

British Journal of Cancer (2017) 116, 620–625 | doi: 10.1038/bjc.2016.445

Keywords: neratinib; trastuzumab; lapatinib; afatinib; HER family; drug resistance; aggressive phenotype; CYP3A4 activity

Neratinib resistance and cross-resistance to other HER2-targeted drugs due to increased activity of metabolism enzyme cytochrome P4503A4

Susan Breslin^{1,2}, Michelle C Lowry^{1,2} and Lorraine O'Driscoll*,1

¹School of Pharmacy and Pharmaceutical Sciences and Trinity Biomedical Sciences Institute, Trinity College Dublin, Dublin, Ireland

Background: Neratinib is in Phase 3 clinical trials but, unfortunately, the development of resistance is inevitable. Here, we investigated the effects of acquired neratinib resistance on cellular phenotype and the potential mechanism of this resistance.

Methods: Neratinib-resistant variants of HER2-positive breast cancer cells were developed and their cross-resistance investigated using cytotoxicity assays. Similarly, sensitivity of trastuzumab-resistant and lapatinib-resistant cells to neratinib was assessed. Cellular phenotype changes were evaluated using migration, invasion and anoikis assays. Immunoblotting for HER family members and drug efflux pumps, as well as enzyme activity assays were performed.

Results: Neratinib resistance conferred cross-resistance to trastuzumab, lapatinib and afatinib. Furthermore, the efficacy of neratinib was reduced in trastuzumab- and lapatinib-resistant cells. Neratinib-resistant cells were more aggressive than their drugsensitive counterparts, with increased CYP3A4 activity identified as a novel mechanism of neratinib resistance.

Conclusions: The potential of increased CYP3A4 activity as a biomarker and/or target to add value to neratinib warrants investigation.

Targeted therapies have substantially improved treatment of HER2-overexpressing cancers. These include trastuzumab (Vogel et al, 2002), pertuzumab (Agus et al, 2005) and lapatinib (Burris et al, 2005), with neratinib, afatinib and T-DM1 currently undergoing clinical trials. Neratinib is an irreversible EGFR, HER2 and HER4 inhibitor (Bose and Ozer, 2009). A Phase 1 trial of neratinib showed an acceptable safety profile with anti-tumour activity observed for advanced solid tumours (Wong et al, 2009). Phase 2 data showed substantial clinical activity in terms of progression-free survival and reduced tumour burden (Burstein et al, 2010). Swaby et al (2009) indicates that dual administration of neratinib with trastuzumab is well-tolerated and produces a clinical response. Other trials investigating neratinib efficacy are also underway.

Innate and acquired resistance and cross-resistance to anticancer medication dominates as the main reason that anti-cancer drugs fail in the clinic (Gottesman, 2002; Raguz and Yagüe, 2008; Germano and O'Driscoll, 2009; Tan *et al*, 2010). Continued efforts to decipher the mechanism(s) of resistance are necessary to predict and circumvent this problem.

An understanding of the ability of cancer cells to acquire neratinib resistance and the associated effects on cell behaviour is lacking. After developing novel neratinib-resistant cell variants of HER2-positive breast cancer cell lines, here, we investigated if neratinib-resistant cells are cross-resistant to other drugs typically used for breast cancer; explored phenotypic changes that may have developed with neratinib resistance; and elucidated mechanisms that may be responsible for neratinib resistance.

Received 28 July 2016; revised 4 December 2016; accepted 15 December 2016; published online 2 February 2017

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^{*}Correspondence: Professor L O'Driscoll; E-mail: lodrisc@tcd.ie

²These authors contributed equally to this work.

MATERIALS AND METHODS

Cell culture. HCC1954 and EFM192A HER2-positive breast cancer cell lines (termed HCC1954-Par and EFM192A-par) were obtained from ATCC and Leibniz-Institut DSMZ, respectively, and were cultured in RPMI-1640 (Sigma-Aldrich, St Louis, MO, USA) with 10% FBS (Gibco, Carlsbad, CA, USA) and 1% L-glutamine (Sigma-Aldrich). HCC1954 neratinib-resistant (HCC1954-NR) and EFM192A neratinib-resistant (EFM192A-NR) variants were established by continuous exposure to increasing concentrations of neratinib (5-250 nm for HCC1954-NR cells, 5-80 nm for EFM192A-NR cells) for several months. Lapatinib-resistant HCC1954 and SKBR3 cells (SKBR3-LR, HCC1954-LR) and trastuzumab-resistant SKBR3 (SKBR3-TR) cells were established as we previously described (Rani et al, 2014). Anti-cancer drugs were obtained from Sequoia Research (Pangbourne, UK) and St James's Hospital. Ketoconazole was purchased and obtained from Sigma-Aldrich.

