



## CLINICAL ARTICLE

# Study on Risk Factors of Primary Non-traumatic OVCF in Chinese Elderly and a Novel Prediction Model

Zhenxing Wen, MD<sup>1,2</sup>, Xiaoyi Mo, MD<sup>1</sup>, Shengli Zhao, MD<sup>1,2</sup> , Zhichao Qi, MD<sup>1,3</sup>, Dan Fu, MD<sup>4</sup>, Shifeng Wen, MD<sup>5</sup>, Wing Hoi Cheung, PhD<sup>6</sup>, Bailing Chen, PhD<sup>1,2</sup> 

<sup>1</sup>Department of Spine Surgery and <sup>2</sup>Guangdong Provincial Key Laboratory of Orthopedics and Traumatology, The First Affiliated Hospital, Sun Yat-sen University and <sup>3</sup>Department of Spine Surgery, Guangzhou First People's Hospital, Guangzhou, <sup>4</sup>Department of Orthopaedics, The Seventh Affiliated Hospital of Sun Yat-sen University, Shenzhen, <sup>5</sup>Department of Orthopaedics, Kiang Wu Hospital, Macau and <sup>6</sup>Department of Orthopaedics and Traumatology, Prince of Wales Hospital, The Chinese University of Hong Kong, Hong Kong, China

**Objective:** Prevention of fragility fractures is one of the public health priorities worldwide, whilst the incidence of osteoporotic vertebral compression fractures (OVCF) continues to rise and lacks the corresponding accurate prediction model. This study aimed to screen potential causes and risk factors for primary non-traumatic osteoporotic vertebral compression fractures (NTOVCF) in the elderly by characterizing a patient population with NTOVCF and comparing it with a population of osteoporotic patients.

**Methods:** Between January 2013 and January 2022, 208 elderly patients with unequivocal evidence of bone fragility manifested as painful NTOVCF were enrolled, and compared with 220 patients with osteoporosis and no fractures. The demographic data, bone turnover markers, blood routine, serum biochemical values, and radiological findings were investigated. Differences between the fracture and non-fracture groups were analyzed, and variables significant in univariate analysis and correlation analysis were included in the logistic analysis to build the risk prediction model for osteoporotic vertebral fractures. Univariate analysis using student's *t*-tests for continuous variables or a chi-squared test for categorical variables was conducted to identify risk factors.

**Results:** No significant differences were revealed regarding age, gender, BMI, smoking, alcohol consumption, blood glucose, propeptide of type I procollagen (P1NP), and N-terminal middle segment osteocalcin (N-MID) ( $P > 0.05$ ). Parathyroid Hormone (PTH), 25(OH)D, serum albumin (ALB), hemoglobin (HB), bone mineral density (BMD), and cross-sectional area (CSA) of the paraspinal muscle in the fracture group were significantly lower than those in the control group; however, b-C-terminal telopeptide of type I collagen ( $\beta$ -CTX), total cholesterol (TC), high-density lipoprotein cholesterol (HDL-C), non-prostatic acid phosphatase (NACP), and fatty degeneration ratio (FDR) were significantly higher than those in the control group ( $P < 0.05$ ). Logistic regression analysis showed that ALB, HB, CSA, and BMD were negatively correlated with NTOVCF, while  $\beta$ -CTX, HDL-C, NACP, and FDR were positively correlated with NTOVCF.

**Conclusion:** Decreased physical activity, anemia, hypoproteinemia, imbalances in bone metabolism, abnormal lipid metabolism, and degenerative and decreased muscle mass, were all risk factors for OVCF in the elderly, spontaneous fractures may be the consequence of cumulative declines in multiple physiological systems over the lifespan. Based on this risk model, timely detection of patients with high OVCF risk and implementation of targeted preventive measures is expected to improve the effect of fracture prevention.

**Address for correspondence** Bailing Chen, PhD, Department of Spine Surgery, The First Affiliated Hospital, Sun Yat-sen University, No.58, Zhong Shan Er Lu, Guangzhou 510080, China. Tel: 020-87332200; Email: [chenbl96@mail.sysu.edu.cn](mailto:chenbl96@mail.sysu.edu.cn); Wing Hoi Cheung, Department of Orthopaedics and Traumatology, Prince of Wales Hospital, The Chinese University of Hong Kong, Hong Kong SAR 999077, China. Tel: 852-63579474; Email: [louischeung@cuhk.edu.hk](mailto:louischeung@cuhk.edu.hk); Shifeng Wen, Department of Spine Surgery, Guangzhou First People's Hospital, Guangzhou 510700, China. Email: [93071@163.com](mailto:93071@163.com)

Zhenxing Wen, Xiaoyi Mo, and Shengli Zhao these authors contributed equally to this work.

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## Introduction

Osteoporotic vertebral compression fracture (OVCF) is the most common complication of osteoporosis, which can lead to acute or chronic pain, impaired activities of daily living, disfigurement, psychological distress, and diminished lifespan.<sup>1</sup> Prevention of osteoporotic fracture is one of the public health priorities worldwide, which is also the major goal in the treatment of osteoporosis.<sup>2,3</sup> Presently, it is generally accepted that bone loss and falls are chief risk factors for OVCF, therefore, prevention measures mainly focus on drug therapy for osteoporosis and fall prevention in this category.<sup>4,5</sup> However, the prevention scheme for OVCF has not achieved the ideal goal: in the USA an estimated 1.5 million suffer osteoporotic fragility fractures each year; similarly, in the UK, epidemiological studies hypothesize that one in two women and one in five men aged over 50 years will suffer an osteoporotic fracture in their lifetime.<sup>6,7</sup> At the same time, clinically, non-traumatic osteoporotic fractures (NTOVCF) are pathological fractures mainly attributed to the vulnerability and mechanical fragility of osteoporotic bone, and hardly ever accompanied by energy damage, therefore, many patients do not pay enough attention until complications arrive, consequently delaying treatment.<sup>8,9</sup>

With a worldwide aging population, prevention of osteoporosis-related fractures is becoming increasingly important over time, and the first step to prevent fractures is to screen and identify potential causes and risk factors for fragile fractures. The osteoporosis self-assessment tool for Asians (OSTA), could assess the risk of osteoporosis based on age and weight, which also had the ability to predict OVCF as reported. Clinical results show that OSTA had an area under the curve (AUC) of 0.618 in identifying patients with OVCF (cutoff  $< -1$ , sensitivity 32.3%, specificity 92.3%).<sup>10,11</sup> However, this prediction tool based on two demographic factors is mediocre and not accurate enough to identify high-risk factors for fractures. Fracture risk assessment according to the T-score of bone mineral density (BMD), may not be accurate enough, because BMD can only reflect bone mass but not bone quality and bone micro-architecture. The risk calculators (FRAX<sup>®</sup>), using clinical risk factors and calculating the 10 year probability of fracture, could effectively predict the hip fracture when combined with BMD, but are complex and limited in predicting the risk of other fractures, especially for OVCF, because the circumstances leading to OVCF are frequently controversial and unknown.<sup>3,6,12,13</sup> In addition to BMD and bone turnover markers (BTM),<sup>14</sup> previous studies also reported that serum albumin,<sup>15</sup> serum cholesterol level,<sup>16</sup> and lumbar muscle mass<sup>17</sup> were associated with osteoporotic fractures. Moreover, a previous study had shown that OVCFs were related to frailty, and conversely, frailty was further worsened after

OVCFs due to the deficit accumulation being greater.<sup>18</sup> Importantly, as previous studies reported, approximately 47% of the OVCF events occurred spontaneously or in otherwise unclear circumstances.<sup>19,20</sup>

Taken together, the clinical onset of non-traumatic OVCF in the elderly is hidden and the identification of significant risk factors is crucial because they are robust predictors of future fractures. The predictive value of clinical factors for NTOVCF has not been extensively studied. The current study voluntarily chose a stringent definition of NTOVCF to better screen and identify potential causes and risk factors of osteoporotic fracture, since the only difference between osteoporosis and NTOVCF is whether a vertebral fracture occurs. The purpose of this retrospective study was: (i) to explore whether lifestyle, blood tests, serum biochemical indicators, bone turnover markers (BTM), BMD, and lumbar muscle status were potential predictors of NTOVCF; and (ii) to establish a risk prediction model for NTOVCF, thereby providing reliable methods for the prevention of osteoporosis-related fractures.

## Methods

### Study Design and Participants

This single-center retrospective study was approved by the institutional review board and ethics committee of the research institution (No[2020]150).

The inclusion criteria were as follows: (i) patients with primary non-traumatic osteoporotic vertebral compression fractures occur spontaneously without explicit external forces, including falls, drops, impacts, and other factors (NTOVCF group); (ii) those osteoporotic cases admitted because of lumbago, nerve compression, or other spinal problems but no history of any fractures (control group); (iii) age  $\geq 60$  years old; (iv) lumbar BMD T-score  $\leq -2.5$ ; and (v) Chinese patients. The exclusion criteria were as follows: (i) neoplasms of the vertebral column; (ii) history of vertebral fracture, spinal surgery, and low back soft tissue injury or surgery; (iii) any comorbidity or chronic diseases that could significantly affect bone or soft tissue metabolism (for example, diabetes, liver and kidney disease, chondromalacia, thyroid disorders, ankylosing spondylitis, diffuse idiopathic skeletal hyperostosis, or connective tissue disease); (iv) history of certain drug use (hormonal drugs, anti-osteoporosis drugs, or diet pills); (v) severe cardiopulmonary diseases or coagulation dysfunction; and (vi) incomplete clinical data.

