

Citation: García-Torres L, Caballero-Novella JJ, Gómez-Candón D, Peña JM (2015) Census Parcels Cropping System Classification from Multitemporal Remote Imagery: A Proposed Universal Methodology. PLoS ONE 10(2): e0117551. doi:10.1371/journal.pone.0117551

Academic Editor: Inés Álvarez, University of Vigo, SPAIN

Received: June 2, 2014

Accepted: December 19, 2014

Published: February 17, 2015

Copyright: © 2015 García-Torres et al. This is an open access article distributed under the terms of the <u>Creative Commons Attribution License</u>, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All our data are original. All relevant data are within the paper. Data set and CROPCLASS-2.0 software for research purposes are available at <u>http://dx.doi.org/10.5061/</u> <u>dryad.j958j</u>

Funding: Funded by Spanish Council for Scientific Research (CSIC)(<u>https://www.csic.es</u>), Ministry of Economy and Competitivity (<u>https://sede.micinn.gob.</u> es/) through the project AGL-2010-15506. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript. RESEARCH ARTICLE

Census Parcels Cropping System Classification from Multitemporal Remote Imagery: A Proposed Universal Methodology

Luis García-Torres*, Juan J. Caballero-Novella, David Gómez-Candón, José Manuel Peña

Institute for Sustainable Agriculture, Spanish Council for Scientific Research (CSIC), Cordoba, Spain

* Igarciatorres@ias.csic.es

Abstract

A procedure named CROPCLASS was developed to semi-automate census parcel crop assessment in any agricultural area using multitemporal remote images. For each area, CROPCLASS consists of a) a definition of census parcels through vector files in all of the images; b) the extraction of spectral bands (SB) and key vegetation index (VI) average values for each parcel and image; c) the conformation of a matrix data (MD) of the extracted information; d) the classification of MD decision trees (DT) and Structured Query Language (SQL) crop predictive model definition also based on preliminary land-use ground-truth work in a reduced number of parcels; and e) the implementation of predictive models to classify unidentified parcels land uses. The software named CROPCLASS-2.0 was developed to semi-automatically perform the described procedure in an economically feasible manner. The CROPCLASS methodology was validated using seven GeoEye-1 satellite images that were taken over the LaVentilla area (Southern Spain) from April to October 2010 at 3- to 4-week intervals. The studied region was visited every 3 weeks, identifying 12 crops and others land uses in 311 parcels. The DT training models for each cropping system were assessed at a 95% to 100% overall accuracy (OA) for each crop within its corresponding cropping systems. The DT training models that were used to directly identify the individual crops were assessed with 80.7% OA, with a user accuracy of approximately 80% or higher for most crops. Generally, the DT model accuracy was similar using the seven images that were taken at approximately one-month intervals or a set of three images that were taken during early spring, summer and autumn, or set of two images that were taken at about 2 to 3 months interval. The classification of the unidentified parcels for the individual crops was achieved with an OA of 79.5%.

Introduction

1. Global importance of land-use classification

Policy makers are responsible for food security and land-use planning and require accurate and timely information on crop production at the regional level. Furthermore, crop



Competing Interests: The authors have declared that the CROPCLASS software was registered under Public Notary Protocole. Its owner is the author's institution, CSIC (Spanish Council for Scientific Research). This does not alter the authors' adherence to all the PLOS ONE policies on sharing data and materials.

identification on specific parcels and the assessment of soil management practices are also important when adhering to administration requirements. Traditionally, crop areas are reported based on census data, which cannot provide geographical distribution information. In addition, this process is tedious, time consuming and costly [1]. Agricultural land use information is, therefore, updated routinely in many cropland regions in the USA and Europe through farmer communication or ground visits by administrative inspectors to selected fields [2, 3]. The EU has developed the Land Parcel Identification System [4], which provides geo-referenced, on-line information that is supported by up-to-date nationwide image datasets. This system was designed as the main instrument for the implementation of the Common Agrarian Policy first pillar—direct payments to the farmer, i.e., to identify and quantify the land that is eligible for subsidy payment or for the implementation of agro-environmental measures payments.

2. Crop classification vs. remote sensing

Remote sensing, either alone or in combination with ground surveys, plays an increasingly important role in delivering accurate and timely information regarding the location and area of crop types, crop stress, productivity, and other relative variables, such as irrigation requirements [5, 6, 7]. Odenweller and Johnson (1984; [8]), using multitemporal Landsat images of the USA Corn Belt (USA), studied the temporal-spectral profile of a green vegetation indicator and noted the different profile through time of non-vegetation classes, of perennial vegetation and of specific annual crops, such as winter cereal and sunflower. Lobel and Asner (2004; [5]) estimated the surface of wheat and maize in a large area of Northwest Mexico and in the Southern Great Plains (USA) using Modis and Landsat and assessed the crop temporal evolution of Red, NIR (near-infrared) and PVI (= NIR-Red).

Very diverse methodological approaches have been used to achieve crop/cropping system classification using remotely sensed images. Usually, maps of cropland distribution are generated by analyzing remotely sensed data throughout the growing season and applying both soft and hard image classification methods [3, 6, 9, 10]. Unsupervised hard classification methods, such as K-mean, ISODATA and maximum likelihood classification (MLC), are commonly used [11] and can achieve good results in large homogenous areas where pure pixels are dominants, but they fail in fragmental areas where mixed pixels are dominant. Lobel and Asner (2004; [5]) demonstrated the importance of subpixel heterogeneity in cropland systems and the potential of temporal unmixing to provide an accurate and rapid assessment of the land cover distribution using low/coarse spatial resolution sensors. Generally, the use of satellite images of low/coarse resolution is limited by mixed pixels and can only provide an assessment of large parcels. For example, Modis imagery of 250 m of pixel can only be appropriated for parcels that are larger than 32 ha [12, 13]. Serra and Pons (2008; [14]) presented a methodology for mapping and monitoring the temporal signatures of six main Mediterranean crops (winter wheat, rice, corn, alfalfa, fruit trees and others) in central Spain using a hybrid classifier and Landsat images, reported that a multitemporal approach is essential and recommended the incorporation of phenology data in the classification methodology. Simoneaux et al. (2008) [15] used a time series of eight Landsat images over Morocco to identify four main classes (bare soil, annual vegetation, trees on bare soil and trees on annual understory), although these authors concluded that a precise typology of the crops could not be obtained based on the Normalized Difference Vegetation Index [NDVI = (NIR-R)/(NIR+R)] profiles. Zhong et al (2014) [16] developed a crop classification method that is based on spectral and phenological metric indices and that can be used in multiple years using training data of a single year.

The identification of improved classification methods, such as the Artificial Neural Network (ANN), Decision Tree (DT) and Support Vector Machine (SVM) [17, 18], has been a longstanding topic of research interest in cropping system classification using remote images. Some authors have recommended the use of non-parametric classifiers, such as DT, which can handle an information class with multiple subclasses to accommodate this intra-class variability [12, 13]. Peña-Barragán et al. (2011) [3], using object-based image analysis techniques and Aster satellite scenes, developed a methodology for the multi-seasonal assessment of 13 major crops that are cultivated in Yolo County, California, USA, concluding that NDVI was predominantly used to identify the main groups of crops based on the presence and vigor of green vegetation, contributing approximately 50% to the models.

3. Decision Trees as a statistical tool for land use/crop classification

A DT is defined as a connected, acyclic, tree-based classification model of an undirected graph, with a root node containing all of the data, zero or more internal nodes (splits), and one or more leaves or terminal nodes (leaves) [17, 19]. A DT is a non-parametric classifier that does not require any a priory statistical assumption regarding the distribution of the data. A DT is based in a multistage or hierarchical decision scheme of a tree-like structure. Each node of the decision tree structure makes a binary decision that separates either one class or some of the classes from the remaining classes. Further, the selection of the split variable value in each node is only dependent on the set of observations in that node, and not on all the observations of the dataset [16]. A DT classifies cases into groups or predicts the values of a dependent (target) variable based on the values of independent (predictor) variables. This procedure provides validation tools for exploratory and confirmatory classification analysis. The data are recursively divided down the decision tree according to the defined classification framework. At each node, a decision tree is required, and this can be implemented using univariate splitting if using a single attribute or multivariate splitting if using several attributes [19].