Toxicity assays. The IC_{50} values of neratinib, afatinib, lapatinib, trastuzumab and docetaxel for all cell variants were determined. Using 96-well plates (Sigma-Aldrich), HCC1954-Par, HCC1954-NR and HCC1954-LR were seeded at 3×10^3 cells per well; EFM192A-Par and EFM192A-NR, at 5×10^3 cells per well; and SKBR3-LR and SKBR3-TR cells at 1×10^4 cells per well. After 24 h, cells were exposed to relevant drugs (Supplementary Material) for 5 days. The effect on cells was assessed using acid phosphatase assay (Breslin and O'Driscoll, 2016).

Migration assays. HCC1954-Par and HCC1954-NR were seeded at 1×10^5 cells in RPMI (with 1% FBS) in cell culture inserts (BD Biosciences, Oxford, UK) in 24-well plates (Sigma-Aldrich). About 400 μ l of complete RPMI was placed under inserts. Cells were allowed to migrate for 24 h before evaluating as previously described (O'Brien *et al*, 2015). As EFM192A variants did not migrate through inserts, as an alternative cells were seeded at 5×10^5 cells per well in 24-well plates and wound-scratch assays performed as previously described (O'Brien *et al*, 2015). For the equivalent wound healing assay with the HCC1954-Par and HCC1954-NR cell variants, cells were seeded at 2×10^4 cells per well in 24-well plates.

Invasion assays. Performed as for migration assays, but inserts were first coated with extracellular matrix (Sigma-Aldrich)

(Corcoran et al, 2012) and cells were allowed to invade over 48 h.

Anoikis assays. All cell variants were seeded at 5×10^4 cells per ml into poly-HEMA-coated (Sigma-Aldrich) 24-well plates; with 95% ethanol-coated wells as controls. After 48 h, $100\,\mu l$ of alamar blue (BioRad, Hercules, CA, USA) was added for 5 h before reading at 570 nm.

Immunoblots. Cell pellets were lysed using cell extraction buffer (Invitrogen, Carlsbad, CA, USA) supplemented with protease inhibitor cocktail (Roche, Basel, Switzerland). Protein was quantified using Bio-Rad protein assay (Bio-Rad, Hercules, CA, USA). About 30–50 μ g protein was separated using SDS gels and transferred onto PVDF (Bio-Rad). Blots were incubated overnight with primary antibodies at 4 °C: HER2 (Calbiochem, San Diego, CA, USA); EGFR, HER3 and HER4 (Cell Signalling, Beverly, MA, USA); PGP, BCRP, CYP3A4 (Santa Cruz, Dallas, TX, USA) and β-actin (Sigma-Aldrich). Appropriate secondary antibodies were applied for 1 h at room temperature before developing using Immobilon Western Chemiluminescent HRP substrate (Millipore, Billerica, MA, USA).

CYP3A4 activity. HCC1954-Par and HCC1954-NR were seeded at 3×10^3 cells per well, and EFM192A-Par and EFM192A-NR were seeded at 5×10^3 cells per well in 96-well plates. Forty-eight hours post seeding, P450-Glo CYP3A4 (Promega, Madison, WI, USA) assay was performed by removing medium, adding Luciferin-IPA solution, and incubated at 37 °C for 1 h before adding 50 µl of luciferin detection reagent. After 20 min at room temperature, solution from each well was transferred to a white opaque 96-well plate and read using a Mithras LB-940 (Berthold Technologies, Bad Wildbad, Germany). To block CYP3A4 activity, HCC1954-NR cells were seeded at 3×10^3 cells per well and EFM192A-NR cells were seeded at 5×10^3 cells per well in 96-well plates. After 24 h, cells were exposed to 40 nm ketoconazole alone or in combination with neratinib (325 nm for HCC1954-NR cells; 46.7 nm for EFM192A-NR cells) treatments for 5 days. The effect on cells was assessed using acid phosphatase assay.