The medical records, clinical manifestations, laboratory results, and imaging examinations of 208 patients (143 women, 65 men; mean age, 73.5 years) presenting with NTOVCF were extracted, analyzed, and compared with osteoporotic control cases (136 women, 84 men; mean age, 73.1 years). Plain

radiographs were the routine examination item of this study, which can preliminarily judge whether the patients with osteoporosis and ostealgia have fractures and other skeletal diseases. In addition, it could help locate the vertebral fracture, and MRI can further exclude those old vertebral compression fractures. Basic information, blood test results, and radiological data of the participants were searched through the Hospital Information System records and the PACS system. All the data and files were reviewed and collected by one researcher but measured and analyzed by another single-blinded researcher. The following data were collected: age, gender, body mass index (BMI), smoking and alcohol consumption, diabetes mellitus, Parathyroid Hormone (PTH), propeptide of type I procollagen (P1NP), b-C-terminal telopeptide of type I collagen ( $\beta$ -CTX), N-terminal middle segment osteocalcin (N-MID), alkaline phosphatase (ALP), acid phosphatase (ACP), non-prostatic acid phosphatase (NACP), 25(OH)D, uric acid (UA), Calcium (Ca), Phosphorus (P), Magnesium (Mg), serum albumin (ALB), total protein (TP), total cholesterol (TC), triglyceride (TG), high-density lipoprotein cholesterol (HDL-C), low-density lipoprotein cholesterol (LDL-C), blood glucose (Glu), red blood cell (RBC), hemoglobin (HB), white blood cell (WBC), platelet and lumbar BMD (T-score) measured.

### BMD Evaluation

The BMD (T-score) was measured at the lumbar spine (L1–4), total hip, and femoral neck areas using the Lunar iDXA (GE Healthcare, Chicago, IL, USA); Fractured vertebrae were excluded from the measurement, and patients with a T-score of  $-2.5$  or less in the lumbar or femoral neck were diagnosed with osteoporosis. For the current study, the T-score of lumbar spines was extracted as a potential factor for the

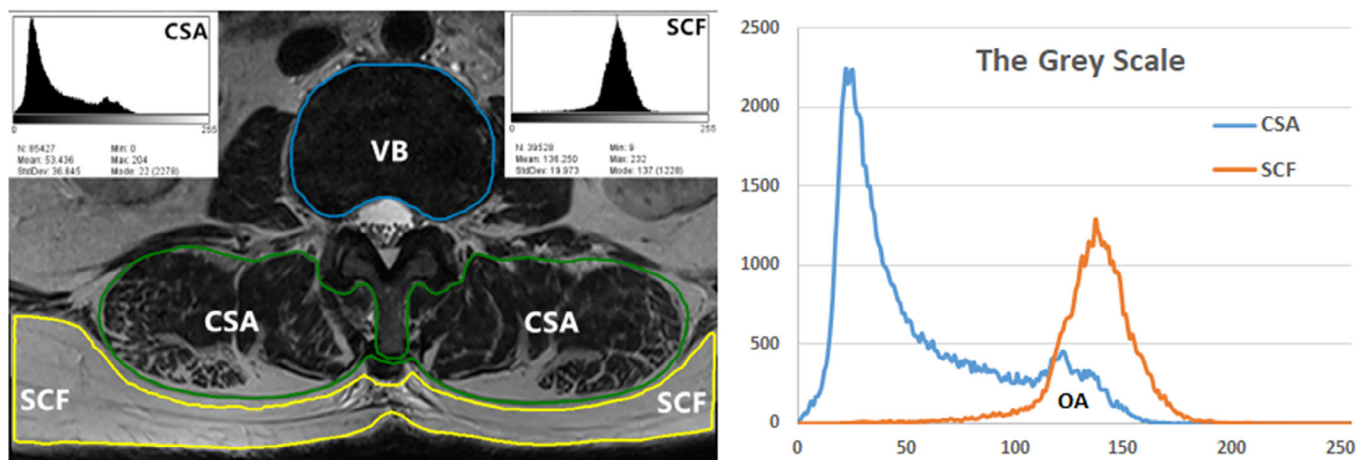
NTOVCF predictive model. The severity of osteoporosis was graded according to the T-score: first-degree  $-2.5$  to  $-3.5$ , second-degree  $-3.5$  to  $-4.0$ , and third-degree  $-4.0$  and below.

### Assessment of Blood Indicators

Venous blood was drawn in the morning from participants who had fasted for 8 h. Serum ALP, ACP, NACP, ALB, TP, UA, Ca, P, Mg, TC, TG, HDL-C, and LDL-C were examined using AU5800 automatic biochemistry (Beckman Coulter, Pasadena, CA, USA). Serum P1NP,  $\beta$ -CTX, N-MID, and 25(OH)D were measured by Cobas 6000 analyzer series (Roche, Basel, Switzerland). Nutritional status was graded regarding serum ALB levels: levels above 35 g/L were defined as normal, while mild malnutrition ranged from 30 to 35 g/L, moderate from 25 to 30 g/L, and 25 g/L and below were severe malnutrition. Besides, HB can reflect anemia and, to some extent, the nutritional status of patients. In this study, serum HB of 110 g/L and above, 90–110 g/L, 60–90 g/L, and below 60 g/L were accordingly classified as a normal, mild, moderate, and severe deficiency, respectively. Meanwhile, since  $\beta$ -CTX and P1NP were tightly representative markers of bone-resorbing osteoclast and bone-forming osteoblast, respectively, by calculating the ratio of  $\beta$ -CTX to P1NP (CPR), the relative activity of osteoclastic action and osteogenesis was analyzed.

### Image Analyses

Magnetic resonance imaging (MRI) examinations were completed using the 3.0T (Siemens Healthineers, Erlangen, Germany) scanner. T2-weighted images, parallel to the inferior endplate of the L3 vertebral body, were selected for analysis. The cross-sectional area (CSA) of the bilateral



**FIG. 1** The cross-sectional area (CSA) of the paraspinal muscle and vertebral body size (VB) were separately outlined. The fatty degeneration ratio (FDR) of the paraspinal muscle was calculated using the ImageJ, and the gray-scale ranges for CSA and subcutaneous fat (SCF) were presented as histograms, then the overlapping area (OA) of CSA and SCF grayscale ranges were produce, which indicated the amount of fatty degeneration within the CSA. FDR was formulated by the number of pixels in the overlap area divided by the total number of pixels in the CSA

multifidus and erector spinae, and vertebral body size (VB) were separately outlined with the graphic cursor and measured on images using the hospital PACS digital imaging system (Fig. 1). The fatty degeneration ratio (FDR) of the paraspinal muscle was analyzed and calculated using the ImageJ software for Windows (ImageJ version 1.53k, National Institutes of Health, Bethesda, MD, USA). After the images were transferred to ImageJ, the gray-scale ranges for CSA of paraspinal muscle on both sides and subcutaneous fat (SCF) were presented as histograms, then the overlapping area of CSA and SCF grayscale ranges were produced on the gray-scale histograms (Fig. 1), which indicated the amount of fatty degeneration within the CSA. FDR was formulated by the number of pixels in the overlap area divided by the total number of pixels in the CSA.<sup>21</sup> Lumbar muscle mass (LMM) was calculated by multiplying CSA with (1-FDR), while lumbar muscle fat content (LMF) was calculated by multiplying CSA with FDR. The degrees of paraspinal muscular fatty degeneration was graded as mild, moderate, and severe grades as the FDR < 10%, 10%–50%, and > 50%, respectively.<sup>22</sup> The researcher who assessed the images was blinded to the clinical and demographic data of the patients.

### Lifestyle

Lifestyles of patients, such as smoking, alcohol intake, activities of daily living, and Calcium tablets and vitamin D3 tablets taken, were compared between the two groups. Concerning smoking and drinking, “Never” referred to non-smoker or non-drinker, “Previous” referred to former smokers or previous drinkers who had already quit smoking

or drinking, whereas “Present” referred to those with daily smoking or alcohol consumption habits. The activities of daily living (ADL) before admission were evaluated using the Barthel Index, which is a recognized and simple scoring tool used to evaluate basic ADL functions and the level of physical performance.<sup>23</sup> The ADL assessment includes eating, dressing, washing, bathing, going to the toilet, and functional movements include turning over, sitting up from bed, transferring, walking, driving wheelchairs, going up and down stairs; any item that could not meet the needs of daily living was directly identified as insufficient daily activities (“Underactivity”), while “Normal” referred to sufficient activity to meet those needs. In terms of Calcium and vitamin D3 supplements, “Daily use” was defined as patients regularly taking calcium once a day (600 mg) or vitamin D3 tablets daily (125IU); “Irregular taken” referred to those who take their medication irregularly and sometimes forget it, and “Never” represented those who had never taken medication supplements.

