 7] Accordingly, chronic systemic inflammation is probably one of the common denominators between COPD and DM. Epidemiological studies have found that DM is more frequent in COPD patients and likely to affect their prognosis.[8, 9] On the other hand, a series of studies have reported an association between DM and reduced lung function.[10-12] Undoubtedly, the relationships between these two complex diseases are complicated, and more research into this issue is required to foster our understanding of them.

Therefore, we investigated the epidemiology and prognostic role of pre-existing and incident DM and explored clinical factors associated with development of incident DM throughout the clinical course of COPD in a claims database cohort study.

## Methods

## Data source

This study employed a claims database cohort study design using data from the Longitudinal Health Insurance Database (LHID) from January 1, 2000 to December 31, 2013. Taiwan launched a single-payer National Health Insurance (NHI) program on March 1, 1995. As of 2014, $99.9 \%$ of Taiwan's population was enrolled.[13] The LHID contained de-identified and encrypted medical claims made by one million NHI beneficiaries who were randomly selected from the entire NHI population. The LHID has been employed to study a variety of diseases, including COPD,[14-16] DM,[17-19] and many others. The access to and use of the LHID was approved by the National Health Research Institutes, which managed and constructed the database. The research ethics committee of the National Taiwan University Hospital waived the need for review board approval.

## Study sample

The study cohort consisted of all patients who had either received a diagnosis of COPD (International Classification of Diseases, 9th Revision, Clinical Modification [ICD-9-CM] codes 491, 492, and 496) during two or more outpatient visits within 12 months or were hospitalized with a primary diagnosis of COPD between January 1, 2001 and December 31, 2003. Cohort entry was marked by the date when patients received their first eligible diagnosis.

Subjects were excluded if they (1) had ICD-9-CM diagnosis codes for COPD in 2000, (2) were aged $<40$ years at the time of COPD diagnosis, (3) had a diagnosis of asthma (ICD-9-CM codes 493.xx) over the study period, (4) had <1-year follow-up after COPD diagnosis, (5) were diagnosed as having type 1 DM (ICD-9-CM codes 250.x1 and 250.x3) during the study period, and (6) had a prescription of COPD-related medications for $<1$ month within 1 year of COPD diagnosis. Patients with type 1 DM were excluded from this study because it had a well-known pathogenesis different from that of type 2 DM.[20]

## Data collection

The information about demographics, comorbidities, COPD medications, concomitant medications and COPD severity was retrieved from the LHID. Comorbidities of interest were selected on the basis of the findings of a previous study that assessed long-term outcomes in COPD[21] and included hypertension, dyslipidemia, cerebrovascular disease, heart failure, coronary artery disease, kidney disease, liver disease, and malignancy identified based on ICD-9-CM codes (S1 Table). COPD-related medications were defined as prescriptions containing corticosteroids, methylxanthines, anticholinergics, and $\beta_{2}$-agonists. Concomitant medications obtained were those associated with symptomatology and outcomes of COPD, and included angiotensin-converting enzyme inhibitors (ACEIs), $[22,23]$ angiotensin II receptor blockers (ARBs),[22, 24] $\beta$ blockers,[25, 26] calcium channel blockers,[27, 28] and statins.[14, 29] Since spirometry data were not available for the LHID, proxy indicators of COPD severity, including the number of COPD-related emergency services and COPD-related hospitalizations, were measured during the year following COPD diagnosis.

## Study endpoints and follow-up

The primary endpoint of the entire COPD cohort was all-cause mortality. For COPD patients without pre-existing DM, the primary endpoint also included development of incident DM. The diagnosis of DM was established by any hospitalization for DM or at least three outpatient diagnoses of DM within 1 year based on the ICD-9-CM codes $250 . x x$ (except 250.x1 and 250. x3).[30] Pre-existing DM was defined as the presence of DM prior to or concomitantly with the diagnosis of COPD. When DM diagnosis was made after the date of cohort entry, it was referred to as incident DM. All patients were followed up until death, the end of December 2013, or discontinuation of enrollment in the NHI program, whichever came first.

