e-ISSN 1643-3750 © Med Sci Monit. 2015; 21: 1872-1878 .893647

**CLINICAL RESEARCH** 

MONITOR	/		DOI: 10.12659/MSM.89	
Received: 2015.01.22 Accepted: 2015.02.25 Published: 2015.06.29	-	Paradoxical Elevation of Lipoprotein Cholesterol Lacunar-Type Cerebral In	f High Density in Association with nfarction	
Authors' Contribution: Study Design A Data Collection B Statistical Analysis C Data Interpretation D Manuscript Preparation E Literature Search F Funds Collection G	AEF BCD BCD BCD AG BCD	Gui-Lin Meng* Yan Tan* Min Fang Hong-Yan Yang Xue-Yuan Liu Yan-Xin Zhao	Department of Neurology, Tenth People's Hospital, Tongji University, Shanghai, P.R. China	
Corresponding Author: Source of support:		* Equal contributors Xue-Yuan Liu, e-mail: liu1304@yeah.net and Yan-Xin Zhao, e-n National Natural Science Foundation of China (81171163, 8 (11411950303, 11DZ1920903)	nail: zhaoyanxindd@126.com 1171023); Shanghai Science and Technology Committee Project	
Background: Material/Methods:		The aim of this study was to evaluate the association els and the risk of lacunar infarction (LI) in a retrospe We recruited 229 patients with obsolete brain infarc tions bilateral sides (BOBI), 193 with both acute strok 113 with both acute stroke and obsolete lacunar infar	between high-density lipoprotein cholesterol (HDLC) lev- ective cohort study in China. ctions single side (SOBI), 218 with obsolete brain infarc- ke and obsolete lacunar infarctions single side (AI&SOBI), rctions bilateral sides (AI&BOBI), and 203 without any in-	
Results: Conclusions:		1) The plasma levels of HDLC in group BOBI, AI&SOBI, and AI&BOBI were higher than in the control group, and lower in group SOBI than in the control group (p<0.01). 2) The plasma levels of HDLC in group AI&SOBI were significantly higher than in group SOBI (p<0.01). 3) The plasma levels of HLDL were similar between group AI&SOBI and AI&BOBI. 4) There were significant relationships between HDLC and acute lacunar stroke, even after adjusting for these factors such as age, sex, triglyceride, total cholesterol, low-density lipoprotein cholesterol, and history of diabetes (p=0.001). 4) Compared with the controls, the calculation of odds ratios indicated relative risk estimates of higher HDLC for acute lacunar stroke with obsolete lacunar infarction. Elevated HDLC may be an independent predictor of recurrent stroke with obsolete lacunar infarctions single side in Chinese people, justifying clinical trials for secondary prevention of stroke by generally increasing HLDL level. According to the difference between single and bilateral side multiple silent lacunar infarcts, it is inferred that HDLC may increase the risk of atherothrombotic infarction but reduce the risk of cardioembolic infarction in the general Chinese population.		
MeSH Key	ywords:	Cholesterol, HDL • Risk Factors • Stroke, Lacunar		
Full-t	ext PDF:	http://www.medscimonit.com/abstract/index/idArt/	893647	
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# Background

Stroke is a major healthcare problem and a serious economic burden to society [1-4]. In China, stroke is the second leading cause of death. Currently, more than 7 million individuals suffer from stroke. There are 2 million individuals with newly diagnosed stroke each year, moreover, about 1.2–1.5 million die of cerebrovascular disease, with 75% of survivors suffer from longterm disability, and 40% even suffer from severe disability [5,6].

Numerous studies have demonstrated that stroke risk was related to low density lipoprotein cholesterol (LDLC), while less attention has been paid to the effects of high-density lipoprotein cholesterol (HDLC) levels on the risk of stroke. Some epidemiological studies have suggested a protective effect of HDLC on stroke, whereas other studies have found no or a weaker association [7–12]. It is unclear whether this contradiction happens because of coincidence or different roles of HDLC playing according to accurate types of stroke, because researches targeted at accurate stroke types such as lacunar strokes are seldom. From the studies previous, data are confusing and conflicting, so actual local studies are required to clarify this relationship regionally and guide clinical work. As we all know, Asian populations have lower adiposity and insulin resistance than Western populations [13].

