SCIENTIFIC OPINION



ADOPTED: 24 March 2021 doi: 10.2903/j.efsa.2021.6563

Safety evaluation of a food enzyme with glucan 1,4- α -glucosidase and α -amylase activities from the genetically modified *Aspergillus niger* strain NZYM-BX

EFSA Panel on Food Contact Materials, Enzymes and Processing Aids (CEP), Claude Lambré, José Manuel Barat Baviera, Claudia Bolognesi, Pier Sandro Cocconcelli, Riccardo Crebelli, David Michael Gott, Konrad Grob, Evgenia Lampi, Marcel Mengelers, Alicja Mortensen, Gilles Rivière, Inger-Lise Steffensen, Christina Tlustos, Henk Van Loveren, Laurence Vernis, Holger Zorn, Boet Glandorf, Lieve Herman, Jaime Aguilera, Magdalena Andryszkiewicz, Natalia Kovalkovikova, Yi Liu and Andrew Chesson

Abstract

The food enzyme with glucan 1,4- α -glucosidase (EC 3.2.1.3) and α -amylase (EC 3.2.1.1) activities is produced with the genetically modified strain of *Aspergillus niger* NZYM-BX by Novozymes A/S. The genetic modifications do not give rise to safety concerns. The food enzyme is free from viable cells of the production organism and its DNA. The food enzyme is intended to be used in starch processing for the production of glucose syrups and distilled alcohol. Since residual amounts of total organic solids are removed by distillation and by the purification steps applied during the production of glucose syrups, dietary exposure was not calculated. Genotoxicity tests did not raise a safety concern. The repeated dose 90-day oral toxicity study in rats made with a substitute enzyme was not considered suitable. However, since no exposure was expected from the intended uses, this study was not considered necessary. Similarity of the amino acid sequence of the food enzyme to those of known allergens was searched and two matches were found. The Panel considered that, under the intended conditions of use, the risk of allergic sensitisation and elicitation reactions by dietary exposure cannot be excluded, but the likelihood is considered to be low. Based on the data provided, the Panel concluded that this food enzyme does not give rise to safety concerns under the intended conditions of use.

© 2021 European Food Safety Authority. *EFSA Journal* published by John Wiley and Sons Ltd on behalf of European Food Safety Authority.

Keywords: food enzyme, 1,4- α -glucosidase, α -amylase, EC 3.2.1.3, EC 3.2.1.1, Aspergillus niger, genetically modified microorganism

Requestor: European Commission

Question number: EFSA-Q-2013-00877 **Correspondence:** FIP@efsa.europa.eu



Panel members: Claude Lambré, José Manuel Barat Baviera, Claudia Bolognesi, Andrew Chesson, Pier Sandro Cocconcelli, Riccardo Crebelli, David Michael Gott, Konrad Grob, Evgenia Lampi, Marcel Mengelers, Alicja Mortensen, Gilles Rivière, Vittorio Silano, Inger-Lise Steffensen, Christina Tlustos, Henk Van Loveren, Laurence Vernis and Holger Zorn.

Note: The full opinion will be published in accordance with Article 12 of Regulation (EC) No 1331/2008 once the decision on confidentiality will be received from the European Commission.

Declarations of interest: The declarations of interest of all scientific experts active in EFSA's work are available at https://ess.efsa.europa.eu/doi/doiweb/doisearch.

Acknowledgments: The Panel wishes to acknowledge all European competent institutions, Member State bodies and other organisations that provided data for this scientific output.

Suggested citation: EFSA CEP Panel (EFSA Panel on Food Contact Materials, Enzymes and Processing Aids), Lambré C, Barat Baviera JM, Bolognesi C, Cocconcelli PS, Crebelli R, Gott DM, Grob K, Lampi E, Mengelers M, Mortensen A, Rivière G, Steffensen I-L, Tlustos C, Van Loveren H, Vernis L, Zorn H, Glandorf B, Herman L, Aguilera J, Andryszkiewicz M, Kovalkovikova N, Liu Y and Chesson A, Scientific Opinion on the safety evaluation of a food enzyme with glucan 1,4- α -glucosidase and α -amylase activities from the genetically modified *Aspergillus niger* strain NZYM-BX. EFSA Journal 2021;19(5):6563, 13 pp. https://doi.org/10.2903/j.efsa.2021.6563

ISSN: 1831-4732

© 2021 European Food Safety Authority. *EFSA Journal* published by John Wiley and Sons Ltd on behalf of European Food Safety Authority.