### Statistical Analysis

The SPSS 24.0 for Windows (IBM, Armonk, NY, USA) was used for statistical analysis, and values of  $P < 0.05$  were considered to indicate statistical significance. Continuous variables were presented as mean  $\pm$  standard deviation (SD), and as numbers or percentages for categorical data. Univariate analysis using student's  $t$ -tests for continuous variables or a chi-squared test for categorical variables was conducted to identify risk factors. The correlations between clinical parameters and the presence or absence of vertebral fractures in

**TABLE 1 Comparison of the demographic characteristics and lifestyles between groups**

Variables	NTOVCF (n = 208)	Control (n = 220)	P value
Age (years) <sup>a</sup>	73.9 $\pm$ 4.6	73.1 $\pm$ 3.6	0.101
Gender (female/male) <sup>b</sup>	143/65	136/84	0.133
Menopausal age (years) <sup>a</sup>	49.5 $\pm$ 3.0	50.0 $\pm$ 2.9	0.221
BMI (kg/m <sup>2</sup> ) <sup>a</sup>	22.97 $\pm$ 1.82	23.11 $\pm$ 3.42	0.606
Region of the patient (n) <sup>b</sup>	Northern female	34 (16.3%)	40 (18.2%)
	Northern male	13 (6.3%)	19 (8.6%)
	Southern female	109 (52.4%)	96 (43.6%)
	Southern male	52 (25.0%)	65 (29.5%)
	Cigarette smoking <sup>b</sup>	Never	158
	Previous	39	36
	Present	11	19
Alcohol drinking <sup>b</sup>	Never	151	148
	Previous	48	65
	Present	9	7
Daily activity <sup>b</sup>	Underactivity	173	139
	Normal	35	81
Calcium tablets taken <sup>b</sup>	Daily use	33	25
	Irregular taken	56	61
	Never	119	134
Vitamin D3 supplement <sup>b</sup>	Daily use	3	7
	Irregular taken	21	18
	Never	184	195

Notes: The North–South boundary refers to the Qinling-Huaihe line.; Abbreviation: BMI, body mass index.; <sup>a</sup> Values were expressed as mean  $\pm$  SD and evaluated by the student's  $t$ -test.; <sup>b</sup> Values were expressed as numbers and compared using the Chi-square test.

428 participants were analyzed with the Spearman correlation coefficient. The NTOVCF-related variables, the statistical significances that had been verified by both the univariate analysis and correlation analysis ( $P < 0.05$ ), were identified as candidate independent factors to build the binary logistic regression model for risk prediction, and the logistic regression analysis was performed using a stepwise approach to determine independent predictors of the occurrence of vertebral fractures. The capacities of each significant clinical parameter to predict NTOVCF were assessed with a receiver operating characteristic (ROC) curve analysis. As an accuracy index, the AUC was used to evaluate the diagnostic performance of the variable; besides, specificity, sensitivity, positive and negative predictive values, and diagnostic efficiency, were evaluated for each diagnostic method. To identify potential collinearity between variables, collinearity tests were performed. To verify the validity of the risk model, this study randomly screened and selected 50 elderly patients with primary osteoporosis and another 50 patients with primary OVCF, and extracted the data and information required for verification. The validation of the new model was carried out by comparing it to T-score-based prediction, the OSTA risk tool, and the FRAX system

(<http://www.sheffield.ac.uk/FRAX>), and the comparison of ROC curves was conducted.

## Results

The demographic characteristics and differences in lifestyle of the participants were shown in Table 1. No significant differences were found between groups regarding gender, age, region, menopausal age, BMI, smoking, alcohol consumption, Calcium taken, and vitamin D3 supplement. However, the rate of the participants who achieved sufficient daily physical activity in the fractured group was significantly lower than that in the control group (16.8% vs. 36.8%) ( $P < 0.001$ ).

### Differences in BMD, Serum Bone Turnover Markers, and Related Hormones

Compared with the control group, the levels of  $\beta$ -CTX ( $0.89 \pm 0.65$  vs.  $0.57 \pm 0.30$  ng/ml), ACP ( $8.82 \pm 1.23$  vs.  $8.21 \pm 1.11$  U/L), and NACP ( $4.71 \pm 0.70$  vs.  $4.13 \pm 0.61$  U/L) were significantly higher in NTOVCF group ( $P < 0.001$ ). However, the serum PTH and 25(OH)D in the NTOVCF group were  $28.31 \pm 17.28$  pg/ml and  $21.93 \pm 8.39$  ng/ml, significantly lower than the  $38.11 \pm 20.17$  pg/ml and  $24.82 \pm 8.50$  ng/ml in the control group ( $P < 0.001$ ). There were no significant differences between groups regarding the levels of P1NP, ALP, N-MID, Ca, P, Mg, and PACP. For analyzing the relative strength of the bone formation and bone resorption, the  $\beta$ -CTX/P1NP ratio was significantly higher in the NTOVCF group than in the control group ( $0.02509 \pm 0.02586$  vs.  $0.01184 \pm 0.0060$ ,  $P < 0.001$ ). The average of the BMD T-score in the NTOVCF group was significantly lower than that in the control group ( $-3.48 \pm 0.47$  vs.  $-3.07 \pm 0.44$ ,  $P < 0.001$ ), and the proportions of patients with second- and third-degree osteoporosis in NTOVCF group were significantly higher than those in the control group (41.3% and 14.9% vs. 16.4% and 4.1%, respectively,  $P < 0.001$ ). (Table 2 and Fig. 2A,B).

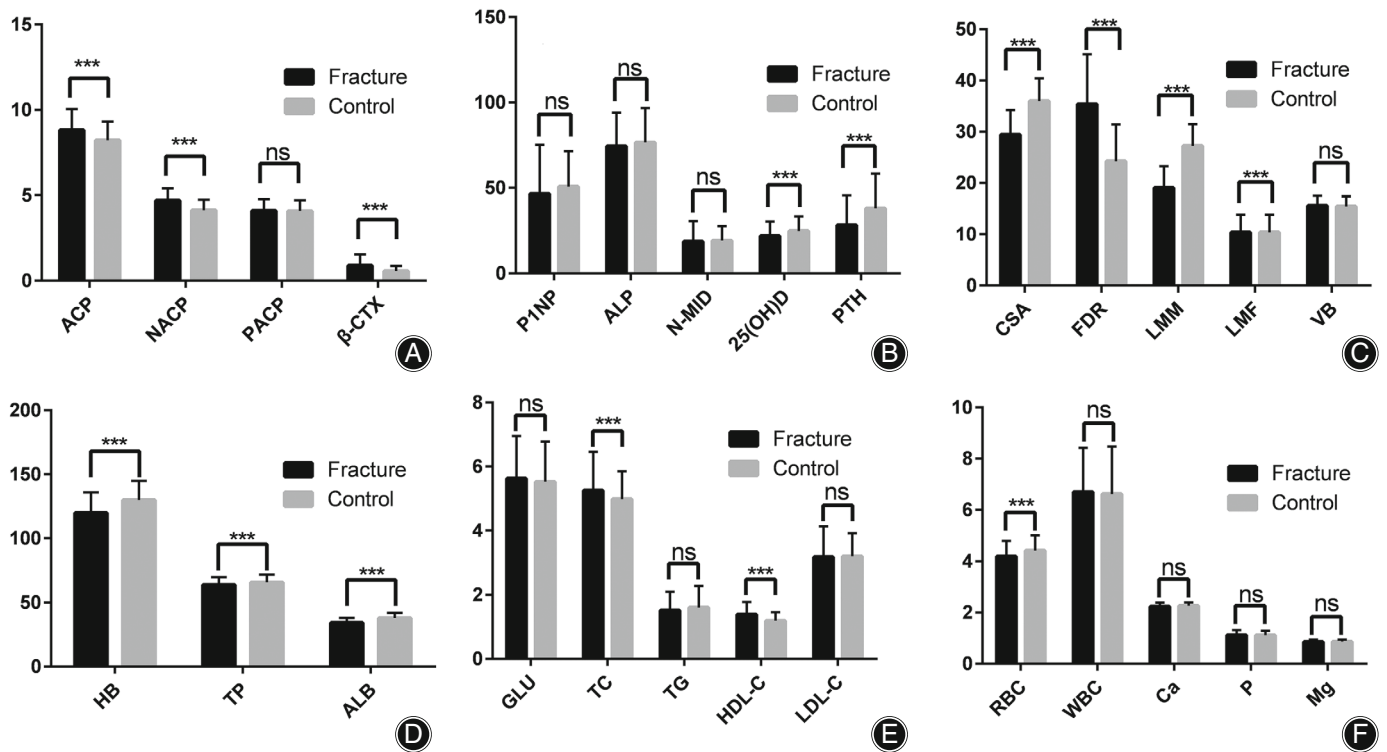
### Magnetic Resonance Imaging Measurements

The average of VB was  $15.59 \pm 1.95$  cm<sup>2</sup> in the NTOVCF group and similar to the  $15.59 \pm 1.95$  cm<sup>2</sup> of the control group ( $P > 0.05$ ), which also meant that imaging measurements included in the comparison such as FDR, CSA, LMM, and LMF, were comparable. The values of CSA and LMM of the paraspinal muscle (erector spinae and multifidus) were significantly less in the NTOVCF group than in the control group ( $29.45 \pm 4.76$  and  $19.04 \pm 4.24$  cm<sup>2</sup> vs.  $35.96 \pm 4.43$  and  $27.24 \pm 4.22$  cm<sup>2</sup>,  $P < 0.001$ ), while the average of LMF in the NTOVCF group were  $10.41 \pm 3.39$  cm<sup>2</sup>, significantly higher than the  $8.72 \pm 2.78$  cm<sup>2</sup> of the control group ( $P < 0.001$ ). The degree of lumbar muscular fat degeneration in the NTOVCF group was notably severe than in the control ( $35.38 \pm 9.73$  vs.  $24.24 \pm 7.16$ ,  $P < 0.001$ ), and the proportion of severe degeneration in the NTOVCF group was 12.5%, significantly higher than the 1.8% of the control group ( $p < 0.001$ ) (Table 3 and Fig. 2C).