Lacunar strokes constitute about 20–25% of ischemic strokes. Although lacunar strokes occasionally result from mechanisms of brain ischemia such as cardiogenic embolism or carotid-artery stenosis, most are attributed to disease of penetrating branches of large cerebral arteries. This underlying disorder is the most frequent cause of covert brain infarcts and vascular cognitive impairment [14–19]. Some patients with lacunar infarction have to face the risk of recurrent infarction, which poses the question how the HDLC functions in the pathophysiology and more importantly, determining prognosis and treatment. The correlation of HDLC and lacunar strokes needs to be confirmed by strict scientific proof. The purpose of this retrospective study was to evaluate the association between HDLC and lacunar infarction.

# **Material and Methods**

#### Selection of patients

Between August 1, 2013 to August 1, 2014 consecutive patients diagnosed with acute lacunar infarction or patients confirmed to have no signs of stroke visited the Department of Neurology, Shanghai Tenth People's Hospital, were enrolled in our study.

The inclusion criteria met the diagnostic criteria set in the first edition of the Chinese Guidelines for Cerebrovascular Disease

Prevention and were confirmed by magnetic resonance imaging examinations: 1) Patients were eligible for participation in the study if they were 50 years of age or older, had experienced a symptomatic lacunar stroke or no signs of stroke. Symptoms of lacunar stroke consist of one of the recognized lacunar syndromes (pure motor stroke, clumsy hand dysarthria, ataxic hemiparesis, and pure sensory or sensorimotor stroke) in the absence of cortical deficits. 2) They should not have major risk factors for cardioembolic sources of stroke. 3) Besides, participants with a clinical acute lacunar syndrome were required to meet MRI criteria that included a lesion measuring 2.0 cm or less in diameter on diffusion-weighted imaging that corresponded to a positive apparent-diffusion-coefficient image or a lesion with a well-delineated area of focal hyperintensity imaging that corresponded to the clinical syndrome.

The exclusive criteria should be as follows: 1) Patients with metallic implants. 2) Patients diagnosed with other types of stroke but not acute lacunar infarction. 3) Previous intracranial hemorrhage (with the exception of traumatic hemorrhage) or cortical ischemic stroke. 4) Patients with severe cardiovascular disease, renal insufficiency, liver dysfunction, neoplastic or chronic disease.

All participants underwent cranial magnetic resonance imaging scans (MRI) to assess lacunar infarction, other subtypes of stroke, or normal image. The MRI in our hospital were primarily obtained using a 1.5 T scanner (Philips, Eindhoven, Noord-Brabant, Netherlands) and a 3.0 T scanner (Siemens, Erlangen, Bavaria, Germany). The MRI protocol consisted of a T1-weighted image [repetition time/echo time (TR/TE)=101/1.92 for the 1.5 T scanner and 2000/9 for the 3.0 T scanner], fluid attenuated inversion recovery images (FLAIR) (TR/TE=6000/110 for the 1.5 T scanner and 8500/94 for the 3.0 T scanner), DWI (TR/TE = 3393/86 for the 1.5 T scanner and 6000/94 for the 3.0 T scanner) in the axial plane, and a T2-weighted image (TR/TE=1940/120 for the 1.5 T scanner and 4540/96 for the 3.0 T scanner) in the sagittal plane with 16 layers. Besides MRI, the patients underwent blood examinations in a fasting state, including hemoglobin (Hb), C reactive protein (CRP), blood glucose, HDLC, low density lipoprotein cholesterol (LDLC), triglyceride (TG), and total cholesterol (TC). Multivariate logistic regression analyses were performed to examine the relationship between HDLC and infarction.

#### **Ethics statement**

The study had the approval of the ethics committee of the Tenth People's Hospital, Shanghai, China.

