



# Risk factors and efficacy outcomes of early-onset severe neutropenia due to paclitaxel or nanoparticle albumin-bound paclitaxel combined with ramucirumab in advanced gastric cancer: a multicenter retrospective cohort study

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**Background:** Paclitaxel or nanoparticle albumin-bound paclitaxel combined with ramucirumab (PTX/nab-PTX + RAM) is widely used as second-line chemotherapy for advanced gastric cancer (AGC), but severe neutropenia often develops with this regimen. Although previous studies have reported that severe neutropenia is a favorable prognostic factor in cancer chemotherapy, it is unclear in AGC patients receiving PTX/nab-PTX + RAM. In addition, the risk factors for early-onset of severe neutropenia (EOSN) still remain unknown.

**Methods:** Among patients with AGC treated with PTX/nab-PTX (on day 1, 8, and 15) + RAM (on day 1 and 15) every 4 weeks as second-line therapy from January 2017 to June 2020, those with grade 0 or 1 neutropenia before the treatment were retrospectively studied. Blood tests were performed on the day of treatment each time, and disease progression was primarily determined by computed tomography every 8±2 weeks. EOSN was defined as grade 4 neutropenia that occurred during the first 28 days. The risk factors for EOSN were investigated using multivariate logistic regression analysis. Progression-free survival (PFS) and overall survival (OS) in patients with and without EOSN were investigated using multivariate analysis with a Cox proportional hazards model.

**Results:** The clinical data of 244 patients were analyzed. EOSN was observed in 51 (20.9%) patients. Multivariate analysis identified the following five risk factors for EOSN: age ≥65 years [odds ratio (OR), 2.75], presence of primary tumor (OR, 2.82), presence of peritoneal metastasis (OR, 2.52), grade 1 neutropenia (OR, 3.32), and high serum level of alkaline phosphatase (OR, 2.34). The PFS was significantly longer in patients with EOSN than in those without EOSN [adjusted hazard ratio (HR), 0.61; 95% CI, 0.41–0.92] and the OS tended to be longer in patients with EOSN than in those without EOSN (adjusted HR, 0.73; 95% CI, 0.47–1.12). HR was adjusted with patient background factors and blood test data considered important as predictive or prognostic factors.

**Conclusions:** EOSN may be associated with favorable outcomes in patients with AGC treated with PTX/nab-PTX + RAM. We should carefully try to treat them keeping the risk factors in mind.

**Keywords:** Gastric cancer; paclitaxel; neutropenia; ramucirumab; risk factor

Submitted May 20, 2022. Accepted for publication Oct 14, 2022.

doi: 10.21037/jgo-22-499

View this article at: <https://dx.doi.org/10.21037/jgo-22-499>

## Introduction

The standard first-line chemotherapy for human epidermal growth factor receptor 2 (HER2)-negative advanced gastric cancer (AGC) is a combination of fluoropyrimidine and platinum-based chemotherapy (FP) (1-3). Recently, FP plus nivolumab combination therapy has better progression-free survival (PFS) or overall survival (OS) compared with fluoropyrimidine alone (4,5). Regarding second-line treatment, the RAINBOW trial demonstrated that paclitaxel plus ramucirumab (PTX + RAM) had significantly better OS compared to paclitaxel plus placebo in patients with previously treated AGC (6) and has been recognized as the standard second-line chemotherapy.

In PTX + RAM therapy, the most common adverse event was neutropenia, and grade 4 neutropenia and febrile neutropenia were reported in 19% and 3%, respectively (6). The RAINBOW-Asia trial, which evaluated the efficacy and safety of PTX + RAM for Asian patients with AGC, showed grade 4 neutropenia and febrile neutropenia in 28% and 6% of the treated patients, respectively (7). Nanoparticle albumin-bound paclitaxel plus ramucirumab (nab-PTX + RAM) therapy, which is conditionally recommended in the Japanese guidelines (8), also presented grade 3 or higher neutropenia and febrile neutropenia in 77% and 5% of patients, respectively, in a phase II study (9). Mainly due to grade 3 or higher neutropenia, the median relative dose intensity of nab-paclitaxel was low at 61.8% (range, 28.5–99.8%), although that of ramucirumab was relatively maintained at 87.6% (range, 33.2–100%).

Several studies on the risk factors for severe chemotherapy-induced neutropenia have been reported in patients with solid tumors or hematologic malignancies (10-15). The risk factors for neutropenia, regardless of the time of onset, were age over 65 years, low hemoglobin, high lactate dehydrogenase (LDH), high creatinine, high bilirubin, and so on. Particularly, early-onset severe neutropenia (EOSN) causes a decrease in the intensity of chemotherapy, such as dose reduction and postponement (16-18). However, neutropenia is commonly reported to be associated with efficacy of cytotoxic chemotherapy. Metastatic colorectal cancer patients who developed

neutropenia during cycle 1 and 2 of trifluridine-tipiracil treatment had significantly longer PFS and OS than patients who did not (19).

