

## Addressing Atropisomerism in the Development of Sotorasib, a Covalent Inhibitor of KRAS G12C: Structural, Analytical, and Synthetic Considerations

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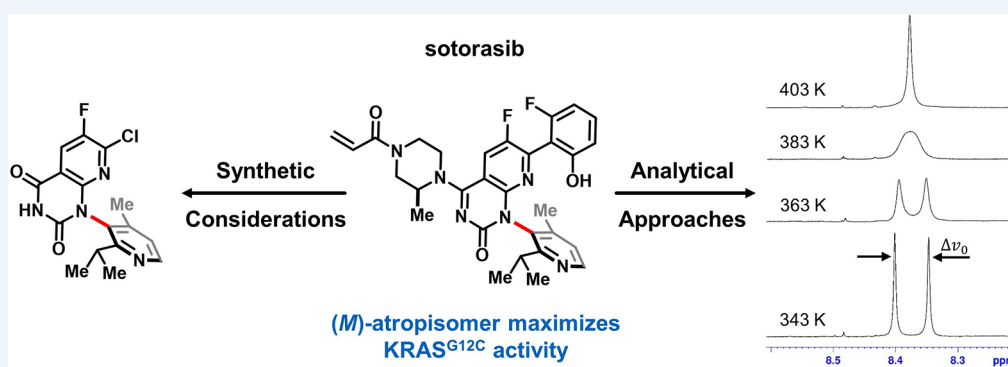


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**CONSPECTUS:** Nearly a century after its first description, configurationally stable axial chirality remains a rare feature in marketed drugs. In the development of the KRAS<sup>G12C</sup> inhibitor sotorasib (LUMAKRAS/LUMYKRAS), an axially chiral biaryl moiety proved a critical structural element in engaging a “cryptic” protein binding pocket and enhancing inhibitor potency. Restricted rotation about this axis of chirality gave rise to configurationally stable atropisomers that demonstrated a 10-fold difference in potency. The decision to develop sotorasib as a single-atropisomer drug gave rise to a range of analytical and synthetic challenges, whose resolution we review here.

Assessing the configurational stability of differentially substituted biaryl units in early inhibitor candidates represented the first challenge to be overcome, as differing atropisomer stability profiles called for differing development strategies (e.g., as rapidly equilibrating rotamers vs as single atropisomers). We relied on a range of NMR, HPLC, and computational methods to assess atropisomer stability. Here, we describe the various variable-temperature NMR, time-course NMR, and chiral HPLC approaches used to assess the configurational stability of axially chiral bonds displaying a range of rotational barriers.

As optimal engagement of the “cryptic” pocket of KRAS<sup>G12C</sup> was ultimately achieved with a configurationally stable atropisomeric linkage, the second challenge to be overcome entailed preparing the preferred (*M*)-atropisomer of sotorasib on industrial scale. This synthetic challenge centered on the large-scale synthesis of an atropisomerically pure building block comprising the central azaquinazolinone and pyridine rings of sotorasib. We examined a range of strategies to prepare this compound as a single atropisomer: asymmetric catalysis, chiral chromatographic purification, and classical resolution. Although chiral liquid and simulated moving bed chromatography provided expedient access to initial multikilo supplies of this key intermediate, a classical resolution process was ultimately developed that proved significantly more efficient on metric-ton scale. To avoid discarding half of the material from this resolution, this process was subsequently refined to enable thermal recycling of the undesired atropisomer, providing an

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even more efficient commercial process that proved both robust and green.

While the preparation of sotorasib as a single atropisomer significantly increased both the analytical and synthetic complexity of its development, the axially chiral biaryl linkage that gave rise to the atropisomerism of sotorasib proved a key design element in optimizing sotorasib's binding to KRAS<sup>G12C</sup>. It is hoped that this review will help in outlining the range of analytical techniques and synthetic strategies that can be brought to bear in addressing the challenges posed by such axially chiral compounds and that this account may provide helpful guidelines for future efforts aimed at the development of such single atropisomer, axially chiral pharmaceutical agents.

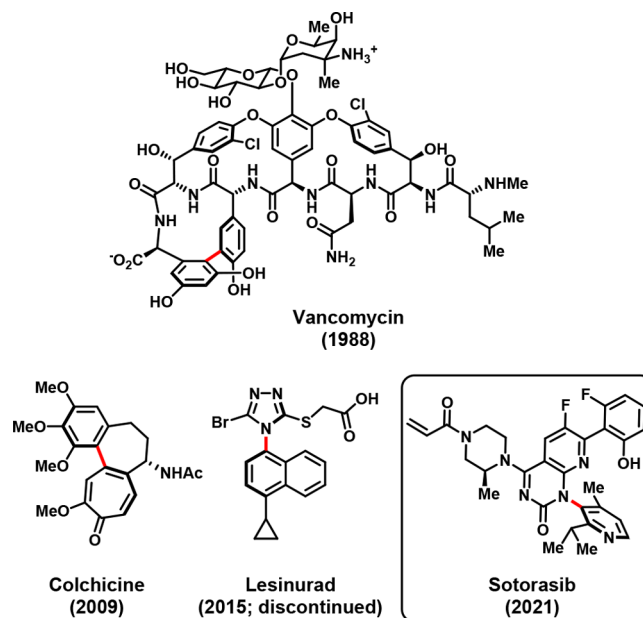
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- Parsons, A. T.; Caille, S.; Caporini, M. A.; Griffin, D. J.; Lovette, M. A.; Powazinik IV, W.; St-Pierre, G. Axial chirality in the sotorasib drug substance, Part 1: Development of a classical resolution to prepare an atropisomerically-pure sotorasib intermediate. *Org. Process Res. Dev.* **2022**, *26*, 2629–2635.<sup>2</sup> Reports the discovery of (+)-2,3-dibenzoyl-D-tartaric acid [(+)-DBTA] as a resolving agent that enables the classical resolution of a key atropisomeric intermediate in the synthesis of sotorasib. Development of a commercial process that provides >2000:1 selectivity on >500 kg scale is described.
- Beaver, M. G.; Brown, D. B.; Campbell, K.; Fang, Y.-Q.; Ford, D. D.; Mardirossian, N.; Nagy, K. D.; Rotheli, A. R.; Sheeran, J. W.; Telmesani, R.; Parsons, A. T. Axial chirality in the sotorasib drug substance, Part 2: Leveraging a high-temperature thermal racemization to recycle the classical resolution waste stream. *Org. Process Res. Dev.* **2022**, *26*, 2636–2645.<sup>3</sup> Describes the development of a continuous-flow racemization process to recycle the off-enantiomer of an atropisomeric sotorasib intermediate obtained from the classical resolution. Additionally reports the demonstration of this process on kilogram scale.