Classification using DT analysis is increasingly applied in remote-sensing data [18, 19, 20]. Investigations using DT have demonstrated successful performance in the use of remotelysensed data for the analysis of general land cover [21], vegetation cover types [22], tropical forest types [23], and cropping systems [3]. A DT offers unique advantages compared to other classification approaches, such as SVM and MLC [24], including the interpretation of the modeled tree structure and of the achieved results. In addition, a DT is computationally fast and makes no assumptions regarding the distribution of the data.

4. General and specific objectives

The aim of this study was to develop a procedure named CROPCLASS to semi-automate census parcel crop/cropping assessment in any agricultural area using multitemporal remote sensing images. CROPCLASS aims to significantly reduce the ground-truth visits and the required monitoring to obtain and implement the predictive crop model based on the SB and VI. The specific objectives are 1) to semi-automate the census parcel definition; 2) to automatically extract the SB and VI for each parcel in each multitemporal image and conform the overall matrix data; 3) to perform a DT analysis of the matrix data to obtain crop/land use predictive models; 4) to use the predictive models to identify the crops/cropping systems in the unidentified/undetermined parcels; 5) to develop CROPCLASS-2.0 software to semi-automatically manage all the previous steps; and 6) to validate the CROPCLASS procedure and software using a series of multitemporal remote sensing images that were taken over an agricultural area.

Materials and Methods

1. Study location and GeoEye-1 satellite image acquisition

Seven multi-spectral GeoEye-1 satellite images (GeoEye-1, 2012) were taken over LaVentilla area (province of Cordoba, Southern Spain) from April to October 2010; each scene was approximately 100 km². The geographic coordinates in the upper-left corner of the images are X = 315206 and Y = 4186133 (Universe Transverse Mercator System, zone 30 North, datum WGS-84). This region has a Mediterranean climate and is characterized by hot, dry summers (daily maximum temperatures greater than 38°C) and cool winters (daily maximum temperature of 14 to 15°C). The rainfall ranges from 450 to 800 mm and occurs mainly from October to April, but herbaceous crops are irrigated during the summer season. The images were taken on April 9, May 1, May 23, June 20, July 9, August 22 and October 2 and were named T1 to T7, respectively. The image spatial resolution was 2.00 m pixel⁻¹, providing information on the blue (B, 450–510 nm), green (G, 510–580 nm), red (R, 655–690 nm) and near-infrared (NIR, 780–920 nm) spectral bands (SB). The swath width was 15.2 km. The ground was predominantly flat, with average slopes of a 2.12% grade. The georeferencing accuracy of the GeoEye-1 images was improved using ground control points (GCPs) and image-to-image co-registration as previously described [25]. The series of images were radiometrically normalized through pseudo-invariant features using the ARIN procedure [26].

2. CROPCLASS procedure and CROPCLASS-2.0 software

The CROPCLASS procedure requires a combination of image processing that is monitored through the CROPCLASS-2.0 software. This consist in using the spectral data extracted from each parcel of each image, the quality information from the identified parcels through ground-truth visits, and a Decision Trees (DT) analysis of the matrix data (Fig. 1). This analysis provides the land use/crop/cropping predictive models, which are required for the classification of the unidentified parcels. In our study, the Environment for Visualizing Images (ENVI-5.0, EXELIS- Visual Information Solutions, Boulder, CO, USA) software was used to visualize and process the images. The CROPCLASS-2.0 software [27] was developed as an add-on of ENVI-5.0 (Fig. 2A) to manage a multitemporal image series of the same geographical area.

CROPCLASS-2.0 acts in two phases, the first, named Parcel Data Extraction, semiautomatically achieves the following tasks: 1) loading multitemporal images and the vector files (.shp) into the corresponding parcels (Fig. 2B); 2) extracting the average spectral bands (SB) and estimating the selected vegetation index (VI) values for each parcel at each image (Fig. 3); and 3) conforming a SBVI file for each parcel, and a data matrix (DM) file for all parcels of a given geographical area scene. So that the DM includes the preliminary parcel ground-truth visit assessment on the land uses/ crops identified parcels (Fig. 4). The DM file is a text file that is ready to be loaded into the decision tree (DT) software, such as IBM SPSS, for analysis. Details of the DT analysis are explained later in this manuscript. The DT analysis of the DM provides, among other data, outcome the land use/ crop/ cropping system as a Structured Query Language (SQL, http://dev.mysql.com/downloads/mysql/) predictive models (PM) of the studied region (Figs. 1 and 2C; S1 Appendix). These models are used to classify the unidentified parcels through phase II of the CROPCLASS-2.0 software, which semi-automatically performs the following: 1) implementing the predictive models resulting from the DT analysis in each SBVI parcel files; 2) loading the SBVI files of the unidentified land-use parcels; and 3) yielding a classification output file with the predicted land uses/crops for each parcel (Fig. 2D; Table 1). All our data are original. All relevant data are within the paper. Data set and CROPCLASS-2.0 software for research purposes are available at the repository link http://dx.doi.org/10.5061/dryad.j958j.





PLOS ONE

3. Field surveys and land-use identification

The LaVentilla area was surveyed approximately every 3 weeks from April to October 2010 to identify the crop of each parcel, its stage of development, and any key agricultural feature, i.e., tree orchard spacing or cover crop (Fig. 5). A total of 311 parcels were recorded in the different visits, and twelve vegetative land uses were identified as follows: broad beans (BNS), chickpeas

A)	В)
Toolbox	CROPCLASS 2.0 - Parcel Data Extraction
Search the toolbox /Extensions/CROPCLASS2	Selected Images Selected Stages C-Umager/GeoRy C-Stager/AC_ERY C-Stager/AC_ERY C-Umager/GeoRy C-Stager/AC_ERY C-Stager/AC_ERY C-Umager/GeoRy C-Stager/AC_ERY C-Stager/AC_ERY C-Umager/GeoRy Versilia SGRNix C-Stager/AC_ERY C-Stager/CO_ERY C-Stager/CO_ERY Versilia SGRNix
Terrain Translom Vector Generation Parcel Data Extraction Parcels Classification	Add Dekte Add Dekte Dutput folder Cr:Corpolars_Output Summay He Cr:Corpolars_Output/Corpolars_Summay_File M (TK_Cancel)
Select SOL file	CROPCLASS 2.0 - Parcels Classification
Bucce en: SQL, Files SQL (Person SQL) SQL, Add Three (ATO).sc] SQL, Add Three (ATO).sc] SQL, Bread Bears (BRS).sc] SQL, Charlo Charlos (SQL).sc] SQL, Charlo Charlos (SQL).sc] SQL, Supplers Grove (SQL).sc] SQL Supplers Grove (SQL).sc] SQ	Selected SPV Selected SPV C-Coppeter, Dupport/AF_H01P082_bhi C-Coppeter, Dupport/AF_H01P082_bhi C-Coppeter, Dupport/AF_H01P082_bhi C-Coppeter, Dupport/AF_H01P082_bhi C-Coppeter, Dupport/AF_H01P083_bhi C-Coppeter, Dupport/AF_H0
Nombre: SQL Vegetation vs NonVegetation.sd M Abrir Tipo: *.sql M Cancelar	OK Cancel

Fig 2. CROPCLASS-2.0 interfaces in ENVI-5.0. A: Toolbox \rightarrow Extensions \rightarrow CROPCLASS 2.0. B: Selected images and vector parcels file loading; C: SQL predictive model files; D: Parcel classification process.

(CKP), cotton (COT), citrus orchards (CIT), corn (CRN), Mediterranean forest (MFO), oat (OAT), olive orchards (OLV), potatoes (POP), poplar grove (POP), sunflower (SUN), and winter wheat (WHT). Non-vegetative lands uses, such as bare soil/roads, civil buildings, flooded plots, paved roads, and water reservoirs were also found. No specific permission was required to survey our study area (LaVentilla, province of Cordoba, Spain). This is a free/ open access area by car, and the surveys were done "from the road".