## Statistical analyses

Categorical variables were represented by a number (\%) and analyzed by the chi-square or Fisher's exact test, as appropriate. Continuous variables were summarized as the mean $\pm$ standard deviation and compared by using Student's t-test. To identify independent factors associated with all-cause mortality and development of incident DM, we constructed multivariate Cox proportional hazards models and reported hazard ratios (HRs) with $95 \%$ confidence intervals ( $95 \%$ CIs). Those variables with a p value of $<0.05$ in the univariate analyses were
entered into the multivariate models. Time-to-event curves were generated by using the Kaplan-Meier method and compared by performing the log-rank test.

Since there were significant differences in the baseline characteristics between COPD patients with and without incident DM, propensity score matching was applied to balance potentially confounding factors when comparing outcome measures between the two groups. [31] In this study, the propensity score was the conditional probability of developing incident DM, as a binary dependent variable, under a set of measurements. We performed all matching on a one-to-one basis without replacement, and the caliper width was set to 0.25 times the standard deviation of the propensity score. The matching procedures were performed by using the Stata software, version 11 (StataCorp, College Station, TX).

Microsoft SQL Server 2008 was used for data management and computing. Data analysis was performed by using SPSS software (Version 22.0, 2012; SPSS Inc., Chicago, IL). A 2-tailed $p$ value of $<0.05$ indicated statistical significance.

## Results

## Study population

Over the study period, a total of 2,015 individuals were included as the study cohort (Fig 1). The mean age of the study population was $67.2 \pm 12.0$ years, and approximately $70 \%$ were male. Hypertension (50\%) was the most common comorbidity, followed by coronary artery disease (23\%) and cerebrovascular disease (18\%).

## Pre-existing DM and its prognostic role

Pre-existing DM was identified in 332 (16\%) patients on COPD diagnosis (Table 1). COPD patients with pre-existing DM had a higher proportion of comorbidities, including hypertension, coronary artery disease, cerebrovascular disease, heart failure, and dyslipidemia, than did those without pre-existing DM. ACEIs/ARBs, calcium channel blockers, and statins were more frequently prescribed in COPD patients with pre-existing DM than in those without.


Fig 1. Study flow diagram. COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus. https://doi.org/10.1371/journal.pone.0175794.g001

Table 1. Characteristics of all study patients with and without pre-existing DM at COPD diagnosis ( $\mathrm{n}=2015$ ).

| Characteristics | $\begin{gathered} \text { DM } \\ (\mathrm{n}=332) \end{gathered}$ | $\begin{aligned} & \text { Non-DM } \\ & (\mathrm{n}=1683) \end{aligned}$ | $p$ value |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
| <50 | 20(6.0) | 201(12) | 0.008 |
| 50-59 | 65(20) | 277(17) |  |
| 60-69 | 94(28) | 415(25) |  |
| $\geq 70$ | 153(46) | 790(47) |  |
| Male gender | 204(61) | 1214(72) | <0.001 |
| Comorbidity |  |  |  |
| Hypertension | 211(64) | 797(47) | <0.001 |
| Dyslipidemia | 35(11) | 69(4.1) | <0.001 |
| Cerebrovascular disease | 81(24) | 282(17) | 0.001 |
| Heart failure | 52(16) | 182(11) | 0.015 |
| Coronary artery disease | 95(29) | 378(23) | 0.019 |
| Kidney disease | 31(9.3) | 105(6.2) | 0.054 |
| Liver disease | 31(9.3) | 111(6.6) | 0.079 |
| ZMalignancy | 33(9.9) | 146(8.7) | 0.460 |
| Concomitant medications |  |  |  |
| ACEI/ARB | 40.1(40) | 417(25) | <0.001 |
| $\beta$ blocker | 28.6(29) | 486(29) | 0.947 |
| Calcium channel blocker | 47.0(47) | 571(34) | <0.001 |
| Statin | 34(10) | 43(2.6) | <0.001 |
| COPD severity |  |  |  |
| No ES or hospitalization | 295 (89) | 1525 (91) | $0.256^{\text {a }}$ |
| 1 ES | 23 (6.9) | 106 (6.3) |  |
| $\geq 2$ ES or hospitalization | 14 (4.2) | 52 (3.1) |  |

${ }^{a} \mathrm{p}$ for trend
ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus; ES, emergency service
https://doi.org/10.1371/journal.pone.0175794.t001