## 1. LIGAND DESIGN: AXIAL CHIRALITY AS A STRUCTURAL TOOL

Although the topic of atropisomerism<sup>4</sup> in the pharmaceutical sciences has received growing attention over the past decade,<sup>5–9</sup> the presence of configurationally stable, axially chiral stereochemical elements in marketed drugs remains a rarity a century after the first report of axial atropisomerism.<sup>10</sup> To date, only four United States Food and Drug Administration (FDA)-approved drugs incorporate such a stereochemical feature: the natural products vancomycin and colchicine, whose axial chirality is

enforced as a result of conventional stereocenters in rings bridging their biaryl motifs;<sup>8,11</sup> lesinurad, whose configurational stability was only recognized postlaunch (through separation of the racemic marketed product);<sup>12</sup> and sotorasib (LUMAKRAS/LUMYKRAS), which represents the first FDA-approved therapy to be manufactured and marketed as a configurationally stable, atropisomerically pure compound (Figure 1).<sup>1</sup> In this work, we

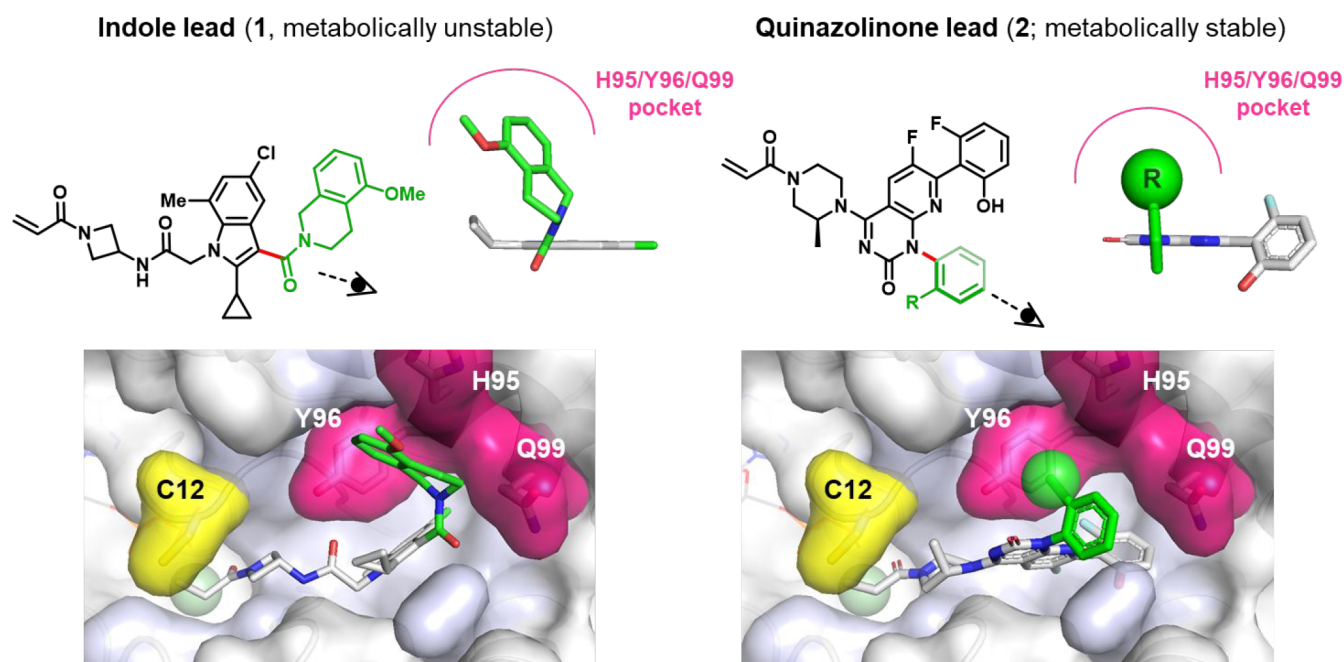


**Figure 1.** FDA-approved drugs containing axially chiral atropisomeric bonds.

describe the factors that led to the decision to incorporate an axially chiral linkage in the structure of sotorasib as well as the analytical and synthetic techniques used to address the consequences of that decision.

The decision to incorporate an axially chiral linkage in sotorasib arose from a specific structural need: to provide a structural motif capable of accessing a “cryptic” pocket<sup>13</sup> on the surface of the target protein, KRAS<sup>G12C</sup>, that had been discovered through our earlier screening efforts.<sup>14</sup> As shown in Figure 2, the biaryl linkage<sup>15</sup> of quinazolinone lead **2** provided a synthetically accessible, readily diversified structural element capable of positioning a substituent in the H95/Y96/Q99 cryptic pocket (magenta), thereby mimicking the role played by the tetrahydroisoquinoline amide of indole lead **1** (which similarly accessed this cryptic pocket via an atropisomeric linkage (red bond)—albeit a nonconfigurationally stable one).<sup>16</sup>

The decision to retain the atropisomeric linkage of quinazolinone **2** in the final structure of sotorasib was not taken lightly. Axial chirality differs from more conventional point chirality in that the racemization of atropisomers does not depend on bond-breaking processes, but only upon simple



**Figure 2.** Axial chirality as a structural element in accessing a novel pocket in the design of sotorasib. An axis of chirality (red bond) in indole lead **1** facilitated occupancy of the H95/Y96/Q99 “cryptic” pocket (magenta) of KRAS<sup>G12C</sup> by a tetrahydroisoquinoline substituent (green), enhancing inhibit or potency. The metabolic instability of compound **1** prevented its further development; however, analogous use of an axially chiral linkage in quinazolinone lead **2** enabled similar occupancy of the H95/Y96/Q99 pocket while affording KRAS<sup>G12C</sup> inhibitors with significantly enhanced metabolic stability. Optimization of scaffold **2** led to the invention of sotorasib. Data from ref **1** and ref **14**.

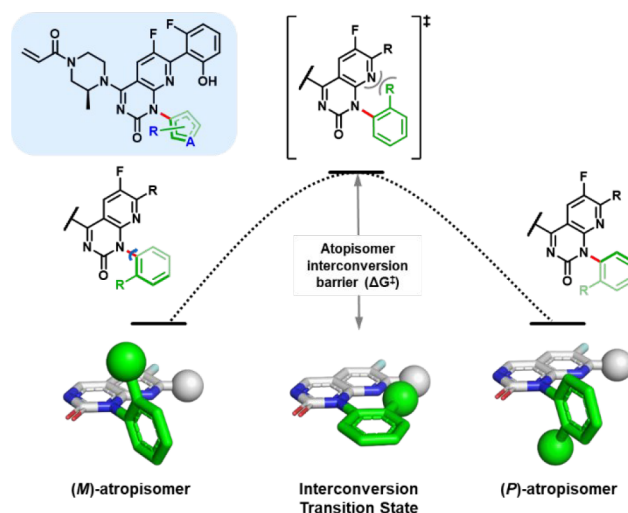
rotational interconversion. Therefore, the energetic barriers to atropisomer isomerization are typically significantly lower than those of point stereocenter racemization processes, posing considerable added analytical and synthetic challenges for the development of axially chiral compounds.<sup>6,17</sup>

In the following sections, we survey the range of analytical techniques we used to characterize the configurational stability of the diverse atropisomeric analogs prepared in the optimization of sotorasib as well as the various synthetic strategies employed in accessing atropisomerically pure, axially chiral compounds efficiently, economically, and on commercial scale.<sup>18</sup> As such efforts were central to the registration of the first atropisomerically pure, axially chiral pharmaceutical agent, it is hoped that this overview may provide helpful guidelines for future efforts seeking to bring single-atropisomer compounds to market.

## 2. CONFORMATIONAL ANALYSIS: INTERCONVERSION BARRIERS AND CONFORMATIONAL STABILITY

Efforts to optimize quinazolinone scaffold **2** initially focused on modification of the ortho substituent of the biaryl moiety (green ball, Figure 2) to optimally engage the cryptic pocket of KRAS<sup>G12C</sup> as well as modification of the monocyclic arene ring. Both modifications contributed to changes in the configurational stability of the axially chiral biaryl system (Figure 3).

