

1 **National genomic profiling of *Plasmodium falciparum* antimalarial resistance in Zambian**  
2 **children participating in the 2018 Malaria Indicator Survey**

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## 28 Abstract

29 The emergence of antimalarial drug resistance is a major threat to malaria control and  
30 elimination. Using whole genome sequencing of 282 *P. falciparum* samples collected during the  
31 2018 Zambia National Malaria Indicator Survey, we determined the prevalence and spatial  
32 distribution of known and candidate antimalarial drug resistance mutations. High levels of  
33 genotypic resistance were found across Zambia to pyrimethamine, with over 94% (n=266) of  
34 samples having the *Pfdhfr* triple mutant (N51I, C59R, and S108N), and sulfadoxine, with over  
35 84% (n=238) having the *Pfdhps* double mutant (A437G and K540E). In northern Zambia, 5.3%  
36 (n=15) of samples also harbored the *Pfdhps* A581G mutation. Although 29 mutations were  
37 identified in *Pfkelch13*, these mutations were present at low frequency (<2.5%), and only three  
38 were WHO-validated artemisinin partial resistance mutations: P441L (n=1, 0.35%), V568M  
39 (n=2, 0.7%) and R622T (n=1, 0.35%). Notably, 91 (32%) of samples carried the E431K  
40 mutation in the *Pfatpase6* gene, which is associated with artemisinin resistance. No specimens  
41 carried any known mutations associated with chloroquine resistance in the *Pfcr* gene (codons  
42 72-76). *P. falciparum* strains circulating in Zambia were highly resistant to sulfadoxine and  
43 pyrimethamine but remained susceptible to chloroquine and artemisinin. Despite this  
44 encouraging finding, early genetic signs of developing artemisinin resistance highlight the urgent  
45 need for continued vigilance and expanded routine genomic surveillance to monitor these  
46 changes.

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## 51 Keywords

52 Malaria, *Plasmodium falciparum*, drug resistance, genomic epidemiology, Zambia

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## 55 Introduction

56 Among all *Plasmodium* species that infect humans, *P. falciparum* is of the greatest significance,  
57 accounting for over 95% of malaria deaths.<sup>1,2</sup> The World Health Organization (WHO)  
58 recommends front line artemisinin combination therapy (ACT), such as artemether-lumefantrine  
59 (AL), artesunate-amodiaquine (ASAQ) or dihydroartemisinin-piperaquine (DHAP), in most  
60 African countries for treatment of malaria.<sup>3</sup> For prevention, sulfadoxine-pyrimethamine (SP) is  
61 recommended for intermittent preventive treatment in pregnancy (IPTp) and for infants (IPTi)  
62 living in high-transmission areas.<sup>3,4</sup> Antimalarial drugs have played a major role in achieving a  
63 significant reduction in the malaria burden globally since 2000 but progress towards malaria  
64 elimination has stalled, with resurgence in several endemic countries.<sup>5,6</sup> A major threat to control  
65 and elimination is the emergence and spread of antimalarial drug resistance.

66 *P. falciparum* parasites have developed resistance to nearly every available antimalarial drug and  
67 resistant strains have spread across malaria endemic countries.<sup>7</sup> For example, *P. falciparum*  
68 resistance to chloroquine (CQ) emerged in 1957 in Thailand, spread to Southeast Asia in the  
69 1970's, and by 1982 resistance had spread across the entire African continent.<sup>8</sup> Several alleles  
70 are associated with CQ resistance around codon 72-76 in the *P. falciparum* CQ resistance  
71 transporter gene (*Pfcr1*), including the diagnostic K76T mutation.<sup>9</sup> Similarly, mutations in the  
72 enzymes dihydropteroate synthase (*Pfdhps*) (S436A, A437G, K540E, A581G, A613S) and  
73 dihydrofolate reductase (*Pfdhfr*) (N51I, C59R, S108N, I164L) are associated with varying  
74 degree of resistance to sulfadoxine and pyrimethamine, respectively,<sup>10,11</sup> and are widespread in  
75 Africa, Asia, South America, and Oceania.<sup>12</sup> Combinations of these mutations i.e.,  
76 triple *Pfdhfr* mutations of N51I, C59R, and S108N, plus double *Pfdhps* mutations of A437G,  
77 K540E (IRNGE - 'Quintuple mutant') confer full resistance to SP.<sup>4,13</sup> Moreover, parasites that  
78 have the additional *Pfdhps* A581G mutation (IRNGEG - 'Sextuple-mutant') are associated with  
79 enhanced SP resistance *in vitro* that contribute to super SP resistance and IPTp failure.<sup>14,15</sup>

80 Since 2008, *P. falciparum* parasites resistant to first-line artemisinin (ART) treatments have  
81 emerged in Southeast Asia<sup>16,17</sup> and spread in the Greater Mekong Subregion (GMS).<sup>18</sup> Studies  
82 identified point mutations in the beta-propeller domain of *kelch* 13 (*Pfkelch13*) that were  
83 associated with reduced susceptibility to ART and its derivatives, manifested by delayed parasite  
84 clearance times.<sup>19</sup> To classify ART resistant (ART-R) parasites, WHO provided a list of 9  
85 "validated" and 12 "associated/candidate" *kelch13* ART resistance markers.<sup>20</sup> Resistance to  
86 partner drugs has also been identified, specifically mutations N86Y, Y184F and D1246Y in the  
87 *P. falciparum* multidrug resistance gene 1 (*Pfmdr1*), which have been associated with reduced  
88 susceptibility to lumefantrine.<sup>21</sup> With reports of decreased ACT efficacy and treatment failure in  
89 Africa,<sup>22-24</sup> and genotypic identification of an increasing prevalence of WHO-validated *kelch13*  
90 ART-R marker (R561H),<sup>25,26</sup> the threat of antimalarial resistance is increasing such that  
91 surveillance should be high priority.<sup>27,28</sup>

92 In Zambia, despite the continued use of SP for IPTp and AL for the treatment of uncomplicated  
93 malaria since 2002 (the first African country to adopt AL as a first-line treatment policy  
94 nationwide), only a few small-scale studies in Southern, Western, and Luapula Provinces have  
95 investigated the prevalence of CQ and ACT resistance markers.<sup>29,30</sup> However, our recent  
96 nationwide genomic study,<sup>31</sup> aimed at understanding malaria transmission across Zambia and  
97 establishing a baseline for parasite genetic metrics, identified strong positive selection signatures  
98 in genes involved in SP and ACT resistance that warrants further investigation into the regional  
99 prevalence and spatial variations of drug resistance markers. Moreover, genetic background

100 mutations that could augment ART-R and other novel mutations that may confer antimalarial  
101 resistance have yet to be thoroughly assessed in Zambia.