This is an open access article under the terms of the Creative Commons Attribution-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited and no modifications or adaptations are made.



The EFSA Journal is a publication of the European Food Safety Authority, a European agency funded by the European Union.





Table of contents

Abstract	t	1
1.	Introduction	4
1.1.	Background and Terms of Reference as provided by the requestor	4
1.1.1.	Background as provided by the European Commission	4
1.1.2.	Terms of Reference	5
1.2.	Interpretation of the Terms of Reference	5
2.	Data and Methodologies	5
2.1.	Data	5
2.2.	Methodologies	5
3.	Assessment	5
3.1.	Source of the food enzyme	6
3.1.1.	Characteristics of the parental and recipient microorganisms	6
3.1.2.	Characteristics of introduced sequences	6
3.1.3.	Description of the genetic modification process	6
3.1.4.	Safety aspects of the genetic modification	7
3.2.	Production of the food enzyme	7
3.3.	Characteristics of the food enzyme	7
3.3.1.	Properties of the food enzyme	7
3.3.1.1.	Glucan 1,4-α-glucosidase	7
3.3.1.2.	α-amylase	8
3.3.2.	Chemical parameters	8
3.3.3.	Purity	8
3.3.4.	Viable cells and DNA of the production strain	9
3.4.	Toxicological data	9
3.4.1.	Genotoxicity	9
3.4.1.1.	Bacterial reverse mutation test	9
3.4.1.2.	In vitro micronucleus assay	9
3.4.2.	Allergenicity	10
3.5.	Dietary exposure	11
3.5.1.	Intended use of the food enzyme	11
3.5.2.	Dietary exposure estimation	11
4.	Conclusions	11
5.	Documentation as provided to EFSA	11
Referen	ces	12
Abbrovi:	ations	12



1. Introduction

Article 3 of the Regulation (EC) No 1332/2008¹ provides definition for 'food enzyme' and 'food enzyme preparation'.

'Food enzyme' means a product obtained from plants, animals or micro-organisms or products thereof including a product obtained by a fermentation process using micro-organisms: (i) containing one or more enzymes capable of catalysing a specific biochemical reaction; and (ii) added to food for a technological purpose at any stage of the manufacturing, processing, preparation, treatment, packaging, transport or storage of foods.

'Food enzyme preparation' means a formulation consisting of one or more food enzymes in which substances such as food additives and/or other food ingredients are incorporated to facilitate their storage, sale, standardisation, dilution or dissolution.

Before January 2009, food enzymes other than those used as food additives were not regulated or were regulated as processing aids under the legislation of the Member States. On 20 January 2009, Regulation (EC) No 1332/2008 on food enzymes came into force. This Regulation applies to enzymes that are added to food to perform a technological function in the manufacture, processing, preparation, treatment, packaging, transport or storage of such food, including enzymes used as processing aids. Regulation (EC) No. 1331/2008² established the European Union (EU) procedures for the safety assessment and the authorisation procedure of food additives, food enzymes and food flavourings. The use of a food enzyme shall be authorised only if it is demonstrated that:

- it does not pose a safety concern to the health of the consumer at the level of use proposed;
- there is a reasonable technological need;
- its use does not mislead the consumer.

All food enzymes currently on the European Union market and intended to remain on that market, as well as all new food enzymes, shall be subjected to a safety evaluation by the European Food Safety Authority (EFSA) and approval via an EU Community list.

The 'Guidance on submission of a dossier on food enzymes for safety evaluation' (EFSA, 2009a) lays down the administrative, technical and toxicological data required.

1.1. Background and Terms of Reference as provided by the requestor

1.1.1. Background as provided by the European Commission

Only food enzymes included in the European Union (EU) Community list may be placed on the market as such and used in foods, in accordance with the specifications and conditions of use provided for in Article 7 (2) of Regulation (EC) No 1332/2008 on food enzymes.

Two applications have been introduced by the companies AB Enzymes and Novozymes A/S for the authorisation of the food enzymes endo 1,4-beta xylanase from a genetically modified strain of *Trichoderma reesei* (strain RF5427), and glucan 1,4- α -glucosidase (principal activity) and alpha amylase (subsidiary activity) from a genetically modified strain of *Aspergillus niger* (strain NZYM-BX) respectively.

Following the requirements of Article 12.1 of Regulation (EC) No 234/2011³ implementing Regulation (EC) No 1331/2008, the Commission has verified that the two applications fall within the scope of the food enzyme Regulation and contain all the elements required under Chapter II of that Regulation.