The main phenology features of each cropping system parcel throughout the growing season are shown in <u>Table 2</u>. Factors, such as the crop-planting pattern, growth stages, canopy structure and soil background critically influent the reflectance signal that is captured by sensor and the derived vegetation indices, thereby permitting crop identification in all types of parcels. The originally assessed crops and other land uses were merged into groups with seasonal and

	A	В	С	D	E	F	G	Н	I	J
1			Blu	Gre	Red	NIR	NDVI	B/G	Stu	
2	End of hea	ader								
3	T1	11	888	1200	1667	3565	0.363	0.740	1.388	
4	T2	11	857	1412	2223	4807	0.367	0.607	1.575	
5	Т3	11	1001	1339	1868	3958	0.359	0.748	1.395	
6	T4	11	547	823	1257	2702	0.365	0.665	1.526	
7	T5	11	739	1125	1778	3541	0.331	0.657	1.580	
8	T6	11	495	816	1311	2748	0.354	0.607	1.607	
9	Т7	11	1002	1312	1808	3786	0.354	0.764	1.377	
10										
11										
14	OLV	H03P014	2							LIII.

Fig 3. View of a spectral bands and vegetation index (SBVI) file. This was made by the CROPCLASS-2.0 software (phase I) for each parcel of each multitemporal image (T1 to T7).

doi:10.1371/journal.pone.0117551.g003



	А	В	С	D	E	F	G	Н	1	J	K	L	М	N	0	Р
1		T1Blue	T1Green	T1Red	T1NIR	T1NDVI	T1B/G	T1Stu	T2Blue	T2Green	T2Red	T2NIR	T2NDVI	T2B/G	T2Stu	T3Blue
2	BNS_H08P034b	442	354	254	911	0.563	1.249	0.718	240	317	122	1031	0.788	0.758	0.385	628
3	CKP_H12P047	395	312	174	1240	0.753	1.263	0.559	238	320	151	915	0.717	0.744	0.472	600
4	COT_H07P096a	480	379	420	621	0.193	1.267	1.109	306	447	321	796	0.425	0.685	0.718	616
5	COT_H09P052	571	509	594	889	0.199	1.122	1.167	391	549	325	940	0.487	0.712	0.592	642
6	CRN_H05P057	451	358	394	582	0.192	1.258	1.100	296	448	294	857	0.489	0.661	0.657	475
7	CRN_H05P074	489	385	440	666	0.204	1.270	1.144	310	452	313	867	0.470	0.688	0.692	497
8	OLV_H11P145	423	318	277	723	0.446	1.331	0.871	212	239	97	395	0.605	0.888	0.407	540
9	OLV_H12P013	446	357	429	751	0.273	1.250	1.201	251	344	247	741	0.499	0.729	0.718	578
10	ORT_H01P005	413	315	181	1117	0.721	1.309	0.574	244	342	121	1251	0.823	0.713	0.355	496
11	ORT_H01P006	579	495	486	973	0.334	1.169	0.982	358	541	305	1181	0.589	0.661	0.564	640
12	ORT_H01P009	438	347	224	1111	0.664	1.261	0.645	250	346	130	1183	0.801	0.724	0.377	506
13	SUN_H01P023	584	503	594	797	0.146	1.160	1.180	382	584	412	999	0.416	0.655	0.705	589
14	SUN_H11P032	532	450	480	736	0.211	1.182	1.066	343	533	353	922	0.446	0.644	0.662	640
15	URB_H09P831	665	570	608	862	0.172	1.166	1.067	889	1206	655	1657	0.433	0.737	0.543	807
16	URB_H09P834	649	534	549	798	0.185	1.214	1.027	405	582	366	919	0.430	0.696	0.629	796
17	URB_H11P833	691	581	589	777	0.137	1.190	1.014	454	656	398	908	0.391	0.692	0.606	816
18	WHT_H11P140	401	316	218	879	0.602	1.270	0.691	226	307	121	1115	0.804	0.736	0.394	518
19	WHT_H12P010	399	315	187	1172	0.725	1.265	0.592	233	314	152	894	0.708	0.741	0.485	564
20	WTS_H11P813	548	476	355	214	0.047	1.150	0.745	377	580	249	232	-0.035	0.651	0.430	631
14 4	► ► Cropclase	s Summ	ary File	97							1	4				

Fig 4. Partial view of a matrix data for the DT analysis.

agronomic similarities during key growth periods to facilitate their discrimination (Tables 2 and 3). The herbaceous crops were grouped according to their main growing season: autumnsown (winter crops, WIC) and spring-sown (summer crops, SUC). The adult tree orchards (ATO), specifically OLI, CIT and POP, which exhibited dense and permanent foliage throughout the study season, were differentiated from young tree orchards (YTO), where the vegetation cover was <30%. Similarly, roads, buildings, urban areas, rivers and water-flooded plots were considered for statistical analysis as a non-vegetative group.

Table 1. Partial view of the CROPCLASS-2.0-unidentified parcel classification output implementing diverse prediction model types.

			Model pree	diction types		
	NonVe	eg ^α -Veg	Cro	pSys	C	rop
Parcel names						
	Land Use	Probability	Land Use	Probability	Land Use	Probability
CIV_H03P823.sbvi	NonVeg	1,00	WIC	0,95	BNS	0,25
CIV_H07P835.sbvi	NonVeg	1,00	YTO	1,00	BNS	0,25
CIV_H07_835Extra.sbvi	NonVeg	1,00	YTO	1,00	BNS	0,25
COT_H01P031.sbvi	Veg	1,00	SUC	0,90	COT	0,92
COT_H01P045.sbvi	Veg	1,00	SUC	0,90	COT	0,92
COT_H05P079.sbvi	Veg	1,00	SUC	0,90	COT	0,92
MFO_H04_842Extra.sbvi	Veg	1,00	ATO	0,93	MFO	0,89
MFO_H04_843Extra.sbv	Veg	1,00	ATO	0,93	MFO	0,89
MFO_H04_844Extra.sbvi	Veg	1,00	ATO	0,93	MFO	0,89
WHT_H01P004.sbvi	Veg	1,00	WIC	0,95	WHT	0,89
WHT_H01P008a.sbvi	Veg	1,00	WIC	0,95	WHT	0,89
WHT_H01P013.sbvi	Veg	1,00	WIC	0,95	WHT	0,89

^aAbbreviations: NonVeg, non-vegetation; Veg: vegetation; CropSys, crop systems; BNS, broad beans; COT, cotton; MFO, Mediterranean forest; and WHT, winter wheat.

doi:10.1371/journal.pone.0117551.t001



Fig 5. Partial view of a GeoEye-1 satellite image scene A) defining the parcel contour vertices; B) vector files completed.

PLOS ONE

4. Spectral bands (SB) and vegetation index (VI) evolution

In our validation study, the four SB and three VI indices were used: the normalized difference vegetation index NDVI, Stubble Index (Stu = R/G), and B/G, which are each widely used to identify agrarian land uses, such as vegetation, stubble/crop yellowing, and bare soil, respectively [3,28,29]. To illustrate the evolution of the SB and VI of the vegetative/non-vegetative land uses throughout the growing season, the average evolution of five wheat and six corn

	Jan.	Feb.	March	April	May	June	July	Aug.	Sept.	Oct.	Nov.	Dec.
BNSα	Veget. ^β	Veget.	Flower.	Fruit.	Senes.						Sowing	Emerg.
СКР	Veget.	Veget.	Flower.	Fruit.	Senes.						Sowing	Emerg.
CIT			Veget.	Veget.	Fruit.		Fruit.	Fruit.	Fruit.			
СОТ				Sowing.	Emerg	Veget.		Bloom.	Fruit.	Senes.		
CRN				Sowing.	Emerg	Veget.	FruitS.	Fruit.	Senes.			
MFO			Veget.	Veget.	Veget.	Fruit.	Fruit.	Fruit.	Fruit.	Fruit.		
OAT	Veget.	Veget.	Flower.	Fruit.	Fruit.	Senes.	Senes.				Sowing	Emerg.
OLV			LeafG.	LeafG.	LeafG.	Fruit.	Fruit.	Fruit.	Fruit	Fruit.	Fruit.	
POT					Sowing	Emerg.	Bloom.	Senes.	Senes.			
POP			LeafG.	LeafG.	LeafG.		Fruit.	Fruit.	Fruit.	Senes.	Senes.	Senes.
SUN			Sowing	Emerg.	Veget.	Flower.	Senes.	Senes.				
WHT	Veget.	Veget.	Flower.	Fruit.	Senes.						Sowing	Emerg.

Table 2. Phenology features in Southern Spain for the crops that were considered in this study.

^a Crop abbreviations: BNS, broad beans; CKP, chickpeas; CIT, citrus orchards; COT, cotton; CRN, corn; MFO, Mediterranean forest; OAT, oat; OLV, olive orchards; POP, poplars grove; POT, potatoes; SUN, sunflower; WHT, winter wheat.