#### Assessment of potential covariates at baseline

A record of clinical history was used to obtain information on enrolled subjects, including age, gender, body mass index

	SOBI (n=229)	BOBI (n=218)	AI &SOBI (n=193)	AI &BOBI (n=113)	Control (n=203)
Male (%)	121 (52.83%)	126 (57.80%*)	95 (49.22%)	57 (50.44%)	102 (50.24%)
Age (years)	66.60±12.49	72.04*±12.33	59.34±10.78	68.65±10.93	64.88±12.66
Body mass index (kg/m²)	24.44±3.25	27.36±3.31	23.90±3.03	28.42±2.97	24.50±3.55
Current smoking (%)	81 (35.37%)	73 (33.48%)	72 (37.31%)	42 (37.16%)	60 (29.56%)
Alcohol intake (%)	75 (32.76%)	64 (29.36%)	66 (34.20%)	38 (33.63%)	59 (29.06%)
Hypertension (%)	159 (69.43%)	159 (72.94%)	145 (75.13%)*	87 (76.99%)*	134 (66.00%)
Diabetes (%)	40 (17.47%)	55 (25.23%)	62 (32.12%)	39 (34.51%)*	32 (15.76%)
Hyperhomocysteinemia (%)	35 (15.28%)	43 (19.72%)	85 (44.04%)**	56 (49.56%)**	20 (9.85%)
Total cholesterol (mg/dl)	4.38±1.08	4.81±1.06	4.62±0.98	4.92±0.87	5.23±1.22
Triglycerides (mg/dl)	1.64±1.10	1.94±1.03	0.82 <u>+</u> 0.52	1.20±0.94	1.22±1.10
LDLC (mg/dl)	2.84±0.45	2.67±0.77	3.17±0.50	2.80±0.67	3.20±0.80

Table 1. Baselines characteristics of the different groups.

\* Means p<0.05; \*\* means p<0.01 vs. control group; SOBI – obsolete brain infarctions single side; BOBI – obsolete brain infarctions bilateral sides; AI&SOBI – acute stroke and obsolete lacunar infarctions single side; AI&BOBI – acute stroke and obsolete lacunar infarctions bilateral sides.

(BMI), hypertension, diabetes, smoking, and medications prescribed by physicians. Smoking status was classified into "nonsmoking" and "smoking" according to self-reported information. Weight and height were measured during the hospital and BMI was calculated as weight (kg)/height (m)<sup>2</sup>. Systolic blood pressure (SBP) and diastolic blood pressure (DBP) were measured twice in the seated position using a mercury sphygmomanometer. The average of two readings was used in the analyses. Hypertension was defined based on: personal history of hypertension, a SBP ≥140 mmHg, a DBP ≥90 mmHg, or currently taking antihypertensive medication prescribed by a physician. The average of two readings was used in the analyses. Diabetes mellitus was diagnosed if the subject was undergoing treatment with oral hypoglycemic agents or insulin, if the fasting blood glucose (FBG) levels were  $\geq$ 7.1 mmol/l more than twice, or if there was a personal history of diabetes mellitus. These demographic and clinical data were collected from the participants' medical notes and clerking sheet. A full medication history was obtained from the medical notes. Laboratory results on admission are recorded on the clerking sheet.

#### Procedures

The patients underwent blood examinations in a fasting state, including routine blood examination, blood glucose, HDLC, low-density lipoprotein cholesterol (LDLC), triglyceride (TG), total cholesterol (TC), homocysteine, and multivariate logistic regression analyses were performed to examine the relationship between HDLC and infarction.

#### Statistical analyses

Data are expressed in percentages for categorical variables and were compared using the chi-square test. Continuous variables are expressed as means ± standard deviation (SD) and compared with a Student's t test for factors with a normal distribution or expressed as median and interquartile range and compared with the Mann-Whitney U test for factors that were not normally distributed. The relationship between HDLC levels and groups of lacunar infarction was evaluated by analysis of variance (ANOVA), LSD test. The independent relationship between different groups and levels of HDLC were assessed using multinomial logistic regression, controlling for comorbidities and demographic characteristics. Multinomial logistic regression analyses were performed to determine whether the serum HDLC levels were independently associated with lacunar infarction after adjustment for the potential confounders. Odds ratios (ORs) with 95% confidence intervals (CIs) were calculated. All tests of significance were 2-sided. P value <0.05 was considered statistically significant. All data were analyzed using SPSS (version 19.0, SPSS, Inc., Chicago, IL, USA).

