

Local vs Nonlocal States in FeTiO₃ Probed with 1s2pRIXS: Implications for Photochemistry

Myrtille O. J. Y. Hunault,^{*,†} Wilayat Khan,[‡] Jan Minár,[‡] Thomas Kroll,[§] Dimosthenis Sokaras,[§] Patric Zimmermann,[†] Mario U. Delgado-Jaime,[†] and Frank M. F. de Groot^{*,†}

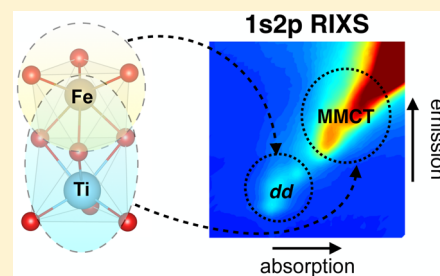
[†]Inorganic Chemistry and Catalysis, Debye Institute for Nanomaterial Science, Utrecht University, 3584CG Utrecht, The Netherlands

[‡]New Technologies-Research Center, University of West Bohemia, Univerzitni 8, 306 14 Plzeň, Czech Republic

[§]Stanford Synchrotron Radiation Lightsource (SSRL), SLAC National Accelerator Laboratory, Menlo Park, California 94025, United States

S Supporting Information

ABSTRACT: Metal–metal charge transfer (MMCT) is expected to be the main mechanism that enables the harvesting of solar light by iron–titanium oxides for photocatalysis. We have studied FeTiO₃ as a model compound for MMCT with 1s2pRIXS at the Fe K-edge. The high-energy resolution XANES enables distinguishing five pre-edge features. The three first well distinct RIXS features are assigned to electric quadrupole transitions to the localized Fe* 3d states, shifted to lower energy by the 1s core–hole. Crystal field multiplet calculations confirm the speciation of divalent iron. The contribution of electric dipole absorption due to local *p–d* mixing allowed by the trigonal distortion of the cation site is supported by DFT and CFM calculations. The two other nonlocal features are assigned to electric dipole transitions to excited Fe* 4p states mixed with the neighboring Ti 3d states. The comparison with DFT calculations demonstrates that MMCT in ilmenite is favored by the hybridization between the Fe 4p and delocalized Ti 3d orbitals via the O 2p orbitals.



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INTRODUCTION

Hematite (α -Fe₂O₃) has been extensively considered for the development of photocatalysts as a low-cost and nontoxic material.¹ Various dopings have been investigated to improve its low charge-carrier transport properties and to optimize its optical absorption, among which Ti-doping appeared as a promising solution.² Alternatively, efficient Fe–Ti associations have also been considered in various oxides³ including heterojunction assemblies of iron and titanium oxides,⁴ in dye sensitized solar cells,⁵ and in heterobinuclear complexes.⁶ In all of these, the photoinduced metal–metal charge transfer (MMCT) is expected to be the main mechanism that enables harvesting solar light energy with multivalent transition metal ions in both solid state and molecular complexes.⁷

The present study focuses on ilmenite (FeTiO₃) as a model compound for the metal–metal charge transfer process in Ti-doped hematite. MMCT in FeTiO₃ has been first reported from optical absorption spectroscopy⁸ by analogy with the optical absorption spectrum of blue sapphire (Fe- and Ti-doped Al₂O₃).⁹ Like hematite, ilmenite has a corundum structure (space group $R\bar{3}$) in which Ti and Fe occupy alternating layers of octahedrons along the *c* axis of the hexagonal unit cell (Figure 1). As a result, the Fe and Ti neighboring octahedrons share a face. This particular linkage is expected to play a key role in the direct cation–cation interactions¹⁰ and in particular to enable MMCT via the direct overlap of the Fe and Ti *z*²

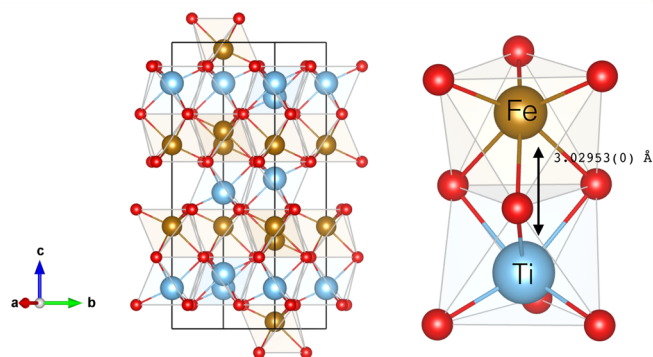


Figure 1. Crystal structure of ilmenite FeTiO₃ and zoom on the face sharing Fe and Ti octahedrons.

orbitals through the shared face. MMCT has also been observed with edge or corner bridged polyhedrons, in solids or heterobinuclear complexes, which questions the influence of the atomic structure and the nature of the anions in the MMCT efficiency.^{6d,7a,11,12}

The valence states of iron and titanium cations in these compounds are also of great importance to determine the MMCT mechanism. While hematite is formally a high-spin

Received: April 17, 2017

Published: September 5, 2017

Fe³⁺ oxide, the valence states of iron and titanium in ilmenite are suggested to be high-spin Fe²⁺ and Ti⁴⁺ respectively. The intermetallic charge transfer implies the transfer of an electron from Fe to Ti: Fe²⁺ + Ti⁴⁺ → Fe³⁺ + Ti³⁺. High-pressure induced Fe²⁺ to Fe³⁺ valence changes have been reported from Mossbauer and X-ray absorption (XAS) spectroscopies.¹³ Therefore, the determination of the exact valence states of the metal cations in the ilmenite motivated several spectroscopic studies at the L_{2,3}-edges of Ti and at the Ti and Fe L_{2,3}-edges and O K-edge. In an early resonant inelastic X-ray scattering (RIXS) study at the Ti L_{2,3}-edge, Butorin et al. suggested that low-energy optical excited states (ca. 4 eV) were due to Ti³⁺.¹⁴ Later, Radtke et al. confirmed using high-resolution electron energy loss spectroscopy (EELS) at the L_{2,3}-edges of Ti and Fe, their respective tetravalent and divalent oxidation states.¹⁵ A second Ti L_{2,3}-edge RIXS study supported by Fe–Ti double cluster calculations assigned the low-energy excitations observed in RIXS around 2.5 and 4 eV to MMCT.¹⁶