The configurational stability of an atropisomeric linkage, such as that present in quinazolinone **2**, can have significant implications for the way in which an atropisomeric compound is developed for pharmaceutical use (e.g., as a mixture or single atropisomer; Table 1), as has been expertly reviewed.<sup>5,6</sup> Conformational stability is typically assessed by estimation of the free-energy of activation for atropisomer interconversion ( $\Delta G^\ddagger$ ) (Figure 3). During research efforts toward the discovery



**Figure 3.** Interconversion of biaryl atropisomers. Rotation about the axially chiral biaryl bond (red) allows for (*M*)- and (*P*)-atropisomer interconversion. Intramolecular interactions (e.g., steric, electronic) in the interconversion transition state lead to varying atropisomer interconversion barriers ( $\Delta G^\ddagger$ ), whose magnitudes depend on the identity and substitution pattern of the rings of the biaryl system.

of sotorasib, we sought to optimize the biaryl substituent of scaffold **2** (e.g., ring-size and substitution pattern) to maximize productive interactions with the H95/Y96/Q99 pocket of KRAS<sup>G12C</sup> while avoiding the generation of analogs with low-to-moderate atropisomeric stability ( $\Delta G^\ddagger$  of 20–30 kcal/mol),<sup>1</sup> as the configurational instability of such compounds was expected to pose significant regulatory challenges.<sup>19</sup> To assess atropisomer configurational stability, we relied on a range of analytical techniques (see Table 2), each of which proved useful

**Table 1. Favored Development Strategy As a Function of Atropisomer Interconversion Barrier ( $\Delta G^\ddagger$ )<sup>a</sup>**

Interconversion barrier ( $\Delta G^\ddagger$ ; kcal/mol)	Isomerization time (1% conversion; 23 °C)	Development strategy
<20 ('Class 1')	<1 s	Rapidly equilibrating mixture <sup>b</sup>
20–30 ('Class 2')	1 s to 9 months	Case dependent; disfavored for development <sup>c</sup>
>30 ('Class 3')	>9 months	Single atropisomer <sup>d</sup>

<sup>a</sup>Interconversion barrier classification and development strategies taken from refs 6 and 20. <sup>b</sup>Interconversion rapid versus pharmacological and manufacturing processes. <sup>c</sup>Interconversion on the same time scale as pharmacological and manufacturing processes. <sup>d</sup>Interconversion slow versus pharmacological and manufacturing processes.

for characterizing conformational dynamics occurring on differing time scales and at differing interconversion energies.

NMR techniques proved one of the most versatile means of detecting and analyzing atropisomer mixtures. In the case of quinazolinone analogs such as **2**, the presence of a second stereocenter in a molecule (i.e., the (S)-methyl piperazine) rendered the two (*M*)- and (*P*)-atropisomers<sup>21</sup> diastereomeric. Diastereotopic NMR resonances arising from the two atropisomers therefore provided a first indication of the presence of restricted rotation arising from the axial chirality in compound **2**.<sup>22</sup>

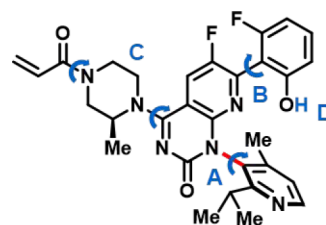
In the case of scaffold **2**, variable-temperature NMR (VT-NMR) studies proved a highly useful tool in assessing the activation energy barrier ( $\Delta G^\ddagger$ ) for atropisomer interconversion. For compounds with low interconversion barriers ( $\Delta G^\ddagger$ ), spectral line broadening (and/or merging) at elevated temperatures allowed for the direct calculation of  $\Delta G^\ddagger$ ,  $\Delta H^\ddagger$ , and  $\Delta S^\ddagger$  from the exchange rate constants ( $k$ ) or half-lives ( $t_{1/2}$ ) of the interconversion processes. For compounds with slower exchange rate constants (i.e., higher interconversion energy barriers), a range of additional NMR techniques also proved useful:<sup>23</sup>

- When interconversion rates were short on an NMR time-scale (i.e.,  $t_{1/2} < 1$  s), line-shape analysis could be used to determine interconversion rate constants ( $k$ ) by simulating exchange processes using dynamic NMR models.<sup>24,25</sup>
- In cases where an intermediate exchange regime<sup>29</sup> was observed (i.e.,  $k \approx$  frequency difference ( $\Delta\nu_0$ ) of monitored nuclei), rate constants ( $k$ ) could be calculated from  $\Delta\nu_0$  of the diastereotopic nuclei at the coalescence temperature ( $T_c$ ) of the interconverting atropisomers.<sup>30</sup>
- In the slow-to-intermediate exchange regime<sup>29</sup> ( $k < \Delta\nu_0$ ), 2D exchange spectroscopy (EXSY) could be used to calculate  $k$  with increased sensitivity by measuring the

intensity of chemical-exchange cross peaks as a function of mixing time.<sup>31,32</sup>

- For systems with higher rotational barriers, kinetic analysis (i.e., time-course NMR) could be used to monitor the isomerization of purified atropisomers as a function of time and temperature, deriving the exchange rate ( $k$ ) and reaction half-life ( $t_{1/2}$ ) from first-order reaction kinetics.<sup>33</sup>

Atropisomer configurational stability analysis also took place against a background of other rotational and conformationally dynamic processes in the sotorasib scaffold, all of which additionally contributed to the generation of diastereotopic <sup>1</sup>H NMR resonances on the NMR-time scale (Figure 4). Conformationally dynamic features of the sotorasib scaffold included:



**Figure 4.** Conformationally/chemically dynamic features of the sotorasib scaffold (A–D), each demonstrating distinct activation energy barriers and NMR exchange kinetics. Restricted rotation about bond A (red) gives rise to configurationally stable (*M*)- and (*P*)-atropisomers.

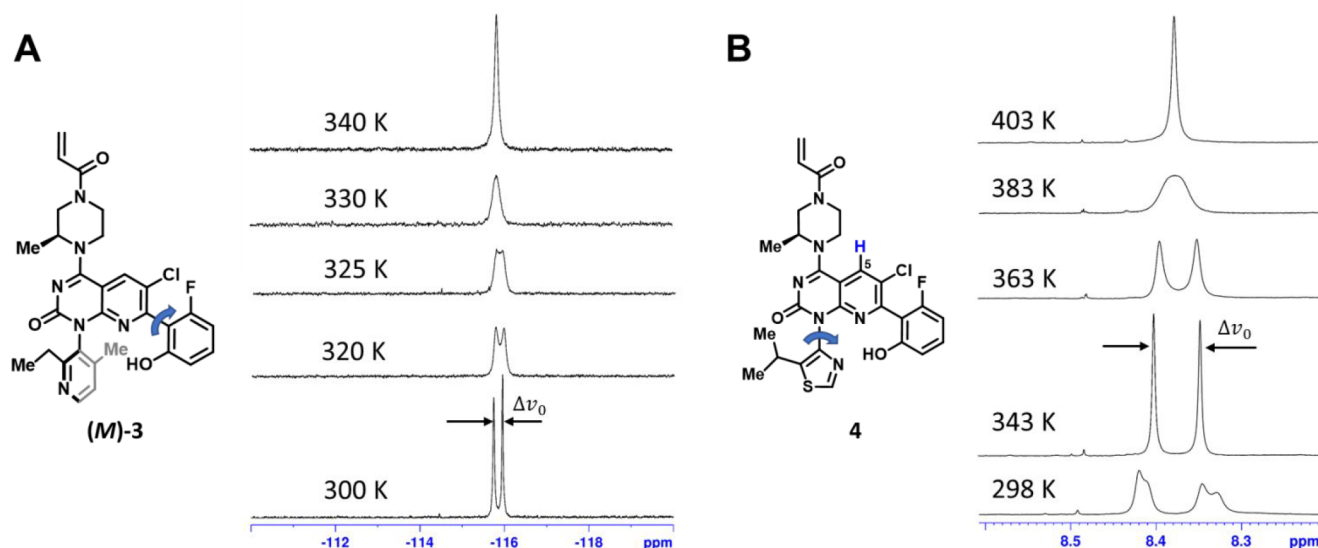
- The axially chiral biaryl linkage (source of atropisomerism in most sotorasib analogs)
- A second axially chiral bond (not typically a source of configurationally stable rotamers due to low interconversion barriers at ambient temperature)
- A conformationally flexible piperazine ring/acrylamide “warhead”, giving rise to *E*-/*Z*-acrylamide isomers, piperazine conformers, and rotational isomers about the piperazine/quinazolinone bond (not typically a source of configurationally stable isomers at ambient temperature)
- Proton exchange between the phenolic hydroxyl group and basic sites on the molecule/solvent.

