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Association of genetic variants in *CHEK2* with oesophageal squamous cell carcinoma in the South African Black population

Wenlong C.Chen[1,2,3,†,⦿], Hannah Bye[4,†], Marco Matejcic[5], Ariella Amar[4], Dhiren Govender[6], Yee Wen Khew[4], Victoria Beynon[4], Robyn Kerr[3], Elvira Singh[1,7], Natalie J.Prescott[4], Cathryn M.Lewis[4,8], Chantal Babb de Villiers[3], M.Iqbal Parker[5] and Christopher G.Mathew[2,3,4,*]

[1]National Cancer Registry, National Health Laboratory Service, Johannesburg 2131, South Africa, [2]Sydney Brenner Institute for Molecular Bioscience, University of the Witwatersrand, Johannesburg 2000, South Africa, [3]Division of Human Genetics, School of Pathology, National Health Laboratory Service and University of the Witwatersrand, Johannesburg 2000, South Africa, [4]Department of Medical and Molecular Genetics, Faculty of Life Sciences and Medicine, King's College London, London SE1 9RT, United Kingdom, [5]Division of Medical Biochemistry and Institute of Infectious Disease and Molecular Medicine, Faculty of Health Sciences, University of Cape Town, Cape Town 7925, South Africa, [6]Division of Anatomical Pathology, University of Cape Town, Cape Town 7925, South Africa, [7]School of Public Health, Faculty of Health Sciences, University of the Witwatersrand, Johannesburg 2000, South Africa and [8]Social, Genetic and Developmental Psychiatry Centre, Institute of Psychiatry, Psychology & Neuroscience, King's College London, London SE5 8AF, United Kingdom

*To whom correspondence should be addressed. Tel: +44 20 7848 8509; Email: christopher.mathew@kcl.ac.uk
†These authors contributed equally to this work.

Abstract

Oesophageal squamous cell carcinoma (OSCC) has a high incidence in southern Africa and a poor prognosis. Limited information is available on the contribution of genetic variants in susceptibility to OSCC in this region. However, recent genome-wide association studies have identified multiple susceptibility loci in Asian and European populations. In this study, we investigated genetic variants from seven OSCC risk loci identified in non-African populations for association with OSCC in the South African Black population. We performed association studies in a total of 1471 cases and 1791 controls from two study sample groups, which included 591 cases and 852 controls from the Western Cape and 880 cases and 939 controls from the Johannesburg region in the Gauteng province. Thereafter, we performed a *meta*-analysis for 11 variants which had been genotyped in both studies. A single nucleotide polymorphism in the *CHEK2* gene, rs1033667, was significantly associated with OSCC [$P = 0.002$; odds ratio (OR) = 1.176; 95% confidence interval (CI): 1.06–1.30]. However, single nucleotide polymorphisms in the *CASP8/ALS2CR12*, *TMEM173*, *PLCE1*, *ALDH2*, *ATP1B2/TP53* and *RUNX1* loci were not associated with the disease ($P > 0.05$). The lack of association of six of these loci with OSCC in South African populations may reflect different genetic risk factors in non-African and African populations or differences in the genetic architecture of African genomes. The association at *CHEK2*, a gene with key roles in cell cycle regulation and DNA repair, in an African population provides further support for the contribution of common genetic variants at this locus to the risk of oesophageal cancer.

Abbreviations

eQTL	expression quantitative trait locus
GxE	gene x environmental
GWAS	genome-wide association studies
JCS	Johannesburg Cancer Study
LD	linkage disequilibrium
MAF	minor allele frequency
OC	oesophageal cancer
OSCC	oesophageal squamous cell carcinoma
SAB	South African Black
SAP	shrimp alkaline phosphatase
SNP	single nucleotide polymorphism
UCT	University of Cape Town

Introduction

Oesophageal cancer (OC) is the eighth most common cancer worldwide ([1]), with oesophageal squamous cell carcinoma (OSCC) accounting for nearly 90% of all cases of OC worldwide ([2]). Particularly high incidence rates are observed in regions such as southern Africa, China and Japan. In South Africa, OC was the third most common cancer in males of African ancestry and the fourth most common cancer in females of African ancestry in 2014, with age standardized incidence rates of 4.79 and 3.16 per 100 000, respectively ([3]). In addition to the recognized risk factors for OSCC of alcohol consumption and tobacco use, polycyclic aromatic hydrocarbons exposure, high food temperatures and other factors such as diet and consumption of *Fusarium*-contaminated maize may also be involved in the development of the disease (reviewed in ([4–7])).