In this study, we identified the risk factors for EOSN and evaluated their relevance to efficacy in patients with AGC treated with PTX/nab-PTX + RAM. We present the following article in accordance with the STROBE reporting checklist (available at <https://jgo.amegroups.com/article/view/10.21037/jgo-22-499/rc>).

## Methods

### Patients

Clinical data of patients enrolled in the CROSS SELL study (20), which was a multicenter, retrospective study conducted between January 2017 and June 2020 showing similar PFS and OS in PTX + RAM versus nab-PTX + RAM for AGC using propensity score-matched analysis, were used. The major eligibility criteria in this study were as follows: Eastern Cooperative Oncology Group Performance Status (ECOG PS) of 0–2, refractoriness to first-line chemotherapy with a fluoropyrimidine-based regimen, and no history of previous administration of taxane or angiogenesis inhibitors. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and was approved by the ethics committees of all participating institutions [No. 2020-065 at Shikoku Cancer Center, R03-022 at University of Tsukuba (No. 2020-046) at Himeji Red Cross Hospital, and No. zn210121 at Kobe City Medical Center General Hospital]. Individual consent for this retrospective analysis was waived.

### Treatment

The PTX + RAM regimen consisted of administering 80 mg/m<sup>2</sup> of paclitaxel intravenously over 60 min on days 1, 8, and 15, along with 8 mg/kg of ramucirumab intravenously on days 1 and 15 every 28 days. The Nab-PTX + RAM regimen consisted of administering 100 mg/m<sup>2</sup> of nab-paclitaxel intravenously over 30 min on days 1, 8, and 15 along with 8 mg/kg of ramucirumab intravenously

on days 1 and 15 every 28 days. The 28-day treatment was defined as a cycle. Blood tests including absolute neutrophil count and urine test were performed before every treatment. When the neutrophil count was less than  $1,200/m^3$ , PTX/nab-PTX was skipped. Treatment was continued until disease progression, unacceptable toxicity, patient refusal, or physician's decision to discontinue. Disease progression was radiologically or clinically determined by each physician. The patients underwent radiological examination every  $8\pm 2$  weeks. Patients without disease progression were censored at the last confirmation of nonprogressive disease by radiological examination. Tumor response was assessed based on the Response Evaluation Criteria in Solid Tumors version 1.1 (21).

### Data collection

The following data were collected; sex, age, ECOG PS, presence of primary tumor, number of metastatic organ sites, liver metastasis, peritoneal metastasis, massive ascites, measurable lesions, prior first-line chemotherapy, initial dose reduction, neutrophil counts at the start of second-line chemotherapy, and aspartate aminotransferase (AST), alkaline phosphatase (ALP), LDH, and albumin levels before initial administration. Adverse events were graded based on the Common Terminology Criteria for Adverse Events version 5.0 (22).

### Statistical analyses

EOSN was defined as grade 4 neutropenia during the first 28 days of PTX/nab-PTX + RAM. The risk factors for EOSN were analyzed using a multivariate logistic regression model. In this analysis, all patients' background data were used as variables, except for tumor differentiation and HER2 status, which were unlikely to affect EOSN. Variables included grade of neutropenia before initiation (grade 1 *vs.* grade 0), age ( $\geq 65$  *vs.*  $< 65$  years), sex, ECOG PS (2 *vs.* 0–1), tumor differentiation (undifferentiated *vs.* differentiated), presence of primary tumor (yes *vs.* no), number of metastatic sites ( $\geq 2$  *vs.* 0–1), liver metastasis (yes *vs.* no), peritoneal metastasis (yes *vs.* no), massive ascites (yes *vs.* no), measurable lesions (yes *vs.* no), first-line chemotherapy (FP *vs.* fluoropyrimidine alone), second-line chemotherapy (nab-PTX + RAM *vs.* PTX + RAM), initial dose reduction (yes *vs.* no), high AST level (yes *vs.* no), high ALP level (yes *vs.* no), high LDH level (yes *vs.* no), and low albumin level (yes *vs.* no). AST, LDH, ALP, and albumin

levels were dichotomized as normal and abnormal based on hospital laboratory standards. PFS was defined as the time from the initiation of the study treatment to disease progression or death due to any cause. OS was defined as the time from the initiation of the study treatment to death due to any cause. Survivors were censored at the last contact. The relationship between EOSN and treatment outcomes (PFS and OS) was determined by multivariate analysis using a Cox proportional hazards model. HR of EOSN was adjusted with various patient background factors, adding tumor differentiation (undifferentiated *vs.* differentiated) to the variables in the logistic regression analysis. The relationships between the risk factors and PFS or OS were evaluated using an interaction test. The follow-up period was estimated using the reverse Kaplan–Meier method. All P values were two-sided, and statistical significance was set at  $P < 0.05$ . IBM SPSS Statistics (version 25.0; SPSS Inc., Chicago, IL, USA) was used for statistical analyses.