As an illustration of the use of VT-NMR line-shape analysis in determining the interconversion energy barriers for several of these dynamic processes, below, we describe two processes within the sotorasib scaffold (bond A and B rotation) that have sufficiently different activation energy barriers to allow for their concurrent analysis using simple two-site exchange models (Figure 5A,B).

In Figure 5A, proton-decoupled <sup>19</sup>F VT-NMR was used to determine the barrier for fluorophenyl ring rotamer inter-

**Table 2. Choosing an Experimental Technique for Configurational Stability Assessment**

Technique	Favored by	Disfavored when	Compound requirement
VT-NMR: Line-shape analysis, EXSY, or kinetic analyses	Fast interconversion ( $t_{1/2} < 1$ s, <sup>26</sup> e.g., analysis of non-chromatographically separable isomer mixtures), $\Delta G^\ddagger < 23$ kcal/mol <sup>27</sup>	Atropisomers are enantiomers or chemically unstable at elevated temperature	~3 mg of atropisomer mixture (or atropisomerically enriched material)
Time-course NMR	Medium-to-slow interconversion within the accessible temperature range ( $t_{1/2} > 5$ min <sup>23</sup> ), $\Delta G^\ddagger = 22$ –33 kcal/mol <sup>28</sup>	Atropisomers are enantiomers or unstable, chiral separation is not feasible	~3 mg of atropisomerically enriched material
Chiral Chromatography (e.g., HPLC, SFC)	Slow interconversion ( $t_{1/2} > 20$ min <sup>23</sup> ), $\Delta G^\ddagger > 24$ kcal/mol	Atropisomers are rapidly converting, or chiral separation is not feasible	<1 mg of atropisomerically enriched material



**Figure 5.** VT-NMR analysis: (A) Structure of compound (*M*)-3 and temperature dependence of the proton-decoupled  $^{19}\text{F}$  NMR spectrum, monitoring fluorophenyl ring rotation from slow- to fast-exchange regimes. (B) Structure of compound 4 (mixture of atropisomers) and temperature dependence of  $^1\text{H}$  NMR spectra of the azaquinazolinone H5 proton (blue), monitoring (*M*)- and (*P*)-atropisomer interconversion. Peak separations in the slow-exchange limit are indicated by  $\Delta\nu_0$ . Both samples were dissolved at  $\sim 5$  mg/mL in  $\text{DMSO}-d_6$ . NMR measurements were performed on a Bruker Avance III 500 MHz spectrometer with a BBFO probe.

conversion in compound (*M*)-3.<sup>34,35</sup> At 300 K, the  $^{19}\text{F}$  NMR spectrum of (*M*)-3 consists of two signals with similar integrals, reflecting two rotational isomers that are in slow exchange on the NMR time scale. This two-site exchange can be described by a first-order reaction approaching equilibrium:<sup>23</sup>



As the forward and reverse rates of interconversion between the (*M*)- and (*P*)-fluorophenol rotamers are very similar ( $k_{+1} \approx k_{-1}$ ), nearly equal concentrations of the two rotamers are observed at equilibrium ( $k_{+1}/k_{-1} = [M]_{eq}/[P]_{eq} \approx 1$ ), as is reflected by the similar integrals for the two  $^{19}\text{F}$  signals.

At elevated temperatures, the  $^{19}\text{F}$  NMR signals for (*M*)-3 gradually broaden (reflecting increasingly rapid rotation about bond B), ultimately reaching coalescence at  $\sim 327$  K.<sup>36</sup> At the coalescence temperature ( $T_c$ ), the exchange rate ( $k$ ) between the two rotamers can be calculated from the frequency difference  $\Delta\nu_0$  between these  $^{19}\text{F}$  peaks in the limit of slow exchange:<sup>23,30</sup>

$$k = \frac{\pi\Delta\nu_0}{\sqrt{2}}
 \quad (2)$$

The activation free energy for this rotational exchange process ( $\Delta G^\ddagger$ ) can then be calculated from the Eyring equation:<sup>23,37,38</sup>

$$\Delta G^\ddagger = \Delta H^\ddagger - T\Delta S^\ddagger = -RT \ln \frac{k\hbar}{k_B T}
 \quad (3)$$

By this methodology, given a frequency difference ( $\Delta\nu_0$ ) of  $97 \pm 2$  Hz in the absence of exchange, the exchange rate at  $T_c = 327 \pm 2$  K can be calculated as  $k = 215 \pm 4$  s $^{-1}$ , corresponding to a  $\Delta G^\ddagger$  of  $15.7 \pm 0.1$  kcal mol $^{-1}$ .

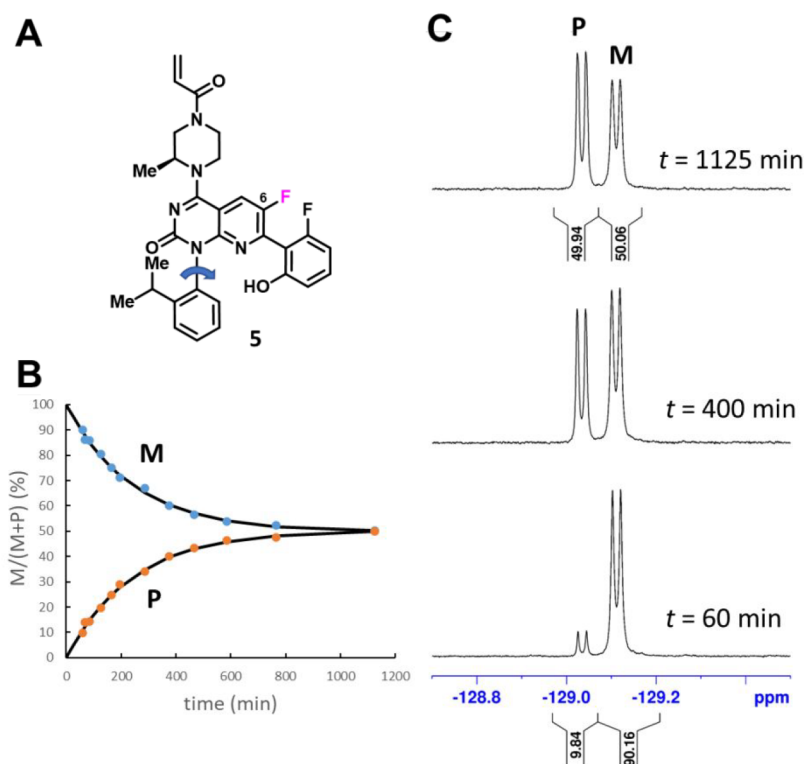
Figure 5B illustrates a similar VT-NMR analysis of atropisomer interconversion rates, this time using the diastereotopic  $^1\text{H}$  NMR resonances of the quinazolinone H5

hydrogen atom to monitor the interconversion of biaryl (“bond A”) atropisomers. This figure additionally illustrates the complex NMR spectra that can result from the concomitant contributions of multiple rotationally mobile bonds. At ambient temperature, H5 shows not only two distinct  $^1\text{H}$  NMR resonances arising from the two “bond A” atropisomers, but also significant broadening/splitting of these resonances resulting from the presence of two “bond B” (fluorophenyl ring) atropisomers (cf. Figure 4).