In K-edge XAS, the weak 1s core–hole interaction with the valence electrons and the absence of orbital momentum of the s shell considerably decrease the influence of core–hole spin–orbit coupling compared to L_{2,3}-edge XAS.¹⁷ Both electric dipole (E1) and electric quadrupole (E2) transitions can contribute to the pre-edge features at the onset of the K-edge. Their analysis allows one to derive useful information about the orbital hybridization of the absorbing cation. These pre-edge features arise from E2 transitions to 3d excited states splitted by multielectronic interactions and crystal field effects, and also E1 transitions to local and/or delocalized excited states with p character, which enhance the E2 pre-edge feature intensity and/or result in nonlocal features.^{18–21} To our knowledge, only one study of the Ti and Fe K-edge X-ray absorption near-edge structure (XANES) in FeTiO₃ was reported so far.²² O K-edge results have revealed the overlap between the Ti 3d and O 2p orbitals.^{15,22} Despite these previous investigations, the particular role of oxygen and the contribution of Fe to the orbitals involved in the MMCT remains unclear and the picture of the Ti–Fe interaction is not yet fully determined. Furthermore, although not highlighted by the authors of ref 22, the K pre-edge of Fe²⁺ shows nonlocal features, occurring between the pre-edge transitions and the main edge. Unfortunately the data is measured in total fluorescence yield (TFY), yielding broad features, which are difficult to interpret. K α RIXS (1s2pRIXS) is a powerful technique to overcome this limitation as it enables effective suppression of the core–hole lifetime broadening.^{23,24} This has already been demonstrated in the case of iron oxides and molecules, for which 1s2pRIXS provided new insights into the valence and spin states and orbital hybridization.^{20,25,26}

Here we present the first 1s2pRIXS study of FeTiO₃. The obtained HERFD-XANES (high energy resolution fluorescence detected X-ray absorption near edge structure) and RIXS two-dimensional maps reveal five pre-edge distinct features. Comparison of experimental data with crystal field multiplet calculations and DFT calculated projected-density of states (pDOS) allows us to decompose the electric dipole and quadrupole contributions and to determine the on-site and nonlocal orbital hybridization of the Fe orbitals in FeTiO₃. We show how the two-dimensional RIXS map is a powerful tool to discuss the nature of the pre-edge features and probe the delocalization of the Fe 3d electrons over the two metal centers.

MATERIALS AND METHODS

Samples. The ilmenite sample was a commercial synthetic black powder. The chemical composition and crystalline structure of the sample were characterized by XRD (Figure S1). The sample was finely ground and prepared as a pellet diluted in boron nitride down to a concentration of 1 wt % of Fe for the RIXS measurements. For comparison we also measured hematite Fe₂O₃, which is isostructural to FeTiO₃ but contains formally high-spin Fe³⁺ instead of high-spin Fe²⁺.

RIXS measurements. The 1s2pRIXS measurements were performed at the wiggler end-station BL6–2 of the Stanford Synchrotron Radiation Lightsources (SSRL). The storage ring was operating in top-up mode with a electron current of 500 mA. The energy of the incident X-ray beam was selected using a liquid-nitrogen-cooled double-crystal monochromator Si(311) with an energy bandwidth of 0.2 eV at 7.1 keV. The incident energy was calibrated using a Fe foil and setting the energy position of the first inflection point of the K-edge to 7112.0 eV. The beamsize on the sample was 138 μm \times 395 μm . Total fluorescence yield (TFY) absorption spectra were recorded using a Si photodiode. The emitted X-ray photons were collected and analyzed using a five-crystal spectrometer. We used the (440) reflection of spherically bent (R = 1 m) Ge crystal analyzers. The sample was oriented 45° to the incident X-ray beam. The scattering angle was 90° and the analyzed X-rays were collected using a Silicon Drift Detector in Rowland geometry.²⁷ The energy of the emitted X-rays was calibrated through a series of elastic peaks in the Fe K α energy range (6300–6450 eV). The combined instrumental energy bandwidth was 0.66 eV full-width half-maximum (fwhm). Measurements were performed at room temperature with a helium chamber to minimize the absorption of the scattered X-rays.

Calculations. Density functional theory calculations. First-principles calculations of XANES and density of states (DOS) were performed using WIEN2k package based on linearized augmented plane-wave method within density functional theory.²⁸ We used the generalized gradient approximation (GGA) for the exchange correlation functional with the parametrization of Perdew et al.²⁹ The electronic correlation of Fe 3d are treated by Hubbard interaction. No Hubbard interaction was used for the empty 3d bands of Ti. An energy cutoff of 7.0 eV was used for plane wave basis set. The unit cell parameters were set to the experimental values: a = 5.0875 Å, c = 14.0827 Å.³⁰ The magnetic order was set to the antiferromagnetic configuration, in which the Fe²⁺ spins are ferromagnetically aligned within a layer but antiferromagnetically coupled between layers in agreement with experimental evidence.³¹ We included a core hole effect on one Fe atom and use the final state rule to calculate the K-edge XANES spectrum. A 1 \times 1 \times 2 hexagonal supercell of 60 atoms was used to minimize the interaction between the core holes. The Fe K-edge absorption cross section was calculated in the electric dipole (E1) approximation. Finally, in order to interpret the pre-edge features, the projected-DOS are calculated on the supercell containing the core hole, with a 7 \times 15 \times 4 Monkhorst–Pack k-points grid and a Gaussian broadening of 0.50 eV. The input files are given in the Supporting Information.

Crystal field multiplet calculations. The multielectronic interactions arising in the local excitations of the RIXS map of Fe²⁺ were calculated using the framework of crystal field multiplet theory (CFM), which is a multielectronic, semiempirical approach initially developed by Thole et al. in the framework established by Cowan and Butler.³² In this approach one considers an isolated Fe²⁺ ion embedded in a crystal field potential. It takes into account all the 3d–3d and 1s–3d electronic Coulomb interactions, as well as the spin–orbit coupling ζ on every open shell of the absorbing atom. Each of these many-body states is described by a linear combination of Slater determinants. More details on the method can be found in other references.¹⁷ Calculations were performed using the quantum many-body script language QUANTY,³³ based on second quantization and Lanczos recursion method to calculate Green functions,³⁴ thus avoiding the explicit calculation of the intermediate and final states. The RIXS cross-section was calculated using the Kramers–Heisenberg equation.³⁵ In a first step, only the electric quadrupole contribution to