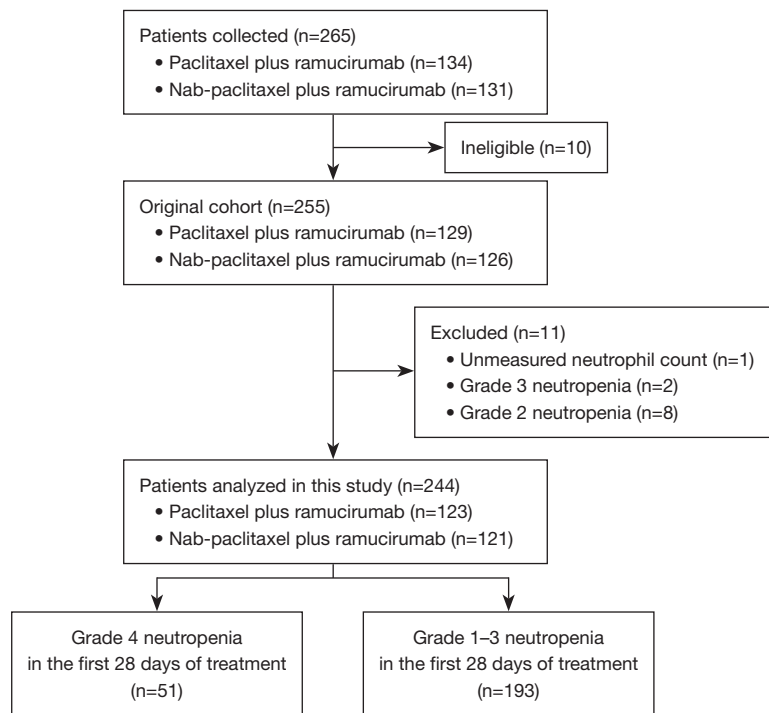
## Results

### Patients

A flowchart of patient selection is shown in *Figure 1*. Data of 265 patients treated with PTX/nab-PTX + RAM as second-line chemotherapy were collected from four institutions. After excluding patients who were ineligible and had grade 2 or 3 neutropenia, data from 244 patients were analyzed. Fifty-one (20.9%) patients developed an EOSN. The background characteristics of patients with or without EOSN are shown in *Table 1*. Age  $\geq 65$  years; presence of primary tumor; liver metastasis; massive ascites; high serum levels of AST, ALP, and LDH; and low level of albumin were more common in the EOSN group than in the non-EOSN group. The proportions of other background factors did not differ between the two groups. The median follow-up times was 24.2 months, and 178 patients (73%) had died.

### Risk factors for early-onset severe neutropenia (EOSN)

Multivariate analysis revealed the following five significant risk factors for EOSN: age  $\geq 65$  years [odds ratio (OR), 2.65; 95% confidence interval (CI), 1.16–6.02;  $P = 0.02$ ], presence of primary tumor (OR, 2.82; 95% CI, 1.12–7.09;  $P = 0.03$ ), peritoneal metastasis (OR, 2.52; 95% CI, 1.01–6.29;  $P = 0.048$ ), grade 1 neutropenia (OR, 3.32; 95% CI, 1.19–9.26;  $P = 0.02$ ), and high ALP level (OR, 2.34; 95% CI,



**Figure 1** Patient selection flow. Nab-paclitaxel nanoparticle albumin-bound paclitaxel.

1.05–5.24;  $P=0.04$ ) (Table 2). The incidence rate of EOSN increased with an increase in the number of risk factors (Figure 2).