Over the past 9 years, several genome-wide association studies (GWAS) have been performed in non-African populations to determine the genetic risk factors involved in the development of OSCC. In 2009, a GWAS for OSCC in the Japanese population found that single nucleotide polymorphisms (SNPs) at *ADH1B* (rs1229984) and *ALDH2* (rs671) were associated with the disease ([8]), and a European GWAS of upper aerodigestive tract cancers detected genome-wide significant association with OSCC at *ALDH2* (rs4767364) ([9]). Since 2010, four OSCC GWAS and a *meta*-analysis have been performed in the Chinese population, which identified multiple additional risk loci ([10–14]). These included SNPs at *PLCE1, HEATR3, HAP1, CHEK2/XBP1, ST6GAL1, SMG6, PTPN2, SLC52A3 (C20orf54), PDE4D, RUNX1, UNC5CL* and *CYP26B1*. However, in a subsequent analysis, the associations at *HAP1, SMG6, SLC52A3 (C20orf54)* and *PTPN2* were not confirmed ([14]).

We previously tested several of these variants for association with OSCC in the South African Black (SAB) population recruited in the Western Cape region ([15,16]). Coding SNPs in *ADH1B* and *ALDH2* were absent in the SAB population ([15]), and none of the lead SNPs from the Chinese GWAS studies were associated with OSCC (*PLCE1* rs2274223, *SLC52A3/C20orf54* rs13042395, *PDE4D* rs10052657, *RUNX1* rs2014300, *UNC5CL* rs10484761). However, further analysis of the *PLCE1* locus identified a significant association with the SNP Arg548Leu (rs17417407).

The Johannesburg Cancer Study (JCS) was established in 1995, with the aim of recruiting Black, treatment naïve, patients diagnosed with cancer from the greater Johannesburg area in Gauteng province ([17]). The JCS recruited all cancer cases, including OSCC, as well as non-cancer, ethnically matched controls from three tertiary academic hospitals in the greater Johannesburg region. The University of Cape Town (UCT) cancer study was established to recruit OSCC patients from the Western Cape region of South Africa through tertiary hospitals ([15,18]). Here, we investigate the association of seven main-effect susceptibility loci (*CASP8/ALS2CR12, TMEM173, PLCE1, ALDH2, ATP1B2/TP53, RUNX1* and *CHEK2/XBP1*) identified by GWAS ([9–13]) with OSCC in SAB populations recruited in these two regions of South Africa.

Materials and methods

Study subjects

The UCT study sample consisted of 591 SAB OSCC cases and 852 ethnically matched non-cancer controls that were available for genotyping. The cases and controls were mainly Xhosa-speakers (97% and 95%, respectively) from the Western or Eastern Cape provinces of South Africa. The JCS samples consisted of 880 SAB OSCC cases and 939 ethnically matched non-cancer controls available for genotyping. The JCS cases and controls were recruited from the greater Johannesburg area in Gauteng province, with self-reported African ancestry. The major linguistic groups in the JCS in both cases and controls were the Nguni (Zulu and Xhosa speakers, 37.8%) and Sotho-Tswana (Southern Sotho, Tswana and Northern Sotho speakers, 39.2%). Control individuals for both study samples had no history of cancer, lived in the same residential areas and had a similar socioeconomic status to the cases. The JCS controls were recruited mainly from the cardiovascular unit at the Charlotte Maxeke Academic Hospital in Johannesburg, a high proportion of whom consumed alcohol.

All patients had a histologically confirmed primary invasive OSCC and were recruited between 1995 and 2016. Smoking status was subdivided into ever-smokers (those who had smoked at some point in their lives) or never-smokers. Drinkers were defined as subjects who consumed alcohol at least once every week or non-drinkers. Whole blood samples were collected, with informed consent, from all subjects and DNA was extracted at the University of Cape Town and the University of the Witwatersrand as previously described ([15,19]). Ethical approval for the study was obtained from the joint University of Cape Town/Groote Schuur Hospital Research Ethics Committee and the University of Stellenbosch/Tygerberg Hospital Ethics Committee (UCT HREC 040/2005) and the Human Research Ethics Committee (Medical) of the University of the Witwatersrand (M140271, M160807).

SNP selection and genotyping

For the UCT samples, 12 SNPs were selected from the 7 OSCC susceptibility loci described above and genotyped. The list of these SNPs and loci are shown in Table 2, the majority of which were the lead SNPs from published GWAS ([9–14]). The index SNP for the *TMEM173* locus on chromosome 5q31.2, rs7447927 ([14]) failed TaqMan assay design; a proxy for this SNP, rs13153461, was genotyped which is in strong linkage disequilibrium (LD) with rs7447927 in both Chinese ($r2 = 0.98$) and African ($r2 = 0.69$ in Yoruban) populations in the 1000 Genome Project Phase 3. The functional SNP in the *TP53* gene (rs1800371, p.P47S), which has only been detected in African populations ([20]), was also genotyped. For the JCS samples, the lead SNPs from the seven OSCC susceptibility loci and one or more tagging SNPs in LD with the lead SNP were genotyped to provide additional coverage in case of genotype assay failure. Tag SNP selection was based on allele frequencies and LD in the Yoruban (YRI) and Chinese (CHB) populations from the 1000 Genomes Consortium ([21]), using LDLink 3.0 ([22]), which resulted in selection of 18 SNPs for genotyping (Table 2). An overview of the study design is shown in Figure 1.