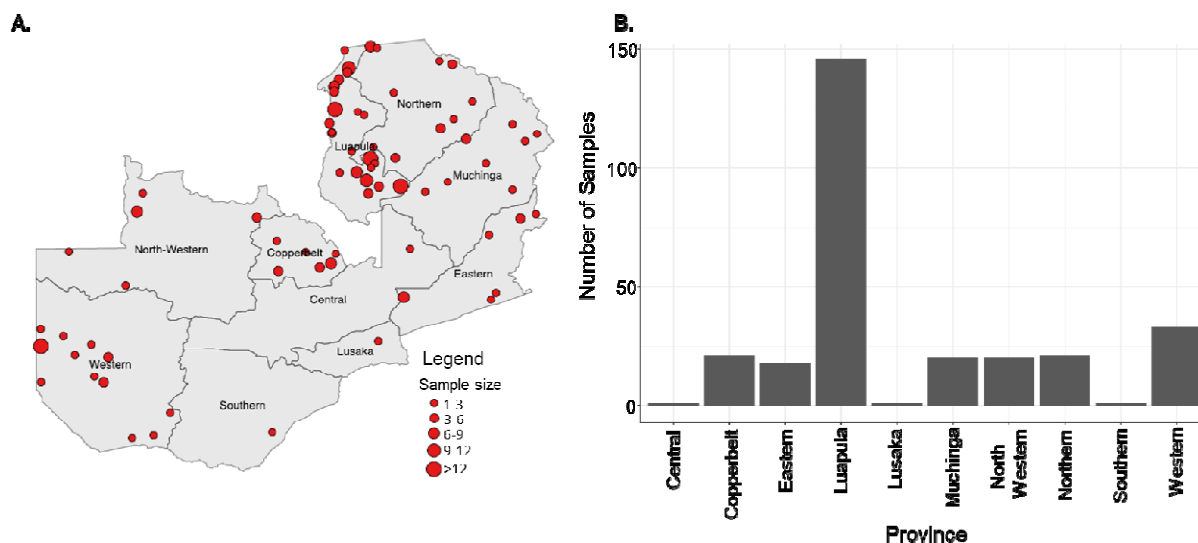
102 To address these important knowledge gaps and support the Zambian National Malaria  
103 Elimination Program, we conducted a genomic surveillance study nested within the 2018 Zambia  
104 Malaria Indicator Survey (MIS), which comprises nationwide representative samples.<sup>31</sup> We  
105 generated 282 *P. falciparum* whole genome sequences (WGS) from seven provinces and mined  
106 these data for known and candidate antimalarial resistance mutations across 5 key *P.*  
107 *falciparum* drug resistance genes (*Pfdhfr*, *Pfdhps*, *Pfkelch13*, *Pfprt*, and *Pfmdr1*) and other genes  
108 that may contribute to ART-R phenotypes: apicoplast ribosomal protein S10 precursor  
109 (*Pfarps10*), multidrug resistance protein 2 (*Pfmdr2*), ferredoxin (*Pffd*), adaptor protein 2 complex  
110 subunit mu gene (*Pfap2mu*), ubiquitin carboxyl-terminal hydrolase 1 gene (*Pfubp1*),<sup>32,33</sup> and  
111 reticulum Ca<sup>2+</sup> ATPase (*Pfatp6*).<sup>34</sup> This study defines the most complete genetic landscape of  
112 antimalarial drug resistance markers in Zambia, allows spatial and temporal trends to be  
113 identified, and provides the foundation for future studies.

114

## 115 Results

### 116 Whole genome sequencing and mining of drug resistance markers

117 A total of 282 specimens collected during the 2018 Zambia MIS from children younger than five  
118 years of age (**Figure 1**), and were whole genome sequenced (**Figure S1**) with high coverage  
119 across 13 *P. falciparum* genes associated with antimalarial drug resistance (**Table S1**). Within  
120 the open-reading frames of these genes, 489 non-synonymous (NS) mutations (**Table S2** and  
121 **Figure S2**) were identified with variable spatial frequencies across Zambia (**Table S3**). The  
122 prevalence of key mutations associated with antimalarial drug resistance is shown in **Table 1**.



123

124 **Figure 1: Spatial distribution of samples retained (n=282) for downstream drug resistance**  
125 **analysis. A) Sample distribution at the cluster level across Zambia. The size of each sample**  
126 **collection cluster (red) is shown in proportion to the cluster sample size. B) Sample size per**

127 province. Three provinces (Central, Lusaka and Southern) were excluded from provincial  
128 prevalence calculations due to low sample size.

129 **Table 1:** Prevalence of key mutations associated with mono- and multiple antimalarial drug  
130 resistance across Zambia in 2018. n = number of samples that carried the mutant allele out of the  
131 total sequenced samples (282)

Associated Resistance	Gene	Mutation	n	Prevalence (%)
<b>Chloroquine</b>	<i>Pfcr</i>	C72S, V73L, M74I, N75E/D, K76T	0	0
	<i>Pfmdr1</i>	N86Y	0	0
<b>Lumefantrine</b>	<i>Pfmdr1</i>	Y184F	155	55
<b>Pyrimethamine</b>	<i>Pfdhfr</i>	N51I	277	98.2
		C59R	272	96.5
		S108N	281	99.6
		IRN (triple)	267	94.7
<b>Sulfadoxine</b>	<i>Pfdhps</i>	A437G	263	93.3
		G540E	247	87.6
		GE (double)	237	84.0
		A581G	15	5.3
<b>Sulfadoxine-Pyrimethamine</b>	<i>Pfdhfr</i> & <i>Pfdhps</i>	IRNGE (Quintuple)	234	83.0
<b>Artemisinin</b>	<i>Pfkelch13</i> (WHO-validated candidate) or	P441L	1	0.4
		V568M	2	0.7
		R622T	1	0.4
	<i>Pfkelch13</i> (Not yet validated)	V637I	1	0.4
		L631F	11	3.9
		A578S	7	2.5
		I416V	2	0.7
		L407F	2	0.7
Y328F	4	1.4		