### Efficacy outcomes and EOSN

The PFS and OS curves according to EOSN are shown in Figure 3. The median PFS was 5.1 (95% CI, 3.3–6.9) and 4.4 (95% CI, 3.9–4.9) months in the EOSN and non-EOSN groups, respectively ( $P=0.28$ , Figure 3A). The median OS was 10.7 (95% CI, 9.0–12.4) and 11.4 (95% CI, 9.0–13.8) months in the EOSN and non-EOSN groups, respectively ( $P=0.88$ , Figure 3B). In multivariate analysis, PFS was longer in the EOSN group than in the non-EOSN group (adjusted HR, 0.61; 95% CI, 0.41–0.92;  $P=0.02$ ) (Table 3). The OS tended to be longer in the EOSN group than in the non-EOSN group (adjusted HR, 0.73; 95% CI, 0.47–1.12;  $P=0.15$ ) (Table 3). Subgroup analyses of PFS and OS according to risk factors are shown in Figure 4A,4B. Patients with peritoneal metastasis showed favorable OS in the EOSN group (HR, 0.76; 95% CI, 0.49–1.20), whereas those without it favored in the non-EOSN group (HR, 1.57; 95% CI, 0.86–2.87; interaction  $P<0.001$ ).

### Discussion

In this study, we found that approximately one-fifth of patients showed EOSN and identified the risk factors for EOSN in patients with AGC treated with PTX/nab-PTX + RAM as second-line chemotherapy. PFS was significantly better in patients with EOSN than in those without EOSN.

Our study identified the following five risk factors for EOSN: age  $\geq 65$  years, presence of primary tumor, peritoneal metastasis, grade 1 neutropenia, and high ALP level. The incidence rate of EOSN increased as the number of risk factors increased. Risk factors for severe neutropenia have been investigated in several malignancies, including gastrointestinal cancer, the identified factors partly depend on the cancer types and regimens (14–19).

The commonly reported risk factors for this disease are age, neutropenia, and high ALP level before chemotherapy. In the RAINBOW trial, a high incidence of grade 3 or higher neutropenia was noted in patients aged  $\geq 65$  years during all cycles (23). This finding supports the notion that this treatment for elderly patients should be performed with attention to EOSN. Low neutrophil count at the start of second-line chemotherapy has been a risk factor for severe neutropenia during chemotherapy, regardless of

**Table 1** Patient background characteristics

| Characteristics            | Non-EOSN<br>(n=193), n [%] | EOSN<br>(n=51), n [%] | P<br>value* |
|----------------------------|----------------------------|-----------------------|-------------|
| Age                        |                            |                       | 0.006       |
| Median [range], years      | 66 [31–90]                 | 71 [47–84]            |             |
| <65 years                  | 82 [42]                    | 11 [22]               |             |
| ≥65 years                  | 111 [58]                   | 40 [78]               |             |
| Sex                        |                            |                       | 0.15        |
| Male                       | 139 [72]                   | 42 [82]               |             |
| Female                     | 54 [28]                    | 9 [18]                |             |
| ECOG PS                    |                            |                       | 0.77        |
| 0–1                        | 177 [92]                   | 48 [94]               |             |
| 2                          | 16 [8]                     | 3 [6]                 |             |
| Tumor differentiation      |                            |                       | 0.14        |
| Differentiated             | 65 [34]                    | 23 [45]               |             |
| Undifferentiated           | 128 [66]                   | 28 [55]               |             |
| Presence of primary tumor  |                            |                       | 0.02        |
| No                         | 90 [47]                    | 14 [27]               |             |
| Yes                        | 103 [53]                   | 37 [73]               |             |
| Number of metastatic sites |                            |                       | 0.20        |
| 0–1                        | 82 [43]                    | 16 [31]               |             |
| ≥2                         | 111 [57]                   | 35 [69]               |             |
| Liver metastasis           |                            |                       | 0.008       |
| No                         | 147 [76]                   | 29 [57]               |             |
| Yes                        | 46 [24]                    | 22 [43]               |             |
| Peritoneal metastasis      |                            |                       | 0.43        |
| No                         | 81 [42]                    | 18 [35]               |             |
| Yes                        | 112 [58]                   | 33 [65]               |             |
| Massive ascites**          |                            |                       | 0.03        |
| No                         | 179 [93]                   | 42 [82]               |             |
| Yes                        | 14 [7]                     | 9 [18]                |             |
| Measurable lesions         |                            |                       | 1.0         |
| No                         | 97 [50]                    | 25 [49]               |             |
| Yes                        | 96 [50]                    | 26 [51]               |             |
| HER2 status                |                            |                       | 0.52        |
| Negative                   | 161 [83]                   | 45 [88]               |             |
| Positive                   | 32 [17]                    | 6 [12]                |             |