Throughout the study period, a total of 670 (33\%) COPD patients died, and the adjusted Kaplan-Meier curves (Fig 2) showed a higher probability of mortality in COPD patients with pre-existing DM than in those without pre-existing DM (Cox, $\mathrm{p}=0.040$ ). Multivariate Cox proportional hazards analysis (Table 2) indicated that age (HR, 1.859; 95\% CI, 1.233-2.803 for age 60-69; HR, 4.905; $95 \%$ CI, $3.347-7.188$ for age $\geq 70$ years; with reference to age $<50$ years) and comorbidities, i.e., cerebrovascular disease (HR, 1.360; 95\% CI, 1.125-1.644) and heart failure (HR, 1.823; 95\% CI, 1.478-2.249), were also significant factors associated with mortality in the total COPD population. Given the associations between DM and comorbidities, such as hypertension, dyslipidemia, cerebrovascular disease, heart failure, and coronary artery disease, we checked their interactions with DM by using the likelihood ratio test and found no additive effects on patient mortality ( $\mathrm{p}=0.72,0.91,0.30,0.70$ and 0.75 , respectively).

## Features associated with development of incident DM

During the 10-year follow-up, 304 (19\%) of the 1,568 COPD patients developed incident DM. The baseline features of the patients with and without incident DM are shown in Table 3. The prevalence of comorbidities and medication use were different between the two patient


Fig 2. Adjusted Kaplan-Meier curves for survival in all COPD patients with and without pre-existing
 disease, heart failure, and coronary artery disease) and concomitant medications (ACEI/ARB, calcium channel blocker, and statin). ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus.
https://doi.org/10.1371/journal.pone.0175794.g002
groups. Multivariate Cox proportional hazards analysis showed that comorbidities, including hypertension (HR, 1.810; 95\% CI, 1.363-2.403), cerebrovascular disease (HR, 1.517; 95\% CI, 1.146-2.008), and coronary artery disease (HR, $1.408 ; 95 \% \mathrm{CI}, 1.089-1.820$ ), were independent clinical factors associated with development of incident DM (Table 4). Compared with the

Table 2. Multivariate Cox proportional hazards model for mortality in all COPD patients ( $\mathrm{n}=\mathbf{2 0 1 5 \text { ). }}$

| Variables | Hazard ratio | 95\% confidence interval | $p$ value |
| :---: | :---: | :---: | :---: |
| Pre-existing DM | 1.244 | 1.010-1.532 | 0.040 |
| Age, years |  |  |  |
| <50 | Reference |  |  |
| 50-59 | 1.416 | 0.912-2.198 | 0.121 |
| 60-69 | 1.859 | 1.233-2.803 | 0.003 |
| $\geq 70$ | 4.905 | 3.347-7.188 | <0.001 |
| Male gender | 1.588 | 1.316-1.916 | <0.001 |
| Comorbidity |  |  |  |
| Hypertension | 1.007 | 0.840-1.208 | 0.937 |
| Dyslipidemia | 0.639 | 0.405-1.010 | 0.055 |
| Cerebrovascular disease | 1.360 | 1.125-1.644 | 0.001 |
| Heart failure | 1.823 | 1.478-2.249 | <0.001 |
| Coronary artery disease | 1.078 | 0.903-1.286 | 0.406 |
| Concomitant medications |  |  |  |
| ACEI/ARB | 0.855 | 0.709-1.032 | 0.103 |
| Calcium channel blocker | 1.166 | 0.974-1.396 | 0.095 |
| Statin | 0.659 | 0.407-1.066 | 0.089 |

ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus
https://doi.org/10.1371/journal.pone.0175794.t002

Table 3. Comparison of features between COPD patients with and without incident DM ( $\mathrm{n}=1568$ ).