^β Growth stages abbreviation: Veget., vegetation; Flower., flowering; Bloom., blooming; Senes., senescence; Fruit., fruiting; Emerg., emergence; LeafG., leaf growing

doi:10.1371/journal.pone.0117551.t002

All land uses	Cropping systems & others	Crops & others
Vegetation (Veg)		CIT ^α
	Adult trees orchards(ATO)	MFO
		OLV
		POP
		СОТ
	Summer crops (SUC)	CRN
		POT
		SUN
		BNS
	Winter crops (WIC)	СКР
		OAT
		WHT
	Young orchards (YTO)	YTO
Non-Vegetation (Non-Veg)	Civil work (CiWo)	Buildings/ urban areas, pavement roads
	Water surface (WTS)	Flooded plots, water, reservoir, river

Table 3. Crops and other land uses that were assessed in the field survey and their groupings as dependent variables for the decision tree analysis.

^α Abbreviations: BNS, broad beans; CKP, chickpeas; CIT, citrus orchards; COT, cotton; CRN, corn; MFO, Mediterranean forest; OAT, oat; OLV, olive orchards; POP, poplars grove; POT, potatoes; SUN, sunflower; WHT, winter wheat.

doi:10.1371/journal.pone.0117551.t003

parcels, representing the winter and summer crops, respectively, was used to establish a data analysis strategy and DT results interpretation (<u>Fig. 6</u>). Similarly, the NDVI evolution of the main cropping systems and of the summer, winter and adult trees plantation crops throughout the growing season is key information for crop grouping decisions and result interpretation (<u>Fig. 7</u>).

5. Decision tree modeling and model evaluation

The model was designed using a training/validation/testing dataset procedure. First, a set of training/validation parcels were used to create and evaluate the decision trees and the land use prediction models. Then, a set of testing parcels were classified by implementing the prediction models that were previously determined, and the accuracy of this classification was measured using the confusion matrix method [30]. In our study, fifty percent of the parcels (156 out of 311) were used for the training and validation of the predictive models (10%-fold cross validation), and the other half (155 parcels) were used to validate the parcel classification resulting from implementing the predictive models.

The IBM SPSS Statistics-21 software was used for the classification and regression decision tree (DT) analysis (IBM North America, New York, NY, United States). The CRT DT split the data into segments that were as homogeneous as possible with respect to the dependent/ response variable. The categorical dependent variables were the land use and crop groups as shown in <u>Table 3</u>. The DT node-splitting rule was the Gini index, which is a measure of impurity for a given node, and its application attempts to maximize the homogeneity of the child nodes with respect to the values of the dependent variable [19, 20]. The Gini index reaches its minimum (zero) when all of the cases in a node fall into a single category, which is the default





Fig 6. Spectral bands' digital values and vegetation indices' average evolution in the wheat (A & B) and corn (C & D) parcels. The vertical bars are standard deviations (s. d.). In the abscissa is the remote image timing (T1- early April to T7- early October, approximately one-month intervals); the data are presented as the means, and the vertical bars represent the standard deviation (s.d.) of six parcels of approximately 3 ha each. For each land use, the average data of the images followed by a different letter are significantly different at $P \ge 0.05$.

measure. The difference between two consecutive nodes' impurity is the splitting improvement. The Gini index is described by the equation

Gini
$$(t) = \sum_{i} pi (1 - pi)$$

where pi is the relative frequency (determined by dividing the total number of observations of the class by the total number of observations) of class *i* at node *t*, and node *t* represents any node (parent or child) at which the given data are split. The CRT DT analyses were performed using a tree growth limit of 5 and a minimum number of cases for each of the parent nodes of 8 and for the child nodes of 4. The DT model was evaluated using a cross validation (10%-fold) procedure. The classification accuracy was determined by applying the confusion matrix [<u>30</u>]. We used UA (user's accuracy, % correctly classified parcels) and PA (producer accuracy) as complementary statistical (Tables <u>4</u> and <u>5</u>). User's accuracy (% of success) is opposite to commission error (% error) and producer's accuracy is opposite to omission error. The risk estimate and its standard error is a measure of the tree's predictive accuracy. For categorically dependent variables, the risk estimate is the proportion of cases that are incorrectly classified after adjustment for the prior probabilities. The total number of parcels that were assessed in each DT analysis is indicated in the results section.

For each DT analysis, the percentage of correctly classified land uses/parcels and the tree development risk were recorded. In addition, the number and the SQL (Structure Queries Language) models of each terminal node were also recorded. The standard SQL rules were generated to select/extract records from the database. The generated SQL rules save the case selection or scoring rules in an external file and then apply these rules to a different data source. The rules are based on the selected nodes in Tree Editor (IBM SPSS Statistics-21). The generated case-selection rules or scoring rules are in command syntax or SQL format. The tree risk



Fig 7. NDVI average evolution of: A) main cropping systems and land uses; B) summer crop; C) trees orchards; and D) winter crops. Vertical bars are standard deviations (s. d.). In abscissa is the remote image timing (V1- early April to V7-early October, about one month interval). Abbreviations as in Tables $\underline{2}$ and $\underline{3}$. Data are presented as the means, and vertical bars represent the standard deviation (s. d.) of six parcels of approximately 3 ha each). For each land use, the average data of the images followed by a different letter are significantly different at P \geq 0.05.

estimate is a measure of its predictive accuracy, which includes the parcels that were incorrectly classified after adjustment for the prior probabilities. The SQL models of each terminal node were determined and stored in the CROPCLASS-2.0 software and used as predictive tools for the testing set of parcels.

6. Required image number and image-taking timing

To select the required number of images and the most adequate image-taking time to build DT models for crop/cropping system classification, several scenarios were studied as follows: seven images that were taken at approximately 3- to 4-week interval throughout the growing season from early spring (T1) to early autumn (T7), three images that were taken at T1, T4-early summer and T7, two sets of two images (T1–T4-early summer, T3-late spring and T6-late summer), and only one image at specific timings (T1 to T7). The CRT DT analysis was conducted as previously described.

Results and Comments

1. Evolution of crop spectral bands and vegetation indices

The SB and VI indices of any herbaceous cropping system considerably varied throughout the growing season (Figs. <u>6</u> and <u>7</u>). For example, the wheat NIR values decreased, and the R increased drastically from early spring (T1) to early summer (T4) because this is approximately

		AT	0						S	Ŋ							VIC			
Observed/ predict.	MFO ^a	OLV	ORT	РОР	Predic	UA в (%)	Observed/ Predict	СОТ	CRN	POT	SUN	Total predict.	UA (%)	Observed/ predict	BNS	СКР	OAT	WHT	Total predict	UA (%)
MFO	ø	0	0	0	8	100	COT	÷	0	0	0	11	100	BNS	ω	0	0	0	8	100
OLV	0	18	0	0	18	100	CRN	0	6	0	0	6	100	CKP	0	8	0	0	8	100
ORT	0	0	13	0	13	100	POT	0	0	5	0	5	100	OAT	0	0	5	0	5	100
POP	0	0	0	8	8	100	SUN	0	0	0	10	10	100	WHT	0	-	0	26	27	96
Total GTP	80	18	13	8	47			÷	6	5	10	35			8	6	5	26	48	
PA (%)	1.0	1.0	1.0	1.0				1.0	1.0	1.0	1.0				100	88	100	100		
OA (%)						1.0						1.0								97
Tree Risk ^{\$}	0.06	±0.03						0.07	±0.02						0.06	±0.03				
^α Abbreviation POT, potatoe ^β Statistical: U	s: BNS, ł s; SUN, s A, user a	oroad b∈ sunflow∈ ccuracy	eans; C ∌r; WH1	KP, ch Γ, wint∈ 'rectly c	lickpeas; er wheat. classified	CIT, cit parcels	trus orchards; (s); PA, produce	COT, cc sr accur	otton; CI acy; O <i>H</i>	RN, coi A: overa	rn; MFC all accu), Mediterra Iracy;	mean f	orest; OAT, oe	It; OLV	, olive c	orchard	s; POP,	poplars gro	;eyc
^{\$} Tree risk: Es	timated 1	-standar	d error																	

Table 4. Evaluation of the DT training models for the discrimination of adult tree orchards (ATO), summer crops (SUC) and winter crops (WIC).