The Agena MassARRAY iPLEX genotyping assay (Agena Bioscience, San Diego) was used to genotype 18 SNPs in the JCS samples. The assay was performed using the iPLEX assay protocol ([23]). Oligonucleotide primers were designed using the Agena Assay Design Suite (Agena Bioscience). Reactions for the PCR assays were carried out in 5.0 µl volumes in 96-well plates. Each reaction contained 25 ng DNA, 0.5 µl 10X PCR buffer, 2 mM $MgCl_2$, 500 µM deoxynucleoside triphosphate mix, 100 nM primer mix and 1.0 U Taq polymerase, and distilled water (dH_2O) made up the remaining volume. Reactions for the shrimp alkaline phosphatase (SAP) post-PCR clean-up were carried out by adding 2.0 µl SAP mix, each containing 0.17 µl 10X SAP buffer, 0.51U SAP enzyme and dH_2O to each reaction well. Reactions for the iPLEX single-base extension were carried out by adding 4.0 µl iPLEX mix, each containing 0.2 µl 10X iPLEX buffer plus, 0.2 µl iPLEX termination mix, 8 µM primer mix, 0.041 µl iPLEX enzyme
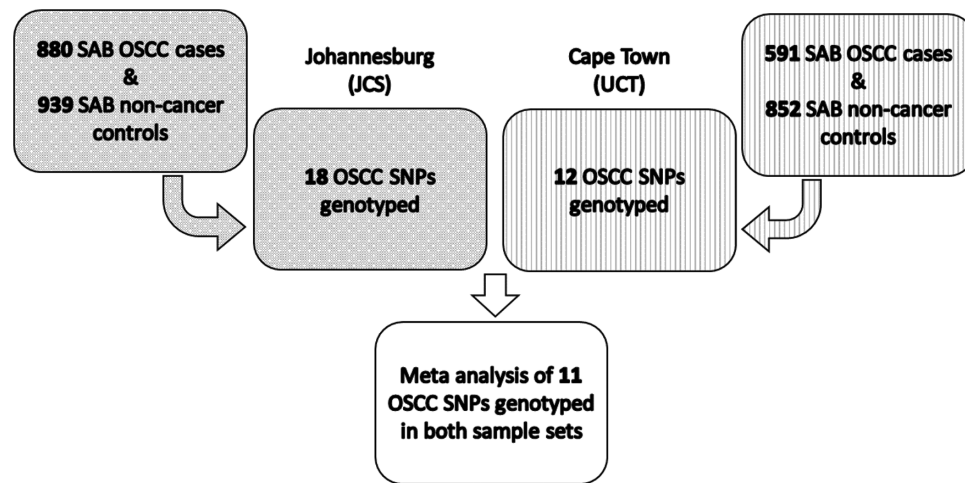
Figure 1. Schematic of the overall study design.

and dH$_2$0 to each reaction well. Primer extension products were spotted onto the SpectroCHIPs and detection of the primer extension products by mass spectrometry was done on the Agena MassARRAY Compact mass spectrometer. All but one of 18 SNPs (rs1642764) produced discrete genotype clusters in the iPLEX assay.

The 12 SNPs for the UCT samples were genotyped using TaqMan SNP assays (Life Technologies, Carlsbad). A TaqMan SNP assay was also used to genotype the SNP (rs1642764) in the JCS samples that had failed in the iPLEX assay. Reactions for the TaqMan SNP assays were carried out in 2.5 µl volumes in 96-well plates. Each reaction contained 20 ng DNA, 1.25 µl ABsolute QPCR ROX mix (Thermo Scientific, Massachusetts) and 0.03 µl 40X TaqMan SNP assay mix (Life Technologies), with the PCR performed on a PTC-0225 DNA Engine (MJ Research, Hampton) according to manufacturer's protocol. Fluorescent levels at the PCR endpoint were determined using a 7900HT Fast Real-Time PCR system (Applied Biosystems, Foster City) and genotypes assigned using SDS 2.2.2 software (Applied Biosystems), with additional manual checks for discrete genotype clusters. High levels of concordance of genotyping results between MassARRAY chemistry and TaqMan chemistry have been described previously, including for clinical implementation (24,25).

Pearson's chi-square (χ^2) test was used to determine deviations from the Hardy–Weinberg equilibrium in controls only, using a cut-off of $P < 0.001$. Call rates for all other SNPs genotyped by either method were >95%.