| Characteristics | COPD patients with incident DM | COPD patients without incident DM | $p$ value |
| :---: | :---: | :---: | :---: |
|  | $\mathrm{n}=304$ | $\mathrm{n}=1264$ |  |
| Age, years |  |  |  |
| <50 | 32(11) | 159(13) | $0.087^{\text {a }}$ |
| 50-59 | 54(18) | 211(17) |  |
| Z60-69 | 89(29) | 290(23) |  |
| $\geq 70$ | 129(42) | 604(48) |  |
| Male gender | 222(73) | 919(73) | 0.943 |
| Comorbidity |  |  |  |
| Hypertension | 187(62) | 548(43) | <0.001 |
| Dyslipidemia | 15(4.9) | 44(3.5) | 0.240 |
| Cerebrovascular disease | 66(22) | 199(16) | 0.017 |
| Heart failure | 40(13) | 125(9.9) | 0.097 |
| Coronary artery disease | 90(30) | 256(20) | 0.001 |
| Kidney disease | 24(7.9) | 76(6.0) | 0.239 |
| Liver disease | 21(6.9) | 81(6.4) | 0.795 |
| Malignancy | 20(6.6) | 116(9.2) | 0.173 |
| Concomitant medications |  |  |  |
| ACEI/ARB | 90(30) | 291(23) | 0.021 |
| $\beta$ blocker | 110(36) | 333(26) | 0.001 |
| Calcium channel blocker | 129(42) | 393(31) | <0.001 |
| Statin | 11(3.6) | 24(1.9) | 0.082 |
| COPD medications |  |  |  |
| $\beta_{2}$-agonist, short-acting | 87(29) | 385(31) | 0.578 |
| $\beta_{2}$-agonist, long-acting | 45(15) | 232(18) | 0.155 |
| Anticholinergic, short-acting | 30(9.9) | 171(14) | 0.104 |
| Methylxanthine | 254(84) | 1058(84) | 0.931 |
| Inhaled corticosteroid | 11(3.6) | 62(4.9) | 0.448 |
| Oral corticosteroid | 72(24) | 254(20) | 0.181 |
| COPD severity |  |  |  |
| No ES or hospitalization | 268(88) | 1152(91) | $0.277^{\text {a }}$ |
| 1 ES | 22(7.2) | 77(6.1) |  |
| $\geq 2$ ES or hospitalization | 13(4.3) | 35(2.8) |  |

${ }^{a} p$ for trend
ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus; ES, emergency service
https://doi.org/10.1371/journal.pone.0175794.t003

COPD patients without these comorbidities (Fig 3), the patients with either one or two of the comorbidities were more likely to suffer from incident DM (Log-rank, $\mathrm{p}<0.001$ ).

## Prognostic role of incident DM

After one-to-one matching for baseline characteristics, 304 COPD patients with incident DM were matched to those without incident DM. Table 5 presents the clinical features of patients in the propensity score-matched cohort. The age and gender distribution, comorbidity burden, use of concomitant and COPD medications, and COPD severity were similar between the two patient groups. The survival curves for the COPD patients with incident DM and their

Table 4. Multivariate Cox proportional hazards model to identify clinical factors associated with incident DM among COPD patients ( $\mathrm{n}=1568$ ).

| Variables | Hazard ratio | 95\% confidence interval |  |
| :--- | :--- | :--- | :--- |
| Comorbidity |  |  |  |
| Hypertension | 1.810 | $1.363-2.403$ |  |
| Cerebrovascular disease | 1.517 | $1.146-2.008$ |  |
| Coronary artery disease | 1.408 | $1.089-1.820$ |  |
| Concomitant medications |  | 0.004 |  |
| ACEI/ARB | 0.903 | 0.009 |  |
| $\beta$ blocker | 1.079 | $0.688-1.187$ |  |
| Calcium channel blocker | 1.066 | $0.833-1.397$ |  |

ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus
https://doi.org/10.1371/journal.pone.0175794.t004
matched controls without incident DM are shown in Fig 4. In the analysis, survival was worse for the COPD patients with incident DM than for those without (Log-rank, $\mathrm{p}=0.027$ ).

## Discussion

In this large claims database cohort study with a long follow-up period, both pre-existing and incident DM were associated with an increased risk of all-cause mortality in COPD patients. At the time of COPD diagnosis, $16 \%$ of the patients presented with pre-existing DM; moreover, $19 \%$ of those without pre-existing DM developed incident DM within 10 years of diagnosis of COPD. The presence of comorbidities, including hypertension, cerebrovascular disease, and coronary artery disease, were significantly associated with development of incident DM.