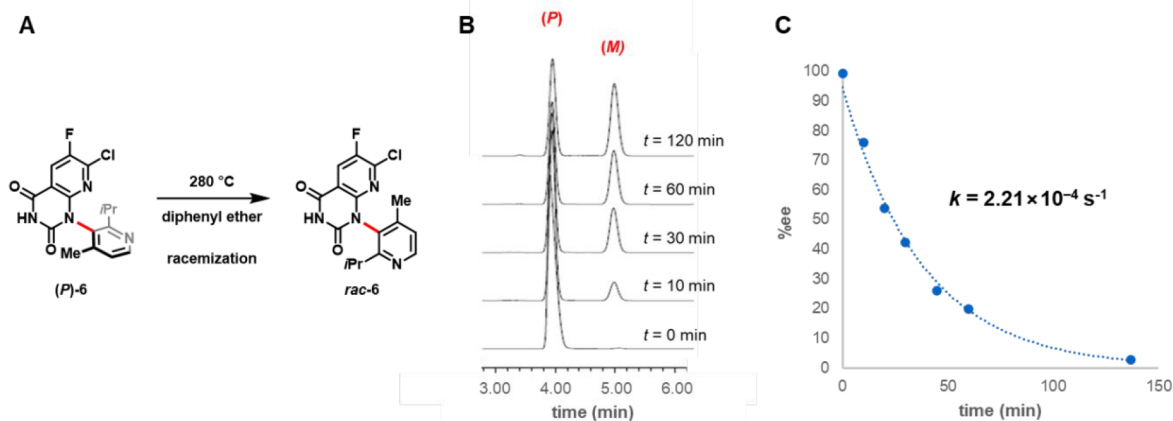
At temperatures  $>340$  K, fluorophenyl rotation rates enter the fast-exchange regime, resulting in the sharpening of the H5 resonances into two narrow peaks of similar intensity, corresponding to the two “bond A” atropisomers. With further increases in temperature, these two peaks broaden and begin to coalesce, with a  $T_c \approx 380 \pm 2$  K. Given a peak separation of  $\Delta\nu_0 = 27 \pm 1$  Hz for the “bond A” atropisomers (i.e., at 343 K), this results in a calculated exchange rate of  $k = 60 \pm 2$  s $^{-1}$  at  $T_c$  and a  $\Delta G^\ddagger = 19.3 \pm 0.1$  kcal mol $^{-1}$ .

As outlined by LaPlante (Table 1),<sup>6</sup> the low interconversion barriers for both of the atropisomer interconversion processes depicted in Figure 5 make these processes of little concern from a development perspective: atropisomer conversion about “bond A” of compound 4 and “bond B” of (*M*)-3 is rapid on a pharmacological and manufacturing time scale, and so no effort was made to separately isolate and characterize these rotational isomers. Unfortunately, neither of these compounds ultimately proved suitable for further development, and optimization efforts continued with more highly conformationally restricted biaryl atropisomers.<sup>1</sup>

A key limitation of VT-NMR methods is the requirement that rapid atropisomer interconversion rates be obtained at temperatures compatible with NMR analysis. To assess KRAS inhibitors possessing higher atropisomer interconversion barriers (i.e., up to 32–33 kcal/mol), we turned to time-course NMR experiments, where we monitored interconversion dynamics over extended periods at elevated temperatures.



**Figure 6.** Time-course NMR analysis: (A) Structure of compound **5** (mixture of atropisomers). Fluorine atom (F6, magenta) was used to monitor (*M*)- and (*P*)-atropisomer interconversion (note: F6 shows a splitting of  $^5J_{F-F} = 8.6$  Hz resulting from the proximal fluorophenol ring). (B) (*M*)- and (*P*)-atropisomer interconversion kinetics ( $T = 325$  K). Atropisomer ratios (colored data points) were obtained by integration, normalizing the sum of the integrals to 100%. Solid lines show a fit to first-order kinetics with a half-life ( $t_{1/2}$ ) of 334 min. (C) Proton-decoupled  $^{19}\text{F}$  NMR spectra (Bruker AVIII-500,  $\sim 5$  mg/mL in  $\text{DMSO}-d_6$ ) of F6 collected at 325 K (time points as indicated).



**Figure 7.** Chiral chromatographic analysis: (A) Thermal racemization of (*P*)-**6**. (B) HPLC chromatograms illustrating the racemization (*P*)-**6** as a function of time. (C) Plot of (*P*)-**6** enantiomeric excess (derived from HPLC peak integration) as a function of time, following incubation at 280 °C in diphenyl ether.

Figure 6 illustrates the use of time-course NMR studies to assess the atropisomer (“bond A”) interconversion barrier of a more highly conformationally restricted inhibitor, compound **5**. In this experiment, an (*M*)-atropisomer-enriched sample of **5** was incubated at 325 K for  $\sim 19$  h, and the diastereotopic quinazolinone F6  $^{19}\text{F}$  NMR resonances (Figure 6C; corresponding to the two “bond A” atropisomers) were integrated at successive time-points to provide a time-course profile of evolving (*M*)- and (*P*)-**5** concentrations (Figure 6B).

For a two-site exchange process (eq 1), the rate of (*M*)-atropisomer change over time is given by

$$\frac{d[M]}{dt} = -k_{+1}[M] + k_{-1}[P] \quad (4)$$

where  $k_{+1}$  and  $k_{-1}$  are the rate constants for forward and reverse reaction, respectively.<sup>33</sup> With the initial concentration of  $[M] = [M]_0$  and  $[P] = 0$ , this time dependence can be expressed as

$$[M] = [M]_0 \left\{ \frac{k_{-1} + k_{+1}e^{-(k_{+1}+k_{-1})t}}{k_{+1} + k_{-1}} \right\}$$

$$[P] = [M]_0 - [M] \quad (5)$$

Fitting the (*M*)- and (*P*)-fractions in Figure 6B to eq 5 revealed rate constants of  $k_{+1} \approx k_{-1} = 3.46 \pm 0.05 \times 10^{-5} \text{ s}^{-1}$  and a corresponding half-life of  $t_{1/2} = 334 \pm 5 \text{ min}$ , which (using eq 3) equated to an activation energy of  $\Delta G^\ddagger = 25.7 \pm 0.1 \text{ kcal mol}^{-1}$  at  $325 \pm 1 \text{ K}$ .

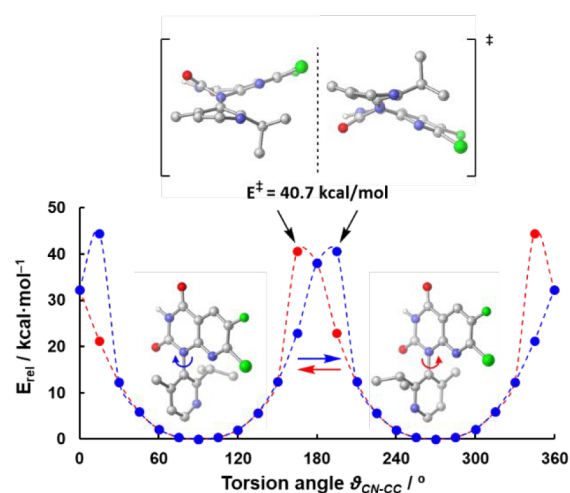
This represented a significantly higher barrier to atropisomer interconversion than for the previously described compounds, and one expected to pose a significant development challenge, as atropisomer interconversion of compound 5 would be expected to occur on the same time-scale as that of pharmacological and manufacturing processes. Accordingly, although compounds such as quinazolinone 5 proved potent KRAS<sup>G12C</sup> inhibitors, further optimization was pursued to identify similarly potent inhibitors with atropisomer interconversion barriers <20 kcal/mol or >30 kcal/mol (cf. Table 1 guidelines).