**TABLE 2 Comparison of the laboratory results and biochemical indices between groups**

Variables	NTOVCF (n = 208)	Control 2 (n = 220)	P value
RBC ( $\times 10^{12}/L$ ) <sup>a</sup>	4.19 $\pm$ 0.60	4.41 $\pm$ 0.60	0.000
HB (g/L) <sup>a</sup>	119.95 $\pm$ 16.85	129.86 $\pm$ 15.11	0.000
Normal (n) <sup>a</sup>	152 (75.2%)	197 (89.5%)	0.000
Mild deficiency (n) <sup>a</sup>	40 (19.8%)	22 (10.0%)	
Moderate deficiency (n) <sup>b</sup>	16 (7.9%)	1 (0.5%)	
Severe deficiency (n) <sup>b</sup>	0	0	
WBC ( $\times 10^9/L$ ) <sup>b</sup>	6.70 $\pm$ 1.73	6.62 $\pm$ 1.86	0.618
Platelet ( $\times 10^9/L$ ) <sup>b</sup>	249.52 $\pm$ 75.31	238.60 $\pm$ 72.51	0.128
TP (g/L) <sup>b</sup>	63.89 $\pm$ 5.92	65.76 $\pm$ 6.07	0.001
ALB (g/L) <sup>b</sup>	34.23 $\pm$ 3.93	37.90 $\pm$ 4.07	0.000
Normal (n) <sup>a</sup>	83 (39.9%)	176 (80.0%)	0.000
Mild malnutrition (n) <sup>a</sup>	84 (40.4%)	40 (18.2%)	
Moderate malnutrition (n) <sup>b</sup>	39 (18.8%)	4 (1.8%)	
Severe malnutrition (n) <sup>b</sup>	2 (1.0%)	0	
UA (umol/L) <sup>b</sup>	317.40 $\pm$ 81.89	328.17 $\pm$ 82.33	0.176
Glu (mmol/L) <sup>b</sup>	5.63 $\pm$ 1.33	5.52 $\pm$ 1.26	0.407
TC (mmol/L) <sup>b</sup>	5.26 $\pm$ 1.21	4.99 $\pm$ 0.86	0.008
TG (mmol/L) <sup>b</sup>	1.52 $\pm$ 0.58	1.60 $\pm$ 0.67	0.427
HDL-C (mmol/L) <sup>b</sup>	1.39 $\pm$ 0.39	1.19 $\pm$ 0.26	0.000
LDL-C (mmol/L) <sup>b</sup>	3.17 $\pm$ 0.96	3.19 $\pm$ 0.72	0.806

Abbreviations: ALB, serum albumin; Glu, blood glucose; HB, hemoglobin; HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; RBC, red blood cell; TC, total cholesterol; TP, total protein; TG, triglyceride; WBC, white blood cell.; <sup>a</sup>Notes: Values were expressed as numbers and compared using a chi-square test.; <sup>b</sup>Values were expressed as mean  $\pm$  SD and evaluated by the student's t-test.



**FIG. 2** (A and B) showed the differences in serum bone turnover markers and related hormones. MRI findings were demonstrated in (C), and blood routine and serum biochemical indicators were shown in (D–F). Statistical differences were expressed as  $P < 0.05$  (\*),  $P < 0.01$  (\*\*), and  $P < 0.001$  (\*\*\*), besides, “ns” meant no significant difference. Parathyroid Hormone (PTH, pg/ml), 25(OH)D (ng/ml), propeptide of type I procollagen (P1NP, ng/ml), b-C-terminal telopeptide of type I collagen ( $\beta$ -CTX, ng/ml), N-terminal middle segment osteocalcin (N-MID, ng/ml), alkaline phosphatase (ALP, U/L), acid phosphatase (ACP, U/L), non-prostatic acid phosphatase (NACP, U/L), prostatic acid phosphatase (PACP, U/L), bone mineral density (BMD, T-score); Cross-sectional area (CSA,  $\text{cm}^2$ ), vertebral body size (VB,  $\text{cm}^2$ ), fatty degeneration ratio (FDR, %), lumbar muscle mass (LMM,  $\text{cm}^2$ ), lumbar muscle fat content (LMF,  $\text{cm}^2$ ); Red blood cell (RBC,  $\times 10^{12}/\text{L}$ ), hemoglobin (HB, g/L), white blood cell (WBC,  $\times 10^9/\text{L}$ ), serum albumin (ALB, g/L), total protein (TP, g/L), total cholesterol (TC, mmol/L), triglyceride (TG, mmol/L), high-density lipoprotein cholesterol (HDL-C, mmol/L), low-density lipoprotein cholesterol (LDL-C, mmol/L), blood glucose (Glu, mmol/L), calcium (Ca, mmol/L), phosphorus (P, mmol/L), magnesium (Mg, mmol/L)

### The Differences in the Blood Test and Biochemical Indices

The levels of TP and ALB in the NTOVCF group ( $63.89 \pm 5.92$  g/L and  $34.23 \pm 3.93$  g/L, respectively) were significantly lower than those in the control group ( $65.76 \pm 6.07$  and  $37.90 \pm 4.07$ , respectively) ( $P = 0.001$  and  $P < 0.001$ ). Similar results were observed regarding RBC and HB,  $4.19 \pm 0.60 \times 10^{12}/\text{L}$  and  $119.95 \pm 16.85$  g/L in the NTOVCF group, while  $4.41 \pm 0.60 \times 10^{12}/\text{L}$  and  $129.86 \pm 15.11$  g/L in the control group, respectively ( $P < 0.001$ ). In terms of nutritional status, the proportion of normal, mild, moderate, and severe malnutrition in the NTOVCF group was 39.9%, 40.4%, 18.8%, and 1.0% respectively, which were significantly more severe than 80.0%, 18.2%, 1.8% and 0 in the control group ( $P < 0.001$ ). Meanwhile, patients with a normal level, mild, and moderate deficiencies of HB accounted for 75.2%, 19.8%, and 7.9% of the NTOVCF group, respectively; in the control group, the proportions were 89.5%, 10.0%, 35%, and 0.5%, respectively ( $P < 0.001$ ). For lipid metabolism, serum TC and

HDL-C in NTOVCF patients were  $5.26 \pm 1.21$  mmol/L and  $1.39 \pm 0.39$  mmol/L, significantly higher than those in the control,  $4.99 \pm 0.86$  mmol/L, and  $1.19 \pm 0.26$  mmol/L, respectively ( $P = 0.008$  and  $0.000$ ); as for the analysis of TG and LDL-C, no differences were evidenced for NTOVCF group in comparison with the control. There were no significant differences between groups as to the levels of WBC, platelet, Glu, and UA ( $P > 0.05$ ) (Table 4, Fig. 2D,E,F).

### Correlations among BTMs, BMD, Lifestyles, Blood and Serum Parameters, MRI Measurements, and the Occurrence of NTOVCF

The occurrence of NTOVCF had significant correlations with TP, ALB, RBC, HB, 25 (OH)D, PTH, P1NP, BMD,  $\beta$ -CTX, ACP, NACP, TC, HDL-C, CSA, LMF, LMM, FDR and activities of daily living (all  $P$  values were  $< 0.05$ ). However, fractures had no obvious correlation with age, BMI, WBC, platelet, P1NP, N-MID, ALP, Ca, P, Mg, smoking, alcohol drinking, and VB. The correlation analysis showed that

the levels of 25 (OH)D, PTH, P1NP, TP, ALB, RBC, HB, LMM, CSA, BMD, and activities of daily living were negatively correlated with the occurrence of NTOVCF, while

$\beta$ -CTX,  $\beta$ -CTX/P1NP, ACP, NACP, TC, HDL-C, LMF, and FDR were positively correlated with the occurrence of NTOVCF. (The detailed correlations were shown in Table 5).