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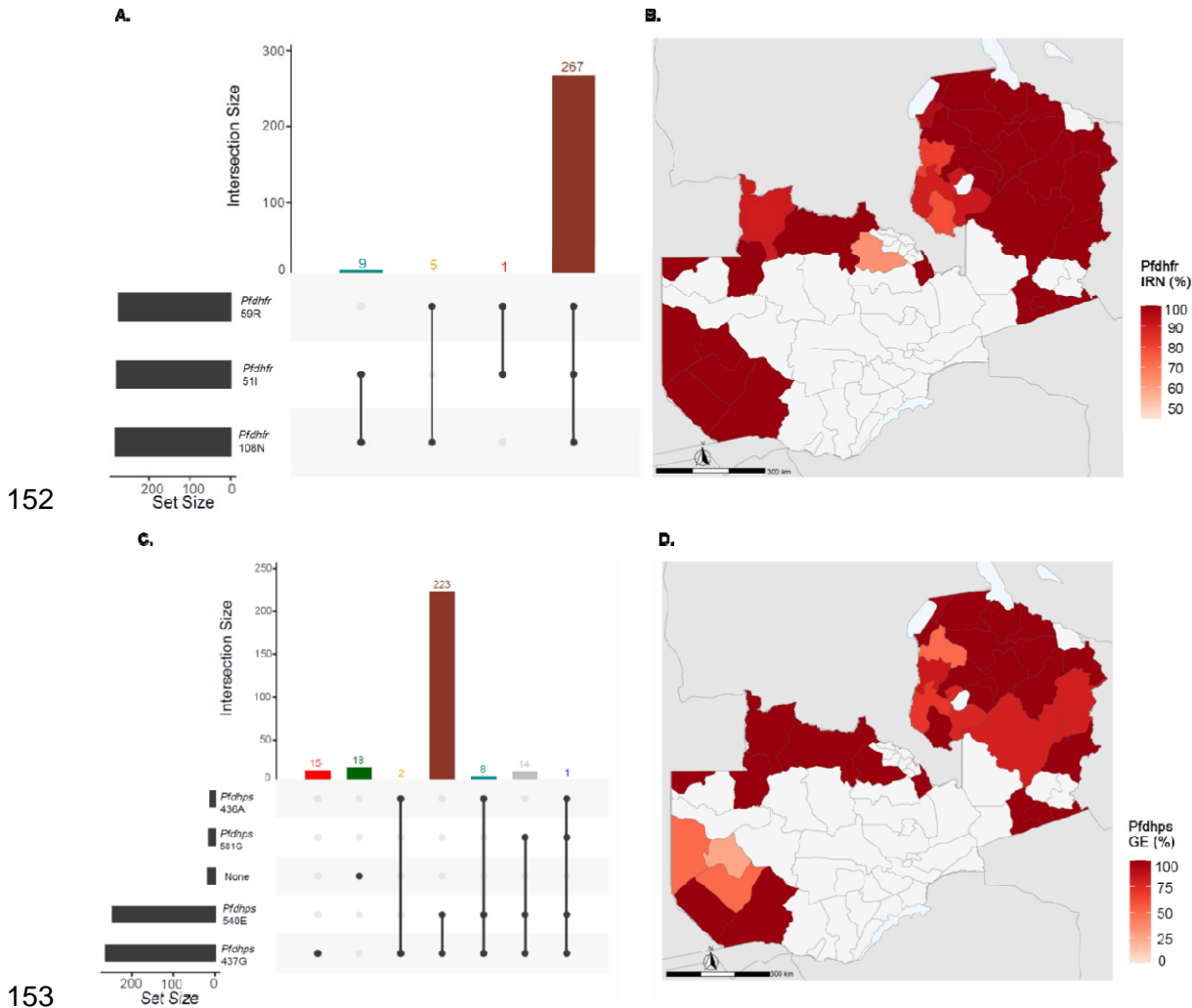
### 133 Chloroquine resistance

134 The *Pfcr* K76T mutation associated with CQ resistance was not identified in the analyzed  
135 samples (**Table 1, Table S2**), indicating reversal of CQ sensitive *P. falciparum* strains across  
136 Zambia.<sup>31,35</sup> Seven NS mutations not associated with CQ resistance were identified at very low  
137 frequencies in other codons across the *Pfcr* gene (PF3D7\_0709000), but 94.0% (265/282) of  
138 sequenced samples did not carry any of these mutations (**Figure S3, Table S2**). All sequenced  
139 specimens were wild-type (N) at codon 86 in the multidrug resistance transporter *Pfmdr1* gene,  
140 which has been shown to enhance resistance to CQ in some genetic backgrounds,<sup>36</sup> further  
141 supporting reversal to CQ sensitive parasites in Zambia.

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## 143 Antifolate resistance

144 Key mutations (N51I, C59R, S108N) in the *Pfdhfr* gene were identified in 98.2% (277/282),  
145 96.5% (272/282), and 99.6% (281/282) of samples, respectively, with 94.6% (267/282) classified  
146 as a *Pfdhfr* triple (IRN) mutants (Table 1 and Figure 2A). Moreover, key mutations A437G and  
147 K540E, in the *Pfdhps* gene were identified in 93.3% (263/282) and 87.6% (247/282) of  
148 sequenced samples, respectively, with 84.0% (237/282) classified as a *Pfdhps* double (GE)  
149 mutant (Table 1 and Figure 2C). We found some degree of spatial heterogeneity for both *Pfdhfr*  
150 triple mutants and *Pfdhps* double mutants at the cluster level across the country (Figure 2B and  
151 Figure 2D, respectively).

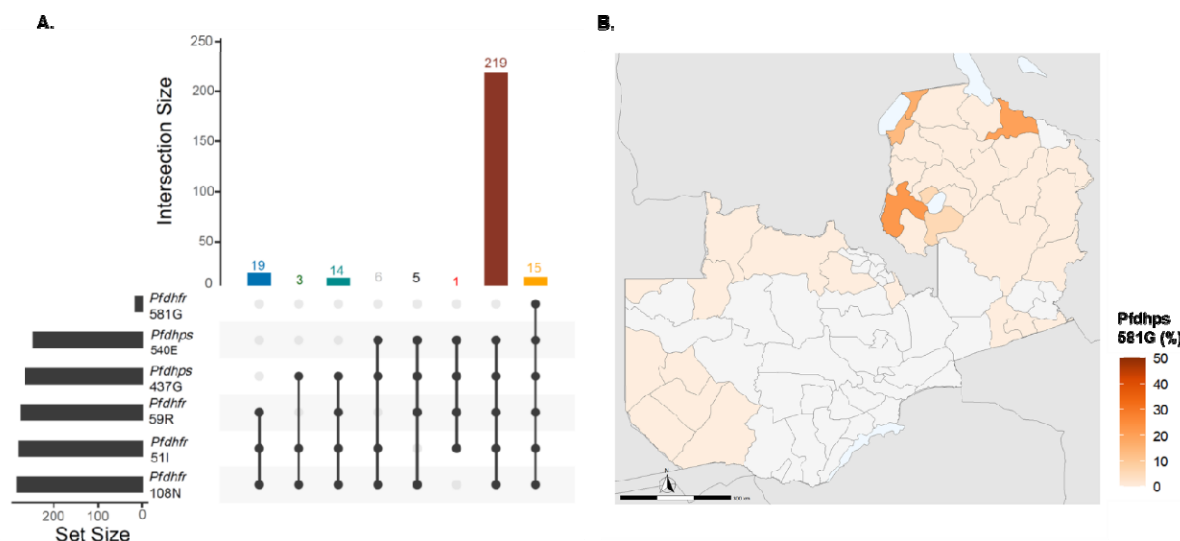


154 **Figure 2. Prevalence of *Pfdhfr* and *Pfdhps* mutations across Zambia.** UpSet plots showing  
155 the number of times each combination of mutations was seen for *Pfdhfr* (A) and *Pfdhps* (C). The  
156 lines and circles below the bars represent the different combinations of resistant genotype in  
157 individual samples. B) Spatial prevalence of pyrimethamine resistant triple mutant (*Pfdhfr*  
158 51I/59R/108N, IRN), D) Spatial prevalence of sulfadoxine resistant double mutant (*Pfdhps*  
159 437G/540E, GE). Color code heat map shows prevalence at the district level, while white  
160 indicates districts where no samples were available.

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162 Overall, 83.0% (234/282) of sequenced samples were typed as quintuple mutants (*Pfdhfr* IRN,  
163 *Pfdhps* GE, **Figure 3A**), a genotype associated with near complete SP resistance (**Table 1**). In  
164 the *Pfdhps* gene, the A581G mutation was found in 5.3% (15/282) of the analyzed samples with  
165 high geographic variation across Zambia and with clustering in the adjacent Luapula and  
166 Northern Provinces (**Figure 3B**). There was no evidence for the presence of *Pfdhfr* I164L or  
167 *Pfdhps* A613S/T, mutations that can enhance the quintuple mutant SP resistance profile.