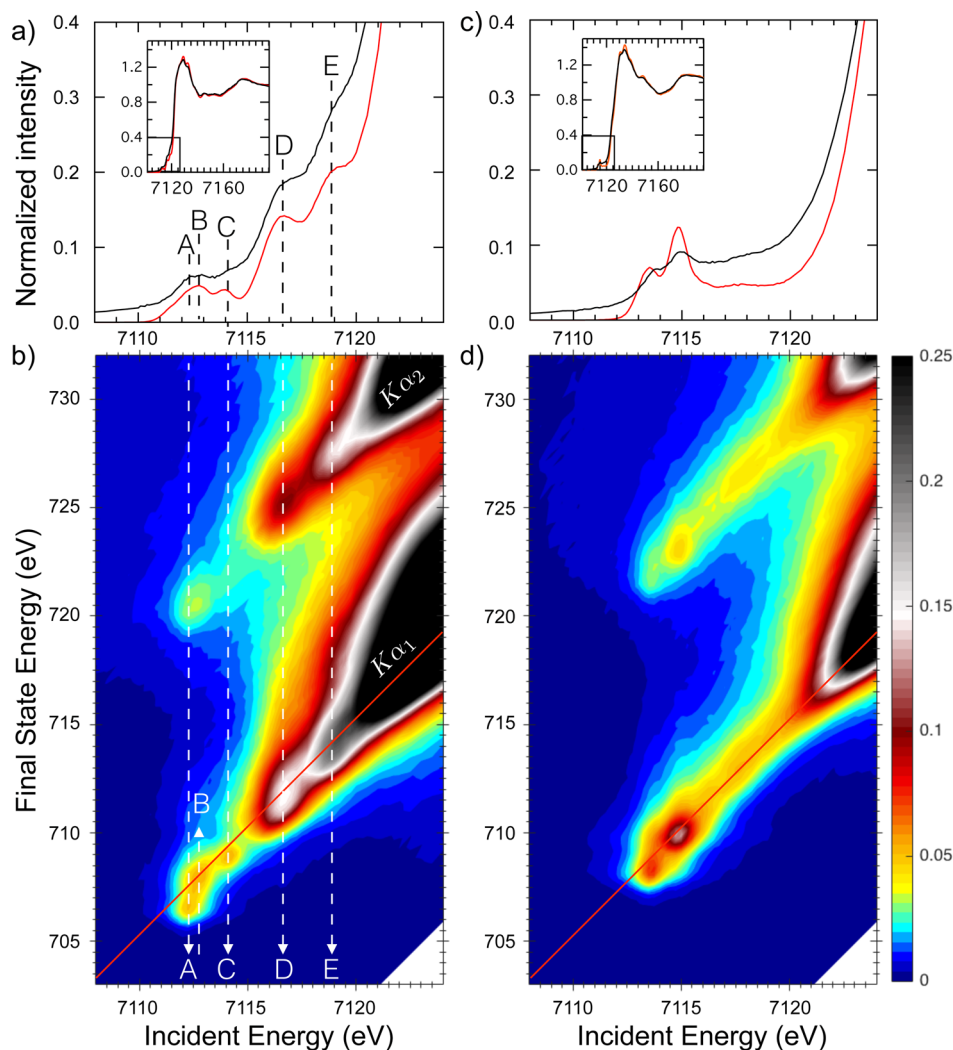


Figure 2. (a) Fe K-edge XANES spectra of FeTiO₃ in TFY detection compared with K α_1 -HERFD detection (red line). Inset: full energy range spectra. (b) 1s2pRIXS map of FeTiO₃ showing the five features labeled from (A) to (E). (c) TFY and HERFD spectra of Fe₂O₃. (d) 1s2pRIXS map of Fe₂O₃. The diagonal lines serve as guides for the eye to show the constant emitted energies for nonresonant K α_1 emission (RIXS map color scale is the intensity normalized to the edge jump of the K α_1 -HERFD spectrum).

the absorption process of the RIXS was considered. To account for the Fe point group in FeTiO₃ we describe the crystal field in C_{3v} symmetry. Because the trigonal distortion is small compared to the energy resolution of RIXS, we use only the main crystal field parameter 10Dq set to 0.75 eV.¹⁵ In first approximation, the multielectronic states will be described using the O_h point group irreps. The effect of bond covalency on the multielectronic interactions was described in the spherical approximation³⁶ by reducing homogeneously the Slater integrals by 64% of their Hartree–Fock value (corresponding to 80% of the atomic values). Spin–orbit coupling was kept to its Hartree–Fock calculated value.

The splitting of the lowest ⁵T_{2g} (O_h) crystal-field term (ground state of the initial state of the RIXS) due to spin–orbit coupling was accounted using a Boltzmann weighting factor for each spectral contribution of the 15 microstates. Calculations were performed for T = 300 K. We note that at this temperature, the first three states represent c.a. 60% of the total contribution to the spectra. The natural core–hole lifetime and experimental broadenings are described respectively by a Lorentzian function and a Gaussian function (see details in the Supporting Information). We investigated the contribution of the electric dipole transitions to the pre-edge features of the RIXS process by considering on-site p–d mixing allowed in the approximated C_{3v} point group symmetry (as well as the real C₃ point group). This is achieved by following the method described by

Vercamer et al. and considering a hybridization Hamiltonian that depends on three mixing parameters in C_{3v} point group.¹⁸ All the parameters used for these calculations (Table S1) and the input file for QUANTY are given in the Supporting Information.

RESULTS AND DISCUSSIONS

Experimental 1s2pRIXS results. The Fe K-edge HERFD-XANES spectrum measured using the maximum energy of the K α_1 emission line (setting the RIXS spectrometer at 6404.7 eV) is compared to the TFY spectrum of FeTiO₃ in Figure 2a. The sharpening effect of the analyzer crystals becomes apparent mainly in the pre-edge region where several pre-edge features are more distinct in the HERFD-XANES spectrum than in the TFY spectrum. This is due to the effective reduction of the core–hole lifetime broadening obtained in RIXS.^{23,24,37} The full RIXS map focuses on the pre-edge region (Figure 2b) and shows the intensity of the emitted X-rays plotted in energy transfer as a function of the incident energy. We observe both K α_1 and K α_2 emission lines corresponding to the decay channels 2p_{3/2} → 1s and 2p_{1/2} → 1s, respectively. The HERFD-XANES spectrum in Figure 2a corresponds to a cut in the RIXS map along the diagonal red line plotted in Figure 2b, which