**TABLE 3 Comparison of the levels of BTMs and BMD between groups**

Variables	NTOVCF (n = 208)	Control (n = 220)	P value
P1NP (ng/ml) <sup>a</sup>	46.58 ± 28.57	50.75 ± 20.67	0.086
$\beta$ -CTX (ng/ml) <sup>a</sup>	0.89 ± 0.65	0.57 ± 0.30	0.000
N-MID (ng/ml) <sup>a</sup>	18.72 ± 11.81	19.16 ± 8.51	0.664
ALP (U/L) <sup>a</sup>	74.38 ± 19.72	76.57 ± 20.11	0.257
ACP (U/L) <sup>a</sup>	8.82 ± 1.23	8.21 ± 1.11	0.000
NACP (U/L) <sup>a</sup>	4.71 ± 0.70	4.13 ± 0.61	0.000
PACP (U/L) <sup>a</sup>	4.11 ± 0.67	4.08 ± 0.63	0.656
PTH (pg/ml) <sup>a</sup>	28.31 ± 17.28	38.11 ± 20.17	0.000
25(OH)D (ng/ml) <sup>a</sup>	21.93 ± 8.39	24.82 ± 8.50	0.000
Calcium (mmol/L) <sup>a</sup>	2.24 ± 0.15	2.26 ± 0.14	0.092
Phosphorus (mmol/L) <sup>a</sup>	1.12 ± 0.19	1.11 ± 0.17	0.643
Magnesium (mmol/L) <sup>a</sup>	0.85 ± 0.09	0.87 ± 0.08	0.135
$\beta$ -CTX/P1NP (%) <sup>a</sup>	2.51 ± 2.59	1.18 ± 0.60	0.000
BMD (T-score) <sup>a</sup>	-3.48 ± 0.47	-3.07 ± 0.44	0.000
First-degree (n) <sup>b</sup>	91 (43.8%)	175 (79.5%)	0.000
Second-degree (n) <sup>b</sup>	86 (41.3%)	36 (16.4%)	
Third-degree (n) <sup>b</sup>	31 (14.9%)	9 (4.1%)	

Abbreviations:  $\beta$ -CTX, b-C-terminal telopeptide of type I collagen; ACP, acid phosphatase; ALP, alkaline phosphatase; BMD, bone mineral density; NACP, non-prostatic acid phosphatase; N-MID, N-terminal middle segment osteocalcin; PACP, prostatic acid phosphatase; P1NP, propeptide of type I procollagen; PTH, Parathyroid Hormone.; <sup>a</sup>Notes: Values were expressed as mean ± SD and evaluated by the student's *t*-test.; <sup>b</sup>Values were expressed as numbers and compared using a Chi-square test.

**TABLE 4 Comparison of MRI imaging measurements between the two groups**

Variables	NTOVCF (n = 208)	Control (n = 220)	P value
CSA (cm <sup>2</sup> ) <sup>a</sup>	29.45 ± 4.76	35.96 ± 4.43	0.000
VB (cm <sup>2</sup> ) <sup>a</sup>	15.59 ± 1.95	15.43 ± 1.99	0.392
LMM (cm <sup>2</sup> ) <sup>a</sup>	19.04 ± 4.24	27.24 ± 4.22	0.000
LMF (cm <sup>2</sup> ) <sup>a</sup>	10.41 ± 3.39	8.72 ± 2.78	0.000
FDR (%) <sup>a</sup>	35.38 ± 9.73	24.24 ± 7.16	0.000
Mild degeneration (n) <sup>b</sup>	0	0	0.000
Moderate degeneration (n) <sup>b</sup>	182 (87.5%)	216 (98.2%)	
Severe degeneration (n) <sup>b</sup>	26 (12.5%)	4 (1.8%)	

Abbreviations: CSA, cross-sectional area; FDR, fatty degeneration ratio; LMF, lumbar muscle fat content; LMM, lumbar muscle mass; VB, vertebral body size.; <sup>a</sup>Notes: Values were expressed as mean ± SD and evaluated by the student's *t*-test.; <sup>b</sup>Values were expressed as numbers and compared using a Chi-square test.

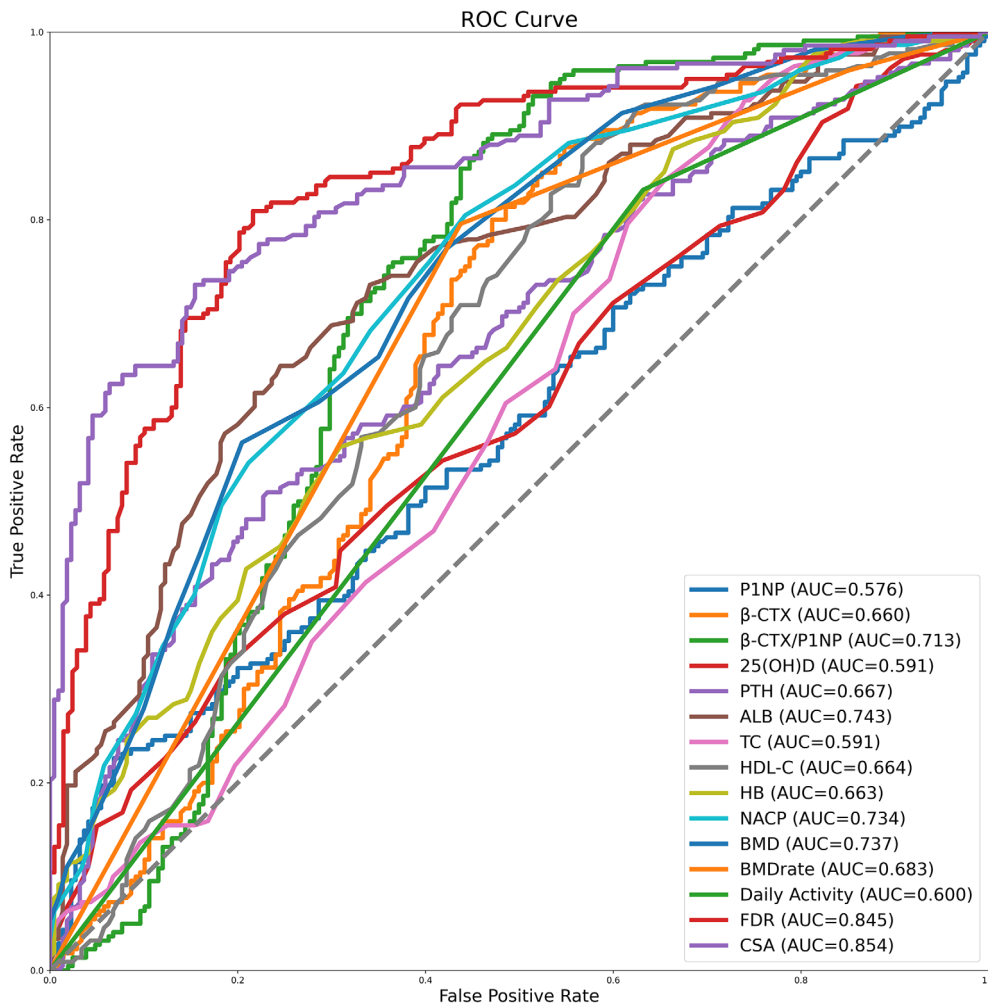
### The Performances of the Related Factors in Predicting the Occurrence of NTOVCF

Receiver operating characteristic curves of  $\beta$ -CTX, P1NP, 25(OH)D, PTH, ALB, TC, HDL-C, HB, NACP, BMD, activities of daily living, FDR, and CSA were shown in Fig. 3, where the cut-off value, specificity and sensitivity, positive and negative predictive values, and diagnostic efficiency of each factor was demonstrated. Among these biomarkers, CSA showed the highest AUC (0.854, 95% confidence interval: 0.817–0.886) for predicting the occurrence of NTOVCF; both CSA and FDR had AUC values exceeding 0.8, meanwhile, all of the AUC values of  $\beta$ -CTX/P1NP, ALB, ALB degree, NACP, and BMD were larger than 0.7 (Table 6 and Fig. 3).

**TABLE 5 NTOVCF related BTMs, BMD, biochemical indicators, imaging measurements**

Variables	Occurrence of NTOVCF	
	R <sup>2</sup>	P value
P1NP (ng/ml)	-0.131**	0.007
$\beta$ -CTX (ng/ml)	0.277**	0.000
$\beta$ -CTX/P1NP (%)	0.368**	0.000
25(OH)D (ng/ml)	-0.157**	0.001
PTH (pg/ml)	-0.288**	0.000
TP (g/L)	-0.132**	0.006
ALB (g/L)	-0.420**	0.000
ALB degree (n)*	0.429**	0.000
TC (mmol/L)	0.157**	0.001
HDL-C (mmol/L)	0.284**	0.000
RBC ( $\times 10^{12}/L$ )	-0.190**	0.000
HB (g/L)	-0.283**	0.000
HB degree (n)*	0.220**	0.000
ACP (U/L)	0.244**	0.000
NACP (U/L)	0.405**	0.000
BMD (T-score)	-0.410**	0.000
BMD degree (n)*	0.369**	0.000
Daily activity (n)*	-0.225**	0.000
FDR (%)	0.598**	0.000
FDR degree (n)*	0.209**	0.000
CSA (cm <sup>2</sup> )	-0.613**	0.000
LMM (cm <sup>2</sup> )	-0.729**	0.000
LMF (cm <sup>2</sup> )	0.289**	0.000

Abbreviations:  $\beta$ -CTX, b-C-terminal telopeptide of type I collagen; ALB, serum albumin; AUC, area under the ROC curve; BMD, bone mineral density; CI, confidence interval; CSA, cross-sectional area; DE, diagnostic efficiency; FDR, fatty degeneration ratio; HB, hemoglobin; HDL-C, high-density lipoprotein cholesterol; LMF, lumbar muscle fat; LMM, lumbar muscle mass; NACP, non-prostatic acid phosphatase; NPV, negative predictive value; P1NP, propeptide of type I procollagen; PPV, positive predictive value; PTH, Parathyroid Hormone; R<sup>2</sup>, correlation coefficient; TC, total cholesterol.; Notes: All P values were calculated with the Spearman correlation analysis. \*\*P value < 0.01 was considered the correlation was particularly significant.