Statistical analysis

In order to test for association with OSCC in the UCT and JCS study samples, allele frequencies in cases and controls were compared using Pearson's χ^2 test using Plink (version 1.9, https://www.cog-genomics.org/plink/1.9/). Allelic odds ratios (OR) and 95% confidence intervals (CI) were calculated using the common allele as the reference. In view of the differences in the ethno-linguistic composition of the subjects in the UCT and JCS samples and the different genotyping methodology used, a *meta*-analysis of the two data sets for fixed effects and random effects was performed using Plink (version 1.9, https://www.cog-genomics.org/plink/1.9/). A Bonferroni-corrected *P*-value of <0.0045 (0.05/11) was used as a significance threshold to account for the testing of 11 variants in the *meta*-analysis. The proportion of SNPs showing the same direction of effect in the *meta*-analysis as compared to the direction of effect observed in non-African studies was tested using the exact binomial test for difference from 0.5. As secondary analyses, dominant and recessive models were also tested for association with each SNP.

The power of the association tests was determined using Quanto (v1.2.4, http://hydra.usc.edu/gxe/). The average effect size of the loci tested was 1.26 and a range of minor allele frequency (MAF) for the SNPs was used for the power calculation. The power of the UCT study sample to detect an effect size of 1.26 for MAFs of 0.1–0.5 at alpha 0.05 was 48–86%.

The power of the JCS samples to detect an effect size of 1.26 for MAFs of 0.05–0.45 at alpha 0.05 was 36–94%. The power of the combined study samples (1471 cases and 1791 controls) in the *meta*-analysis to detect an effect size of 1.26 was calculated for the range of MAFs observed in the combined study sample (0.17–0.46) and was 78–96% at alpha 0.0045.

Gene x environmental (GxE) interactions with tobacco smoking were investigated for SNPs with significant evidence of allelic association ($P < 0.0045$) by testing for association in cases and controls in the combined UCT/JCS study samples stratified by smoking status (ever versus never) in a χ^2 analysis using Stata MP 15.1 (StataCorp, College Station). GxE interactions for alcohol consumption were investigated in a case-only analysis of the combined UCT/JCS study samples. Case-control interactions were not tested owing to the high level of alcohol use in the JCS controls.

Bioinformatic analysis of associated variants to look for potential functional effects was carried out using established tools and resources such as HaploReg (https://pubs.broadinstitute.org/mammals/haploreg/haploreg.php), GTEx (https://gtexportal.org), RegulomeDB (http://www.regulomedb.org), ENCODE (https://www.encodeproject.org) and FuncPred (https://snpinfo.niehs.nih.gov/snpinfo/snpfunc.html).

Results

The characteristics of the cases and controls in the JCS and UCT sample sets are shown in Table 1.

The mean age of diagnosis was similar in the JCS and UCT groups (58.2 and 60.2 years, respectively). The male to female ratio was 1.64 in the JCS cases but 0.93 in the UCT sample. Smoking rates were high (>60%) in both groups of cases. The proportion of cases reporting alcohol consumption was higher in the UCT group.

Three of the 12 variants tested, under the allelic additive model, in the SAB UCT study sample were significantly associated with OSCC after Bonferroni multiple testing correction ($P < 0.0042$) (Table 2). These were *XBP1* rs2239815, *CHEK2* rs4822983 and *CHEK2* rs1033667. The other nine SNPs in *CASP8, ALS2CR12, TMEM173, PLCE1, ATP1B2/TP53, TP53* and *RUNX1* were not significantly associated with the disease, although rs17417407 at *PLCE1* showed nominal evidence of association. In the secondary analysis of the SAB UCT study sample, results under a dominant and a recessive model of inheritance were similar to the additive model (Supplementary Table 1, available at *Carcinogenesis* Online), and all SNPs showing association with OSSC in the dominant or recessive models were also associated in the additive model. None of the 18 variants tested in the SAB JCS study sample showed evidence of

Table 1. Characteristics of OSCC cases and controls in the SAB populations

Study Samples		JCS Case	JCS Control	UCT Case	UCT Control
Total number		880	939	591	852
Age, mean years (SD)		58.2 (10.2)	50.0 (15.5)	60.2(11.3)	48.9 (16.8)
Sex, *n* (%)	Male	545 (61.9)	240 (25.6)	284 (48.1)	342 (40.1)
	Female	332 (37.7)	698 (74.3)	307 (51.9)	507 (59.5)
	Unknown	3 (0.3)	1 (0.1)	0 (0)	3 (0.4)
Smoking status, *n* (%)	Ever-smoker	598 (68.0)	333 (35.5)	364 (61.6)	338 (39.7)
	Never-smoker	276 (31.4)	584 (62.2)	227 (38.4)	504 (59.1)
	Unknown	6 (0.7)	22 (2.3)	0 (0)	10 (1.2)
Alcohol consumption, *n* (%)	Drinker	473 (53.8)	633 (67.4)[a]	370 (62.6)	458 (53.7)
	Non-drinker	404 (45.9)	305 (32.5)[a]	219 (37.1)	390 (45.8)
	Unknown	3 (0.3)	1 (0.1)[a]	2 (0.3)	4 (0.5)

[a]Ascertainment bias of individuals with higher baseline alcohol consumption levels.