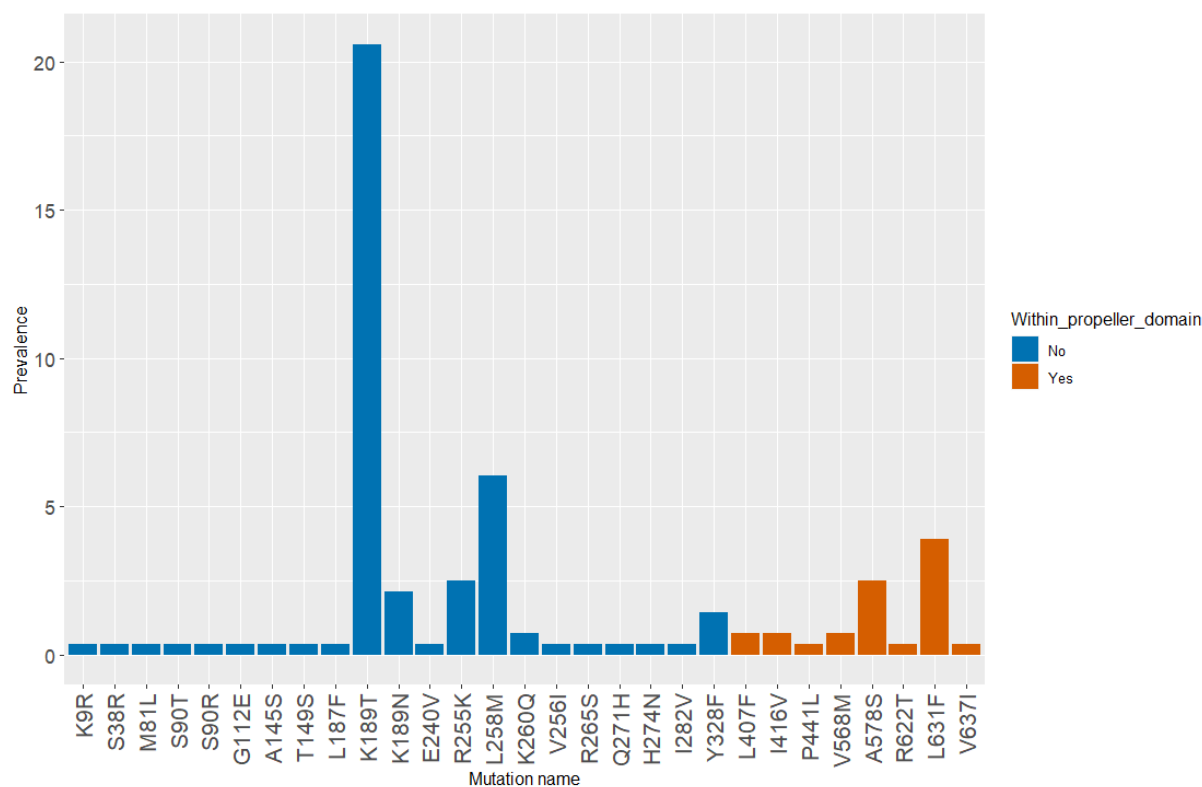


168  
169 **Figure 3. Cumulative prevalence of *Pfdhfr-dhps* mutations (A) and spatial prevalence of**  
170 ***Pfdhps* A581G (B) across Zambia.** Color code heat map shows prevalence at the district-level,  
171 while white indicates districts where no samples were available.

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### 173 Partial artemisinin resistance

174 A total of 29 mutations were identified across the entire *kelch13* gene, of which 8 were located  
175 inside the propeller domain (**Figure 4**). Of these, three mutations (P441L: n=1 from Western  
176 Province, V568M: n=2 from Luapula Province and R622T: n=1 from Western Province) were  
177 WHO-validated mutations associated with partial artemisinin resistance. Apart from the K189T  
178 mutation that was found in 20.6% (58/282) of sequenced samples and was reported in other  
179 clinical studies, all other mutations were found at low frequency (<2.5%). The A578S mutation,  
180 which was identified in seven specimens (2.5%), has been commonly reported in other African  
181 countries, although this mutation is not associated with ART resistance in vitro and/or delayed  
182 parasite clearance. Overall, 35.1% (99/282) of sequenced samples carried one or more *Pfkelch13*  
183 mutations with variable prevalence at the provincial level (**Table S3**), suggesting high  
184 polymorphism in the *Pfkelch13* gene due to increased ACT pressure in Zambia.



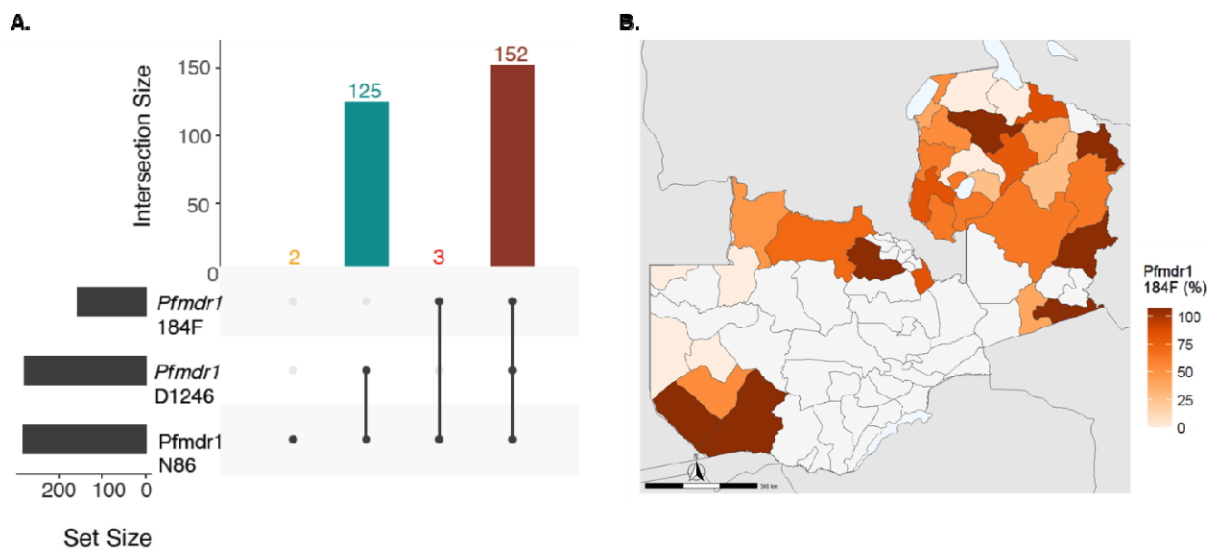
185  
186 **Figure 4. Non-synonymous mutations identified across the *kelch13* gene in Zambia.** Colors  
187 indicate mutations as outside (blue) or within (orange) the propeller domain.