Such optimization efforts, more fully described in ref 1, ultimately led to the identification of sotorasib (Figure 1). Using similar time-course NMR studies, the atropisomer interconversion barrier for sotorasib was determined to be >33.5 kcal mol<sup>-1</sup>, corresponding to an atropisomer interconversion half-life of >1000 h at 373 K. Slow decomposition of sotorasib in DMSO at  $T > 380 \text{ K}$  prevented more precise determination of the interconversion barrier; however, experiments with synthetic precursors (see below) have helped to more precisely define the interconversion barrier for the pyridine/quinazolinone system of sotorasib.

As previously noted, direct NMR analysis of atropisomer mixtures relies on the presence of a second stereocenter in the analyte molecule to render the atropisomers diastereotopic. Although enantiomeric atropisomers may be studied spectroscopically by using chemical shift reagents or anisotropic media,<sup>39,40</sup> a potentially more straightforward approach employs chiral chromatographic analysis. In the case of sotorasib, the synthetic precursor *rac*-6 (Figure 7) possessed a single chiral axis (red) and no additional stereocenters. Restricted rotation about this axis resulted in the formation of two enantiomeric atropisomers (*M*)- and (*P*)-6, which could not be distinguished using standard NMR approaches.

To assess the atropisomer interconversion barrier of compound 6, a chiral high-performance liquid chromatography (HPLC) method was developed to separate (*M*)- and (*P*)-6, enabling time-course studies of the thermally induced racemization of (*P*)-6.<sup>41</sup> In such studies, a solution of (*P*)-6 in diphenyl ether was superheated to 280 °C (boiling point = 258 °C) and samples were collected over ~2 h to determine the degree of racemization at each time point (Figure 7B,C). Fitting the exponential decay of (*P*)-6 concentrations over time allowed for the calculation of the racemization rate constant,  $k$  ( $2.21 \times 10^{-4} \text{ s}^{-1}$ ), and corresponding interconversion free energy,  $\Delta G^\ddagger$  (42.3 kcal/mol), resulting in a calculated racemization half-life of >10 billion years (at 25 °C), confirming the exceptional configurational stability of this biaryl linkage.

Although the prior discussion focused on experimental methods to establish atropisomer interconversion barriers, computational (quantum-mechanical) methods of estimating such barriers have also become quite reliable<sup>6,20,42</sup> and can serve as a convenient method for assessing rotational restriction about an axially chiral bond [and for predicting the most appropriate experimental approach to use in assessing atropisomer interconversion barriers (cf. Table 2)]. Figure 8 illustrates the application of density functional theory (DFT) calculations at the B3LYP/6-31+G(d,p) level of theory to estimate the interconversion barrier of *rac*-6, which is predicted to be 40.7



**Figure 8.** Computational analysis: DFT analysis (B3LYP/6-31+G(d,p)) of the torsional energy profile of the atropisomeric axis of compound 6.

kcal/mol, in good correspondence with the experimentally determined barrier of 42.3 kcal/mol.

### 3. SYNTHETIC CONSIDERATIONS: PREPARING SINGLE ATROPISOMERS ON SCALE

Having identified the atropisomeric biaryl bond of sotorasib as a key structural element in the design of potent KRAS<sup>G12C</sup> inhibitors and established the exceptional configurational stability of the specific biaryl system present in sotorasib through careful analytical work, our efforts subsequently turned to the practical question of how best to prepare the (*M*)-atropisomer of sotorasib on industrial scale. During discovery efforts, the (*M*)-atropisomer was isolated by chiral chromatographic separation of the two atropisomers obtained upon completion of the synthesis.<sup>1</sup> Given the low throughput, significant solvent waste, and poor scalability of such a chromatographic separation, finding ways to install the (*M*)-atropisomeric axis without resorting to late-stage chiral separation became a key focus at the outset of process development efforts.

The earliest atropisomeric intermediate in the synthesis of sotorasib, *rac*-6, was formed in just two steps from raw materials nicotinamide 7 and aniline 8, proceeding through nonisolated intermediate 9 (Figure 9).<sup>43</sup> Several strategies were envisioned for accessing atropisomerically pure (*M*)-6 while retaining 7 and 8 as raw materials: (1) asymmetric ring closure of intermediate 9, (2) chiral chromatographic separation of *rac*-6, and (3) classical resolution of *rac*-6.

Asymmetric synthesis was initially envisioned as being the most efficient means of accessing (*M*)-6, and initial efforts toward this goal focused on the identification of suitable catalysts for the asymmetric ring closure of intermediate 9, given established supply chains for starting materials 7 and 8, which promised to expedite future scale-up. Although multiple approaches<sup>44–46</sup> were pursued—including asymmetric intramolecular cross-coupling, various organocatalytic methods (hydrogen bonding, chiral Brønsted acids, and nucleophilic catalysis), and stoichiometric methods (chiral bases)—ultimately, none of these approaches met the required yield or selectivity requirements for the program.<sup>47</sup>

Given an aggressive timeline to deliver kilogram quantities of (*M*)-6 to support early development efforts, it was determined

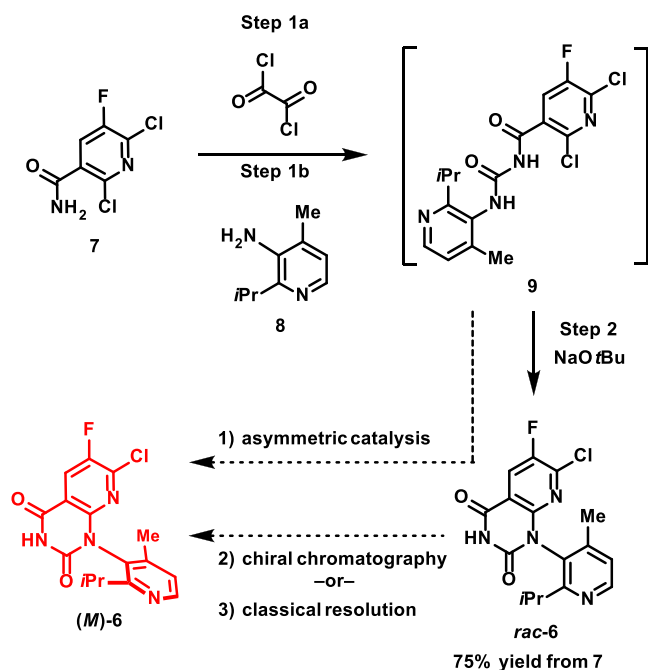


Figure 9. Preparation of *rac*-6 and approaches to prepare (*M*)-6.

that chromatographic separation of *rac*-6 would be a pragmatic, phase-appropriate approach to provide material for Investigational New Drug-enabling toxicology studies and first-in-human clinical trials. Indeed, the first delivery of sotorasib for clinical studies relied on traditional chiral chromatography and delivered ~2 kg of sotorasib drug substance. For a subsequent 10 kg delivery of drug substance, simulated moving bed chromatography was utilized for chiral separation, which reduced both processing times and solvent use when compared to traditional chromatographic separation.

While preparative chromatography was key to establishing material supplies for early clinical development, it was not considered a preferred option for commercialization. In addition to the previously mentioned shortcomings (i.e., solvent waste, low throughput, etc.), such an approach also complicated supply chains and increased cycle time through the need to enlist third parties for chromatographic separation. This prompted the team to pursue the development of a classical resolution<sup>48</sup> of *rac*-6, which would both reduce cycle times and allow for implementation in standard processing equipment, eliminating the need for specialist third-party partners.

In developing a classical resolution of *rac*-6, the team leveraged high-throughput experimentation (HTE) to identify a suitable resolving agent and solvent system to enable the selective crystallization of the (*M*)-6 isomer.<sup>41</sup> Two rounds of screening experiments were performed, both focusing on readily available chiral acid resolving agents and a variety of solvent systems. While an initial screen of >250 conditions failed to produce promising leads, a follow-up screen of >100 conditions—primarily focused on the use of orthogonal solvent systems—resulted in several conditions that produced complexes between 6 and several chiral acids (Table 3).