**Table 1** (continued)**Table 1** (continued)

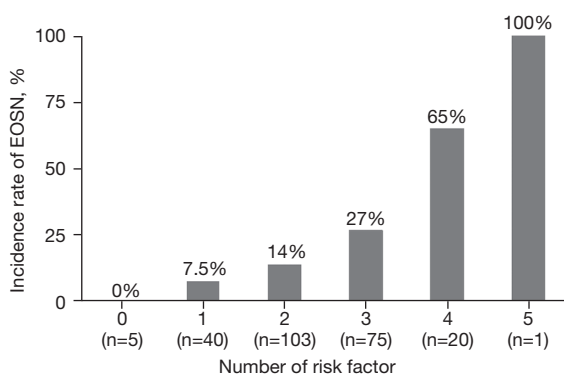
| Characteristics                           | Non-EOSN<br>(n=193), n [%] | EOSN<br>(n=51), n [%] | P<br>value* |
|---|----------------------------|-----------------------|-------------|
| First-line chemotherapy                   |                            |                       | 0.49        |
| Fluoropyrimidine alone                    | 28 [15]                    | 5 [10]                |             |
| Fluoropyrimidine +<br>platinum            | 165 [85]                   | 46 [90]               |             |
| Second-line chemotherapy                  |                            |                       | 0.76        |
| Paclitaxel +<br>ramucirumab               | 96 [50]                    | 24 [47]               |             |
| Nab-paclitaxel +<br>ramucirumab           | 97 [50]                    | 27 [53]               |             |
| Starting dose of second-line chemotherapy |                            |                       | 0.70        |
| No reduction                              | 156 [81]                   | 40 [78]               |             |
| Reduction                                 | 37 [19]                    | 11 [22]               |             |
| Neutropenia***                            |                            |                       | 0.09        |
| Grade 0                                   | 173 [90]                   | 41 [80]               |             |
| Grade 1                                   | 20 [10]                    | 10 [20]               |             |
| AST level                                 |                            |                       | 0.002       |
| Normal                                    | 152 [79]                   | 29 [57]               |             |
| High                                      | 41 [21]                    | 22 [43]               |             |
| ALP level                                 |                            |                       | 0.003       |
| Normal                                    | 131 [68]                   | 23 [45]               |             |
| High                                      | 62 [32]                    | 28 [55]               |             |
| LDH level                                 |                            |                       | 0.02        |
| Normal                                    | 123 [64]                   | 23 [45]               |             |
| High                                      | 70 [36]                    | 28 [55]               |             |
| Albumin level                             |                            |                       | 0.02        |
| Normal                                    | 54 [28]                    | 6 [12]                |             |
| Low                                       | 139 [72]                   | 45 [88]               |             |

\*, Fisher's exact test; \*\*, ascites existing from the surface of the liver to the pelvic cavity continuously; \*\*\*, based on the Common Terminology Criteria for Adverse Events version 5.0. EOSN, early-onset severe neutropenia; ECOG PS, Eastern Cooperative Oncology Group Performance Status; HER2, human epidermal growth factor receptor 2; Nab-paclitaxel, nanoparticle albumin-bound paclitaxel; AST, aspartate aminotransferase; ALP, alkaline phosphatase; LDH, lactate dehydrogenase.

**Table 2** Logistic regression analysis for early-onset severe neutropenia in patients treated with paclitaxel plus ramucirumab or nanoparticle albumin-bound paclitaxel plus ramucirumab