### 188 Lumefantrine resistance

189 *P. falciparum* parasite harboring N86 (wild-type), 184F (mutant), and D1246 (wild-type)  
190 genotypes in the multi-drug resistance gene 1 (*Pfmdr1*), are associated with decreased sensitivity  
191 to lumefantrine. Our analysis revealed that 99.3% (280/282) of the samples carried N86, 55.0%  
192 (155/282) of the samples carried 184F, and 98.2% (277/282) carried D1246 (**Figure 5A**).  
193 Overall, 53.9% (152/282) of samples carried N86/184F/D1246 (NFD) genotype with marked  
194 spatial variation across districts (**Figure 5B**).

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197 **Figure 5. Prevalence of *Pfmdr1* mutations associated with decreased sensitivity to**  
198 **lumefantrine. A) Cumulative prevalence of *Pfmdr1* haplotypes, B) Spatial prevalence of the**  
199 ***Pfmdr1* 184F mutation. Color code heat map shows the prevalence at the district level, while**  
200 **white indicates districts where no samples were available.**

### 201 **Additional ART resistance-associated mutations**

202 Several mutations in the *Pfatzp6* gene, a sarcoplasmic and endoplasmic reticulum  $\text{Ca}^{2+}$  ATPase  
203 (SERCA)-type protein previously associated with artemisinin resistance were identified (**Table**  
204 **S2**). Most mutations occurred at low frequency except for *Pfatzp6* N569K (54.6%), E431K  
205 (32.3%), L402V (9.6%), and H243Y (3.5%). Of the mutations previously shown to mediate  
206 resistance, E431K (32.3%) and A623E (0.35%) were identified, while S769N and L263E were  
207 not reported (**Figure S4**). No other mutations were identified in *Pfarps10*, *Pffd*, *Pfmdr2*, *Pfpib*,  
208 *Pfpp*, *Pfap2mu*, *Pfubp1*, and *Pfcrt*, that could potentially augment artemisinin resistance. Several  
209 mutations of variable but generally low frequency (**Table S2**) and variable spatial distribution  
210 (**Table S3**) were identified in these genes (**Figure S5**).

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## 221 Discussion

222 Intense control activities, especially the continuous use of effective antimalarial drugs, apply  
223 significant selective pressure on the malaria parasite population. As transmission declines, most  
224 infected individuals carry single clones promoting a higher rate of inbreeding<sup>37</sup> that favors the  
225 spread of drug resistance phenotypes when they arise.<sup>38,39</sup> The independent emergence or spread  
226 of artemisinin resistance in Zambia due to drug selection pressure could significantly increase  
227 the malaria burden leading to malaria resurgence and increased morbidity and mortality.<sup>40</sup> Close  
228 monitoring of the efficacy of available antimalarial drugs and improved surveillance for  
229 resistance mutations will be key to inform control strategies such that rapid action can be taken  
230 to mitigate the impact and slow or prevent the spread of drug resistant parasites.<sup>22,23</sup>

231 This nationally representative spatial analysis of antimalarial drug resistance mutations supports  
232 several conclusions that together strongly suggest that individual genes are under markedly  
233 different selection pressures. Firstly, there was a noticeable lack of mutations in *Pfcr* codons 72-  
234 76 and a low number of polymorphisms (only seven unique NS mutations) across the gene.  
235 Zambia withdrew CQ treatment and therefore drug selective pressure on the *Pfcr* gene in 2003  
236 allowing reversion to the wild-type. While other studies have previously identified this  
237 reversion,<sup>35,41</sup> the selection patterns in Zambia lacked a commonly selected region on  
238 chromosome 7 (*Pfcr*), contrasting with parasite populations from other regions. Similarly, we  
239 did not observe selection signatures in *Pfaat1*, the second important transporter gene for  
240 chloroquine resistance.<sup>42</sup> This is consistent with other African countries where CQ withdrawal  
241 resulted in declines in CQ resistance alleles and reductions in CQ median IC<sub>50</sub> values.<sup>43,44</sup> While  
242 this finding is encouraging in terms of the potential to reintroduce CQ as part of the  
243 chemotherapeutic arsenal in Zambia, preferably as a combination therapy, however additional  
244 phenotype-genotype association studies would be needed to confirm the susceptibility of this  
245 wild-type strain to CQ.

246 In contrast to CQ, SP resistance markers were highly prevalent throughout Zambia, suggesting  
247 strong selective pressure is maintained through national IPTp implementation and high private  
248 sector SP utilization without prescription for self-medication of suspected malaria.<sup>45</sup>  
249 Pyrimethamine associated resistance in *Pfdhfr* was very high, with 95% of samples having triple  
250 mutants (IRN) and codon S108N (99.6%) approaching fixation with negligible spatial variation.  
251 A similar picture was observed for sulfadoxine associated *Pfdhps* mutations, with 84% double  
252 mutants (codons A437G and K540E). Overall, 82.9% of samples were *Pfdhfr* and *Pfdhps*  
253 quintuple mutants *Pfdhfr-dhps* (IRNGE) correlating with full genotypic SP resistance and  
254 expected treatment failure. Furthermore, with a concentration in Luapula and Northern  
255 Provinces, 5.3% (15/282) of samples also carried the *Pfdhps* A581G mutation in addition to the  
256 *Pfdhfr-dhps* IRNGE background. This genotype confers extreme SP resistance and is of concern  
257 for SP efficacy in Zambia. While some variation between this study and historical data<sup>29,46</sup> may  
258 be explained by study differences (subject selection, sites, implementation period) and data type  
259 (PCR genotyping vs. WGS), overall a marked increase in SP genotypic resistance has occurred.  
260 Our findings are consistent with our previous evidence of positive selection for SP markers,<sup>31</sup> as  
261 well as with other African countries where *Pfdhfr-Pfdhps* quintuple mutant prevalence is high,  
262 while the sextuple mutant remains rare,<sup>45,47</sup> albeit with high spatial heterogeneity.<sup>4,48</sup> While  
263 overall SP resistance is clearly high in Zambia, two mutations (*Pfdhfr* I164L<sup>49</sup>  
264 and *Pfdhps* A613S/T<sup>47,50</sup>) that confer even higher SP resistance were not identified in Zambia.

265 The high prevalence of SP resistance (>90%) are in children younger than five years) indicates a  
266 strong selective pressure has been applied to these genes, even though SP is primarily only used  
267 for IPTp. The WHO recommends that countries withdraw SP for IPTp use when the prevalence  
268 of *Pfdhps* K540E is >95% and *Pfdhps* A581G is >10%.<sup>11</sup> At 87.6% and 5% respectively,  
269 Zambia as a country remains below these thresholds, although some districts e.g., Nchelenge and  
270 Mansa in Luapula Province, did exceed them. Based on these findings, SP should continue to be  
271 used for IPTp. In contrast, the WHO threshold for SP-based IPTi withdrawal is when K540E is  
272 >50% and thus, SP would not be recommended to be used for IPTi in Zambia at this time.