Observed	BNSα	СІТ	CIV	СКР	сот	CRN	MFO	ΟΑΤ	OLV	POP	РОТ	SUN	WAT	WHT	ΥТΟ	Obs. Parcels	UA ^β (%)
BNS	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	100
CIT	0	6	0	0	0	0	1	0	6	0	0	0	0	0	0	13	46
CIV	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	0
СКР	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	8	100
СОТ	0	0	0	0	11	0	0	0	0	0	0	0	0	0	0	11	100
RN	0	0	0	0	1	8	0	0	0	0	0	0	0	0	0	9	89
MFO	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	8	100
OAT	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	0
OLV	0	0	0	0	0	0	0	0	18	0	0	0	0	0	0	18	100
POP	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	8	100
POT	3	0	0	0	0	0	0	0	0	0	2	0	0	0	0	5	40
SUN	0	0	0	0	0	0	0	0	0	0	0	10	0	0	0	10	100
WAT	0	0	0	0	0	0	0	0	0	0	0	0	7	0	0	7	100
WHT	2	2	0	0	0	0	0	0	0	0	1	0	0	22	0	27	81
YTO	1	0	0	0	0	0	0	0	1	0	0	1	0	0	10	13	77
Predicted parcels	25	8	0	8	12	8	9	0	25	8	3	11	7	22	10		
PA (%) ^β	32	75	0	100	92	100	89	0	72	100	67	91	100	100	100		
OA (%) ^β																80.7	
Tree Risk ^{\$}																0.4±.0.04	

Table 5. Evaluation of the DT training models for the discrimination of individual crops and others land uses.

^aAbbreviations: BNS, broad beans; CKP, chickpeas; CIT, citrus orchards; COT, cotton; CRN, corn; MFO, Mediterranean forest; OAT, oat; OLV, olive orchards; POP, poplars grove; POT, potatoes; SUC, summer crops; SUN, sunflower; WHT, winter wheat; WIC, winter crops; YTO, Young Trees Orchards; GTP: ground truth parcel;

^βStatistical: UA, user accuracy (% correctly classified parcels); PA, producer accuracy; OA, overall accuracy;

^{\$}Tree risk: estimated ±standard error.

doi:10.1371/journal.pone.0117551.t005

the time winter crops are normally harvested, and the straw remained on the soil (Fig. 6). Accordingly, the wheat NDVI drastically decreased from early spring to summer, while the STU, expressive of crop senescence, increased during the same period; the same pattern was observed for the other winter crops (Fig. 6). Moreover, the wheat B/G index, indicative of the presence of bare soil remain, did not change much, expressing a high soil cover throughout the growing season. The opposite pattern occurred for herbaceous summer crops, such as corn: the R values decreased, and the NIR increased from early April (T1) to mid-August (T4). From T1 to T4 in the summer crops, the NDVI increased, after which NDVI drastically decreased, coinciding with the crop growing and senescence, respectively (Fig. 7).

The vegetative cropping systems, such as herbaceous winter and summer crops, adult tree plantations and young orchard plantations showed considerable differences in the NDVI evolution throughout the growing season between each other and when compared to that of the non-vegetative land uses (Fig. 7). For example, the NDVI for winter crops drastically decreased in spring from early May to June, and the opposite trend occurred for the summer crops. Consistent differences in the NDVI evolution were found in some of the summer crops, including sunflower, corn and cotton (Fig. 7), which can be partly attributed to the sowing dates, generally in March for sunflower and in mid-late April for corn and cotton. Adult tree orchards, such as those of citrus and olives, and poplar and Mediterranean forest plantations exhibited uniform NDVI values throughout the study season. The higher values of poplars trees and citrus

in comparison to those of olives and Mediterranean forest are explained by the higher vegetation density and lower bare soil coverage. The winter crops' NDVI pattern evolutions are somewhat similar to each other (Fig. 7), making discrimination more difficult within this vegetation index. The evolutions of the phenology pattern of wheat, corn and trees orchards are also illustrated in Figs. <u>8</u>, <u>9</u> and <u>10</u>, respectively.

2. DT training model evaluation

The CRT DT classification at the parcel level as affected by the set of used independent predictors, including the spectral bands and selected vegetation indexes, is shown in Tables $\underline{4}$ and $\underline{5}$ and <u>Fig. 11</u>. The vegetation parcels can be distinguished from the non-vegetation parcels with a 100% overall accuracy OA). Cropping systems such as SUC summer crops, winter crops and adult tree plantations can be distinguished at an approximately 97% or higher OA and a tree risk around 0.2 ± 0.06 (<u>Table 4</u>). For the individual crop training model evaluations OA was 80.7% and tree risk 0.4 ± 0.04 (<u>Table 5</u>). The individual crop user accuracy (UA) was approximately 80% higher for most crops, except for those of OAT, CIT, and POT, which were 50%– 60%, likely due to the morphological pattern similarities of these crops with others, including OAT and WHT.



Fig 8. Wheat crop evolution. A: early April, grain-filling growth stage showing intense green color and therefore high NDVI values; B: early May, mid-senescence, green-yellowish color, and mid-NDVI values; C: late May/ early June, late senescence, predominant yellow color, low NDVI values; D: stubble, typical of mid-June throughout the summer. These growth stages roughly coincide with the T1, T2, T3 and T5 satellite images that were taken, and with the wheat NDVI data evolution as shown in Fig. 6d. The data are presented as the means, and the vertical bars represent the standard deviation (s. d.) of six parcels of approximately 3 ha each.

doi:10.1371/journal.pone.0117551.g008





Fig 9. Corn crop evolution. A: late May and early June, corn during the vegetative growing phase, characterized by increasing NDVI values and coinciding with the T3–T4 satellite images of this stage; B: flowering stage, July, T5 image; C: senescence period, which take place in the second part of August (NDVI values decrease; satellite image T6); and D: corn stubble, beyond mid-September (satellite image T7). The corn NDVI data evolution is shown in Fig. 7B.

3. Effect of image number and time in the CRT DT training models

Generally, the percentage of correctly classified parcels was similar using seven images that were taken at approximately one-month intervals (T1 to T7), three images that were taken at T1, T3 and T5 or at T2, T4, and T6, or two images that were taken at T2 and T5, or at T3 & T6 (Table 6). These results were 81%, 80.5% and 80.5%, respectively. Considering just one image, the average percentage of correctly classified parcels varied according to the image timing, ranging from 53% at T3 to 82% at T5, on average 69%. The CRT DT risk increased as the number of images decreased. For example, CRT DT risk was 0.23, 0.38 (average of two 3× images), 0.42 (average of two 2× images) and 0.50 (average of seven 1× images) (Table 6). Therefore, the DT tree and DT training models are less accurate, as the number of images that were taken decreases throughout development.

4. Selected independent/ predictor variables

For the decision trees analysis, four spectral bands (SB) and three vegetation indexes (VI) per each of the seven multispectral images were used, totaling forty nine independent variables. The most important independent variables varied widely with the considered cropping systems and with the image number and timing (Table 7). Indistinctly, the indexes or the spectral were more relevant than the other as independent variable. For example, to distinguish between the considered cropping systems, T6Blue, T6Red, T6NDVI and T3Gree exhibited a normalized importance that was greater than 90%, and for the summer crops were T3NDVI, T4Gree, T4Blue and T4Red. STU and NDVI, NDVI and Stu, and NIR and NDVI, were the two most influent independent predictors for T1, T4 and T7, respectively.





Fig 10. Canopy structure of citrus (A and B) and olive (C and D) orchards vary very little throughout the year, particularly during the main growing season (April to October). Photographs A and C were taken in early May, and B and D were taken in late September, roughly coinciding with the T2 and T7 satellite images of this study. In photograph D are the orange fruits but not the olives in photograph D at the distance where the photograph was taken. Due to the few changes in the tree canopy and covered soil surface, the NDVI values of citrus and olive are very similar throughout the growing season (Fig. 7C) and can be used as pseudo-invariant features for radiometric normalization [26].

It should be noted that, in fact, the VI values are ratios or combinations of SB, and that for many land use, a strong relationship exists between some bands and VI, as for the NIR and NDVI in the vegetation.