RESULTS

Neratinib-resistant variants. Once established as neratinib-resistant, HCC1954-NR and EFM192A-NR cells were 6.5–6.8-fold increase in resistance, respectively, compared with their age-

Table 1. IC_{50} values for HER2-targeted drugs and docetaxel in HCC1954-Par, HCC1954-NR, EFM192A-Par and EFM192A-NR cells with corresponding fold difference, showing that neratinib-resistant cells are also cross-resistant to other HER2-targeted drugs, but not docetaxel

Drug	HCC1954-Par	HCC1954-NR	Fold difference	P-value
Neratinib	49 пм	325 пм	6.5 ± 0.4	1.08×10^{-4}
Afatinib	103 пм	3.6 μΜ	37 ± 7.2	0.008
Lapatinib	273 пм	2.7 μм	10 ± 0.8	3.7×10^{-7}
Trastuzumab	Innate resistance	Innate resistance	NA	NA
Docetaxel	0.6 пм	0.65 пм	1.08 ± 0.04	0.15
Drug	EFM192A-Par	EFM192A-NR	Fold difference	<i>P</i> -value
Neratinib	6.8 пм	46.7 пм	6.8 ± 0.3	5.1 × 10 ⁻⁵
Afatinib	151.3 пм	5.1 μм	34.6 ± 4.4	0.002
Lapatinib	50 пм	7.97 µм	162.9 ± 22	4×10^{-6}
Trastuzumab	$> 500 \mu \mathrm{g ml}^{-1}$	$> 500 \mu \mathrm{g ml^{-1}}$	NA	NA
Docetaxel	2.6 пм	2.7 пм	1.03 ± 0.07	0.692

matched controls. The age-matched control cells are HCC1954-Par and EFM192A-Par cells, which were routinely cultured in parallel with their counterparts that were developing neratinib resistance (Table 1).

Cross-resistance. Both HCC1954-NR and EFM192A-NR cells showed substantial cross-resistance to a fatinib and lapatinib, compared with their age-matched controls (Table 1). EFM192A-Par and EFM192A-NR are insensitive to trastuzumab, that is, both variants maintained $>\!80\%$ viability in $500\,\mu\mathrm{g\,ml}^{-1}$ trastuzumab. Cross-resistance to doce taxel did not occur.

Considering cross-resistance to neratinib of lapatinib-resistant and trastuzumab-resistant cells (Table 2), HCC1954-LR and SKBR3-LR cells demonstrated ~2-fold and 15-fold cross-resistance to neratinib compared with respective parent cells, whereas SKBR3-TR cells exhibited 3.3-fold increase in neratinib resistance.

Increased cell aggression. HCC1954-NR cells were significantly more migratory than HCC1954-Par by 1.3-fold (when assessed using transwell assays). Using the wound healing assay, HCC1954-NR cells demonstrated 21% increased wound closure when compared with HCC1954-Par (Figure 1A), whereas EFM192A-NR demonstrated 17% increased wound closure compared with EFM192A-Par cells (Figure 1A). HCC1954-NR cells were also 1.3-fold more invasive than HCC1954-Par cells (Figure 1B). Furthermore, HCC1954-NR cells were more resistant to anoikis with only $2.8 \pm 0.2\%$ of HCC1954-NR cell death, compared with $12 \pm 1.7\%$ of HCC1954-Par cells. Similarly for EFM192A-NR cells, where only $24.7 \pm 1.1\%$ of EFM192A-NR cells died compared with $33.7 \pm 0.8\%$ of EFM192A-Par cells (Figure 1C).

Effects on drug targets and transporters. Drug targets and drug transporters are often altered in drug-resistant cells. As indicated in Figure 2, expression of the entire EGFR family was significantly reduced in both neratinib-resistant variants compared with drugsensitive parents; if expressed at all. On the basis of the densitometry analysis of n=3 independent immunoblots for each protein and where total expression in parental cells was always set at an arbitrary value of 1, EGFR was decreased in HCC1954-NR cells to 0.66 ± 0.05 , whereas EGFR is undetected in EFM192A cells. HER2 expression was decreased to 0.45 ± 0.07 in HCC1954-NR cells and 0.59 ± 0.14 in EFM192A-NR cells. HER3 was decreased to 0.75 ± 0.04 in HCC1954-NR cells and 0.71 ± 0.03 in EFM192A-NR cells. Finally, HER4 was undetectable in HCC1954 variants and was decreased to 0.52 ± 0.08 in EFM192A-NR cells compared with controls.