### Results

There were 956 consecutive patients aged 50 years and older admitted to the Department of Neurology from August 1, 2013 to August 1, 2014. Finally, 229 patients with obsolete brain infarctions single side (SOBI), 218 with obsolete brain infarctions bilateral sides (BOBI), 193 with both acute stroke

Groups (I)	Other groups (I)	Mean difference (I-J)	D value	95% CI	
Groups (I)	Other groups ()		Pvalue	Lower bound	Upper bound
SOBI	BOBI	-1.00705	.000	-1.1864	8277
	AI&SOBI	88149	.000	-1.1495	6135
	AI&BOBI	95883	.000	-1.1780	7397
	Control	51424	.000	6968	3317
BOBI	SOBI	1.00705	.000	.8277	1.1864
	AI&SOBI	.12556	.377	1561	.4072
	AI&BOBI	.04822	.685	1875	.2839
	Control	.49281	.000	.2907	.6949
	SOBI	.88149	.000	.6135	1.1495
	BOBI	12556	.377	4072	.1561
AI&SUBI	AI&BOBI	07733	.619	3859	.2312
	Control	.36725	.012	.0835	.6510
	SOBI	.95883	.000	.7397	1.1780
	BOBI	04822	.685	2839	.1875
AI&BOBI	AI&SOBI	.07733	.619	2312	.3859
	Control	.44459	.000	.2064	.6827
Control	SOBI	.51424	.000	.3317	.6968
	BOBI	49281	.000	6949	2907
	AI&SOBI	36725	.012	6510	0835
	AI&BOBI	44459	.000	6827	2064

#### Table 2. HDLC levels in different groups of lacunar infarction by LSD-t.

CI – confidence interval; SOBI – obsolete brain infarctions single side; BOBI – obsolete brain infarctions bilateral sides; AI&SOBI – acute stroke and obsolete lacunar infarctions single side; AI&BOBI – acute stroke and obsolete lacunar infarctions bilateral sides.

and obsolete lacunar infarctions single side (Al&SOBI), 113 with both acute stroke and obsolete lacunar infarctions bilateral sides (Al&BOBI), and 203 without any infarctions (Control) were recruited. Symptoms of lacunar stroke consist of 1 of the recognized lacunar syndromes (pure motor stroke, clumsy hand dysarthria, ataxic hemiparesis, and pure sensory or sensorimotor stroke) in the absence of cortical deficits.

The mean age of the study population was 66.25 years; 52.40% were men. Demographic characteristics of the study population are shown in Table 1. Patients in group BOBI were older (p<0.05) and more likely to be male (p<0.05) in comparison with the control group. Moreover, patients in group Al&SOBI and Al&BOBI showed significantly higher proportion of hypertension and hyperhomocysteinemia (p<0.05; p<0.01). We found that patients with recurrent stroke had a younger age of onset and higher frequency of other life-style related diseases such as diabetes and hypertension compared to those without new stroke evidence.

The relationship between HDLC levels and groups of lacunar infarction was evaluated by multiple comparisons (LSD-t) in Table 2. 1) The plasma levels of HLDL in group BOBI, Al&SOBI, and Al&BOBI were higher than in the control group, and were lower in group SOBI than in the control group (p<0.01). 2) The plasma levels of HLDL in group Al&SOBI were significantly higher than in group SOBI (p<0.01). 3) The plasma levels of HLDL were similar between group Al&SOBI and Al&BOBI.

After adjusting for these factors such as age, sex, TG, TC, LDLC, and history of diabetes, multinomial logistic regression analysis showed that there were significant relationships between HDLC and different lacunar stroke groups (p=0.001) (Table 3). In the current study, carried out on middle-aged and elderly subjects, we investigated the associations of HDLC with the presence of LI, and found that patients with lower HDLC levels mostly had obsolete brain infarctions single side. These findings changed when these patients with obsolete brain infarctions single side had a recurrent acute stroke. Further

Model	Variables	a valua	0.0	95% CI	
		p value	UK	Lower bound	Upper bound
SOBI <i>vs</i> . control	HDLC	0.000	0.001	0.002	0.579
BOBI vs. control	HDLC	0.001	64.675	5.331	81.575
AI&SOBI vs. control	HDLC	0.043	22.018	1.107	43.032
AI&BOBI vs. control	HDLC	0.007	42.418	2.764	65.716

Table 3. Final model using multinomial logistic regression analysis.