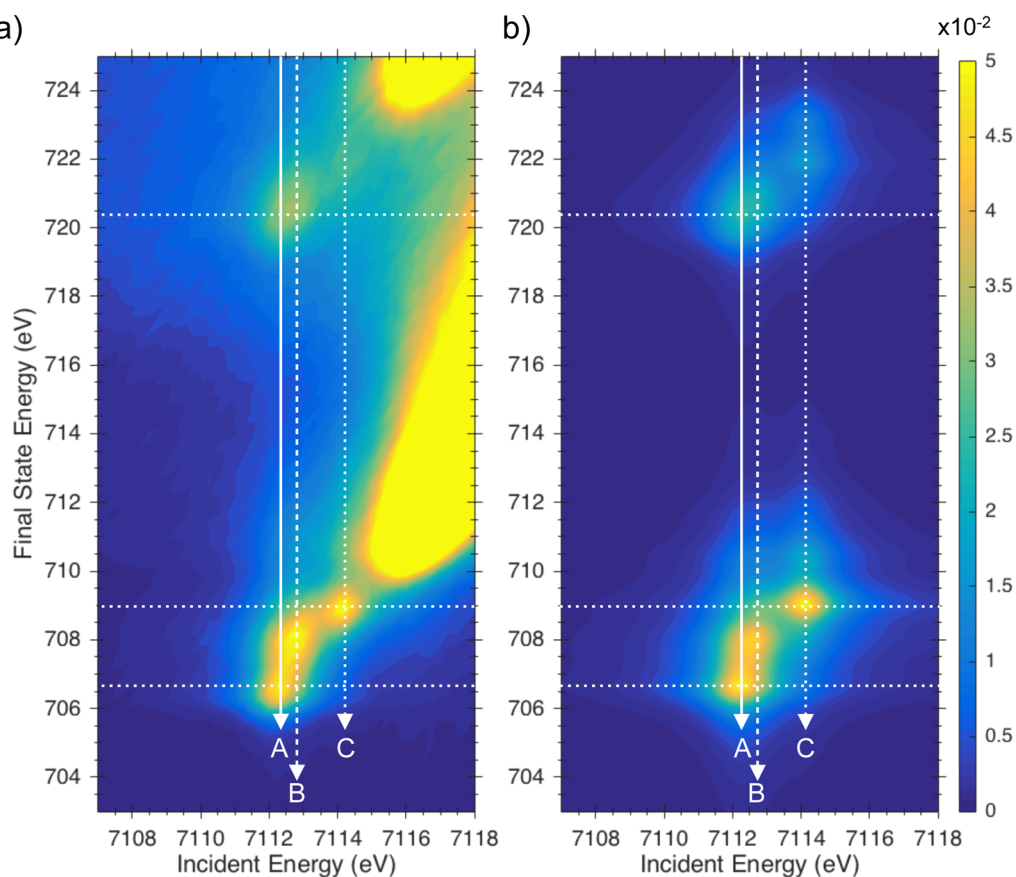


Figure 3. (a) Experimental 1s2pRIXS map of FeTiO₃ zoomed on features (A), (B), and (C). (b) Calculated 1s2pRIXS map of the local Fe²⁺ 1s → 3d RIXS E2+E1 transitions.

corresponds to the constant emitted energy 6404.7 eV from the nonresonant $K\alpha_1$ emission.

The RIXS map of ilmenite shows in total five features in the $K\alpha_1$ emission direction, labeled from (A) to (E) (Figure 2b). Features (A), (B), and (C) in FeTiO₃ are spread both incident and emission energy-wise similarly to previously reported RIXS maps of 6-fold coordinated high-spin Fe²⁺ in siderite³⁸ and fayalite.²³ They can be assigned to the absorption transitions from the core-shell 1s to the 3d states of the absorbing Fe atom, followed by the resonant emission transitions to the final states with a 2p core-hole. The energy transfer positions of these three peaks are out-of the diagonal corresponding to the nonresonant $K\alpha_1$ emission (red line on Figure 2b). These peaks are assigned to the multielectronic final states and reflect the resonant emission process, which supports that the probed 3d states are localized on the absorbing Fe atom. Features (A), (B), and (C) are well separated from the edge rise due to the attraction by the 1s core-hole of the electron excited into the 3d orbitals.^{23,38–40}

Figures 2c and 2d show the HERFD-XANES and the RIXS map of Fe³⁺ of the isostructural hematite Fe₂O₃ and are consistent with previous results.^{20,26,41} The comparison with ilmenite shows that the main peak of the 3d resonances in the pre-edge of Fe³⁺ is observed at 7115 eV incident energy and 709 eV final state energy, which falls precisely between features (C) and (D) of the RIXS map of FeTiO₃. This supports the previous conclusions that the oxidation state of Fe cations in ilmenite is Fe²⁺.¹⁵

Approximately 4.5 eV above peak (A), the HERFD-XANES spectrum and the 1s2pRIXS map show two distinct features

(D) and (E) at the onset of the K-edge and separated by 2.5 eV. This confirms the presence of additional pre-edge features as suggested by previous K-edge TFX measurements²² and highlights the advantage of HERFD-XANES and RIXS to identify peaks in the pre-edge otherwise buried in the rise of the Fe K-edge. Similar features have been observed in addition to the 1s → 3d pre-edge excitations for other transition metal oxides. In the case of Fe³⁺ in hematite, the nonlocal features observed between 7116.5 and 7119 eV are assigned to electric dipole excitations into the delocalized 3d orbitals of the neighboring Fe, which contain some p character from the hybridization with the O 2p orbitals.²⁰ Nonlocal excitations have been reported for other transition metal cations in oxides such as Cr³⁺ in spinels,⁴² Ti⁴⁺ in rutile,^{21,43} Ni²⁺ in NiO,⁴⁴ and Co³⁺ in LiCoO₃.⁴⁵ Nonlocal features have also been observed in the Al K pre-edge in Fe-doped goethite and assigned to the Al³⁺ p states mixed with 3d states of the neighboring Fe³⁺.⁴⁶ In these cases, the centrosymmetric point group (D_{2h} for Ti in rutile,²¹ D_{3d} for Co in LiCoO₃,⁴⁵ and Cr in spinel⁴²) was used as an argument to rule out significant hybridization between the local 3d and the 4p orbitals of the absorbing atom. As a consequence, these additional features were assigned to electric dipole transitions to the 4p states of the absorbing atom mixed with the 3d states of the neighboring atom. Thus, the "nonlocal" label means that the core-excited electron reaches delocalized states. More recently, HERFD-XANES results revealed nonlocal peaks in the Fe–Mo and Fe–V cluster.¹²

So far reported (HERFD-)XANES of high-spin Fe²⁺ in oxides, such as siderite or staurolite, did not show nonlocal features and ruled out the presence of delocalized Fe 4p bands

and strong orbital hybridization with neighboring atoms.^{38,39} The present observation suggests that the corundum structure and the presence of neighboring Ti^{4+} ions may play a role. In particular, we can already note that the 2.5 eV energy splitting between features (D) and (E) matches the $t_{2g}-e_g$ ligand field splitting reported for 6-fold coordinated Ti^{4+} in oxides such as rutile.^{21,43} Here, by analogy with Fe_2O_3 and other previously reported cases, the observed peaks (D) and (E) may arise from electric dipole transitions to the delocalized Ti 3d orbitals, with some p character from hybridization with O 2p and/or Fe 4p orbitals. To derive further information on the nature of the states involved in the five observed RIXS features, and confirm their assignment, we performed crystal field multiplet (CFM) and first-principle DFT calculations.