Table 2. Case-control association study analysis for SNPs genotyped in seven known risk loci for OSCC in the SAB populations

Chr	Gene	SNP	Alleles	UCT MAF case	UCT MAF control	UCT P-value	UCT OR (95% CI)	JCS MAF case	JCS MAF control	JCS P-value	JCS OR (95% CI)
2	CASP8	rs10931936	C/T	0.19	0.20	0.391	0.90 (0.71–1.15)	0.22	0.20	0.271	1.09 (0.93–1.29)
2	ALS2CR12	rs13016963[a]	G/A	0.35	0.35	0.994	1.00 (0.82–1.22)	0.39	0.38	0.515	1.05 (0.91–1.20)
2	ALS2CR12	rs10201587[a]	A/G	–	–	–	–	0.38	0.39	0.783	0.98 (0.86–1.12)
5	TMEM173	rs13181561	A/G	–	–	–	–	0.48	0.49	0.275	0.93 (0.82–1.06)
5	TMEM173	rs13153461	G/A	0.04	0.05	0.357	0.83 (0.56–1.23)	–	–	–	–
10	PLCE1	rs17417407	G/T	0.17	0.21	0.014*	0.76 (0.60–0.95)	0.19	0.19	0.881	0.99 (0.84–1.17)
10	PLCE1	rs7084339	G/A	–	–	–	–	0.48	0.46	0.178	1.09 (0.96–1.25)
10	PLCE1	rs3765524[a]	T/C	0.47	0.47	0.854	1.02 (0.83–1.26)	0.48	0.46	0.186	1.09 (0.96–1.24)
10	PLCE1	rs2274223[a]	A/G	0.42	0.40	0.508	1.06 (0.89–1.26)	0.41	0.43	0.334	0.94 (0.82–1.07)
10	PLCE1	rs11187850	A/G	–	–	–	–	0.21	0.19	0.204	1.11 (0.94–1.31)
12	ALDH2	rs4767364[a]	A/G	–	–	–	–	0.12	0.11	0.138	1.17 (0.95–1.44)
17	ATP1B2/TP53	rs1642764[a]	C/T	0.21	0.20	0.527	1.07 (0.86–1.33)	0.18	0.18	0.982	1.00 (0.85–1.19)
17	ATP1B2/TP53	rs1641511	A/G	–	–	–	–	0.39	0.42	0.081	0.89 (0.78–1.02)
17	TP53	rs1800371[a]	G/A	0.02	0.03	0.145	0.67 (0.38–1.16)	0.03	0.02	0.117	1.38 (0.92–2.07)
21	RUNX1	rs2014300[a]	A/G	0.38	0.40	0.376	0.92 (0.77–1.10)	0.36	0.36	0.795	1.02 (0.89–1.17)
21	RUNX1	rs2834718	T/A	–	–	–	–	0.33	0.33	0.667	0.97 (0.84–1.11)
22	CHEK2	rs4822983[a]	C/T	0.46	0.39	0.001***	1.32 (1.12–1.56)	0.43	0.42	0.836	1.01 (0.89–1.16)
22	CHEK2	rs1033667[a]	C/T	0.44	0.38	0.002**	1.30 (1.10–1.53)	0.42	0.39	0.145	1.10 (0.97–1.26)
22	XBP1	rs2239815[a]	C/T	0.21	0.16	0.001***	1.41 (1.15–1.74)	0.16	0.18	0.162	0.88 (0.74–1.05)

MAF, minor allele frequency; C/T, major allele/minor allele; OR, odds ratio, for each minor allele carried; 95% CI, 95% confidence interval.
[a]Previously published lead SNPs (9–14); other SNPs tag the lead SNPs.
*$P \leq 0.05$, **$P \leq 0.01$, ***$P \leq 0.001$.

association with OSCC ($P > 0.05$) under the allelic additive model (Table 2) or the dominant and recessive models (Supplementary Table 1, available at *Carcinogenesis* Online).