**FIG. 3** The performances of the related factors in predicting the occurrence of NTOVCF. Receiver operating characteristic curves (ROC) of hemoglobin (HB), serum albumin (ALB), total cholesterol (TC), high-density lipoprotein cholesterol (HDL-C), propeptide of type I procollagen (P1NP), b-C-terminal telopeptide of type I collagen (β-CTX), non-prostatic acid phosphatase (NACP), bone mineral density (BMD), fatty degeneration ratio (FDR), cross-sectional area (CSA), and activities of daily living (daily activity) were shown. Area under curve (AUC)

### Binary Logistic Regression Analysis and Risk Prediction Model for NTOVCF

The NTOVCF-related variables, the statistical significances that had been verified by both the univariate analysis and correlation analysis ( $P < 0.05$ ), were identified as candidate independent factors to build the logistic regression model. Since LMR and FDR were complementary, and LMF and LMM were calculated by multiplying FDR and LMR with CSA, respectively, only FDR and CSA were included in the binary regression analysis to avoid subsequent collinear problems. What is more, in the correlation analysis, strong correlations were found between those factors of the same practical significance such as RBC and HB, TP and ALB, and ACP and NACP ( $R^2 = 0.533, 0.626, \text{ and } 0.895$ , respectively,

and all the  $P$  values were  $< 0.001$ ), therefore, only the factors with higher correlation coefficients with NTOVCF were included in the regression analysis. After comprehensive consideration, β-CTX/P1NP, 25(OH)D, PTH, ALB, TC, HDL-C, BMD, HB, NACP, BMD, daily activity, FDR, and CSA were included in the final binary logistic regression analysis. Due to their small contribution to the prediction of NTOVCF, factors such as TC, PTH, 25(OH)D, and daily activity were not included in the final risk model. Logistic regression analysis showed that inadequate ALB, HB, BMD, and CSA, as well as increased β-CTX/P1NP, NACP, HDL-C, and FDR were risk factors for NTOVCF. (Table 7). Accordingly, the following risk prediction model for NTOVCF was obtained:

$$P = 1 / \left[ 1 + e^{-(7.094 - 0.158 \times \text{ALB} - 0.064 \times \text{HB} + 1.905 \times \text{HDL-C} + 0.721 \times \text{CPR} + 1.325 \times \text{NACP} - 1.620 \times \text{BMD} + 0.153 \times \text{FDR} - 0.383 \times \text{CSA})} \right]$$



**TABLE 6 The performances of the related factors in predicting the occurrence of NTOVCF**

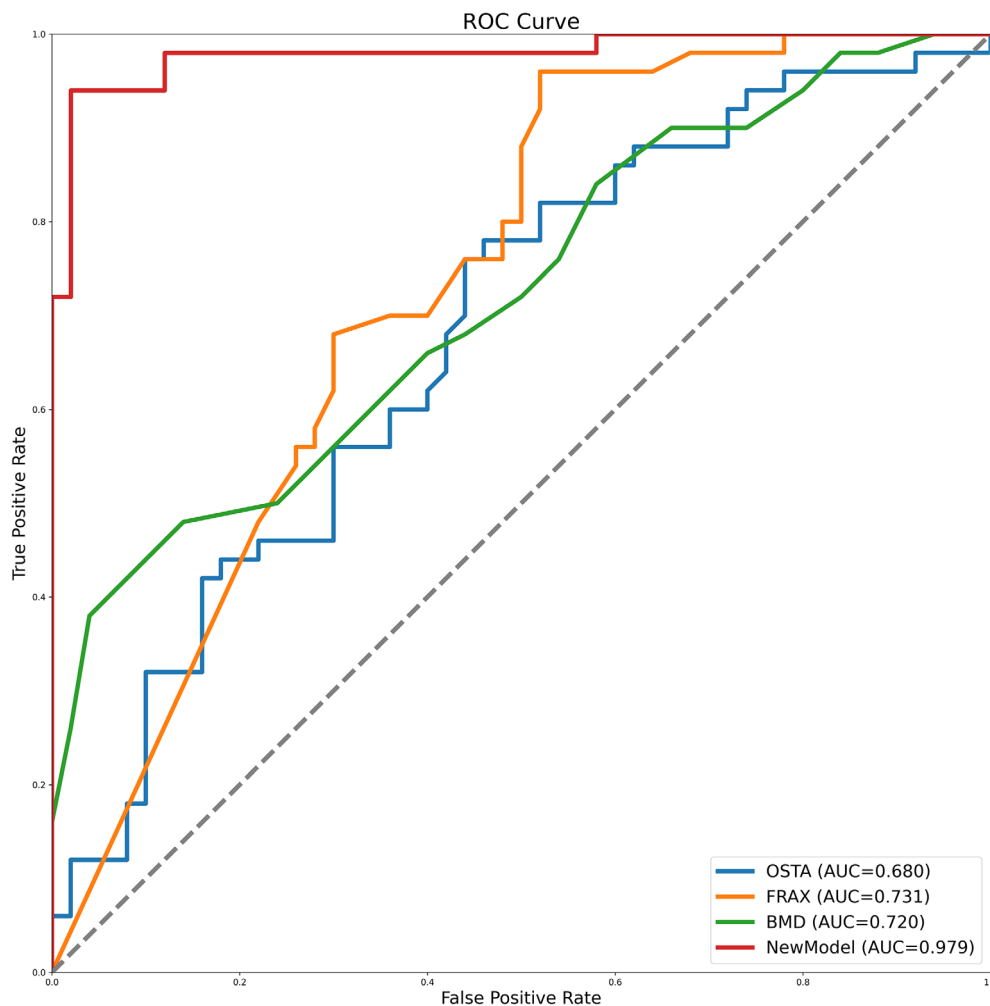
Variables	AUC (95% CI)	P value	Cut-off value	Sensitivity (%; 95% CI)	Specificity (%; 95% CI)	PPV (%; 95% CI)	NPV (%; 95% CI)	DE (%; 95% CI)
P1NP (ng/ml)	0.576 (0.527–0.623)	0.0064	23.94	22.6 (17.1–28.9)	93.2 (89.0–96.1)	75.8 (64.4–84.4)	56.0 (54.0–58.0)	58.9 (54.1–63.6)
β-CTX (ng/ml)	0.660 (0.613–0.705)	<0.0001	0.899	45.2 (38.3–52.2)	87.7 (82.6–91.8)	77.7 (70.3–83.6)	62.9 (59.7–65.9)	67.1 (62.4–71.5)
β-CTX/P1NP (%)	0.713 (0.667–0.755)	<0.0001	1.7092	53.9 (46.8–60.8)	88.2 (83.2–92.1)	81.2 (74.6–86.3)	66.9 (63.4–70.2)	71.5 (67.0–75.7)
25(OH)D (ng/ml)	0.591 (0.543–0.638)	0.0009	20	44.7 (37.8–51.7)	69.1 (62.5–75.1)	57.8 (51.6–63.7)	56.9 (53.2–60.6)	57.2 (52.4–62.0)
PTH (pg/ml)	0.667 (0.620–0.711)	<0.0001	24.6	51.0 (44.0–57.9)	76.8 (70.7–82.2)	67.5 (61.2–73.2)	62.4 (58.6–66.0)	64.3 (59.5–68.8)
ALB (g/L)	0.743 (0.699–0.784)	<0.0001	35.6	64.4 (57.5–70.9)	75.5 (69.2–81.0)	71.3 (65.8–76.2)	69.2 (64.8–73.2)	70.1 (65.5–74.4)
ALB degree (n) <sup>†</sup>	0.715 (0.669–0.757)	<0.0001	0	60.1 (53.1–66.8)	80.0 (74.1–85.1)	74.0 (68.1–79.1)	68.0 (63.9–71.7)	70.3 (65.8–74.6)
TC (mmol/L)	0.591 (0.542–0.638)	<0.0001	5.7	34.6 (28.2–41.5)	84.1 (78.6–88.7)	67.3 (59.0–74.6)	57.6 (54.8–60.4)	60.0 (55.2–64.7)
HDL-C (mmol/L)	0.664 (0.617–0.709)	<0.0001	1.44	43.3 (36.4–50.3)	86.8 (81.6–91.0)	75.6 (68.1–81.8)	61.8 (58.7–64.8)	65.7 (60.9–70.1)
HB (g/L)	0.663 (0.616–0.708)	<0.0001	123	55.8 (48.7–62.6)	69.1 (62.5–75.1)	63.0 (57.5–68.3)	62.3 (58.1–66.3)	62.6 (57.8–67.2)
HB degree (n) <sup>†</sup>	0.586 (0.537–0.633)	<0.0001	0	26.9 (21.0–33.5)	89.6 (84.7–93.3)	70.9 (60.9–79.2)	56.4 (54.1–58.7)	59.1 (54.3–63.8)
NACP (U/L)	0.734 (0.689–0.775)	<0.0001	4.6	55.8 (48.7–62.6)	80.5 (74.6–85.5)	73.0 (66.8–78.4)	65.8 (62.0–69.4)	68.5 (63.8–72.8)
BMD (T-score)	0.737 (0.692–0.778)	<0.0001	-3.5	56.3 (49.2–63.1)	79.6 (73.6–84.7)	72.2 (66.1–77.6)	65.8 (61.9–69.5)	68.2 (63.6–72.6)
BMD degree (n) <sup>†</sup>	0.683 (0.636–0.727)	<0.0001	1	56.3 (49.2–63.1)	79.6 (73.6–84.7)	72.2 (66.1–77.6)	65.8 (61.9–69.5)	68.2 (63.6–72.6)
Daily activity (n) <sup>†</sup>	0.600 (0.552–0.647)	<0.0001	0	83.2 (77.4–88.0)	36.8 (30.4–43.6)	55.5 (52.5–58.3)	69.8 (62.0–76.6)	59.3 (54.5–64.0)
FDR (%)	0.845 (0.807–0.878)	<0.0001	28.49	78.4 (72.1–83.8)	80.9 (75.1–85.9)	79.5 (74.6–83.7)	79.8 (75.2–83.8)	79.7 (75.5–83.4)
FDR degree (n) <sup>†</sup>	0.553 (0.505–0.601)	<0.0001	2	12.5 (8.3–17.8)	98.2 (95.4–99.5)	86.7 (69.8–94.8)	54.3 (52.9–55.6)	56.5 (51.7–61.3)
CSA (cm <sup>2</sup> )	0.854 (0.817–0.886)	<0.0001	32.33	73.1 (66.5–79.0)	84.6 (79.1–89.1)	81.7 (76.5–86.0)	76.9 (72.5–80.7)	79.0 (74.8–82.7)