Crystal field multiplet analysis of the pre-edge features (A), (B), and (C). The semiempirical CFM model was used to account for multielectronic interactions in the calculation of the multiplet features (A), (B), and (C) arising in the 1s2pRIXS spectra of high-spin Fe^{2+} (Figure 3). We first describe the assignment of the multiplet features according to their energy and then we discuss the result of including the electric dipole (E1) contribution in the absorption process of the RIXS.

As shown in Figure 3, the energy positions of the calculated multiplet features match with the experimental ones (A), (B), and (C). The calculated energy positions in the incident energy direction depend mainly (see Supporting Information, Figure S2) on the Slater integrals describing the multielectronic interactions in the 3d shell of the $1s^13d^7$ electronic configuration of the RIXS intermediate state. The best match was obtained for a reduction of the Slater integrals to 64% of the values calculated by a Hartree–Fock method. This is 80% of the free ion values (80% of the Hartree–Fock calculated values) previously used for $L_{2,3}$ -edge calculation of Fe^{2+} in FeTiO_3 .¹⁵ This reduction is interpreted as the nephelauxetic effect, due to the Fe–O chemical bonding and agrees with other CFM calculations of K-edge XANES for Fe^{2+} in oxides.^{18,19} The incident energy positions of peaks (A), (B), and (C) do not vary significantly according to the incident energy resolution within $\pm 20\%$ of the crystal field parameter 10Dq set to 0.75 eV (see Figure S2).¹⁵ As the energy positions of the peaks are well described within the experimental energy resolution by the crystal field parameter and Slater integrals reduction alone, we chose not to include the ligand field in order to minimize the number of parameters in the calculation.

The three observed features (A), (B), and (C) are assigned in Figure 4 to the absorption from the ground state $^5T_{2g}$ of the initial electronic configuration $1s^23d^6$ to the multiplet states $^5T_{1g}$, $^5T_{2g}$ (from the 5F atomic term), and $^5T_{1g}$ (5P) of the intermediate electronic configuration $1s^13d^7$ (labels given in O_h point group for simplicity). In the HERFD-XANES spectrum, only the tail of the first $^5T_{1g}$ (5F) intermediate state is visible as a shoulder on the lower energy side. The $^5A_{2g}$ (5F) crystal field term from the intermediate $1s^13d^7$ configuration cannot be reached by electric quadrupole absorption from the $^5T_{2g}$ RIXS initial state because of the orbital momentum selection rules. Furthermore, the spin-triplet states of the $1s^13d^7$ electronic configuration cannot be reached within strict the spin-selection rule $\Delta S = 0$.⁴⁷

The experimental and calculated HERFD-XANES spectra (Figure 4) enable further comparison of the intensity of the features absorption-wise. The higher intensity of features (B) and (C) in the experimental HERFD-XANES spectrum

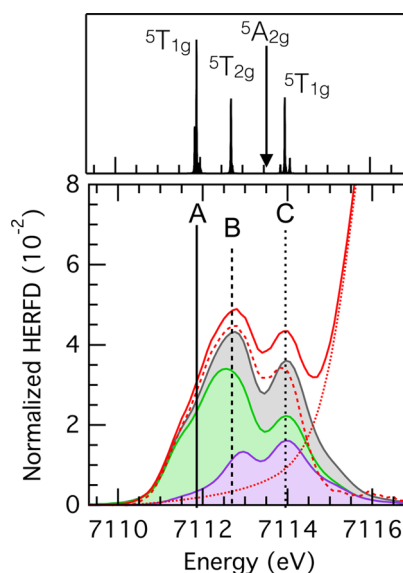


Figure 4. (Bottom) Calculated features (A), (B), and (C) of the Fe K-edge HERFD-XANES: E2 + E1 sum (black) and individual E2 (green) and E1 (violet) contributions compared to the experimental (red —) spectrum and the corrected (---) spectrum from the tail of feature (D) (···). (Top) Assignment of the $1s^13d^7$ multielectronic states (in O_h symmetry for simplicity).

compared to the calculated incident E2 contribution may arise from the tail of the nonlocal peak (D), which is partly supported by comparing with the tail-subtracted spectrum (see Figure S3 for further details on the fitting). It may also arise from an incident E1 absorption contribution to the RIXS signal. In a recent work, Vercamer et al. have estimated the influence of the site geometry and distortion on the relative contributions of electric quadrupole (E2) and electric dipole (E1) transitions to the absorption pre-edge of Fe^{2+} .¹⁸ In the case of 6-fold coordinated sites, which only differ from a regular octahedron by small distortions, the E1 contribution to the pre-edge is weak but may affect the shape of the pre-edge. In ilmenite, the slightly higher intensity of peaks (B) and (C) may therefore also arise from local $p-d$ mixing allowed by the trigonal distortion of the 6-fold coordinated Fe^{2+} site and the absence of inversion center in the point group of Fe (C_3).

The $p-d$ hybridization Hamiltonian accounts for hybridization of d and p orbitals of the same symmetry (a_1 and e in C_{3v} approximated point group) via vibronic couplings within a given point group. In corundum, the A_1 vibration mode corresponds to the vibration along the c axis of the hexagonal unit cell. In order to estimate the dipole contribution to the local pre-edge, we have performed RIXS calculations including the local $p-d$ hybridization with a_1 symmetry. For a mixing parameter $V_{pd}^{a_1} = 0.1$ eV, the fraction of $4p$ electrons mixed with the $3d$ is ca. 1%. The individual HERFD-XANES spectra (Figure 4) and RIXS maps (Figure S4) of the incident E1 and E2 absorption contributions show that features (B) and (C) gain intensity from the E1 contribution. Overall, we estimate that the electric dipole absorption contribution to the pre-edge features (A), (B), and (C) represents 31% of the total pre-edge area integrated between 7110 and 7115 eV.