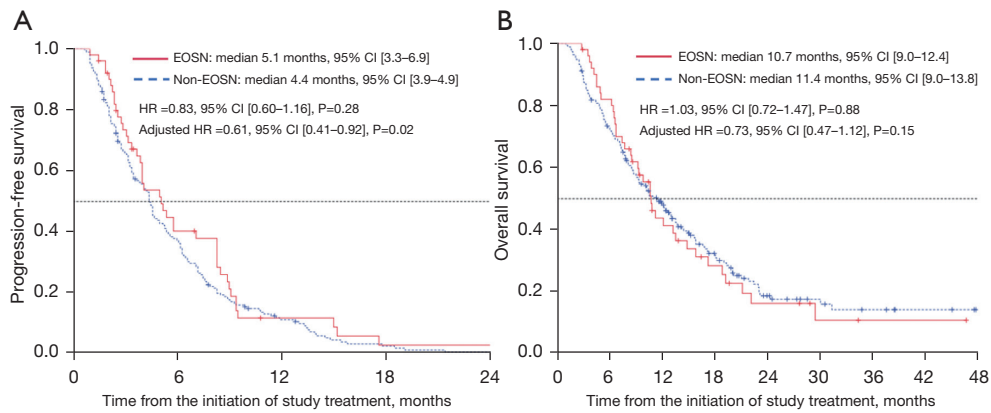
| Variable                   | Objective vs. reference                                   | Multivariate analysis |           |         |
|----------------------------|---|-----------------------|-----------|---------|
|                            |   | Odds ratio            | 95% CI    | P value |
| Age                        | ≥65 vs. <65 years   | 2.75                  | 1.18–6.36 | 0.02    |
| Sex                        | Male vs. female   | 1.49                  | 0.61–3.66 | 0.38    |
| ECOG PS                    | 0–1 vs. 2   | 0.48                  | 0.11–2.12 | 0.33    |
| Presence of primary tumor  | Yes vs. no  | 2.82                  | 1.12–7.09 | 0.03    |
| Number of metastatic sites | 0–1 vs. ≥2  | 0.90                  | 0.35–2.31 | 0.82    |
| Liver metastasis           | Yes vs. no  | 2.40                  | 0.85–6.80 | 0.10    |
| Peritoneal metastasis      | Yes vs. no  | 2.52                  | 1.01–6.29 | 0.048   |
| Massive ascites            | Yes vs. no  | 1.57                  | 0.46–5.43 | 0.47    |
| Measurable lesions         | Yes vs. no  | 0.82                  | 0.32–2.13 | 0.69    |
| First-line chemotherapy    | Fluoropyrimidine + platinum vs. fluoropyrimidine alone    | 0.84                  | 0.24–2.91 | 0.79    |
| Second-line chemotherapy   | Nab-paclitaxel + ramucirumab vs. paclitaxel + ramucirumab | 1.18                  | 0.56–2.49 | 0.66    |
| Initial dose reduction     | Yes vs. no  | 0.76                  | 0.29–1.98 | 0.58    |
| Neutropenia*               | Grade 0 vs. 1   | 3.32                  | 1.19–9.26 | 0.02    |
| High AST level             | Yes vs. no  | 1.55                  | 0.63–3.79 | 0.34    |
| High ALP level             | Yes vs. no  | 2.34                  | 1.05–5.24 | 0.04    |
| High LDH level             | Yes vs. no  | 1.39                  | 0.65–2.98 | 0.39    |
| Low albumin level          | Yes vs. no  | 1.76                  | 0.64–4.85 | 0.27    |

\*, based on the Common Terminology Criteria for Adverse Events version 5.0. CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group Performance Status; AST, aspartate aminotransferase; ALP, alkaline phosphatase; LDH, lactate dehydrogenase.

**Figure 2** The relationship between the incidence of EOSN and number of risk factors. EOSN, early-onset severe neutropenia.

the regimen (19,24). Neutropenia at the start of second-line therapy reflects exhausted bone marrow function by previous therapy and requires preparation for EOSN. A high ALP level was also a risk factor for severe neutropenia in a prospective observational study that analyzed data from 3,760 patients with solid tumors or malignant lymphoma (25). High ALP level indicates bile stasis and liver damage. This suggests that the EOSN in our study may be due to the delayed metabolism of PTX and nab-PTX in the liver.

The presence of primary tumor and peritoneal metastasis have rarely been reported as risk factors for EOSN. Both factors are commonly accompanied by malnutrition,