Regarding the independent variables or predictors that were used in the CRT DT analysis, it should be noted that a) CROPCLASS-2.0 automatically extracted for each parcel the average values of the SB of the corresponding images; b) CROPCLASS also automatically computed the VI used; and c) the DT software is a powerful tool that does not require extra costs and generates a very high amount of data in a cost-effective manner, selecting the most decisive independent variables regardless the number of independent variables. Therefore, it seems that the independent predictor variables that were used in our study are adequate and fit well with the objective. Furthermore, it is not logical to reduce the number of independent variables to perform similar studies.





Fig 11. Decision tree training model. For each splitting decision, the key spectral bands and/or vegetation indexes, corresponding values and statistical improvement are indicated. The terminal nodes show the identified crop, number of parcels and user accuracy.

5. Classification of unidentified parcels through prediction model testing

For the vegetation/non-vegetation discrimination and for the cropping systems discrimination the OA was 97% and 87% respectively. UA for most individual crops was 85% or higher, except for OAT (40%), likely because it's morphological similarities with WHT. Averaged UA was 86%, 95% and 80% for ATO, SUC and WIC respectively (<u>Table 8</u>). The identification of parcels considering simultaneously all of the land uses produces an OA of 80%, and a UA that was 80% greater for crops such as the BNS, CKP and COT and lower for others, such as the CRN (89%) and WHT (69%) (<u>Table 9</u>). Furthermore, the OAT and POT were not correctly identified (0%) partly due to similarities with the WHT phenological pattern and to the low number of samples that were analyzed.

Discussion

Agriculture widely varies from one region to another mainly in the cropping systems and cropgrowing calendar. Generally, the crop classification in any area is complex due to agronomic factors and farming decisions. Different crops can exhibit very similar developmental patterns and growth calendars, which is the case of autumn-sown wheat and oat. In addition, the same crop may be sown on different dates due to farmers' decision. For example, in Mediterranean agriculture, due to the mild winter, sunflowers can be sown in mid-late winter or mid-spring. So that, the same crop may exhibits considerable differences in phenological patterns. Nevertheless, administrations for planning and subsidy policy actions need timely information on crop production at the regional level [1, 2, 3] and more precisely at the parcel level [4]. In our study area, twelve crops/cropping systems and two non-vegetative land uses were effectively classified at the census parcel levels through remote sensing images and the CROPCLASS



Table 6. Percentage of correctl	ly classified parcels for all	of the crops/land uses as affected b	y the number and timing of the image
U			

	No. parcels	T1 to T7 $^{\alpha}$	T1, T3, T5	T2, T4, T6	T2, T5	T3, T6	T1	T2	тз	T4	T5	Т6	T7	Mean T1-T7	Mean Overall
BNS ^β	8	100	0	100	0	75	0	100	0	63	75	0	75	45	49
CIT	13	46	46	100	100	100	31	62	77	85	100	85	100	77	78
CIV	6	0	67	100	100	83	100	100	83	100	100	100	67	93	83
СКР	8	100	100	100	75	100	100	100	0	100	75	100	50	75	83
СОТ	11	100	91	100	73	100	0	64	100	27	64	64	0	45	65
CRN	9	89	100	67	100	33	89	67	0	89	89	44	0	54	64
MFO	8	100	0	100	100	100	100	100	100	100	100	100	100	100	92
OAT	5	0	0	60	100	60	0	60	0	40	40	0	0	20	30
OLV	18	100	100	94	67	100	100	83	0	83	61	94	83	72	81
POP	8	100	100	100	0	75	0	75	100	50	75	75	100	68	71
POT	5	40	0	60	0	0	0	0	0	60	0	0	0	9	13
SUN	10	100	100	100	100	100	80	80	80	80	100	100	80	86	92
WAT	7	100	0	100	100	100	100	43	100	100	100	100	100	92	87
WHT	27	82	93	78	96	82	78	59	96	67	96	82	78	79	82
YTO	13	77	100	85	92	69	69	69	0	92	92	69	54	64	72
OA ^{\$}		81	71	90	78	83	62	71	53	76	82	74	65	69	74
Mean		76	60	90	74	78	56	71	49	76	78	68	59	65	69
Tree risk [*]	Estimat.	0.23±	0.40±	0.36±	0.34±	0.41±	0.43±	0.60±	.64±	0.52±	0.37±	0.52±	0.45±		
	s.e.	0.041	0.039	0.038	0.038	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04		

^αImage taking time from early April (T1) to October (T7) at about one month interval

^βAbbreviations: BNS, broad beans; CKP, chickpeas; CIT, citrus orchards; COT, cotton; CRN, corn; MFO, Mediterranean forest; OAT, oat; OLV, olive orchards; POP,

Poplars grove; POT, potatoes; SUC, summer crops; SUN, sunflower; WHT, winter wheat; WIC, winter crops;

^{\$}OA overall accuracy;

^{*}Tree risk, estimated±standard error;

doi:10.1371/journal.pone.0117551.t006

procedure. A DT statistical analysis based on the SB and VI that were taken from each parcel at each remote image identified in our case study the vegetation and main cropping systems with approximately 100% and 94% correctly classified parcels. The adult tree plantation and winter cropping system parcels were somehow more efficiently discriminated (100%) than were the summer crops (91%) or young orchards (61%). Performing CROPCLASS through the CROP-CLASS-2.0 software worked rapidly in an economically feasible manner and can be implemented in any geographical area. To perform the CROPCLASS procedure through conventional image processing would be highly time-consuming, requiring additional computer language programming skills, and would therefore not be viable for the practical use of administrations.

For crop classification at the census parcel level, several issues that are related to the remote image series should be considered. First, images with a high spatial resolution (i.e., 2 to 5 m of pixel) should be normally used to avoid or minimize crop-mixing pixels [4]. Second, georeferencing errors between the series of high spatial resolution images of the same scene are common and need to be corrected using hard-control points or the co-registration of each other to provide a given parcel with the same geographical references at any image [25]. Third, each image is instantaneity a given invariant features of an image series that does not normally provide the same reflectance or digital reading as that in the others images [31]; therefore, for classification purposes, multitemporal series of images should be calibrated or normalized.

Table 7. §	ix selected	independe	ent variab	les for the	diverse D1	Γ training a	ınalysis stı	udies (in p	arenthese	s % of ne	ormalize	d import	ance).				
	Land uses/	Cropping	Systems					Numbe	r and timin	ıg of ima	ge (T1 tc	, T7) ^а					All land
Veg ^β _ Non- Veg.	CropSys	WIC	ATO	suc	T1 to T7	т1, т3, т5	T2, T4, T6	Т2, Т5	ТЗ, Тб	F	T2	T3	T4	T5	T6	4	2
T4NDVI	T6Blue	T6Red	T5NIR	T3NDVI	T5Gree	T5NDVI	T4NDVI	T5Red	T3NDVI	Stu	Gree	TStu	NDVI	NIR	BG	NIR	T3Stu
(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)	(100)
T4B/G	T6Red	T5Blue	T6NIR	T4Gree	T5Red	T3Red	T2NDVI	T5NIR	T3Red	NDVI	BG	NDVI	Stu	Red	Stu	(66)	T1NDVI
(100)	(97)	(94)	(88)	(97)	(100)	(94)	(92)	(99)	(90)	(88)	(100)	(95)	(97)	(91)	(97)		(98)
T3NDVI	T6NDVI	T6Blue	T3Stu	T4Blue	T6Gree	T1NDVI	T4Blu	T2NIR	T6Stu	Red	Blue	NIR	BG	Gree	(90) (06)	Stu	T7Stu
(72)	(94)	(89)	(79)	(94)	(97)	(92)	(90)	(91)	(87)	(83)	(89)	(65	(94)	(88)		(98)	(97)
T7NDVI	T6Gree	T6Gree	T7NIR	T4Red	T6Red	T1Blue	T4BG	T5Stu	T3Stu	Blue	Red	Red	Red	NDVI	Blue	Red	T3NDVI
(63)	(90)	(89)	(78)	(90)	(97)	(91)	(87)	(89)	(85)	(73)	(87)	(83)	(84)	(84)	(88)	(61)	(97)
T4Blue	T4Blue	T5NDVI	T3NIR	T3Red	T5NDVI	T1Red	T4Stu	T5BG	T6Red	NIR	NIR	BG	Blue	Blue	Gree	BG	T1Stu
(60)	(80)	(85)	(78)	(88)	(93)	(91)	(87)	(88)	(80)	(69)	(77)	(55)	(83)	(83)	(85)	(59)	(95)
T4Gree	T5Gree	T6.BG	T7Stu	T5Blue	T4NDVI	T5Red	T4Gre	T5Blue	T3BG	Gree	NDVI	Gree	NIR	BG	NDVI	Gree	T7NDVI
(60)	(86)	(82)	(76)	(87)	(92)	(89)	(83)	(82)	(76)	(56)	(71)	(51)	(77)	(82)	(84)	(49)	(93)
^α Image ta ^β Abbrevia Stu (R/G)	king time fro tions: Veg, v and B/G. an	m early Api /egetation; I d spectral b	ril (T1) to (Von-Veg, ands: B, (October (T7 non-vegeta G, R and NI) at about c tion; CropS IR.	ne month i ys, Croppir	nterval ig systems;	ATO, adul	t tree orchs	trd; SUC,	summer	crops; V	VIC, wint	er crops;	vegetati	on indice	s: NDVI,