Fig 3. Cumulative hazard rate of incident DM in COPD patients according to comorbidity status ( $\mathrm{n}=1568$ ). COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus.
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Table 5. Comparison of features between COPD patients with incident DM and matched controls.

| Characteristics | COPD patients with incident DM | Matched COPD controls | $p$ value |
| :---: | :---: | :---: | :---: |
|  | $\mathrm{n}=304$ | $\mathrm{n}=304$ |  |
| Age, years | $66.5 \pm 11.1$ | $66.3 \pm 12.1$ | 0.893 |
| <50 | 32(11) | 36(12) | 0.880 |
| 50-59 | 54(18) | 54(18) |  |
| 60-69 | 89(29) | 81(27) |  |
| $\geq 70$ | 129(42) | 133(44) |  |
| Male gender | 222(73) | 233(77) | 0.304 |
| Comorbidity |  |  |  |
| Hypertension | 187(62) | 192(63) | 0.676 |
| Dyslipidemia | 15(4.9) | 16(5.3) | 0.854 |
| Cerebrovascular disease | 66(22) | 61(20) | 0.618 |
| Heart failure | 40(13) | 31(10) | 0.256 |
| Coronary artery disease | 90(30) | 81(27) | 0.417 |
| Kidney disease | 24(7.9) | 24(7.9) | 0.999 |
| Liver disease | 21(6.9) | 19(6.2) | 0.744 |
| Malignancy | 20(6.6) | 14(4.6) | 0.290 |
| Concomitant medications |  |  |  |
| ACEI/ARB | 90(30) | 96(32) | 0.597 |
| $\beta$ blocker | 110(36) | 112(37) | 0.866 |
| Calcium channel blocker | 129(42) | 133(44) | 0.743 |
| Statin | 11(3.6) | 9(3.0) | 0.649 |
| COPD medications |  |  |  |
| $\beta_{2}$-agonist, short-acting | 87(29) | 92(3) | 0.656 |
| $\beta_{2}$-agonist, long-acting | 45(15) | 51(17) | 0.505 |
| Anticholinergic, short-acting | 30(9.9) | 40(13) | 0.204 |
| Methylxanthine | 254(84) | 256(84) | 0.825 |
| Inhaled corticosteroid | 11(3.6) | 16(5.3) | 0.325 |
| Oral corticosteroid | 72(24) | 57(19) | 0.137 |
| COPD severity |  |  |  |
| No ES or hospitalization | 268(88) | 281(92) | $0.244^{\text {a }}$ |
| 1 ES | 22(7.2) | 15(4.9) |  |
| $\geq 2$ ES or hospitalization | 13(4.3) | 8(2.6) |  |

${ }^{a} \mathrm{p}$ for trend
ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus; ES, emergency service
https://doi.org/10.1371/journal.pone.0175794.t005

In short, DM, either pre-existing or incident, was associated with worse outcomes for COPD patients through their clinical course.

In line with a recent multicenter investigation,[32] we found that pre-existing DM co-existing with COPD increased the hazards of mortality. Comorbid DM has also been shown to be associated with an increase in the length of hospital stay, in-hospital mortality, and long-term mortality following acute exacerbations of COPD.[33-35] The mechanisms responsible for the deleterious effects of DM on COPD prognosis are not fully understood and are likely to be multifactorial. For instance, impaired lung function has been observed in DM patients and may be the result of direct exposure to hyperglycemia.[36,37] A rat study has demonstrated


Fig 4. Kaplan-Meier curves for survival in COPD patients with incident DM and matched controls. COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus.
https://doi.org/10.1371/journal.pone.0175794.g004
that the impact of hyperglycemia on the respiratory system is characterized by increased oxidative stress, structural changes in the lung tissue, and altered gas exchange.[38] Further, several pieces of clinical evidence indicate that hyperglycemia can drive inflammatory responses,[32, 39] which could subsequently lead to reduced pulmonary function and restrictive abnormalities in the lung tissue. Thus, reduced lung volumes and airflow limitation might be regarded as chronic complications of $\mathrm{DM}[12]$ and lead to adverse effects in patients suffering from COPD. On the other hand, DM would render COPD patients vulnerable to bacterial lung infection. Hyperglycemia can directly promote or sustain bacterial growth in the airways,[40] and impaired phagocytic function of polymorphonuclear leukocytes has been seen in DM patients. [41] Therefore, susceptibility to bacterial infection may contribute to worse outcomes in COPD patients with DM.