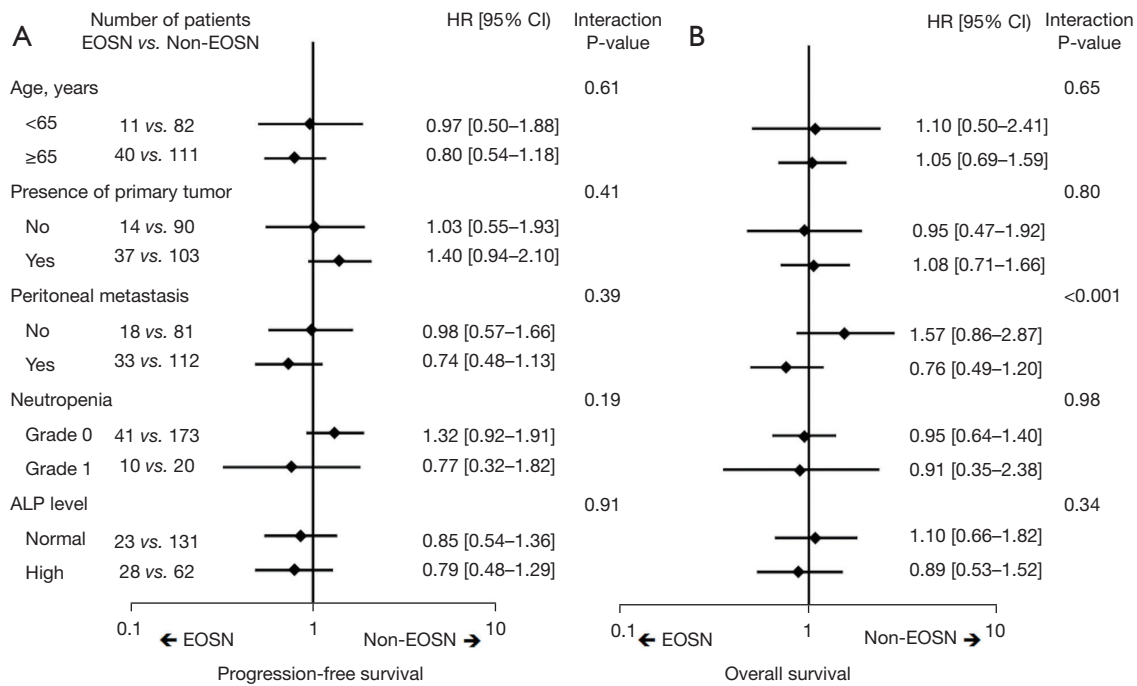


**Figure 3** Kaplan-Meier curves of progression-free survival (A) and overall survival (B) in the EOSN and non-EOSN groups. Adjusted HR was estimated in multivariate analysis, including patient’s background data. EOSN, early-onset severe neutropenia; HR, hazard ratio; CI, confidence interval.

**Table 3** Multivariate analyses for progression-free survival and overall survival in patients treated with paclitaxel plus ramucirumab or nanoparticle albumin-bound paclitaxel plus ramucirumab

| Variable                   | Objective vs. reference                                   | Progression-free survival |           |         | Overall survival |           |         |
|----------------------------|---|---------------------------|-----------|---------|------------------|-----------|---------|
|                            |   | Hazard ratio              | 95% CI    | P value | Hazard ratio     | 95% CI    | P value |
| EOSN                       | Yes vs. no  | 0.61                      | 0.41–0.92 | 0.02    | 0.73             | 0.47–1.12 | 0.15    |
| Age                        | ≥65 vs. <65 years   | 1.00                      | 0.72–1.38 | 0.98    | 1.04             | 0.72–1.50 | 0.86    |
| Sex                        | Male vs. female   | 1.50                      | 1.08–2.09 | 0.02    | 1.31             | 0.91–1.88 | 0.15    |
| ECOG PS                    | 2 vs. 0–1   | 2.50                      | 1.44–4.33 | 0.001   | 2.86             | 1.54–5.30 | 0.001   |
| Tumor differentiation      | Undifferentiated vs. differentiated                       | 1.61                      | 1.13–2.29 | 0.01    | 2.06             | 1.39–3.04 | 0.0001  |
| Presence of primary tumor  | Yes vs. no  | 1.40                      | 1.01–1.94 | 0.047   | 1.42             | 0.97–2.10 | 0.08    |
| Number of metastatic sites | ≥2 vs. 0–1  | 1.28                      | 0.91–1.81 | 0.15    | 1.65             | 1.11–2.46 | 0.01    |
| Liver metastasis           | Yes vs. no  | 1.15                      | 0.76–1.74 | 0.51    | 1.46             | 0.92–2.33 | 0.11    |
| Peritoneal metastasis      | Yes vs. no  | 1.20                      | 0.84–1.72 | 0.31    | 1.59             | 1.06–2.39 | 0.03    |
| Massive ascites            | Yes vs. no  | 1.66                      | 0.99–2.77 | 0.053   | 1.03             | 0.59–1.80 | 0.92    |
| Measurable lesions         | No vs. yes  | 1.45                      | 1.01–2.08 | 0.04    | 1.51             | 1.00–2.27 | 0.05    |
| First-line chemotherapy    | Fluoropyrimidine + platinum vs. fluoropyrimidine alone    | 1.43                      | 0.92–2.26 | 0.12    | 1.26             | 0.76–2.09 | 0.37    |
| Second-line chemotherapy   | Nab-paclitaxel + ramucirumab vs. paclitaxel + ramucirumab | 0.86                      | 0.64–1.17 | 0.34    | 0.82             | 0.59–1.14 | 0.23    |
| Initial dose reduction     | Yes vs. no  | 0.89                      | 0.62–1.28 | 0.52    | 0.96             | 0.64–1.44 | 0.85    |
| Neutropenia*               | Grade 0 vs. 1   | 0.95                      | 0.61–1.48 | 0.82    | 0.78             | 0.47–1.28 | 0.32    |
| High AST level             | Yes vs. no  | 0.88                      | 0.61–1.27 | 0.49    | 0.89             | 0.60–1.34 | 0.58    |
| High ALP level             | Yes vs. no  | 0.96                      | 0.69–1.34 | 0.81    | 1.01             | 0.70–1.46 | 0.95    |
| High LDH level             | Yes vs. no  | 1.61                      | 1.17–2.21 | 0.004   | 1.73             | 1.23–2.42 | 0.002   |
| Low albumin level          | Yes vs. no  | 1.13                      | 0.80–1.59 | 0.48    | 1.35             | 0.90–2.03 | 0.14    |

\*, based on the Common Terminology Criteria for Adverse Events version 5.0. CI, confidence interval; ECOG PS, Eastern Cooperative Oncology Group Performance Status; EOSN, early-onset severe neutropenia; AST, aspartate aminotransferase; ALP, alkaline phosphatase; LDH, lactate dehydrogenase.