Figure 5 compares the experimental and calculated RIXS constant incident energy (CIE) spectra at (A), (B), and (C). The calculated CIE spectra account for both incident E1 and E2 contributions, and the detail of each is given in Figure S5.

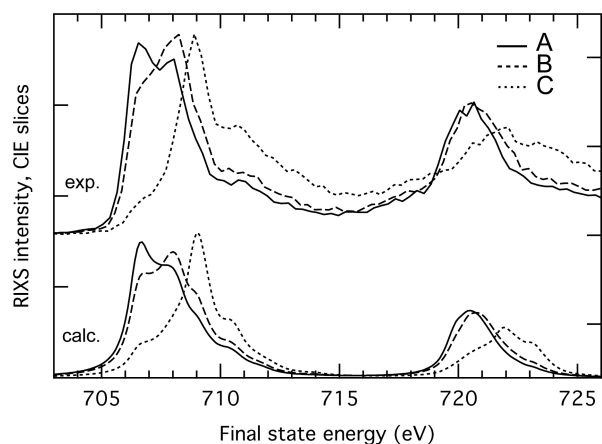


Figure 5. Experimental (top) and calculated (bottom) RIXS constant incident energy spectra obtained at (A), (B), and (C), corresponding to the white lines drawn in Figure 3.

Here again, we temporarily use the approximated O_h point group and ignore the incident E1 contribution to describe the spectral features. The essential difference between the CIE spectra at (A) and (B) relates to the involved intermediate states ${}^5T_{1g}$ and ${}^5T_{2g}$, respectively. The CIE spectrum at (B) is close to the 2pXAS spectrum because the intermediate state in the RIXS has the same symmetry (${}^5T_{2g}$) as the initial state of the 2pXAS. In both 1s2pRIXS and 2pXAS cases, the electric dipole transition (emission for RIXS and absorption for XAS) brings the ${}^5T_{2g}$ intermediate state (initial state for 2pXAS) to the same final states from $2p^53d^7$. The decay of the intermediate state ${}^5T_{1g}$ (feature A) reaches final states of different symmetries, which explains the different spectral shape. Because the intermediate states of the RIXS features (A) and (C) are identical (${}^5T_{1g}$), the electric dipole emission will lead to exactly the same final states. However, different matrix elements result in different spectral shapes. The shift of the CIE spectrum at (C) in final state energy corresponds to the incident energy difference that is maintained in the decay process.²⁶ The small feature at 706.5 eV energy transfer in the CIE spectrum at (C) arises from the RIXS interference with the intermediate state of feature (A).

Altogether the CFM calculations support that the features (A), (B), and (C) arise from localized $Fe^* 3d$ states.

First-principle computed band-structure analysis of the nonlocal features (D) and (E). According to the parity selection rule, electric dipole K-edge absorption is restrained to $1s \rightarrow 4p$ transitions. To determine the origin of the E1 contribution to the pre-edge features (D) and (E) and to deduce the origin of the p character of the probed orbitals, we performed the DFT calculations of the density of states (DOS) of $FeTiO_3$ including the $1s$ core-hole on the absorbing Fe atom (herein after noted Fe^*) and computed the electric dipole absorption cross section (Figure 6). As will be discussed below, the $1s$ core-hole mainly influences the $Fe^* 3d$ electrons; therefore, in Figure 6, the projected DOS (pDOS) of $Fe^* 4p$, $Fe 3d$, $Ti 3d$, and $4p$ and $O 2p$ show little change with the core-hole compared to the ground state system. The exact results of the calculations without core-hole are given in the Supporting Information (Figure S6).

The electronic structure of the ground state system (no core-hole) obtained with GGA-DFT and a Hubbard electronic correlation correction ($U = 5$ eV) is an insulator with a band

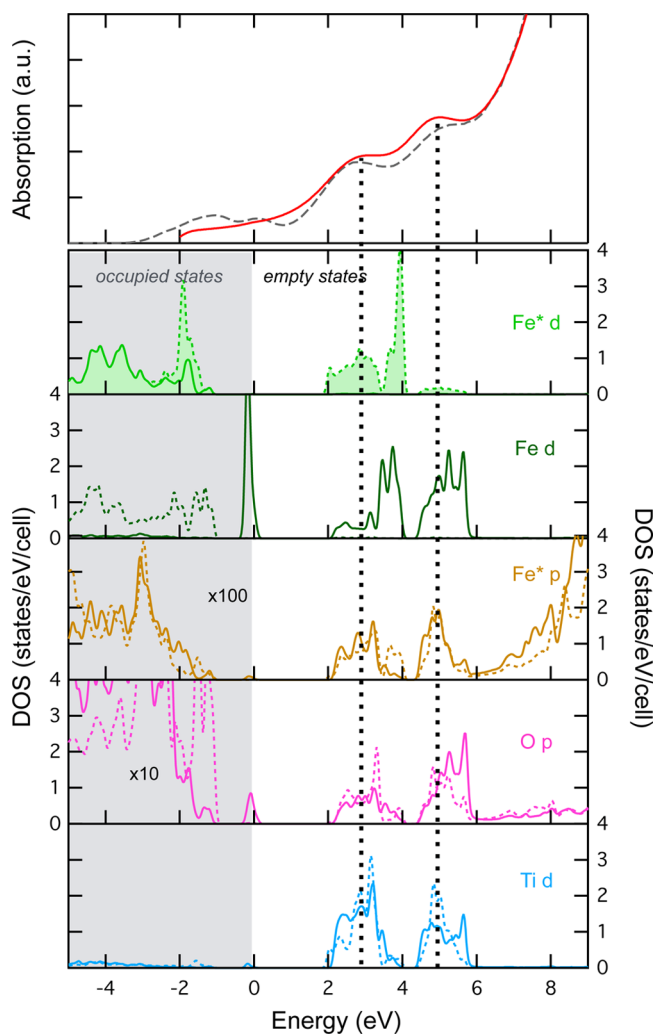


Figure 6. Calculated electric dipole absorption cross section (red plain line) at the Fe K-edge (top panel) compared with the projected DOS (lower panels) on Fe, Ti, and O (plain lines: β spin, dotted lines: α spin). The experimental HERFD-XANES is added for comparison (black dashed line, upper panel). Fe^* denotes the Fe atom including the $1s$ core-hole.

gap of ca. 2 eV (Figure 6 and Figure S6). The calculated pDOS shows that the upper part of the valence band is composed of $Fe 3d$ majority spin (α spin) states and the occupied $Fe 3d$ minority spin (β spin) state mixed with the occupied $O 2p$ states. These pDOS agree with the previously calculated ones.^{22,48} The occupation of the $3d$ bands of Fe agrees with a high-spin Fe^{2+} : all α spin $3d$ bands are full; therefore, the spin state is high-spin (for low-spin electronic configuration, one would expect some empty α spin pDOS); the β spin $3d$ bands are partially filled; therefore, iron is in the divalent oxidation state (for high-spin Fe^{3+} , one would expect no electron in the β spin bands). This agrees with the interpretation of the features (A), (B), and (C) of the experimental 1s2pRIXS results.