273 Until novel therapies are developed, maintaining the efficacy of ART based treatments is  
274 fundamental to global control and elimination efforts. In Zambia, AL has been used as a first line  
275 combination antimalarial treatment for uncomplicated *P. falciparum* malaria since 2002.<sup>51</sup>  
276 Considering the historical use and importance of ART to malaria control in Zambia, increased  
277 polymorphisms in the *kelch13* gene and the identification three WHO-validated mutations  
278 associated with partial artemisinin resistance suggest an early signal of partial artemisinin  
279 resistance in Zambia. Close monitoring of local emerging or spreading *kelch13* mutations  
280 (R561H, A675V and C469Y)<sup>52-54</sup> that were recently reported from East Africa and confer partial  
281 artemisinin resistance is warranted. While not unexpected, it was also encouraging to note that  
282 no mutations (*Pfprt* I356T, *Pffd* D193Y, *Pfmdr2* T484I, *Pfap2mu* S160N and *Pfubp1* E1528D)  
283 associated with ART resistance in Southeast Asia<sup>55</sup> were identified. Nevertheless, considering  
284 the variation between Asian and African parasite populations,<sup>56</sup> it is possible that other Africa-  
285 specific mutations may augment ART resistance. Similarly, we must continue to track all  
286 mutations in any key genes, irrespective of their genotypic resistance status. For example, 26  
287 *Pfkelch13* mutations were identified, including one (A578S) that has been commonly reported in  
288 Africa,<sup>2,29</sup> the implications of which remains unclear. Unfortunately, while ART appears to be  
289 efficacious, the main partner drug lumefantrine does not fare as well, with all but two specimens  
290 containing one or more key mutations in *Pfmdr1*. In fact, more than 50% of all specimens carried  
291 *mdr1* (NFD) haplotype. This confirms results provided by other studies performed in the  
292 Southern and Western Provinces of Zambia<sup>2,29,57</sup> but, as with SP, the trend is that genotypic  
293 resistance is increasing. While this may not correlate with ACT clinical treatment failure with  
294 AL, it does potentially remove the partner drug from the combination therapy leaving ART  
295 exposed as monotherapy. Such an environment would be primed to enable rapid selection and  
296 spread of ART resistance irrespective of resistance evolving independently or through an  
297 introduction event into Zambia.

298 In summary, this study support two worrying and two encouraging conclusions with respect to  
299 antimalarial drug resistance. Firstly, Zambia has very high, and for some loci almost fixed,  
300 resistance to SP. While still under WHO recommended limits, this warrants further SP efficacy  
301 studies in pregnancy to assess the drugs' ability to reduce deleterious maternal and birth  
302 outcomes, especially in Luapula and Northern Provinces where WHO frequency thresholds were  
303 crossed. Secondly, there are also very high levels of genotypic resistance to lumefantrine, the  
304 main ART partner ACT drug used in Zambia. While therapeutic efficacy studies have not  
305 identified significant treatment failure several years after these samples were collected, it may be  
306 prudent to switch to an alternative ACT in the near future, or at least prepare for a switch should  
307 treatment failures occur. Finally, there is some encouraging data, namely that CQ sensitivity has  
308 been restored and there is no evidence of ART resistance in Zambia. Together these findings  
309 along with the recent evidence of strong positive selection signatures genes involved in

310 sulfadoxine-pyrimethamine and artemisinin combination therapies drug resistance<sup>31</sup> highlight the  
311 need of sustained surveillance of antimalarial drug resistance across the country. Furthermore,  
312 this work underlines the utility of high-quality genomic surveillance, which if performed and  
313 acted upon, gives every chance of effective malaria treatment continuing for the foreseeable  
314 future despite the constant threat of drug resistance. Without surveillance, resistance will only be  
315 detected following treatment failure, at which point options to respond will be limited.

316

## 317 **Methods**

### 318 **Sample selection and whole-genome sequencing**

319 This work is a secondary analysis of a larger parent study focused on understanding malaria  
320 transmission across Zambia and establishing a baseline for parasite genetic metrics.<sup>31</sup> Briefly,  
321 whole-genome sequencing was performed on 459 *P. falciparum* PET-PCR positive dried blood  
322 spots (DBS) collected from children in all ten provinces of Zambia as part of the 2018 Zambia  
323 National Malaria Indicator Survey (MIS).<sup>58</sup> The samples were processed for genomic DNA  
324 extraction as previously described.<sup>31, 59</sup> We adopted a 4-plex hybrid capture method using  
325 SeqCap EZ custom probes<sup>60</sup> to selectively enrich *P. falciparum* genomes prior to sequencing as  
326 previously described.<sup>31</sup> Genomic libraries and hybridization capture were prepared using a  
327 modified Roche/Nimblegen SeqCap EZ Library Short Read protocol and sequenced on Illumina  
328 NextSeq 6000 (2 × 101-bp) at Yale Center for Genomic Analysis with a target of 30 million  
329 reads per sample. Raw sequence reads are available at the Sequence Read Archive  
330 (PRJNA932927).

### 331 **Variant identification**

332 We identified *P. falciparum* genomic variation from whole genome sequence data with the  
333 pipeline previously described.<sup>31,61</sup> Briefly, Illumina raw paired-end reads were aligned to the *P.*  
334 *falciparum* 3D7 reference genome with BWA-MEM 0.7.17<sup>62</sup> and removed using Picard Tools  
335 2.20.8. For this study, variant calling was performed only on samples with >30% *P. falciparum*  
336 3D7 reference genome with >5X coverage, resulting in a total of 282 *P. falciparum* samples.  
337 Variants were called using GATK v4.1.4.121 following best practices  
338 (<https://software.broadinstitute.org/gatk/best-practices>). We used GATK HaplotypeCaller in  
339 GVCF mode to call single-sample variants (ploidy 2 and standard-min-confidence-threshold for  
340 calling  $\square = \square 30$ ), followed by GenotypeGVCFs to genotype the parasites. Prior to variant  
341 filtering, we scored 1,219,517 SNPs with a VQSLOD >0 across the 282 genomes. The VCF was  
342 functionally annotated with SnpEff v4.3 (build 2017-11-24 10:18). Variants removed included  
343 those located in telomeric and hypervariable regions  
344 (<ftp://ngs.sanger.ac.uk/production/malaria/pf-crosses/1.0/regions-20130225.onebased.txt>), SNPs  
345 with >20% missingness, and minor allele frequency (MAF) >0.02, leaving a total of 27,163 high  
346 quality biallelic SNPs.

### 347 **Mining drug resistance loci and estimating mutation prevalence**

348 SNPs located in 13 genes associated with antimalarial drug resistance (**Table S1**) were identified  
349 using the *VariantAnnotation* R package. All non-synonymous mutations with high read coverage

350 ( $\geq 30x$ ) were identified and classified as mutant (heterozygous or homozygous mutant) or wild-  
351 type (homozygous reference). The reference allele for *Pfdhps* 437 encodes the mutant allele and  
352 was therefore re-coded to A437G for clarity as the reference carries a mutant allele unlike all  
353 other alleles. The prevalence of each mutation was calculated as ( $p = m/n * 100$ , where  $p$  =  
354 prevalence,  $m$  = number of infections with mutant alleles,  $n$  = number of successfully genotyped  
355 infections) using R software version 4.2.0. Mutant combinations were plotted and visualized  
356 using the UpSet package in R<sup>63</sup> and maps were created using the sf package in R.<sup>64</sup>

357

### 358 **Ethical statement**

359 All study participants, and/or their parents or legal guardians, provided written informed consent,  
360 and this study was conducted with the approval of the Biomedical Research Ethics Committee  
361 from the University of Zambia (Ref 011-02-18) and from the Zambian National Health Research  
362 Authority.