Abbreviations: β-CTX, b-C-terminal telopeptide of type I collagen; ALB, serum albumin; AUC, area under the ROC curve; BMD, bone mineral density; CI, confidence interval; CSA, cross-sectional area; DE, diagnostic efficiency; FDR, fatty degeneration ratio; HB, hemoglobin; HDL-C, high-density lipoprotein cholesterol; NACP, non-prostatic acid phosphatase; NPV, negative predictive value; P1NP, propeptide of type I procollagen; PPV, positive predictive value; R<sup>2</sup>, correlation coefficient; TC, total cholesterol.; Note: Data were presented as value (95% CI) or % (95% CI). p value <0.05 was considered a statistical difference.

**TABLE 7 Binary logistic regression analysis of the factors influencing the occurrence of NTOVCF**

Variables	$\beta$	SE	Wald	OR (95% CI)	P
ALB (g/L)	-0.158	0.051	9.780	0.854 (0.773–0.943)	0.002
HB (g/L)	-0.064	0.014	19.621	0.938 (0.912–0.965)	<0.001
HDL-C (mmol/L)	1.905	0.631	9.118	6.720 (1.951–23.142)	0.003
$\beta$ -CTX/P1NP (%)	0.721	0.244	8.761	2.057 (1.276–3.315)	0.003
NACP (U/L)	1.325	0.311	18.121	3.763 (2.044–6.926)	<0.001
BMD (T-score)	-1.620	0.426	14.475	0.198 (0.086–0.456)	<0.001
FDR (%)	0.153	0.027	32.175	1.166 (1.105–1.229)	<0.001
CSA (cm <sup>2</sup> )	-0.383	0.059	41.682	0.682 (0.607–0.766)	<0.001

Abbreviations: ALB, serum albumin;  $\beta$ -CTX, b-C-terminal telopeptide of type I collagen; BMD, bone mineral density;  $\beta$ , regression coefficient; CI, Confidence interval; CPR, Ratio of  $\beta$ -CTX/P1NP; CSA, cross-sectional area; FDR, fatty degeneration ratio; HB, hemoglobin; HDL-C, high-density lipoprotein cholesterol; NACP, non-prostatic acid phosphatase; OR, odds ratio; P1NP, propeptide of type I procollagen; SE, standard error.; Note: Data were presented as value or value (95% CI).  $p$  value < 0.05 was considered a statistical difference.



**FIG. 4** Comparing the receiver operating characteristic curves (ROC) of the new model to those of BMD T-score-based prediction and OSTA tool. Area under curve (AUC), bone mineral density (BMD), osteoporosis self-assessment tool for Asians (OSTA)

To verify the validity of the risk model, this study further screened and included 50 elderly patients with primary osteoporosis and another 50 patients with primary OVCF, and extracted the data and information required for verification.

The validation of the new model was carried out by comparing it to T-score-based prediction, the OSTA risk tool [ $OSTA = (body\ weight, kg - age, years) \times 0.2$ ], and the FRAX system (<http://www.sheffield.ac.uk/FRAX>), and the

comparison of ROC curves was conducted and demonstrated in Fig. 4. The AUC of the novel prediction model for NTOVCF was 0.979 (SE 0.013, 95%CI 0.928–0.997), significantly superior to the 0.720 (SE 0.050, 95%CI 0.621–0.805) of BMD, better than the 0.728 (SE 0.051, 95%CI 0.629–0.812) of FRAX, and far outperformed the 0.680 (SE 0.054, 95%CI 0.579–0.769) of OSTA ( $p < 0.001$ ). The  $R^2$  value that represented overall model fitness was 0.833, the sensitivity (%) was 89.42 (84.4–93.3), and the specificity (%) was 95.45 (91.8–97.8) for the predicting of fractures. All these parameters reflected the good performance of the risk prediction model obtained based on this study. This study excluded patients with a history of fractures, which would affect the calculation of FRAX values, so in order to better evaluate the superiority of this new model over the FRAX system, a previously published AUC of FRAX for predicting OVCF<sup>24</sup> was also used and compared with the AUC of the new model. As reported, the AUC of the FRAX system for predicting OVCF was 0.796 (0.768–0.823), and the sensitivity and specificity were 74.85% and 78.52%, respectively. Among the risk prediction models tested in this study, the new model had the highest discriminating ability to identify OVCF, followed by FRAX, BMD, and OSTA tools.

## Discussion

The results of this study suggested that nutritional deficiencies, anemia, hypoproteinemia, decreased physical activity, imbalances in bone metabolism, abnormal lipid metabolism, lacking micronutrients, and degenerative and decreased muscle mass, were all risk factors for fragility fractures in the elderly. As implied by the findings, the spontaneous fractures may be consequences of cumulative declines in multiple physiological systems over the lifespan, so from this perspective, this study also helped understand why still there has been a high incidence of vertebral fractures even after taking falls prevention and other preventive measures against fragile fractures.

## Frailty

Frailty has been the most problematic expression of population aging, more seriously, with the aggravation of aging worldwide, the incidence of fragile fractures continues to increase, accompanied by a sharp increase in the demand for medical resources and a substantial increase in social health costs.<sup>25,26</sup> Worse still, the incidence of osteoporosis and related fractures is grossly underestimated and underdiagnosed. There are numerous modalities existing to visualize the suspected fractures, but identifying or predicting vertebrae that are about to fracture is extremely difficult and poorly researched.<sup>27,28</sup> Instead, importantly, screening out relevant risk factors and potential causative factors is a more effective approach to predict fractures and intervene in advance accordingly. For the elderly population, many factors can lead to frailty and subsequent fragile fractures. Frailty is a pathologic accelerated decline in strength, homeostatic function, and physiologic reserves; thus, it is

considered a significant predictor of OVCFs in older adults.<sup>29,30</sup> However, frailty is intrinsically inter-related with skeletal muscle, immune, endocrine, and other organ systems, and approaches used to measure it are wide variation; therefore, the current study has better classified the included indicators and evaluated the correlation between specific indicators and fractures, and constructed the risk model on this basis.

## Serum Albumin and Hemoglobin

Although there was no age difference between the two groups of elderly patients, the serum albumin and hemoglobin concentrations were significantly lower in fracture patients, and the proportions of patients with severe hypoalbuminemia and anemia were significantly higher. As previous studies reported, decreased hemoglobin was associated with an increased risk of fragility fractures in women<sup>31</sup> and non-vertebral fractures in men.<sup>32</sup> Patients with vertebral fractures had lower serum albumin levels.<sup>33</sup> In this study, albumin and hemoglobin had an AUC of 0.743 (0.699–0.784) and 0.663 (0.616–0.708) for predicting the fragile fracture, respectively. Anemia and hypoalbuminemia can reflect nutritional deficiencies in patients and are also related to patients' physical function and activity intensity, and maintenance of physical fitness. Moreover, as independent risk factors, anemia and hypoproteinemia increase the likelihood of frailty. Lower serum albumin is negatively connected with muscle strength. The deficiency of hemoglobin results in poor muscle oxygenation, decreased muscle strength, and inadequate physical activity, which are also important parameters of frailty.<sup>34,35</sup>