A fixed-effect *meta*-analysis was performed for the 11 SNPs genotyped in both study samples under the allelic additive model (Table 3). One SNP, *CHEK2* rs1033667 [$P = 0.002$, OR = 1.18 (1.06–1.30)], was significantly associated with OSCC under this model. This was also the only SNP with evidence of association when analysed under dominant or recessive models (Supplementary Tables 2 and 3, available at *Carcinogenesis* Online). A random-effect *meta*-analysis was also performed to investigate potential heterogeneity between the two studies (Table 3). The only marker with any evidence of association with OSCC under the random-effect model was rs1033667 [$P(R) = 0.032$], and the direction of the effect was the same in both studies. Three variants showed evidence of heterogeneity (*TP53* rs1800371, *CHEK2* rs4822983 and

XBP1 rs2239815), but none of these were associated with OSCC under the random-effect model. Of the 11 variants included in the *meta*-analysis, 9 were genotyped in the Chinese genome-wide scans and 5 had effects in the same direction as in the original Chinese studies ($P = 0.556$).
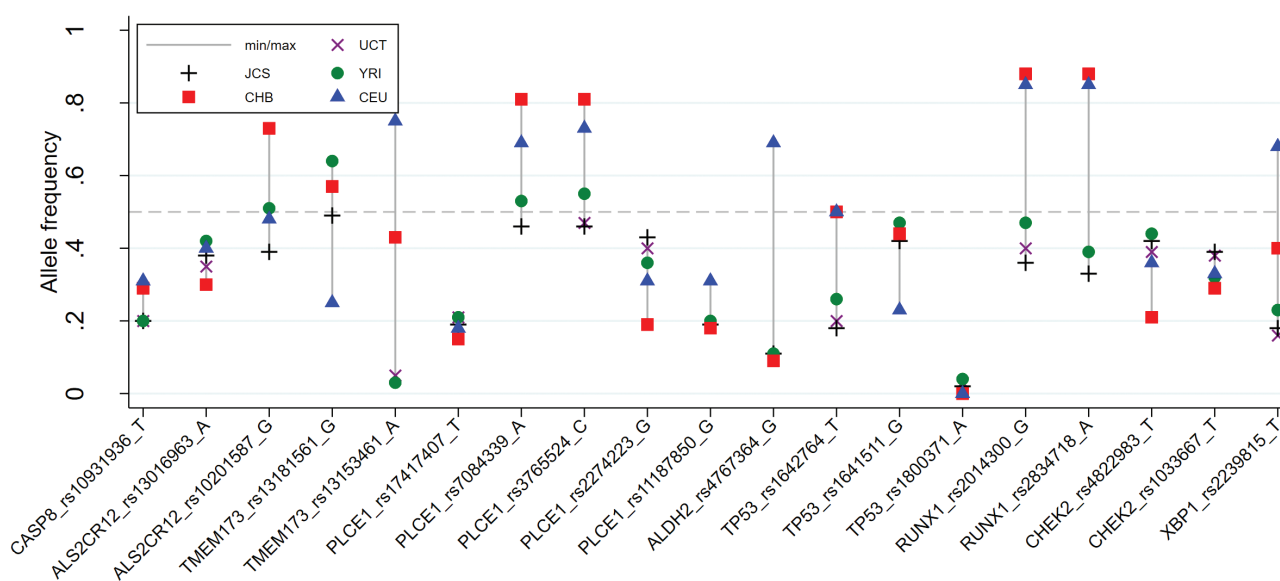
A comparison of allele frequencies of the 19 SNPs of interest in the UCT and JCS controls with their allele frequencies in the 1000 Genomes project phase 3 populations, Yoruban (YRI), Han Chinese (CHB) and Northern European (CEU), is shown in Figure 2 and Supplementary Table 4, available at *Carcinogenesis* Online. The majority of the SNPs were common in all these populations, but allele frequencies were very different for SNPs such as *TMEM173* rs13153461, which had low frequencies in African populations. In such cases, there would be low power to detect an association of this marker with OSCC in Africans. Also, for SNPs such as *ALDH2* rs4767364 and *XBP1* rs2239815,

Table 3. *Meta*-analysis of 11 variants genotyped in the SAB populations

Chr	Gene	SNP	Major allele	Minor allele	P	P(R)	OR (95% CI)	Q	I²
2	CASP8	rs10931936	C	T	0.663	0.886	1.030 (0.902–1.177)	0.186	42.91
2	ALS2CR12	rs13016963	G	A	0.588	0.588	1.031 (0.923–1.152)	0.726	0.00
10	PLCE1	rs17417407	G	T	0.116	0.310	0.898 (0.786–1.027)	0.061	71.57
10	PLCE1	rs3765524	T	C	0.221	0.221	1.072 (0.959–1.197)	0.588	0.00
10	PLCE1	rs2274223	A	G	0.708	0.780	0.980 (0.882–1.089)	0.267	18.70
17	ATP1B2/TP53	rs1642764	C	T	0.682	0.682	1.028 (0.899–1.176)	0.627	0.00
17	TP53	rs1800371	G	A	0.685	0.962	1.070 (0.772–1.483)	0.037*	77.10
21	RUNX1	rs2014300	A	G	0.740	0.740	0.982 (0.882–1.094)	0.390	0.00
22	CHEK2	rs4822983	C	T	0.028*	0.285	1.123 (1.013–1.245)	0.015*	83.03
22	CHEK2	rs1033667	C	T	0.002**	0.032*	1.176 (1.060–1.304)	0.137	54.85
22	XBP1	rs2239815	C	T	0.313	0.648	1.071 (0.938–1.758)	0.001***	91.30