OR – odds ratio; CI – confidence interval; SOBI – obsolete brain infarctions single side; BOBI – obsolete brain infarctions bilateral sides; AI&SOBI – acute stroke and obsolete lacunar infarctions single side; AI&BOBI – acute stroke and obsolete lacunar infarctions bilateral sides.

analyses showed that higher HDLC levels were also associated with acute stroke in obsolete brain infarctions bilateral sides. This association was independent of age, sex, and vascular risk factors. We demonstrated that higher HDLC was associated with an increased risk of recurrent acute period of LI. This might provide an adverse perspective of the previously observed association between decreased serum levels of HDLC and stroke in elderly adults.

Variables that correlated with the groups (p<0.05) were included in multinomial logistic regression analysis, which showed that higher HDLC was associated with a greater risk of recurrent LI in the AI&SOBI and AI&BOBI groups. A similar result was observed with the levels of HDLC in group BOBI. By contrast, converse results were observed between group SOBI vs. the Control group.

## Discussion

LIs are believed to share similar pathogenic mechanisms; they may be of cardio-embolic origin, or caused by atherosclerotic processes taking place mostly in the small vessel walls and vascular risk factors, such as in advanced age, hypertension [20–22], metabolic syndrome [23,24], and coronary artery disease. Multiple mechanisms of cerebral infarction caused by atherosclerosis have been proposed, including intravascular thrombosis, vascular stenosis, and reduced perfusion pressure in terminal cerebral vessels. In addition, the current study identified that numerous factors – including age, lipoprotein, glycosylated hemoglobin and blood parameters, and diabetes – were correlated with the severity of atherosclerosis, plaque formation, and artery stenosis [15,18,19,25–29].

It appears that the main protective mechanisms of HDLC are reversing cholesterol transport, anti-inflammatory, antioxidant, and, more generally, endothelial protective effects [30–40]. Previous studies inferred that by increasing the concentration of HDLC might achieve favorable effects for stroke [20–22]. A low HDLC is part of the well recognized metabolic syndrome, which is clearly associated with vascular events in Western populations (but whether HDLC has a protective effect on stroke is inconclusive), not to mention in Asian populations who have different dietary habits and HDLC levels. A study by Gu et al. in a Chinese population showed that patients with the CETP-692A/C polymorphism CC genotype had better lipid-regulating effects, exactly opposite to results of a study in Germany [41,42].

Our results mostly suggest that among the Chinese population, HDLC levels are dramatically lower in patients with obsolete brain infarctions single side than in control groups; however, when these patients develop an acute stroke, their HDLC levels are tremendously higher than in control groups. This situation does not occur in patients with obsolete brain infarctions bilateral sides. We infer that the main mechanism of LI single side may be intravascular thrombosis, while the main mechanism of LI bilateral sides may be vascular stenosis and reduced perfusion pressure in terminal cerebral vessels, because atherosclerosis tends to be a systemic vascular system status, with infarctions always scattered and not concentrated on a single side. We found that plasma HLDL was independently associated with recurrent LI with obsolete brain infarction single side, suggesting it may be an independent predictor of, as well as a predictive factor for, LI in Chinese people, justifying clinical trials for secondary prevention of stroke by generally increasing HLDL level. The difference between single and bilateral side multiple silent lacunar infarcts suggests that higher HDLC levels may increase the risk of intravascular thrombolic infarction but reduce the risk of atherothrombotic infarction in the general Chinese population. In atherothrombotic infarction, we found that the role of HDLC is consistent with findings of previous studies. Additional evidence is needed to support the role of intravascular thrombolic infarction.

### Conclusions

The results of the present study indicate that the HDLC plays different roles in acute phase recurrent LI with single/bilateral chronic infarction. These observations provide supporting evidence for developing cerebrovascular disease therapy.

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The strengths of this study included the consecutive study design with a relatively large sample size, multiple groups, and comparisons of different patterns. However, this study also had limitations in that it was not based on the general population, and the results may be influenced by the limited representativeness of the sample selected from hospitalized patients.

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1877

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