Above the Fermi level, the bottom of the conduction band is split into two groups of bands centered around 3 and 5 eV. They correspond mainly to $Fe 3d \beta$ spin and $Ti 3d$ bands. The Fe and Ti $4p$ and $O 2p$ pDOS also contribute. The relative energy position of the Fe and Ti $3d$ empty pDOS depends on the Hubbard U correction used for Fe atoms, while the relative energy positions of the other pDOS are not affected (Figure S6). The $Ti 3d$ pDOS is separated into two main groups by ca.

2 eV, in agreement with the order of magnitude of the crystal field splitting of the 3*d* orbitals of Ti⁴⁺ in the 6-fold coordinated site.

Furthermore, in both the valence and conduction bands, the Fe and Ti 4*p* pDOS are mixed with the Fe and Ti 3*d* pDOS, respectively. This mixing may arise from the noncentrosymmetric point group of the metal ion sites.^{18,19,47,49,50} It is noteworthy that the pDOS calculations reveal that the empty Fe* 3*d* states are also mixed with *p* states from Fe* and O. This supports the existence of an additional small E1 contribution to the features (A), (B), and (C) in the 1s2pRIXS. The Fe* 4*p* empty pDOS is 100 times smaller than the Fe* 3*d* empty pDOS at the same energies, in agreement with the fraction of *p*-character derived from the *p*-*d* mixing Hamiltonian used in the CFM calculations. The contribution from the pDOS from Fe 4*p*, Ti 4*p*, and O 2*p* in the two main energy ranges of the Ti 3*d* pDOS supports the hybridization between the delocalized Ti 3*d* orbitals with the neighboring O and Fe *p* orbitals.

When including the 1*s* core-hole (Figure 6), we observe that the Fe* 3*d* empty pDOS is the most affected and shifts down to lower energies. The pDOS of the neighboring Fe and Ti cations are almost unchanged, and the Fe* 4*p* and O 2*p* pDOS are only slightly influenced. The comparison between the relative energy positions of the occupied 3*d* pDOS of the Fe* and Fe reveals the energy shift of 2 eV induced by the 1*s* core-hole. The shift is similar to previously reported experimental trends of ca. 2.5 eV for Ti in rutile,²¹ Cr spinels,⁴² and Fe in hematite.²⁰ Although the electric quadrupole (E2) absorption to the Fe* 3*d* states was not calculated, the energy position of these states can be read from the pDOS. This energy is higher than the energy position of the E2 features (A), (B), and (C). This is a well understood limitation of the DFT GGA calculations, which overestimates the screening of the 1*s* core-hole. The capacity of alternative methods to better reproduce the 1*s* core-hole effect has been discussed in detail elsewhere and goes beyond the scope of the present paper.²¹ Assuming a similar energy shift induced by the 1*s* core-hole on the Fe* 3*d* states of ilmenite, the empty 3*d* states of the nonexcited Fe should lie approximately 2.5 eV above the pre-edge features (A), (B), and (C), just before the nonlocal features. This is lower than the calculated position of the Fe 3*d* states and suggests that the energy of the Fe 3*d* empty pDOS is too high with GGA-U. However, the decrease of the Hubbard *U* would decrease the gap energy, in disagreement with the reported experimental gap of 2.75 eV. This shows that the electronic correlations are not fully accounted for by the GGA+*U* method.

As indicated by the two vertical black dotted lines in Figure 6, the calculated electric dipole (E1) XAS spectrum presents two features separated by ca. 2 eV. These two features before the main edge absorption agree with the experimental data. According to the pDOS, the central energy positions of these features match the two main empty pDOS of Ti 3*d*. The *p* character of the excited states probed by the E1 absorption results from the contributions of Fe* 4*p*, Ti 4*p* and O 2*p*. The electric quadrupole (E2) transitions to the neighboring Fe 3*d* states are expected to be negligible by analogy with other transition metal oxides.^{21,42,44,45} These results confirm that the experimentally observed features (D) and (E) correspond to the E1 transitions to excited states of *p* character, which results from the hybridization between the neighboring Ti 3*d* delocalized orbitals and the Fe* 4*p* orbitals presumably via the O 2*p* orbitals.

Implications for photocatalysis. At the onset of the K-edge, the HERFD-XANES is a probe of the hybridization of the Fe* 3*d* and 4*p* orbitals via electric quadrupole and dipole absorption, respectively. Our experimental and theoretical results show that Fe* 3*d* and 4*p* states are mixed (features (A), (B), and (C)) and that Fe* 4*p* are mixed with delocalized Ti 3*d* states.

Thus, the features (D) and (E) are indirect probes of respectively the Ti 3*d* *t*_{2*g*} orbitals (oriented in between the Ti–O bonds) and *e*_g orbitals (oriented along the Ti–O bonds). In the present case, the trigonal distortion of the cation site induces the splitting of the *e*_g and *t*_{2*g*} orbitals into *a*₁ (*z*²) and *e* (combination of *xy*, *xz*, *xy* and *x*² – *y*²). Note that here, the *z* Cartesian axis is along one of the C₃ symmetry axes of the octahedron.⁵¹ The projected DOS on the 3*d* orbitals of Fe (Figure S7) shows that the occupied β spin orbital is mainly the *a*₁ orbital (*z*²). The projected DOS on the *d* orbitals of Ti is in agreement with previous calculations (Figure S7).²² This leads to the *a*₁ orbital pointing through the Fe–Ti shared face as the occupied β spin orbital, in agreement with the measured polarization dependence of the MMCT optical (E1) absorption reported for (Fe–Ti):Al₂O₃ (blue sapphire) (ref 9c). Altogether, our results of the orbital projection of the 3*d* bands of the cations agree with the early molecular orbital calculation of Sherman¹¹ based on a (FeTiO₁₀)¹⁴⁻ edge-sharing cluster.