OR, odds ratio, for each minor allele carried; 95% CI, 95% confidence interval; P(R), P-values for the random effect *meta*-analysis; Q, Cochrane's Q-statistic P-values. I², I² heterogeneity index; P, P-value for the fixed effect *meta*-analysis.
*P ≤ 0.05, **P ≤ 0.01, ***P ≤ 0.001.

Figure 2. Comparison of the allele frequencies of the 19 SNPs of interest by population.

the rarer allele in one population was the common allele in other populations. Notably, the SNP with the strongest evidence of association with OSCC, *CHEK2* rs1033667, had similar frequencies in all these populations.

The rs1033667 variant was investigated by bioinformatic analysis for evidence of a functional effect. The SNP is located in an intron of *CHEK2*, 90 bp downstream of a donor splice site. Analysis of this variant using a variety of predictive tools (see Materials and methods) did not provide any direct evidence for a functional effect. However, expression quantitative trait locus (eQTL) data show that it is associated with altered expression of several genes in this region in multiple tissue types, including increased expression of *CHEK2* in liver and *HSCB* in oesophageal mucosa and reduced expression of *TTC28* in transformed fibroblasts (26,27). The only SNP currently known to be in strong LD (r² > 0.8) with rs1033667 in African populations is rs2078555, which is also an intronic SNP in *CHEK2*. Bioinformatic analysis of this variant using the same tools also failed to reveal any direct evidence for a functional effect and showed similar eQTLs to rs1033667 for *CHEK2*, *HSCB* and *TTC28* both in terms of direction of effect and tissue type.

The *CHEK2* variant rs1033667 was tested for GxE interactions with tobacco smoking in a case-control analysis. Tobacco

smoking was an independent risk factor for OSCC, OR = 3.04 (2.36–3.90) for ever-smokers (P < 0.001) compared to non-smokers. No significant interaction with rs1033667 was observed for tobacco smoking (P = 0.60). A case-only analysis was carried out for interaction of rs1033667 with alcohol use (see Materials and methods), but no significant interaction was observed (P = 0.13). Although the *ALDH2* SNP rs4767364 was not associated with OSCC in our study, this variant was tested for interaction with alcohol because of strong evidence for interaction between variants in this gene and alcohol use in other populations (8,13), but no interaction was detected (P = 0.30).

Discussion

This study investigated seven main-effect susceptibility loci that were previously reported to be associated with OSCC in non-African populations (9–14) for association with this disease in the SAB population. The *meta*-analysis included 1471 cases and 1791 controls from the Western Cape and Gauteng provinces, which is more than double the sample size of any previous African study of oesophageal cancer genetics. Only one SNP (*CHEK2* rs1033667) was significantly associated with

OSCC in the SAB population in the *meta*-analysis of the two study samples [*P* = 0.002, OR = 1.18 (1.06–1.30)]. This finding suggests that shared aetiology of the disease may exist between the Chinese populations and the SAB populations involving the *CHEK2* gene. None of the other loci tested showed evidence of transference to the SAB population, even though this study was well powered to detect these associations except for two low-frequency SNPs.

CHK2 (encoded by *CHEK2*) is a serine-threonine kinase with a key role in the DNA damage response pathway. Upon activation by genotoxic stress, it can phosphorylate downstream proteins to lead the activation of DNA repair, cell-cycle arrest, senescence or apoptosis (28). Rare germline mutations in *CHEK2* have been implicated in susceptibility to multiple cancers, including strong evidence of association with breast and prostate cancer (29). The *CHEK2* gene lies within a 100 kb region of chromosome 22 which also contains other genes of interest in relation to cancer, *XBP1*, *HSCB* and *TTC28*. *XPB1* encodes an X-box binding protein 1 which is involved in the unfolded protein response in the endoplasmic reticulum. The stress caused by the accumulation of unfolded proteins activates this response which leads to the restoration of normal protein folding, or in severe cases, apoptosis (30). *XBP1* has been shown to have an important role in the development and progression of triple-negative breast cancer through its control of the HIF1alpha pathway (31). *HSCB* encodes a member of the heat shock cognate B (HscB) family of proteins involved in the synthesis of iron-sulphur clusters and redox reactions of mitochondrial electron transport. Expression of *HSCB* is elevated in breast cancer tissue, and downregulation of this gene has recently been shown to reduce cell proliferation (32). *TTC28* encodes a tetratricopeptide repeat containing protein which may be involved in spindle formation in mitosis. Whole genome sequencing of OSCC tumour tissue has revealed frequent structural alterations in *TTC28*, the significance of which is not yet clear (33). The *CHEK2* SNP rs1033667 is associated with altered expression of *CHEK2* itself and also of *HSCB* and *TTC28*. A detailed fine-map of this region in large sample sizes will be required to address the genes and variants that are driving this association in more detail.