Table 2. IC_{50} values for neratinib in HCC1954-Par and -LR, SKBR3-Par, -LR and -TR cells with corresponding fold difference values, showing that cells with acquired resistance to lapatinib and trastuzumab are also cross-resistant to neratinib

Sensitivity of lapatinib resistance and trastuzumab resistance cells to neratinib					
Neratinib (IC ₅₀)	Fold difference	<i>P</i> -value			

Neratifib (IC ₅₀)		difference	r-value		
HCC1954-Par	HCC1954-LR				
53 пм	91 пм	1.7 ± 0.1	0.001		
SKBR3-Par	SKBR3-LR				
4.7 пм	69.3 пм	15.3 ± 3.2	0.002		
SKBR3-Par	SKBR3-TR				
4 пм	15 пм	3.3 ± 0.2	2.4 × 10 ⁻⁴		
Abbreviation: IC - inhibitory concentration					

P-glycoprotein (PGP) expression was significantly decreased in HCC1954-NR and EFM192A-NR compared with control cells; by 0.36 ± 0.07 and 0.42 ± 0.04 , respectively. Breast cancer-resistant protein (BCRP) expression was also decreased in HCC1954-NR and EFM192A-NR cells to 0.72 ± 0.05 and 0.74 ± 0.04 , respectively.

CYP3A4 activity. Although CYP3A4 protein expression levels did not change significantly between the drug-resistant and drug-sensitive counterparts (Supplementary Figure 1), CYP3A4 activity was significantly increased in both the neratinib-resistant cell line variants (Figure 3A). Specifically, the HCC1954-NR cells had 2.9 ± 0.3-fold increased CYP3A4 activity when compared with HCC1954-Par cells. Similarly, EFM192A-NR cells displayed 1.5 ± 0.2-fold increased CYP3A4 activity compared with EFM192A-Par control cells. Efforts to block CYP3A4 activity – using ketoconazole at a final concentration of 40 nm – to establish if this could help to at least partially restore neratinib sensitivity. Ketoconazole alone had no effects on cell viability but, when added with neratinib, ketoconazole induced a small (4.4% for HCC1954-NR; 17.4% for EFM192A-NR) but significant restoration of neratinib sensitivity (Figure 3B).

DISCUSSION

It is estimated that $\sim 70\%$ of breast cancer patients with HER2overexpressing tumours are either innately resistant or acquire resistance to HER2-targeted drugs (Arribas et al, 2011). So, although data from clinical trials of neratinib indicates that many patients are gaining initial benefit, there is a gap in available information on the effects of neratinib resistance in cells; when that, inevitably, develops. For this reason, studies performed over the past number of years have been trying to understand the mechanism(s) of neratinib resistance, in order that it may be predicted, prevented or reversed. In 2012, using SKBR3 as a cell line model and what they described as a genome-wide functional RNAi screen, Seyhan et al (2012) reported on multiple genes whose inhibition was associated with neratinib resistance. In 2014, from pre-clinical in vitro and in vivo studies and analysis of ~ 3500 patients' specimens, we identified Neuromedin U as associated with poor outcome for patients with HER2-overexpresing tumours and our pre-clinical studies indicated overexpression of NmU to be significantly associated with resistance to a range of HER2-targeted drugs including neratinib (Rani et al, 2014). In efforts to establish how Neuromedin U expression might be controlled, we found loss of miR-630 (predicted to control Neuromedin U expression) to be associated with increased expression of Neuromedin U and with resistance to the HER2-targeted drugs including neratinib (Corcoran et al, 2014). In an effort to further elucidate other mechanisms of neratinib resistance, the studies described here were performed.

Neratinib-resistant cells developed here were found to be cross-resistant to all other HER2-targeting drugs investigated. Interestingly, this cross-resistance is bi-directional, as both lapatinib- and trastuzumab-resistant cells are also cross-resistant to neratinib. Trends observed here have also been observed in clinical trials as Burstein *et al* (2010) found that drug-naive patients responded better to neratinib than patients previously treated with trastuzumab. Similarly, Awada *et al* (2013) reported that patients with prior exposure to lapatinib did not respond to neratinib as well as those who had no prior lapatinib exposure. Therefore, based on this novel cell line data it could be hypothesised that, unfortunately, in the case of neratinib resistance, if a patient was to suffer disease progression while being treated with neratinib, the efficacy of other HER2 drugs is compromised by the previous exposure to neratinib.