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on Food Enzymes and Amending Council Directive 83/417/EEC, Council Regulation (EC) No. 1493/1999, Directive 2000/13/EC, Council Directive 2001/112/EC and Regulation (EC) No 258/97. OJ L 354, 31.12.2008, pp. 7–15.

² Regulation (EC) No 1331/2008 of the European Parliament and of the Council of 16 December 2008 establishing a common authorisation procedure for food additives, food enzymes and food flavourings. OJ L 354, 31.12.2008, pp. 1–6.

³ Commission Regulation (EU) No 234/2011 of 10 March 2011 implementing Regulation (EC) No 1331/2008 of the European Parliament and of the Council establishing a common authorisation procedure for food additives, food enzymes and food flavourings. OJ L 64, 11.3.2011, pp. 15–24.



1.1.2. Terms of Reference

The European Commission requests the European Food Safety Authority to carry out the safety assessments on the food enzymes endo 1,4-beta xylanase from a genetically modified strain of *Trichoderma reesei* (strain RF5427), and glucan 1,4- α -glucosidase (principal activity) and alpha amylase (subsidiary activity) from a genetically modified strain of *Aspergillus niger* (strain NZYM-BX) in accordance with Article 17.3 of Regulation (EC) No 1332/2008 on food enzymes.

1.2. Interpretation of the Terms of Reference

The present scientific opinion addresses the European Commission's request to carry out the safety assessment of food enzyme glucan $1,4-\alpha$ -glucosidase (principal activity) and alpha amylase (subsidiary activity) from a genetically modified strain of *A. niger* (strain NZYM-BX).

2. Data and Methodologies

2.1. Data

The applicant has submitted a dossier in support of the application for authorisation of the food enzyme glucoamylase and alpha-amylase from a genetically modified *A. niger* (strain NZYM-BX). The dossier was submitted on 30 September 2013.

Additional information was requested from the applicant during the assessment process on 2 September 2014 and 22 December 2014 and was consequently provided. Spontaneous additional information was received from the applicant on 4 November 2020 (see 'Documentation provided to EFSA').

2.2. Methodologies

The assessment was conducted in line with the principles described in the EFSA Guidance on transparency in the scientific aspects of risk assessment (EFSA, 2009b) as well as in the Statement on characterisation of microorganisms used for the production of food enzymes (EFSA CEP Panel, 2019) and following the relevant existing guidances of EFSA Scientific Committees.

The current Guidance on the submission of a dossier on food enzymes for safety evaluation (EFSA, 2009a) has been followed for the evaluation of the application with the exception of the exposure assessment, which was carried out in accordance to the methodology described in the CEF Panel statement on the exposure assessment of food enzymes (EFSA CEF Panel, 2016).

3. Assessment

The food enzyme under application contains two declared activities:

IUBMB nomenclature	glucan 1,4-α-glucosidase		
Systematic name	4-α-D-glucan glucohydrolase		
Synonyms	glucoamylase; amyloglucosidase; acid maltase; exo-1,4- α -glucosidase; glucose amylase;		
IUBMB No	EC 3.2.1.3		
CAS No	9032-08-0		
EINECS No	232-877-2		

The glucan 1,4- α -glucosidase catalyses the hydrolysis of terminal (1-4)-linked α -D-glucose residues successively from non-reducing ends of amylopectin and amylose with the release of glucose.

IUBMB nomenclature	α-amylase		
Systematic name	4-α-p-glucan glucanohydrolase		
Synonyms	Glycogenase; α -amylase; endoamylase; Taka-amylase A; 1,4- α -D-glucan glucanohydrolase		
IUBMB No	EC 3.2.1.1		
CAS No	9000-90-2		
EINECS No	232-565-6		



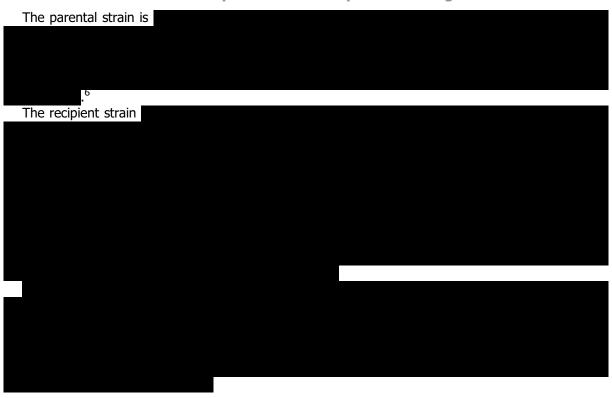
The α -amylase catalyses the hydrolysis of 1,4- α -glucosidic linkages in starch (amylose and amylopectin), glycogen and related polysaccharides and oligosaccharides, resulting in the generation of soluble dextrins and other malto-oligosaccharides.