Although (*1S*)-(-)-camphanic acid and D-(+)-malic acid produced crystalline complexes with 6 as determined by X-ray powder diffraction, neither acid provided any resolution when the resulting complexes were analyzed by chiral HPLC. (+)-2,3-Dibenzoyl-D-tartaric acid (DBTA) in a mixture of 2-methyltetrahydrofuran (2-MeTHF) and heptane, however, fortuitously

Table 3. Chiral Acids That Form Complexes with Compound 6

Chiral acid <sup>a</sup>	Solvent	<i>M/P</i> ratio
( <i>1S</i> )-(-)-camphanic acid	EtOAc	50:50
( <i>1S</i> )-(-)-camphanic acid	2-MeTHF/heptane (1:1)	50:50
D-(+)-malic acid	EtOAc	50:50
(+)-2,3-dibenzoyl-D-tartaric acid	2-MeTHF/heptane (1:1)	81:19(10:90) <sup>b</sup>

<sup>a</sup>1 equiv. <sup>b</sup>*M/P* ratio in the supernatant. Adapted with permission from ref 2. Copyright 2022 The American Chemical Society.

generated a diastereomeric complex with (*M*)-6 that provided significant enantioenrichment (*M/P* ratio of 81:19; 62% de). Interestingly, analysis by single crystal X-ray diffraction revealed that the resolved (*M*)-6/DBTA complex was not a salt but rather a cocrystalline 2-MeTHF solvate with a 2:1 ratio of (*M*)-6 to DBTA.<sup>49</sup>

Having achieved proof-of-principle, the process development team subsequently sought to optimize these conditions to provide a commercially viable process. Through optimization of the solvent ratio and by increasing the resolving agent stoichiometry, the team was able to both optimize (*M*)-6 recovery and maximize process robustness, ultimately delivering a process that consistently provided (*M*)-6 with an *M/P* ratio of >2000:1 (>99.9% de) in 42% yield (theoretical maximum yield = 50%) on >500 kg scale per batch (Figure 10).<sup>41,50–52</sup>

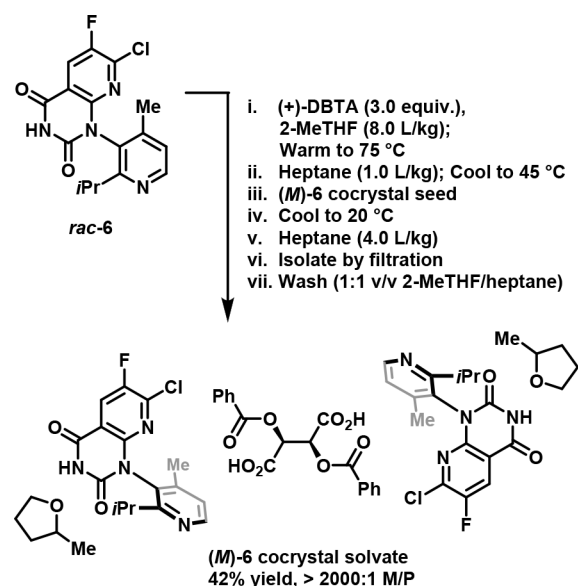


Figure 10. Commercial process to prepare (*M*)-6 cocrystal solvate. Adapted with permission from ref 2. Copyright 2022 The American Chemical Society.

The development of a classical resolution to deliver atropisomerically pure (*M*)-6 was not only crucial in supporting accelerated commercialization timelines (by ensuring clinical and commercial supplies of sotorasib drug substance), but also significantly improved the overall “greenness” of the manufacturing process by substantially decreasing organic solvent use and reducing processing cycle times (Table 4).

Despite these significant improvements in the efficient preparation of (*M*)-6, classical resolutions nonetheless have inherent inefficiencies, such as the need to discard the undesired stereoisomer as waste. To circumvent this shortcoming, we

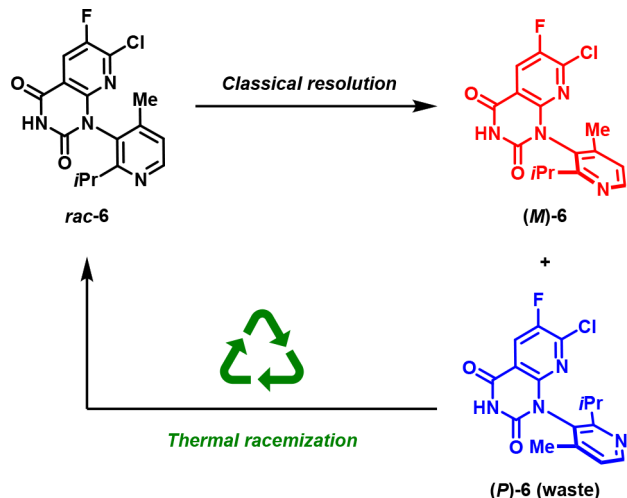


**Table 4. Process Efficiency Comparison (Chromatography vs Classical Resolution)**

Metric	Chromatography <sup>a</sup>	Resolution <sup>b</sup>
PMI <sup>c</sup>	942	113 (188%)
Solvent use <sup>d</sup>	817 L	118 L (186%)
Yield (2-step) <sup>e</sup>	39%	39%
Processing time	36 days	14 days (161%)

<sup>a</sup>Data based on a clinical chromatography process not optimized for commercial production. <sup>b</sup>Includes solvent contributions from free-basing/crystallizing free (*M*)-6. <sup>c</sup>Process mass intensity relative to sotorasib drug substance. <sup>d</sup>Total solvent used per kg of (*M*)-6 produced. <sup>e</sup>Yield after crystallization (chromatography) or free-basing/crystallization (resolution).

envisioned a process wherein the recovered undesired atropisomer (*P*)-6 could be recycled to serve as an alternative source of *rac*-6 through high temperature racemization,<sup>53–55</sup> substantially improving the process greenness metrics for this resolution (Scheme 1).<sup>56</sup>

**Scheme 1. (*P*)-6 Recycling via Thermal Racemization**

As noted above, the atropisomer interconversion barrier for (*P*)-6 was known to be quite substantial (42.3 kcal/mol), and accordingly, it was expected that temperatures approaching 300 °C or higher would likely be required to achieve reasonable racemization rates. Initial attempts to racemize (*P*)-6 (>99.5% ee) in a range of polar solvents led to significant decomposition; however, high-temperature racemization in nonpolar solvents was found to proceed with minimal decomposition (Table 5). Heating a solution of (*P*)-6 in anisole at 315 °C in a plug flow reactor resulted in near complete racemization in only 20 min (mean residence time) while maintaining high overall purity. This process was subsequently executed on kilogram scale, affording >1.4 kg of racemized 6 in 77% isolated yield with a *P*/*M* ratio of 50.5:49.5 following direct crystallization from the reaction mixture.