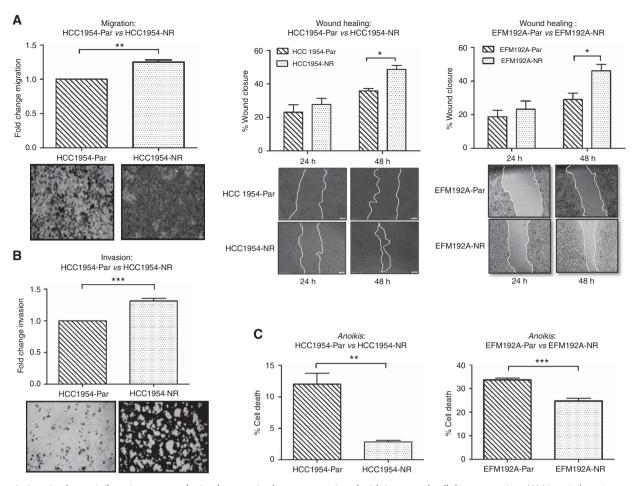


Figure 1. Acquired neratinib resistance results in phenotypic changes associated with increased cellular aggression. (A) Neratinib resistance cells are more migratory than parent cells as shown for HCC1954-NR compared with HCC1954-Par cells by both transwell assays and wound healing assay. EFM192A-Par and EFM192A-NR cells do not transverse through transwells, regardless of cell seeding density, but EFM192A-NR were shown to be more migrated than EFM192A-Par cells when using the wound healing assay; (B) HCC1954-NR cells are more invasive than HCC1954-Par cells. (C) Neratinib resistance cells are more resistant to anoikis than parent cells. $n=3\pm s.e.m.$, *P<0.05, **P<0.01, ***P<0.001.

In addition to cross-resistance to HER2-targeting drugs, HCC1954-NR and EFM192A-NR cells also developed a more aggressive phenotype, being more migratory and invasive, with increased ability to avoid anoikis. Such increased cell aggression has also been observed by ourselves and others in association with drug resistance in other cancer types and is associated with a poor prognosis for patients (Kleer *et al*, 2003; Lee *et al*, 2012); but never previously associated with neratinib.

To elucidate the mechanism that facilitates neratinib resistance, we first explored associations between neratinib resistance and neratinib's targets. All EGFR family members targeted by neratinib were reduced in the drug-resistant variants. In addition, HER3 (not targeted by neratinib but heterodimerises with HER2 (Fichter *et al*, 2014)) was also reduced. These observations are contrary to typical HER2 drug resistance, where EGFR and HER2 are increased in association with lapatinib (Corcoran *et al*, 2014; McDermott *et al*, 2014) and trastuzumab resistance (Browne *et al*, 2011). The decrease in expression of drug targets is potentially due to the irreversible binding nature of neratinib. This theory is supported by the findings of Azuma *et al* (2014) whose afatinib-resistant PC9 lung cancer cells had downregulated EGFR, HER2 and HER3 in comparison with drug-naive control cells.

In addition, we assessed drug efflux pumps, PGP and BCRP. P-glycoprotein is one of the most common mediators of drug resistance (Gottesman, 2002; Germano and O'Driscoll, 2009). In

contrast to classical drug resistance, neratinib-resistant cells show downregulation of PGP. This may be because neratinib inhibits PGP activity and can reverse multidrug resistance in PGP overexpressing MCF7 and KBv200 cells (Zhao *et al*, 2012). This trend has also been observed with lapatinib (Dai *et al*, 2008), which was also found to reduce PGP activity; but never previously with neratinib. Overexpression of BCRP is associated with breast cancer drug resistance (Doyle and Ross, 2003). However, similarly to PGP, BCRP's reduced expression, here, suggests that it does not have a functional role in neratinib resistance.