The two optical transitions to MMCT states are observed at 2.5 eV (500 nm) and 4.5 eV (275 nm) in optical spectroscopy⁸ and Ti 2p3dRIXS.¹⁶ In our DFT calculations, we find that the first Ti *t*_{2*g*} orbitals lie ca. 3 eV above the occupied β spin Fe 3*d* (*t*_{2*g*}) orbital and the Ti *e*_g orbitals lie ca. 5 eV above. Although these absolute energies are slightly higher than the energies of the excited MMCT states observed from experiment, their relative splitting in DFT and experimental 1s2pRIXS is in agreement with the splitting obtained in optical absorption spectroscopy. The Fe 4*p*/Ti 3*d* orbital hybridization demonstrated from our calculations supports that the electric dipole optical transitions to the MMCT states result from the Fe 4*p* character of these states. Previous O K-edge XAS results²² have also confirmed the strong hybridization between O 2*p* and Ti 3*d* orbitals. Together with our DFT results, this supports that the Fe 4*p*/Ti 3*d* orbital hybridization is mediated via the O 2*p* orbitals. Since no such hybridization has been reported so far for divalent iron oxides, this highlights the particular role of Ti in the overlap between Fe and Ti orbitals, despite the localized Fe²⁺ 3*d* states. This hybridization is favorable for the electron mobility in ilmenite and supports its observed photocatalytic activity.

HERFD-XANES appears to be a powerful tool to probe the Fe–Ti orbital hybridization involved in MMCT, and the possibility to determine the mixing of the neighboring Ti states in the pre-edge of Fe K-edge will enable a better understanding of the role of Ti in the electronic structure of Ti-doped hematite. In particular, the microstructure of hematite thin films has been used to enhance the charge-carrier lifetime.^{1a,1e1d} The influence of the preparation method and the crystallinity on the Fe–Ti orbital hybridization can thus be probed with the present approach, which benefits from the high penetration depth of hard X-rays and element selectivity combined with the high energy resolution provided by the HERFD-XANES.

In the case of mononuclear complexes, nonlocal peaks have been assigned to E1 transitions to antibonding π* orbitals of the ligands (metal-to-ligand charge transfer states). Examples

were reported for manganese complexes⁵² and ferrous low-spin model complex for cytochrome *c*.^{25,53} For binuclear complexes, such as for the Fe–Ti complexes reported by Turlington et al.,^{6d} the present results suggest that the investigation of nonlocal peaks via 1s2pRIXS should provide key insights into the orbital overlap between the two metal centers, the influence of the geometry of the linkage between the two metal sites and the influence of the nature of the bridging ligands. Recently, Rees et al. have used a similar approach to compare the iron–heterometal bonds in nitrogenase enzymes (Fe–Mo and Fe–V) and assigned nonlocal peaks in the HERFD-XANES at Fe K-edge to MMCT peak.¹²

Finally, these results open new perspectives for pump–probed experiments to investigate MMCT, with a specific focus on these nonlocal states at the Fe K-edge. Continuous laser excitation would enable localization of the optically excited photoelectron in steady state measurements as previously demonstrated for Au–TiO₂.⁵⁴ Time-resolved experiments as available at synchrotrons (picosecond time scale) or X-ray free electron lasers (femtosecond time scale)⁵⁵ would enable determination of the excitation and decay lifetimes of the metal-to-metal charge transfer excited states.

CONCLUSION

We report the first 1s2pRIXS results at the Fe K-edge of ilmenite, a model compound for MMCT, and the analysis of five pre-edge spectral features. The three first well distinct RIXS features are assigned mainly to electric quadrupole transitions to the localized Fe* 3*d* states, shifted to lower energy by the 1*s* core–hole. CFM calculations of these features confirm the dominating presence of Fe²⁺. The small contribution of electric dipole absorption due to local *p*–*d* mixing allowed by the trigonal distortion of the cation site is supported by DFT and CFM calculations. Two other nonlocal features are assigned to electric dipole transitions to excited Fe* 4*p* states mixed with the neighboring Ti 3*d* states. The comparison with calculations enables demonstration that MMCT in ilmenite is favored by the hybridization between the Fe 4*p* and Ti 3*d* orbitals via the O 2*p* orbitals. This work demonstrates the importance of the pre-edge energy region of the transition metal K-edge where E1 and E2 transitions have equivalent intensities to probe the orbital hybridization between Fe and Ti in materials for photochemistry. It would be appealing in the next steps to use 1s2pRIXS to study the effect of Ti-doping in hematite in real photocatalyst systems. Furthermore, the better understanding of the Fe K-edge provides the basis for future time-resolved spectroscopic investigations of the lifetime of MMCT excited states.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.inorgchem.7b00938.

Sample characterization data. Crystal field multiplet calculation parameters. Fit of the pre-edge. Individual E1 and E2 contributions to the calculated RIXS maps and CIE spectra. Effect of the Hubbard *U* parameter on the calculated DOS (PDF)

Input files for QUANTY and WIEN2k calculations (ZIP)

AUTHOR INFORMATION

Corresponding Authors

*E-mail: myrtille.hunault@synchrotron-soleil.fr.

*E-mail: f.m.f.degroot@uu.nl.

ORCID

Myrtille O. J. Y. Hunault: 0000-0002-3754-8630

Frank M. F. de Groot: 0000-0002-1340-2186

Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

We thank Amelie Juhin, Marie-Anne Arrio, Christian Brouder, Maurits Haverkort, and Atsushi Hariki for fruitful discussions. We thank Marjan Versluijs-Helder for performing the XRD measurements. We are also thankful to the anonymous reviewers for constructive criticism and reasonable remarks and suggestions which significantly improved the paper. Crystal structures were produced using the software VESTA.⁵⁶ Financial support was provided through the ERC advanced grant XRAYonACTIVE, number 340279. The theoretical results were developed within the CEDAMNF project (CZ.02.1.01/0.0/0.0/15_003/0000358) and supported by COST Action MP1306 EUSpec (CZ LD15147). Use of the Stanford Synchrotron Radiation Lightsource, SLAC National Accelerator Laboratory, is supported by the U.S. Department of Energy, Office of Science, Office of Basic Energy Sciences under Contract No. DE-AC02-76SF00515. The SSRL Structural Molecular Biology Program is supported by the DOE Office of Biological and Environmental Research, and by the National Institutes of Health, National Institute of General Medical Sciences (including P41GM103393). The contents of this publication are solely the responsibility of the authors and do not necessarily represent the official views of NIGMS or NIH.

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