The variants from the other six loci of interest were not significantly associated with OSCC in either of our sample sets or in the *meta*-analysis. These included a low-frequency functional SNP in *TP53*, Pro47Ser, which is unique to African populations (20). However, the SNP has an allele frequency of only 2–3%, so it would require a very large sample size to resolve a possible association with this or any other cancers in African populations. Interestingly, this variant has recently been reported to be associated with pre-menopausal breast cancer in African-American women (34). In our previous study of the SAB population of the Western Cape, we reported evidence of a possible association of a coding SNP in *PLCE1*, rs17417407, with OSCC (16). However, this was not replicated in the JCS sample and the association was not significant in the *meta*-analysis. It is possible that the larger sample size is a more robust reflection of the effect size for this variant, or there may be inter-African population differences that will only be resolved by the analysis of very large sample sizes in these populations (35). As discussed previously, there are several possible reasons for a lack of association in our studies (15,16). First, there may be insufficient power to detect the effect sizes observed in the original GWAS studies. However, we had 78–96% power to detect an effect (OR) of 1.26 for the GWAS-derived SNPs at alpha 0.0046 in the *meta*-analysis, so detection of an association signal should have been possible at most of these loci.

Another possibility is that the actual causal variants in the Chinese population arose after the migration of humans out of Africa and are therefore not present in African populations. A recent exome-wide association study of OSCC reported six new susceptibility loci in the Chinese population (36). However, inspection of the 1000 Genome project phase 3 data for West and East African populations showed that two of the three low-frequency variants (rs117353193 and rs17848945) are monomorphic and one (rs138478634) has a MAF of 0.001; thus, these three loci are unlikely to make a significant contribution to OSCC risk in African populations. The three common associated variants from chromosome 6p21.3 in the exome study will be tested as part of an ongoing GWAS in the SAB population.

An important challenge in the investigation of transference of genetic factors between African and non-African populations is that LD is generally lower across African genomes as compared to Asian and European genomes, with shorter haplotype blocks (37). Thus, the genotyped SNPs may be in high LD with the causal variant in the Chinese population but in low LD in the SAB populations, and hence the genotyped SNP would not 'tag' the causal SNP in an African population well and a disease association might not be observed. Finally, it is possible that differences in population structure between cases and controls could contribute to the weakening of genuine differences in allele frequencies of SNPs between cases and controls (37). This seems unlikely in the UCT sample since cases and controls were predominantly from the same ethno-linguistic group, but the JCS study sample included a broader ethnic mix of SAB participants. In general, one would expect differences in the population structure of cases and controls to increase false positive associations rather than reducing genuine disease associations, but this could only be resolved by high throughput genotyping of very large numbers of SNPs with appropriate statistical correction for any differences observed.

Tobacco smoking is a well-established risk factor for OSCC (reviewed in 4). We therefore investigated the only locus in our study associated with OSCC for interaction with smoking using a case-control analysis. We confirmed tobacco smoking as an independent risk factor for OSCC in this sample but did not find evidence for an interaction between the *CHEK2* variant rs1033667 and smoking. A case-only analysis, rather than a case-control analysis, was done to investigate a gene-environment interaction for the rs1033667 variant with alcohol consumption owing to the very high alcohol consumption rate in the JCS control samples. No differences in allele frequencies of rs1033667 were observed when comparing drinkers with non-drinkers, which is consistent with a previous report that associations with OSCC at the chromosome 22q12 locus did not differ significantly between subgroups with different alcohol drinking status (13). Although the *ALDH2* SNP rs4767364 was not associated with OSSC in our study, this variant was tested for interaction with alcohol use because of strong evidence for interaction between variants in this gene and alcohol in other populations (8,13), but no interaction was detected.

Encouragingly, our current study has identified a SNP in the *CHEK2* locus that is associated with OSCC in the SAB population. This provides support for the hypothesis that at least some risk variants for OSCC will prove to be shared across multiple populations and for the prior evidence of association at *CHEK2* in GWAS and DNA repair pathway analysis of OSCC in Chinese populations (13,14,38). Although most risk variants from other populations do not replicate in the SAB population, an African GWAS is needed to provide deep coverage of the known OSCC

risk loci and to identify potential novel risk variants in African populations.

Supplementary material

Supplementary data are available at *Carcinogenesis* online.

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