Implementing this procedure on a production scale required a means of recovering (*P*)-6 from the waste stream of the (+)-DBTA-mediated resolution. This was achieved by treating the 2-MeTHF/heptane waste stream with potassium carbonate to extract the resulting potassium DBTA salt into the aqueous phase, followed by crystallization of (*P*)-6, which was isolated in 90% yield with a *P*/*M* ratio of 88:12 (76% ee). Acidification of the aqueous extract allowed for recovery of (+)-DBTA (93%

**Table 5. Initial Screening Experiments to Racemize (*P*)-6**

Entry	Solvent	Conditions <sup>a</sup>	%ee <sup>b</sup>	LC area%
1	NMP	200 °C (2 h)	99.1	94.4
2	NMP	200 °C (8 h)	96.3	80.3
3	DMI	250 °C (4 h)	61.1	59.5
4	sulfolane	250 °C (4 h)	75.5	86.3
5	sulfolane	280 °C (4 h)	50.9	76.1
6	diphenyl ether	250 °C (4 h)	69.1	97.5
7	1-octadecene <sup>c</sup>	250 °C (4 h)	74.2	98.5
8	anisole <sup>d</sup>	315 °C (0.3 h)	2.0	99.1

<sup>a</sup>Experiments performed at 100 mg scale. <sup>b</sup>Chiral purity at  $t_0 = 99.6\%$  ee. <sup>c</sup>Slurry-to-slurry transformation. <sup>d</sup>Experiment was performed in a plug-flow reactor. Adapted with permission from ref 3. Copyright 2022 The American Chemical Society.

yield, crystallized) and subsequent reuse in the resolution without a reduction in efficiency. A depiction of the resolution/recycling processes is shown in Scheme 2.<sup>56</sup>

Successful implementation of this resolution process allowed for the preparation of multimetric ton quantities of (*M*)-6 while avoiding the drawbacks initially posed by the requirement for large-scale chiral chromatography. Through optimization of the kilo-scale (*P*)-6/(+)-DBTA recovery and recycling process, we were additionally able to further enhance the efficiency of the recovery process, providing a greener approach to *rac*-6 that reduced its process mass intensity (PMI) contribution to the drug substance manufacturing process from 336 to 141 (58% reduction).

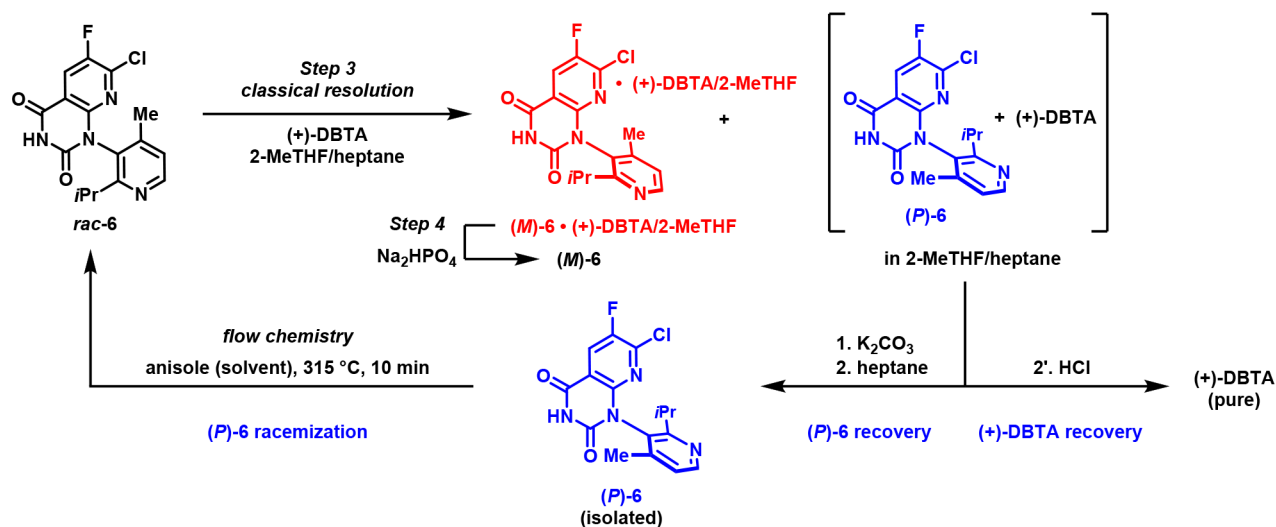
As this brief case history illustrates, while large-scale chiral chromatography played a key role in the delivery of atropisomerically pure sotorasib for early development activities, chromatographic approaches to atropisomer separation posed a significant hurdle to efficient drug synthesis. Classical resolution ultimately proved a highly selective, scalable, and efficient approach to the preparation of atropisomerically pure drug substance, particularly when coupled with process refinements that allowed for recycling of the undesired atropisomer.

#### 4. CONCLUDING REMARKS

An axially chiral biaryl linkage played a central architectural role in the design and optimization of the KRAS<sup>G12C</sup> inhibitor sotorasib (LUMAKRAS/LUMYKRAS), providing stereodefined access to a novel cryptic pocket on the surface of the KRAS protein. Restricted rotation about this axially chiral linkage gave rise to configurationally stable atropisomers, which demonstrated a 10-fold difference in potency. The decision to develop sotorasib as a wholly synthetic, axially chiral single-atropisomer drug presented several analytical and synthetic challenges to be overcome, particularly as sotorasib has now become the first such molecule to receive marketing authorization.

In this account, we provide an overview of the analytical techniques (NMR- and HPLC-based) that were used to assess the configurational stability of the atropisomeric intermediates prepared during the optimization of sotorasib, along with descriptive guidelines for the selection of appropriate techniques to characterize the configurational stability of axially chiral compounds with differing degrees of rotational restriction.

We additionally survey some of the practical synthetic considerations that impacted our strategy in supporting the early clinical development of sotorasib as well as its later commercial synthesis. Whereas large-scale chromatography

Scheme 2. Classical Resolution of (*M*)-6 and Recovery/Recycling of (*P*)-6 and (+)-DBTA

provided efficient access to early supplies of atropisomerically pure sotorasib, commercial synthesis ultimately relied upon classical resolution to provide the operational simplicity and robustness required for synthesis on the metric ton scale. Further process refinements, allowing for recycling of the undesired isomer from the classical resolution, ultimately led to dramatic efficiency improvements in this resolution process, delivering a commercial process that was both robust and green.

While the incorporation of an atropisomerically pure axis of chirality in sotorasib significantly increased the synthetic and analytical complexity of its development, this review illustrates the useful structural role that axial chirality can play in the design of efficient small molecule inhibitors, the range of analytical techniques that can be brought to bear in analyzing their configurational stability, and the synthetic strategies that can be used to enable the practical commercial-scale synthesis of atropisomerically pure pharmaceutical agents. It is hoped that this account may provide helpful guidelines for future efforts aimed at the development of such single atropisomer, axially chiral compounds.

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conceptualization (supporting), data curation (equal), formal analysis (equal), writing-original draft (equal), writing-review & editing (supporting); **Stephan G Zech** conceptualization (supporting), data curation (equal), formal analysis (equal), writing-original draft (equal), writing-review & editing (supporting).

### Notes

The authors declare the following competing financial interest(s): B.A.L., A.T.P., and S.G.Z. are employees and stockholders of Amgen, Inc.

### Biographies

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commercialized the drug substance manufacturing process for LUMAKRAS/LUMYKRAS (sotorasib).

**Stephan G. Zech** is a Principal Scientist in the Lead Discovery and Characterization group at Amgen, Inc. Stephan received a Ph.D. (1998) in Biophysical Chemistry from Technical University in Berlin, Germany, conducting EPR studies on membrane proteins in the group of Wolfgang Lubitz. As a fellow of the Alexander-von-Humboldt foundation, he joined Prof. Ann McDermott at Columbia University in New York (2001) for postdoctoral studies in protein structure determination by solid-state NMR. In 2004, he joined the biopharmaceutical industry and has contributed to the discovery and development of multiple marketed oncology drugs including ponatinib, brigatinib, mobocertinib, and sotorasib.

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## ABBREVIATIONS

DBTA, 2,3-dibenzoyl-D-tartaric acid; DFT, density functional theory; EtOAc, ethyl acetate; EXSY, exchange spectroscopy; FDA, (US) Food and Drug Administration; HPLC, high-performance liquid chromatography; HTE, high-throughput experimentation; KRAS, Kirsten rat sarcoma virus oncogene; 2-MeTHF, 2-methyltetrahydrofuran; NMR, nuclear magnetic resonance; PMI, process mass intensity; VT-NMR, variable-temperature NMR

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