### 363 **Data availability**

364 The sequence data for the parent project are available in the NCBI Sequence Read Archive, in  
365 BioProject PRJNA932927.

### 366 **Acknowledgments**

367 The authors are grateful to the Zambian communities, particularly the volunteers and their  
368 families, for providing samples during the MIS. We would like to thank the staff of the Zambia  
369 National Malaria Elimination Centre for their generous support, especially the field researchers  
370 who conducted the nationwide survey.

### 371 **Funding information**

372 This work was supported by funds to G.C. from the Purdue Department of Biological Sciences.  
373 Partial funding was provided by the Bill & Melinda Gates Foundation through a grant to PATH  
374 (OPP1134518 / INV-009984 to D.J.B.) The Southern and Central Africa International Center of  
375 Excellence for Malaria Research was supported by funding from the National Institute of Allergy  
376 and Infectious Diseases (U19AI089680 to W.J.M.).

### 377 **Authors' contributions**

378 G.C., W.J.M and D.J.B. contributed to funding acquisition, project resources and supervision.  
379 G.C., A.A.F., W.J.M., and D.J.B., conceived and designed the study. A.A.F., D.J.B. and G.C.,  
380 coordinated sample selection and curation. M.C.M., B.M., C.M., R.K., M.B.H., B.H., J.M.M.  
381 and D.J.B. collected samples and epidemiological data. A.A.F., I.C., and J.D. performed  
382 laboratory analysis. A.A.F., D.J.B, G.C. contributed to formal genomic analysis, visualization,  
383 interpretation and writing the original draft. All authors contributed to review and editing the  
384 manuscript.

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## SUPPLEMENTAL MATERIAL

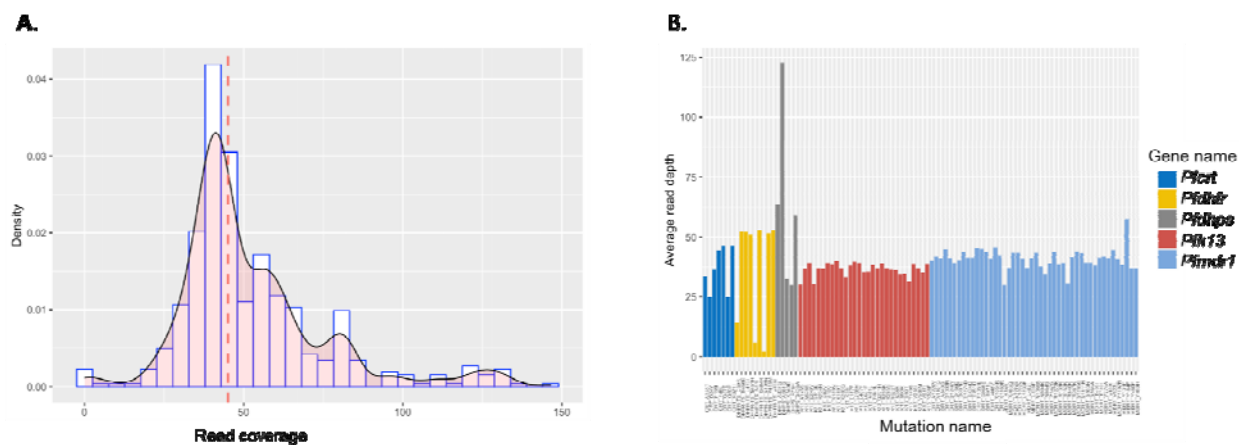
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### SUPPLEMENTAL FIGURES

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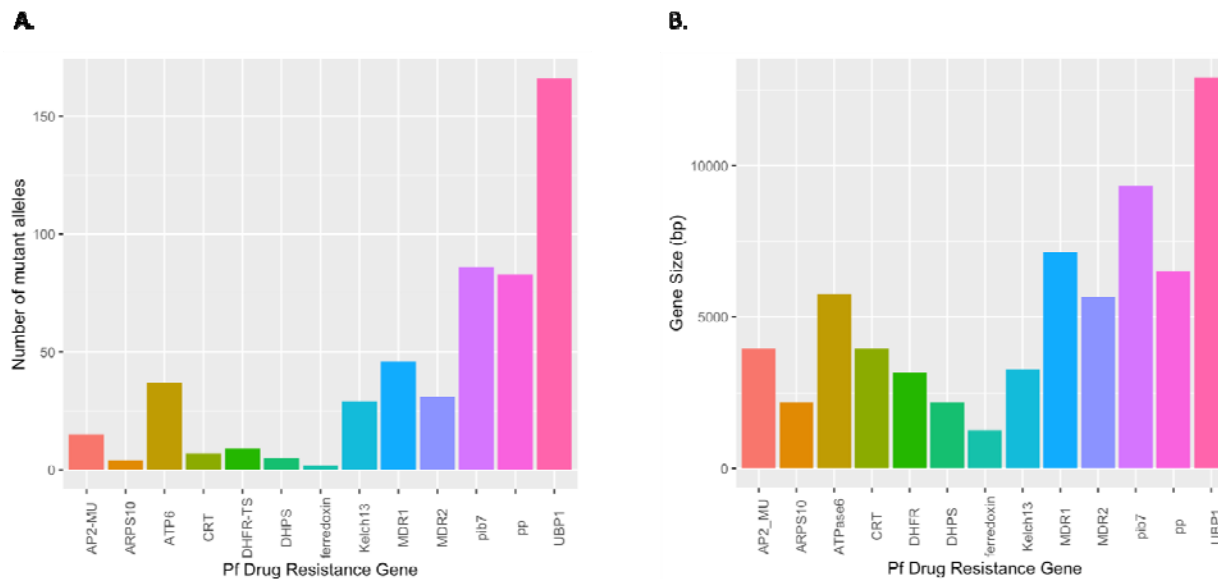
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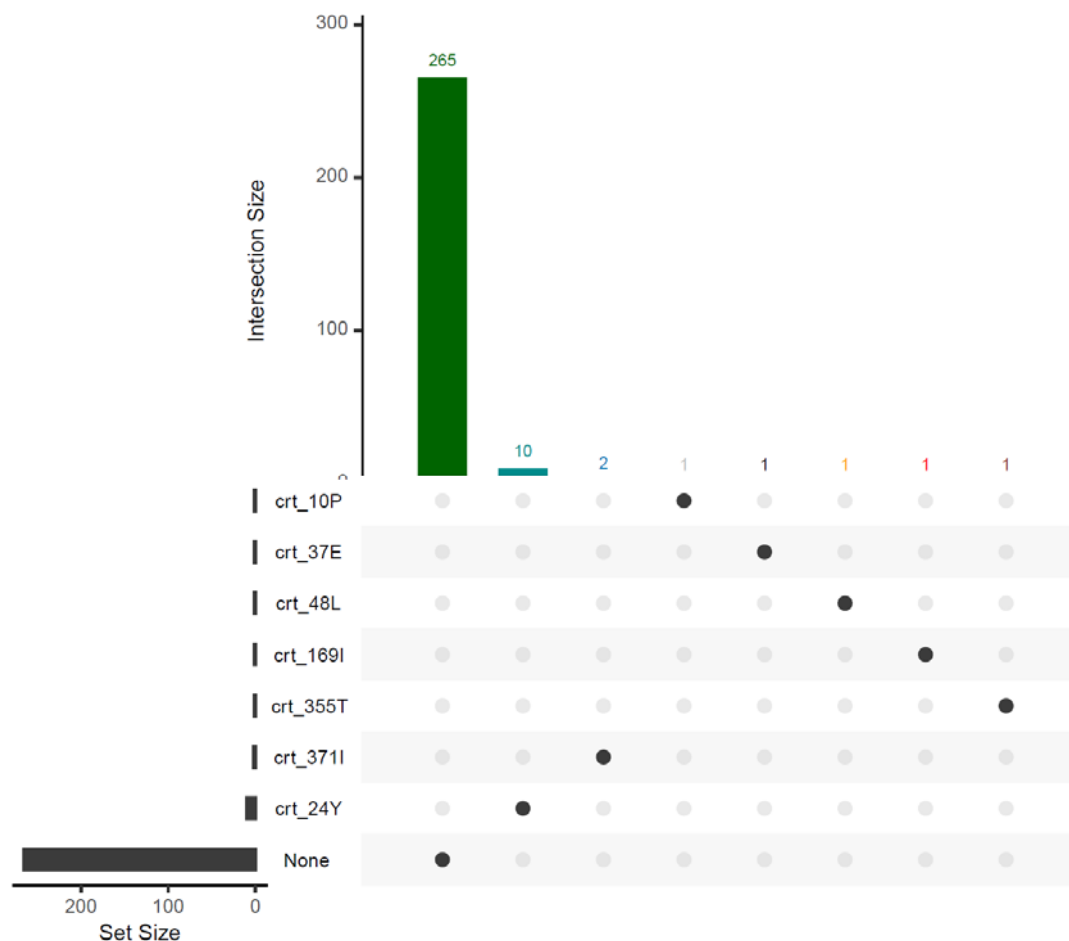
575 **Figure S1.** Read coverage per all identified mutations associated with antimalarial drug  
576 resistance across 282 samples. **A)** Density plot showing cumulative read depth and dashed red  
577 lines indicate median coverage=45X. **B)** Read coverage per mutation for top 5 known  
578 antimalarial drug resistance genes.