			Γ	õ							SU	υ							WIC				
	Obs.	CITα	MFO	OLV	РОР	Pred.	UA (%)		Obs	сот	CRN	РОТ	SUN	Pred.	UA (%) ^в		.sdC	BNS	CKP 0	DAT V	ИНТ	Pred. (AL %) ^β
CIT	10	7 (0.75)		2 (0.9)	1 (1.0)	7	70	COT	10	10 (1.0)				10	100	BNS		8 (1.0)			~	-	00
MFO	8		8 (0.9)			ω	100	CRN	0		9 (1.0)			0	100	CKP	m	1.0	ر 0.9)		1.0)	~	37.5
OLI	18		-	16 (0.75)	-	16	89	POT	9			6 (1.0)		9	100	OAT	10			1.0)	1.0)	7	0 1
РОР	ω	1 (1.0)			7 (1.0)	7	87.5	SUN	10			N	8 (1.0)	9	80	WHT	50		1 1 0.9) (1.0)	73 (0.	5 23	33
Obs.		80	6	18	6				35	10	6	œ	8					~	8		~	31	
РА (%) ^в		87.5	89	89	78					100	100	75	100					·	8	17.5	377 8	37 2	
ОА (%) ^в							86.4								94.2							ω	88
^a Abbre	viation	s: BNS, I	broad be	ans; Ch	<pre><p; chick<="" pre=""></p;></pre>	kpeas; C	ЛТ, citru wнт	s orchai	ds; CO	T, cotto	n; CRN	l, corn;	MFO, N	lediterra	anean fo	orest; 0/	νΤ, oat;	OLV, ol	ive orch	ards; F	OP, pol	olars gro	ve;

^a Abbreviations: BNS, broad beans; CKP, chickpeas; CIT, citrus orchards; COT, cotton; CRN, corn; MFO, Mediterranean forest; OAT, oat; OLV, olive orchards; POP, poplars grov
POT, potatoes; SUC, summer crops; SUN, sunflower; WHT, winter wheat;
^β UA, User accuracy; PA: Producer Accuracy; OA, overall accuracy.



Crops	Parcels	BNSα	CIV	СКР	СОТ	CRN	MFO	ΟΑΤ	OLV	CIT	POP	РОТ	SUN	WAT	WHT	ΥТО	Predicted parcels	UA ³ (%)
BNS	8	8 (0.3) ^β															8	100
CIV	7		7 0.3)														7	100
СКР	8			8 (1.0)													8	100
СОТ	10				10 (0.9)												10	100
CRN	9				1 (0.92)	8 (1.0)											9	89
MFO	8						8 (0.89)										8	100
OAT	4							0							2 (1.0)	2 (1.0)	4	0
OLV	18								16 (0.7)							2 (1.0)	18	89
CIT	12						1 (0.9)		5 (0.7)	6 (0.7)							12	50
POP	8										8 (1.0)						8	100
РОТ	4	2 (0.32)										0			2 (1.0)		4	0
SUN	10	2 (0.32)											8 0.91)				10	80
WAT	7													7 (1.0)			7	100
WHT	29	6 (0.32)		2						1 (0.7)					20 (1.0)		29	69
YTO	14	2 (0.32)											2 (0.91)			10 (1.0)	14	71
Predicted	156	20	7	10	11	8	9	0	21	7	8	0	10	7	24	14	156	
PA ^{\$} (%)		40	100	80	91	100	89	0	76	86	100	0	80	100	83	71		
OA ^{\$} (%)																	80	

Table 9. Confusion matrix for unidentified parcel classification by applying individual crop SQL predictive models.

^aAbbreviations: Veg, vegetative; NonVeg, Non-vegetative; CropSys, Cropping systems; ATO, adult tree orchard; SUC, summer crops; WIC, winter crops; YTO, young trees orchards; BNS, broad beans; CKP, chickpeas; CIT, citrus orchards; COT, cotton; CRN, corn; MFO, Mediterranean forest; OAT, oat; OLV, olive orchards; POP, poplars grove; POT, potatoes; SUC, summer crops; SUN, sunflower; WHT, winter wheat; WIC, winter crops; YTO, Young Trees Orchards.

^βIn parenthesis: DT terminal node probability;

^{\$}UA, User accuracy; PA: Producer Accuracy; OA, overall accuracy.

doi:10.1371/journal.pone.0117551.t009

Absolute calibration can be achieved through the QUAC method [32] and FLAASH method [33], which use the solar position and weather calibration parameters. For agricultural scenes, an image time series can also be normalized using pseudo-invariant vegetative features, which avoid using solar and climate physical parameters [26].

A series of multitemporal images is usually needed to incorporate phenological observations for crop classification [3, 6, 10, 11, 15, 16]. Generally, in any agricultural scene, the number of remote images that are required for crop classification will vary with the crop diversity and the extension of the growing season. These concepts are obviously related to the climate and

normally coincide with the freeze-free period or more precisely with mean temperatures greater than 4 or 6°C. The number and the timing of images were also important features that should be considered when applying the CROPCLASS procedure to any agricultural area. In our study, we took seven images throughout the growing season, approximately 1 every 3 to 4 weeks, and the crop classification results were satisfactory. Therefore, in general, taking an image every month during the active growing season can be a conservative recommendation for any region. However, our data also demonstrate good classification results from just analyzing 2 or 3 images at equidistance time intervals around the mid growing season. It should be noted that in Southern Spain, the winter is mild, and the growing period or freeze-free period lasts approximately 9 to 10 months. Therefore, the time interval between images taking can likely be extended. Furthermore, a working hypothesis is that in cold regions where the growing season can last only 4 or 5 months, the crop classification could tentatively be achieved with only 2 or 3 images distributed throughout the growing season.

The crop/land use statistical data were analyzed through DT similarly as previously described. and this has significant advantages, such as flexibility, easy interpretation of the model tree structure, computationally fast and no require assumptions regarding the distribution of the data [3, 18, 19, 21, 22, 23, 24]. In addition, the DT provides an SQL predictive model for each crop/cropping system, which is an additional original feature of CROPCLASS. It should be considered that the implementation of the SQL models of a specific crop should be used in the same area and using the same number of images that were taken at approximately the same time as those that were used to determine/generate the model.

CROPCLASS procedure meets additional advantages as follows. First, the census parcel is the unit for most administrative actions and CROPCLASS provide record for each census parcel. Second, administrations require a defined crop classification method, almost fully relying on remote sensed images that were automatically or semi-automatically executed, and requiring a reduced ground-visit work effort. Third, the predictive models for each crop/cropping system are likely to be used for the same area in subsequent years if the images were taken on about the same dates. This use is based on the true assumption that in each geographical area, the diversity of the crops and the crop calendar remain about the same throughout the years. Similarly, Zhong et al. (2014; [16]) mapped crops in multiple years using training data that were limited to a single year based on phenological metric and vegetation indices. So that, the phenology or crop growth stages will approximately coincide, as the images were taken at about the same time in different years; therefore, the predictive models that were determined for one year with similar timings could tentatively be used in subsequent years. Similarly, the parcel SB and VI data matrix from a new year can be added to the previous years, thereby constructing a multi-years matrix data. The field work and image taking of this study lasted only for one year; therefore, the verification of these assumptions is out of its scope. However, the assumptions that CROPCLASS data and models for each specific area can be used in subsequent years can be accepted due to the specificity of the crop diversity and crop calendar for any region, which is repeated throughout the years.