Recent evidence also supports that COPD constitutes an important risk factor for developing incident DM.[42, 43] Specifically, our study identified clinical features, i.e., hypertension, cerebrovascular disease, and coronary artery disease, that were associated with a higher probability of DM development in COPD patients, and these comorbidities in combination exerted additive effects on the incidence of DM . This may not be an unexpected finding. It has been observed that patients with COPD are more likely to suffer from metabolic syndrome and cardiovascular disease, $[42,44]$ and the pathophysiology predisposing to these disorders, such as physical inactivity, systemic inflammation, and tobacco smoking, [42, 44, 45] is almost the same as that leading to development of DM. Thus, COPD patients, in particular with comorbid hypertension, cerebrovascular disease, and coronary artery disease, are prone to experience incident DM. Further, recent reviews have concluded that COPD alone can be considered to be a risk factor for development of DM, as supported by several nationwide and international epidemiological studies.[46, 47] More importantly, in this study, we demonstrated that incident DM, similar to pre-existing DM, had a significant
prognostic impact on patients with COPD. Taken together, although routine screening of patients with COPD for the presence of incident DM is not recommended in the current COPD practice guideline,[2] the findings herein and from other studies suggest that survey of dysglycemia in COPD patients should be performed, particularly when the patients carry certain warning comorbidities.

Comorbidities are commonly encountered in COPD patients of any severity and significantly affect their health status and prognosis.[48, 49] Cardiovascular disease is a major and important comorbidity in COPD.[50] Consistent with previous studies,[51,52] we showed that comorbid heart failure appeared to significantly affect survival of COPD patients. Moreover, COPD and heart failure may be confused because they share common symptoms of breathlessness, cough, and wheezing.[53] Therefore, caution is needed for the diagnosis and management of heart failure in the COPD population.

Cerebrovascular disease is another comorbidity described as being more prevalent in patients with COPD,[9] probably through sharing common risk factors of aging, smoking, and genetic predisposition with COPD or its risk factors of physical inactivity, vasculopathy, and disturbed oxygenation that are aggravated by COPD.[54] Despite the clinical relevance of cerebrovascular disease in patients with COPD, little if any literature regarding its impact on COPD is available. The present study showed an adverse effect of comorbid cerebrovascular disease on prognosis of COPD patients. The underlying mechanisms are uncertain; however, it has been reported that patients with cerebrovascular disease have impaired lung function due to respiratory muscle weakness or central diaphragmatic impairment,[55] and these patients are also at increased risk of dysphagia and aspiration pneumonia.[56] All of these factors may have a role in modulating the clinical course of COPD patients and, thus, negatively affect their outcomes.

Our study had several potential limitations. First, information, such as body weight, smoking status, and spirometry data, was not available in the LHID. However, we used dyslipidemia, hypertension, and medical service utilization for COPD as proxy measures for these variables, so they may not markedly confound our study results. Second, only the diagnosis of DM has been validated in the LHID;[30] therefore, the accuracy of COPD diagnosis is unknown. However, the ICD-9-CM codes used for the diagnosis of COPD have been extensively used in other studies, $[14,57,58]$ and the prescriptions of COPD-related medications and the age limit of $\geq 40$ years were the prerequisite components for COPD diagnosis in the present study. Moreover, the baseline prevalence of pre-existing DM in our study ( $16 \%$ ) was similar to that in other telephone interview surveys and prospective studies ( $12 \%-13 \%$ ) in Taiwan. $[59,60]$ These strengths support the validity of our COPD diagnosis and the comparability of our study sample to the target population. Third, a substantial variation in the pharmacologic therapy of COPD patients between Taiwan and American-European countries,[61, 62] such as fewer prescriptions of inhaled corticosteroids and far more frequent use of theophyllines in Taiwan, could hinder the generalizability of our study results. Yet, the cultural, ethnic, or loco-regional differences in the clinical management of COPD also highlight the importance of our study and indicate that the detrimental effects of DM in patients with COPD go beyond social and environmental boundaries.

In conclusion, DM is a prevalent comorbidity at the time of COPD diagnosis, and it is not uncommon for COPD patients, particularly those with comorbid hypertension, cerebrovascular disease, and coronary artery disease, to develop DM during their disease course. Moreover, we demonstrated that both pre-existing and incident DM had a poor prognostic effect on COPD survival. Therefore, this study suggests that targeted surveillance and management of DM are important in clinical care of the COPD population.

## Supporting information

S1 Table. Definitions of comorbidities.
(DOCX)

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