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581  
582 **Figure S2.** Number of mutations per gene and read depth. **A)** Number of mutations identified  
583 across 13 *P. falciparum* genes from 282 samples. **B)** Drug resistance gene size in base pairs.  
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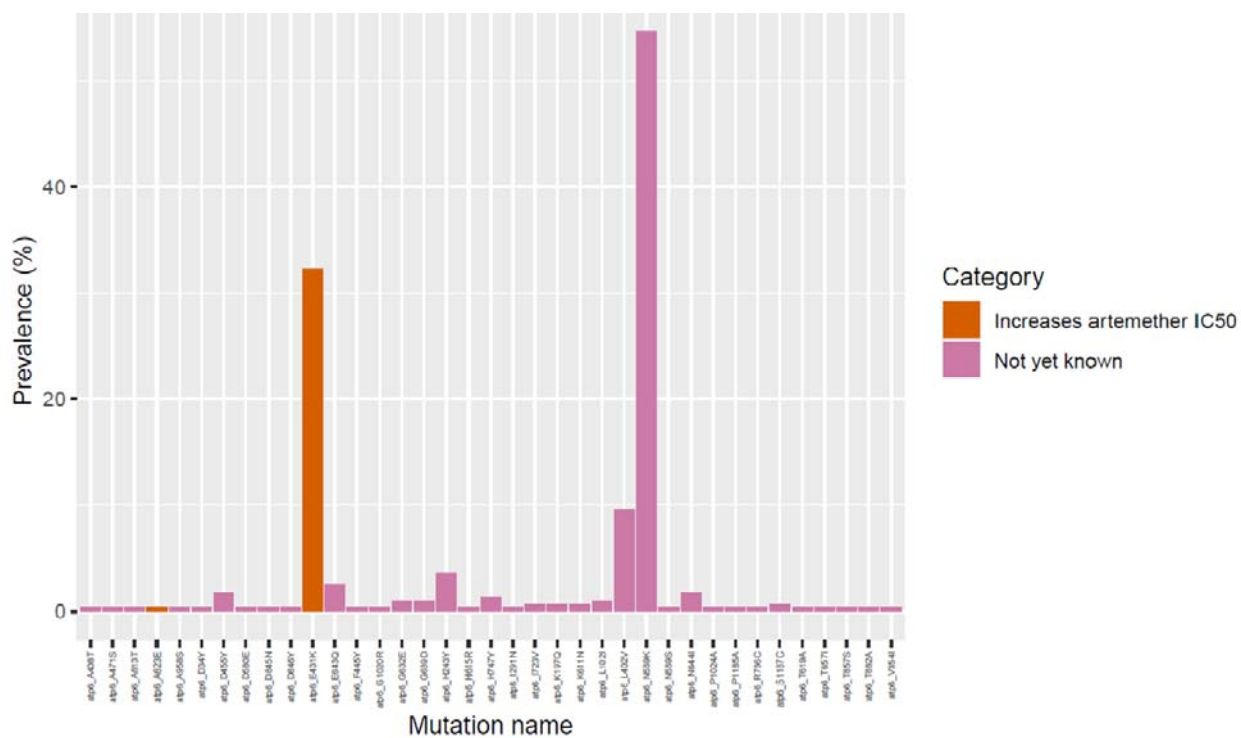


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589 **Figure S3. Frequency of mutations in the *PfCRT* gene.** The intersection size bar plots represent  
 590 the number of parasites carrying different combinations of antimalarial drug resistance  
 591 associated mutations in the *PfCRT* gene. The circles below the bars represent the different  
 592 combinations of resistant genotypes in individual samples. The set size represents the number of  
 593 samples in which individual drug resistance-conferring markers were genotyped. Gene position  
 594 and nucleotide change for each mutation are shown in Table S1. None = samples carrying  
 595 synonymous mutations or wild-type alleles

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598 **Figure S4. Prevalence of 37 mutations in *Pfatpase6* from 282 Zambian samples ordered by**  
599 **genomic loci.** Colours indicate whether the mutations are validated or not as resistance markers  
600 for ACT.  
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620 **SUPPLEMENTAL TABLES**

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622 *Supplementary tables are compiled into a single file for ease of viewing*

623

624 **Table S1. Description of 13 *P. falciparum* drug resistance genes across successfully**  
625 **sequenced samples and included in this analysis and the drugs with which they are**  
626 **associated.**

627 See uploaded file.

628

629 **Table S2. Sequencing coverage across successfully sequenced samples and cumulative**  
630 **prevalence of all mutations across 13 genes in Zambia. n = number of samples successfully**  
631 **sequenced samples**

632 See uploaded file.

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634 **Table S3. Prevalence of all mutations across 13 genes per region in Zambia. n = number of**  
635 **samples successfully sequenced samples**

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