## Bone Mineral Density and Bone Turnover Biomarkers

The indispensable role of BMD in predicting the risk of NTOVCF has been well recognized in this study, and the consequences of fractures are partly attributed to the poor quality of the fragile bone. In the United States, osteoporosis screening is recommended for elders to identify individuals with abnormal values of BMD and a higher risk of related fragility fractures.<sup>36</sup> The BMD measurements play an important role in assessing bone mass and predicting the risk of fractures. However, as research progresses, there are different views and recommendations with hot debates. The most controversial issue is the discrepancy between bone density and incidence of fracture, where the role of bone quality is more emphasized in the prediction of fractures.<sup>37</sup> The BMD measurements could not sufficiently evaluate the microarchitecture and mineral composition of the bone microenvironment, which remain critical in evaluating the strength of the bone. Moreover, the BTMs are also important components of the risk prediction model for osteoporotic fractures. Having the ability to reflect the activities of osteogenesis and osteoclasts, the BTMs are relatively stable in serum and are considered the ideal serum biomarkers for evaluating bone metabolism.<sup>38</sup> This study reveals pathological bone metabolism in patients with fragility fractures, where the low-

transformation state of bone metabolism has been altered and the markers of bone metabolism are out of balance.  $\beta$ -CTX, is a collagenous bone resorption indicator, its concentrations in fractured individuals were significantly higher than those of osteoporotic patients. However, no obvious difference was detected between groups regarding P1NP, a marker used to reflect the activity of osteoblasts. The aforementioned results of  $\beta$ -CTX/P1NP represent the strength of osteoclastic activity relative to osteogenic activity. The ratio was significantly higher in the fractured population than in the control and had a high predictive efficiency for fracture. The AUC value of  $\beta$ -CTX/P1NP in the prediction of fracture was 0.713 (0.667–0.755), and its sensitivity and specificity were 53.9 (46.8–60.8) and 88.2 (83.2–92.1) respectively at the optimal critical value. Qu *et al.* also found that the level of  $\beta$ -CTX was significantly higher in fractured older women than in the non-fracture group, and they considered the high level of  $\beta$ -CTX as a risk factor for osteoporotic fracture.<sup>38</sup> However, another study supported the usage of P1NP as a predictor for bone density and reported nothing was correlated with  $\beta$ -CTX.<sup>39</sup> There were no statistical differences in the levels of P1NP, ALP, and N-MID between the two groups in this study, but found that NTOVCF patients had higher levels of NACP, which can be used to assist in the diagnosis of bone metabolism diseases. The marker of NACP also reflected the imbalanced bone microenvironment and confirmed the abnormal bone metabolism.

### Lifestyles

PTH and 25(OH)D are closely related to the serum level of Ca and P, and both play integral roles in bone homeostasis. The current study did not identify differences in Ca and P metabolism between the two groups, but there were significant differences in the levels of PTH and 25(OH)D. Relative deficiencies of PTH and 25(OH)D were present in the fracture group, but the deficiencies were not severe and might have little determinant effect on the occurrence of fractures, so they were not included in the final regression model. Furthermore, the lack of difference in serum Ca concentration indicated that supplementation with Ca and 25(OH)D may have little effect on the likelihood of fragile fractures.

### Skeletal Muscle Conditions

This study has confirmed that decreased lumbar muscle mass and muscle fat degeneration are important risk factors and predictors of osteoporotic fractures. Previous studies name this muscle condition sarcopenia,<sup>40,41</sup> a state of progressive loss of muscle mass and decrease in strength and function with age. The conceptual definition has been widely accepted but how to measure and quantify it varies and lacks consensus. To accurately analyze qualitative and quantitative differences in lumbar muscles between groups, MRI images were used for measurement. Furthermore, in this study, the MRI-based measurements are independent and sensitive predictors of the risk for NTOVCF. As the results show, a significantly higher FDR and LMF were demonstrated in the

fractured group and characterized by a smaller CSA and LMM as well. A similar phenomenon was confirmed in the findings of Tokeshi *et al.*,<sup>42</sup> except that the case screening scheme included all OVCF participants without restrictions on the mechanism of injury. As Jeon *et al.* reported, in patients with OVCFs, paraspinal muscle fatty degeneration was a risk factor for progressive vertebral collapse.<sup>43</sup> Wang *et al.* also found that sarcopenia was an independent predictive factor of osteoporotic vertebral refracture.<sup>44</sup> Even after vertebral augmentation procedures for the treatment of OVCF, patients with sarcopenia still face a higher risk of postoperative mortality.<sup>45</sup> Paraspinal muscles are crucial for maintaining normal spinal alignment, and the loss of muscle mass and increase of fatty degeneration severely affect spinal balance and damage to muscle strength, consequently, leading to a state of vulnerability and increasing the risk of fragility fractures. This study demonstrated FDR and CSA could predict the risk of fragility fractures in elderly osteoporotic patients and outperform the BMD T-score based risk prediction tool, with AUC of 0.845(0.807–0.878), 0.854(0.817–0.886) and 0.737(0.692–0.778) for OVCF, respectively. Even though BMD remains the gold standard in the diagnosis of osteoporosis and prediction of related fractures, as demonstrated in this study, the predictive power of both FDR and CSA are independent and even greater than that of BMD (T-score). From this perspective, there is a need to reconsider BMD indexes as the ideal predictors of fragile fractures. In addition to FDR, lipid metabolism markers HDL-C also played a role in the risk model, with a higher HDL-C value suggesting a higher risk of fractures. The finding was unexpected and the underlying mechanism was unclear. This may be because HDL-C contains a high amount of cholesterol, which is negatively correlated with BMD, thus resulting in a high risk of succeeding related fractures.<sup>46</sup>

### Activities of Daily Living

This study has confirmed that sufficient physical daily activities are beneficial to the occurrence of orthopedic fractures and lacking activity is correlated with a higher risk of OVCF. Adequate exercise could strengthen the lumbar muscles, improve physical function, and assist in maintaining coordination and balance of the skeletal muscle system, thereby significantly reducing the risk of fractures.<sup>47,48</sup> Additionally, adequate exercise will inevitably lead to sufficient skeletal muscle volume and strength, which can achieve a protective effect for fragile fractures as shown above. This study failed to identify the differences in lifestyles between groups regarding Ca, P, and Mg, cigarette smoking, and alcohol drinking. Qi *et al.* also found similar levels of Ca and P,<sup>49</sup> but in another study, serum Ca and P in the OVCF group were significantly lower than in the control group.<sup>50</sup> The study of Bae *et al.* also found no difference in the habits of smoking or drinking,<sup>51</sup> even though both factors have been reported to have a connection with the decrease of BMD.<sup>52</sup> However, these meaningless results may be caused by the limitation of the sample size.

### Strengths and Limitations

The current study revealed the risk factors and potential causes for the occurrence of non-traumatic osteoporotic vertebral fractures in the elderly and innovatively established a risk model to predict the incidence of NTOVCF, which could potentially be used as a risk prediction scheme for other vertebral fragility fractures as well. Compared with T-score-based prediction, the OSTA risk tool, and the FRAX<sup>®</sup> system, the biggest advantage of this risk prediction model is that it has a strong fragility fracture prediction performance because this study comprehensively analyzed the indicators of imaging examinations, laboratory tests, and demographics. With high sensitivity and specificity, the novel model had the ability to directly calculate the possibility of vertebral fractures and provided corresponding prevention and treatment recommendations for the elderly population during physical examinations and health checks. Considering the good performance of the FRAX tool in predicting the possibility of hip fractures, this study provides a reliable and stable prediction model for osteoporotic vertebral fractures and enriches the prediction tools for fragility fractures in the Chinese elderly population.

Though with a high discriminating ability to predict fractures, in clinical practice, the risk model incorporates eight fracture-related factors and can be tedious and time-consuming to use. Also, participants were collected and recruited from a single hospital, and could not be fully representative of the whole population. The design of this retrospective study itself was a limitation. This study only tested the superiority of the constructed model over other existing risk tools through internal cohort validation, which was based on the small sample size brought about by the strict inclusion and exclusion criteria of this study. However, this study included as many samples as possible in the internal validation, which improved statistical power and model stability to some extent. Constructing an external independent validation cohort of the model and conducting a multi-center study will further strengthen the findings. This part of the study is already underway and the results will be announced in due course.

### Conclusion

This study summarizes the typical characteristics of fragility fracture patients: nutritional deficiencies, muscle degeneration and strength loss, lack of daily exercise, decreased bone mass, and a state of frailty. In addition to bone turnover markers, serum albumin, lipid metabolism and paraspinal muscle condition were also important factors in predicting osteoporotic fractures; ALB, HB, CSA, and BMD were

protective factors of NTOVCF, while  $\beta$ -CTX, NACP, TC, HDL-C, and FDR were risk factors. Based on the results of this study, a novel model capable of accurately predicting the probability of osteoporotic fracture risk was obtained. Targeted prevention and treatment are expected to reduce the incidence of fragile fractures.

### Author Contributions

Zhenxing Wen: conception, design, acquisition of data, analysis and interpretation of data, drafting, and final approval. Xiaoyi Mo: acquisition of data, analysis, and interpretation of data, drafting, critical revision, and final approval. Shengli Zhao: imaging evaluation and measurement, acquisition of data, software, and final approval. Zhichao Qi: acquisition of data, interpretation of data, drafting, and final approval. Dan Fu: software, validation, critical revision, and final approval. Shifeng Wen: conceptualization, interpretation of data, software, critical revision, and final approval. Wing Hoi Cheung: grammatical modification, critical revision, and final approval. Bailing Chen: conceptualization, methodology, project administration, drafting, validation, supervision, and final approval. All authors read and approved the final manuscript.

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### Ethics Statement

This study was approved by the ethics committee of The First Affiliated Hospital, Sun Yat-sen University (No [2020]150), and all methods were performed in accordance with the Declaration of Helsinki and its later amendments or comparable ethical standards. Since the study was retrospective, the informed consent was exempt according to relevant regulations by the Institutional Review Board of The First Affiliated Hospital, Sun Yat-sen University.

### Data Availability Statement

Research-related data generated and/or analyzed during the current study are not publicly available due to the limitations of data sharing in the clinical research center of The First Affiliated Hospital, Sun Yat-sen University, but are available from the corresponding author on reasonable request.

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