Conclusions

A novel method named CROPCLASS for census parcel classification from multitemporal remote images was developed for use in any agricultural and forestry area of the world. This new procedure defines the census parcels in every scene, extracts the SB and VI values from each parcel of each image, and monitors the Decision Tree analysis of the matrix data. The independent predictor variables that were used in our study are adequate and fit well with the objective. Necessarily, this method also includes the ground-truth visit and identification of a reduced number of parcels in at least the first year of study. The CROPCLASS procedure also monitors the SQL prediction models that are generated in the DT analysis, implementing these models to identify the crops of unknown parcels. We validated CROPCLASS using a series of GeoEye-1 satellite images of one scene. Implementing the CROPCLASS procedure through convention-al image processing is time consuming and requires computer language skills. The software CROPCLASS-2.0 executes the CROPCLASS methodology semi-automatically in an economically feasible manner and can be implemented for any agricultural region.

Supporting Information

S1 Appendix. Partial view of the Structured Query Language (SQL) models to identify the winter crops.

(DOCX)

Author Contributions

Conceived and designed the experiments: LGT JJCN DGC. Performed the experiments: LGT JJCN DGC. Analyzed the data: LGT JJCN JMP. Contributed reagents/materials/analysis tools: LGT JJCN JMP. Wrote the paper: LGT DGC JMP. Software development: JJCN LGT.

References

- 1. Maxwell SK, Wood EC, Janus A (2008) Comparison of the USGS 2001 NLCD to the 2002 USDA census of agriculture for the upper Midwest United States. Agric. Ecosystems Environ 127(1): 141–145.
- South S, Qi J, Lusch DP (2004) Optimal classification methods for mapping agricultural tillage practices. Remote Sens Environ 91(1): 90–97.
- 3. Peña-Barragán JM, Ngugi MK, Plant RE, Six J (2011) Object based crop identification using multiple vegetation indices, textural features and crop phenology. Remote Sens Environ 115(6): 1301–1316.
- EU-LPIS (2014) Land Parcel Information System for implementing the Common Agrarian Policy. Institute for Environment and Sustainability (IES), Joint Research Center, European Commission, Ispra, Lombardy, Italy, (<u>http://ies.jrc.ec.europa.eu/our-activities/support-for-member-states/lpis-iacs.html</u>).
- Lobell DB, Asner GP (2004) Cropland distributions from temporal unmixing of MODIS data. Remote Sens Environ 93(3): 412–422.
- 6. Ozdogan M (2010) The spatial distribution of crop types from Modis data: temporal unmixing data using independent component analysis. Remote Sens Environ 114(6): 1190–1204.
- Thenkabail PS, Knox JW, Ozdogan M, Gumma MK, Colgaton RG, et al. (2012) Assessing future risk to agricultural productivity, water resources and food security: How can remote sensing can help?. Photogrammetric Engineering Remote Sens 78(8): 773–782.
- Odenweller JB, Johnson KI (1984) Crop identification using Landsat temporal-spectral profiles. Remote Sens Environ 14 (1–3): 39–54.
- 9. Fritz S, See L, Rembold F (2010) Comparison of global and regional land cover maps with statistical information for the agricultural domain in Africa. Internat Journal Remote Sens 31(9): 2237–2256.
- Lunnetta RS, Shao Y, Ediriwickrema J, Lyon JG (2010) Monitoring agricultural cropping patterns across the Laurentian Great Lakes Basin using MODIS-NDVI data. Internat J Applied Earth Observation Geoinformation, 12(2): 81–88.
- 11. Xie Y, Sha Z, Yu M (2008) Remote Sensing imagery in vegetation mapping: a review. J Plant Ecology 1: 9–23.
- Wardlow BD, Egberg SL, Kasten JH (2007) Analysis of time series MODIS 250 m vegetation index data for crop classification in the U.S. Central Great Plains. Remote Sens Environ 108(3): 290–310.
- 13. Wardlow BD, Egberg SL (2008) Large area crop mapping using time series MODIS 250 m NDVI data: An assessment for the U.S. Central Great Plains. Remote Sens Environ 112(3): 1096–1116.
- 14. Serra P, Pons X (2008) Monitoring farmer's decision on Mediterranean irrigated crops using satellite images time series. Intern J Remote Sens 29(8): 2293–2316.
- 15. Simoneaux V, Duchemin B, Helson D, Er-Raki S, Olioso A, et al. (2008) The use of high resolution time series for crop classification and evapotranspiration estimates over irrigated area in central Morocco. Internat J Remote Sens 29(1): 95.

- Zhong L, Gong P, Binging GS (2014) Efficient corn and soybean mapping with temporal extendability: a multi-year experiment using Landsat imagery. Remote Sens Environ 140: 1–13.
- 17. Breiman L, Friedman J, Stone CJ, Olshen RA (1984) Classification and regression trees. CRC press.
- Pal M, Mather PM (2003) An assessment of the effectiveness of decision tree methods for land cover classification. Remote Sens Environ 86(4): 554–565.
- 19. Ghose MK, Ratika P, Sushan S (2010) Decision tree classification of remotely sensed satellite data using spectral separability matrix. Internat J Advanc Computer Sci Applic 1(5): 93–101.
- Zambon M, Lawrence R, Bunn A, Powell S (2006) Effect of alternative splitting rules on image processing using classification tree analysis. Photogrammetric Engineering Remote Sens 72(1): 25–30.
- 21. FriedI MA, Broadley CE (1997) Decision tree classification of land cover from remotely sensed data. Remote Sens Environ 61: 399–409.
- Brown de Colstoun ECB, Story MH, Thompson C, Commisso K, Smith TG, et al. (2003) National park vegetation mapping using multi-temporal Landsat 7 data and a decision tree classifier. Remote Sens Environ 85(3): 316–327.
- Sesnie SE, Gessler PE, Finegan B, Thessler S (2008) Integrating Landsat TM and SRTM- DEM derived variables with decision trees for habitat classification and change detection in complex neotropical environments. Remote Sens Environ 112(5): 2145–2159.
- Otukei JR, Blaschke T (2010) Land cover change assessment using decision trees, support vector machines and maximum likelihood classification algorithms. Internat J Applied Earth Observation Geoinformation, 12S: S27–S31.
- Gómez-Candón D, López-Granados F, Caballero-Novella JJ, Gómez-Casero M, Jurado-Expósito M, et al. (2011) Geo-referencing remote images for precision agriculture using artificial terrestrial targets. Precision Agricul 12(6): 876–891.
- García-Torres L, Caballero-Novella JJ, Gómez-Candón D, De-Castro AI (2014) Semi-automatic normalization of multi-temporal remote images based on vegetative pseudo-Invariant Features. PLoS ONE 9(3): e91275. doi: <u>10.1371/journal.pone.0091275</u> PMID: <u>24604031</u>
- García-Torres L, Caballero- Novella JJ, Gómez-Candón D, Jurado-Expósito M, López- Granados F, et al. (2014) CROPCLASS-2.0 software for the classification of census parcels from remote images (in Spanish), CSIC- Public Registration Office, Madrid, Protocol
- Giltenson AA, Kaufman YJ, Stark R, Rundquist D (2002) Novel algorithms for remote estimation of vegetation fraction. Remote Sens Environ 80 (1): 76–87.
- Jiang Z, Huete AR, Chen J, Chen Y, Li J, et al. (2006) Analysis of NDVI and scaled differences vegetation indices retrieval of vegetation fraction. Remote Sens Environ 101(3): 366–378.
- Wright C, Gallant A (2007) Improved wet land remote sensing in Yellowstone National Park using classification trees to combine TM imagery and ancillary environmental data. Remote Sens Environ 107 (4): 582–805.
- **31.** Inoue Y (2003) Synergy of remote sensing and modeling for estimating eco-physiological processes in Plant Production. Plant Production Sci 6(1): 3–16.
- Bernstein LS, Xuemin J, Brian G, Adler-Golden SM (2012) Quick atmospheric correction code: algorithm description and recent upgrades. SPIE Optical Engineering 51(11): 111719.
- Adler-Golden M, Berk A, Bernstein LS, Richtsmeier SC, Acharya PK, et al. (1998) FLAASH, a MOD-TRAN4 Atmospheric Correction Package for Hyperspectral Data Retrievals and Simulations. 1998 AVIRIS Geoscience Workshop, Jet Propulsion Laboratory, Pasadena, CA.