CYP3A4, a cytochrome P450 metabolising enzyme, plays a role in the metabolism of approximately half of all drugs (Guengerich, 1999), including neratinib (Abbas et al, 2011). Abbas et al (2011) demonstrated that co-administration, to healthy adults, of ketoconazole (CYP3A4 inhibitor) with neratinib increased neratinib's peak plasma concentrations. Although this was not investigated in association with cancer, it suggested to us that alterations of CYP3A4 activity may also alter the availability of neratinib in drug resistance. Supporting this hypothesis, we observed increased CYP3A4 activity with neratinib resistance, suggesting that the increased CYP3A4 activity enhances neratinib metabolism and thereby drives neratinib resistance. Furthermore, initial efforts to block this increased CYP3A4 activity with ketoconazole in the neratinib-resistant variants showed a significant, albeit limited, restoration of neratinib sensitivity. Future

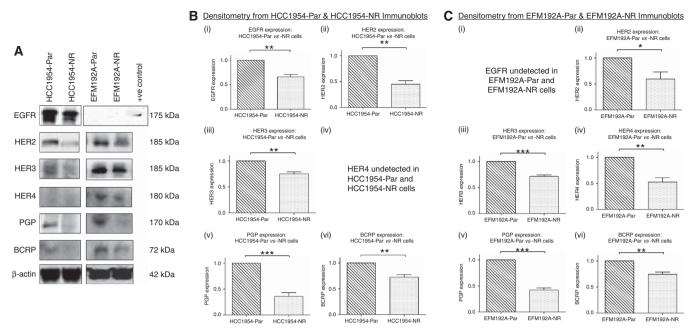


Figure 2. Associations between neratinib resistance and EGFR family members, and drug transporter. (A) Association between neratinib resistance and expression of the EGFR family, and drug efflux pumps PGP and BCRP. SKBR3 (and HCC1954) cells served as positive control in EGFR blot for EFM192A cell variants; (B) Densitometry for HCC1954-Par and HCC1954-NR immunoblots (i) EGFR; (ii) HER2; (iii) HER2; (iii) HER3; (iv) HER4; (v) PGP, and (vi) BCRP; (C) Densitometry for EFM192A-Par and EFM192A-NR immunoblots (i) EGFR; (ii) HER2; (iii) HER3; (iv) HER4; (v) PGP and (vi) BCRP. $n=3\pm s.e.m.$, *p<0.05, *p<0.01, **p<0.001.

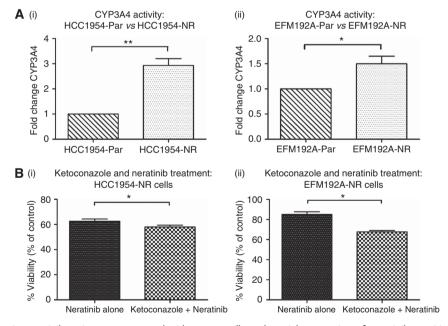


Figure 3. CYP3A4 activity in neratinib resistance compared with parent cells and partial restoration of neratinib sensitivity to former neratinib resistance cells by reducing CYP3A4 activity with ketoconazole. (A) CYP3A4 activity is substantially and significantly higher in both (i) HCC1954-NR and (ii) EFM192A-NR cells in comparison with their respective control cells. (B) Co-treatment with ketoconazole and neratinib significantly decreased cell viability in both (i) HCC1954-NR and (ii) EFM192A-NR cells when compared with neratinib treatment alone. $n = 3 \pm \text{s.e.m.}$, *P < 0.05, **P < 0.01.

studies optimising the ketoconazole concentration and sequencing time of ketoconazole prior to neratinib may achieve a more marked re-sensitisation to neratinib.

This first study of neratinib resistance shows that its development confers resistance to a range of HER2 drugs. P-glycoprotein and BCRP apparently do not play functional roles in this resistance. The

reduced/loss of expression of HER2 family members – thus their reduced availability for drug targeting – may be a contributing factor. Of particular interest, however, is the increased CYP3A4 activity. Further investigations of CYP3A4 activity as a possible predictive biomarker of response and as a target to circumvent resistance (and thus add value to neratinib) are now warranted.

ACKNOWLEDGEMENTS

This work was supported by the Irish Cancer Society's Breast-Predict (CCRC13GAL); the Marie Keating Foundation; Trinity Foundation, Development and Alumni; and HEA's PRTLI Cycle 5.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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Supplementary Information accompanies this paper on British Journal of Cancer website (http://www.nature.com/bjc)