**Figure 4** Subgroup analyses of each risk factor in the EOSN versus non-EOSN groups. Forest plots with HRs for progression-free survival (A) and overall survival (B). HR, hazard ratio; CI, confidence interval; ALP, alkaline phosphatase; EOSN, early-onset severe neutropenia.

malabsorption of nutrients, and occasional bleeding from tumors. This may be related to EOSN. Massive ascites was not identified as a risk factor for EOSN, despite its high incidence in patients with massive ascites. The reason for this is unclear, but a small number of patients with ascites and other confounding variables might be involved.

In this study, PFS was longer in patients with EOSN than in those without EOSN, and OS tended to be better in patients with EOSN than in those without EOSN. These results are consistent with a previous report that neutropenia is associated with improved prognosis in patients with AGC treated with PTX (26). In the subgroup analysis, patients with any risk factors did not show worse efficacy than those without risk factors. Thus, PTX/nab-PTX + RAM should be carefully recommended to patients with risk factors for EOSN.

The first limitation of our study was its retrospective nature and small sample size. Second, it was unclear whether all risk factors were extracted. This study did not include hemoglobin, total bilirubin, or creatinine clearance, which were reported as risk factors in other studies (15–17,19). Further studies with larger sample sizes are required.

## Conclusions

This study identified the risk factors for EOSN and showed that EOSN may be associated with favorable PFS in patients with AGC treated with PTX/nab-PTX + RAM. We should carefully try to treat them keeping the risk factors in mind.

## Acknowledgments

We would like to thank Editage (<https://www.editage.jp>) for English language editing.

*Funding:* None.

## Footnote

*Reporting Checklist:* The authors have completed the STROBE reporting checklist. Available at <https://jgo.amegroups.com/article/view/10.21037/jgo-22-499/rc>

*Data Sharing Statement:* Available at <https://jgo.amegroups.com/article/view/10.21037/jgo-22-499/dss>



*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://jgo.amegroups.com/article/view/10.21037/jgo-22-499/coif>). TM received honoraria for lectures from Chugai, Takeda, Yakult, Taiho, Ono, Daiichi-Sankyo, Bristol Myers Squibb, Lilly, and Merck Serano. YY received honoraria for lectures from Sanofi, Nihon Kayaku, Eisai, Bayer, Lilly, Taiho, Daiichi Sankyo, Yakult, Nihon Servier, Asahi Kasei, and Ono. TK received honoraria for lectures from Taiho, Chugai, Ono, and Lilly. TN received honoraria for lectures from Chugai, Takeda, Yakult-Honsha, Taiho, Ono, Daiichi-Sankyo, Bristol Myers Squibb, Lilly, and Merck Serano, Pharmaceutical and a Data Safety Monitoring Board member from Janssen. TM received honoraria for speakers bureaus from Lilly, Taiho, and Bristol-Myers Squibb, and a grant from Taiho outside the submitted work. IH received honoraria for lectures from Chugai, Takeda, and Yakult, and payment as an Advisory Board member from Asahi-Kasei and a Data Safety Monitoring Board member from Chugai, Taiho, Ono, Daiichi-Sankyo, and Merck Serano. The other authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and was approved by the ethics committees of all participating institutions (No. 2020-065 at Shikoku Cancer Center, No. R03-022 at University of Tsukuba, No. 2020-046 at Himeji Red Cross Hospital, and No. zn210121 at Kobe City Medical Center General Hospital). Individual consent for this retrospective analysis was waived.

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**Cite this article as:** Hagiwara Y, Nakasya A, Matsumoto T, Ikoma T, Yamamoto Y, Kurioka Y, Tsuduki T, Kajiwara T, Nishina T, Yamashita N, Moriwaki T, Hyodo I. Risk factors and efficacy outcomes of early-onset severe neutropenia due to paclitaxel or nanoparticle albumin-bound paclitaxel combined with ramucirumab in advanced gastric cancer: a multicenter retrospective cohort study. *J Gastrointest Oncol* 2022;13(6):2769-2778. doi: 10.21037/jgo-22-499