The food enzyme is intended to be used in starch processing for the production of syrups and distilled alcohol production.

3.1. Source of the food enzyme

The glucoamylase and the α -amylase are produced with the genetically modified filamentous fungus *A. niger* strain NZYM-BX, which is deposited at the German Collection of Microorganisms and Cell Cultures (DSMZ, Germany), with deposit number

3.1.1. Characteristics of the parental and recipient microorganisms



3.1.2. Characteristics of the introduced sequences



3.1.3. Description of the genetic modification process

The purpose of genetic modification was to enable the production strain to overproduce glucan 1,4- α -glucosidase and α -amylase.

⁴ Technical dossier/GMM dossier/Annex A4.

⁵ Technical dossier/GMM dossier/Annex A3.

⁶ Technical dossier/GMM dossier/Annexes A1 and A2.

⁷ Technical dossier/GMM dossier/Annex C1.





3.1.4. Safety aspects of the genetic modification

The technical dossier contains all necessary information on the recipient microorganism, the donor organism and the genetic modification process.

No issues of concern arising from the genetic modifications were identified by the Panel.

3.2. Production of the food enzyme

The food enzyme is manufactured according to the Food Hygiene Regulation (EC) No 852/2004, with food safety procedures based on Hazard Analysis and Critical Control Points, and in accordance with current Good Manufacturing Practice. ¹⁰

The production strain is grown as a pure culture using a typical industrial medium in a submerged, fed-batch fermentation system with conventional process controls in place. After completion of the fermentation, the solid biomass is removed from the fermentation broth by filtration leaving a supernatant containing the food enzyme. The filtrate containing the enzyme is then further purified and concentrated, including an ultrafiltration step in which enzyme protein is retained while most of the low molecular mass material passes the filtration membrane and is discarded. The applicant provided information on the identity of the substances used to control the fermentation and in the subsequent downstream processing of the food enzyme.

The Panel considered that sufficient information has been provided on the manufacturing process and the quality assurance system implemented by the applicant to exclude issues of concern.

3.3. Characteristics of the food enzyme

3.3.1. Properties of the food enzyme

The glucan 1,4- α -glucosidase and the α -amylase are single polypeptide chains of 616 and 484 amino acids, respectively. The molecular masses of the proteins, derived from the amino acid sequence, were calculated to be 66 and 53 kDa. The food enzyme was analysed by sodium dodecyl sulfate–polyacrylamide gel electrophoresis (SDS–PAGE). A consistent protein pattern was observed across all batches tested. The gels showed two proteins corresponding to apparent molecular masses of about 60 and 66 kDa, consistent with the expected mass of the enzymes. The protein profile also included a major band of > 100 kDa, which was attributed to the glycosylated form of the glucan 1,4- α -glucosidase. The identity of the three major protein bands was confirmed by *N*-terminal Edman sequencing. The food enzyme was also tested for lipase, protease and cellulase activities, and none were detected.

3.3.1.1. Glucan 1,4- α -glucosidase

The in-house determination of glucan 1,4- α -glucosidase activity is based on hydrolysis of the substrate maltose (reaction conditions: pH 4.3, temperature 37°C, reaction time 6 min). The enzymatic

⁸ Technical dossier/GMM dossier/Annex D1.

⁹ Regulation (EC) No 852/2004 of the European Parliament and of the Council of 29 April 2004 on the hygiene of food additives. OJ L 226, 25.6.2004, pp. 3–21.

¹⁰ Technical dossier/Annex 5.

¹¹ Technical dossier/pg. 49–61.

¹² Technical dossier/pg. 40/Annex 6.

¹³ Technical dossier/pg. 36/Annex 1.01 and 1.02.

¹⁴ Technical dossier/ pg. 38.

¹⁵ Technical dossier/Additional information September 2014.

 $^{^{\}rm 16}$ Technical dossier/ pg. 48-49/Annexes: 3.03, 3.04, 3.05.



activity is determined by measuring the release of glucose. The glucan 1,4- α -glucosidase activity is quantified relative to an enzyme standard and expressed in Amyloglucosidase Units/g (AGU/g).¹⁷

The glucan 1,4- α -glucosidase has a temperature optimum around 60°C (pH 5.0) and a pH optimum around pH 4.0 (37°C). Thermostability was tested after a pre-incubation of the food enzyme for 30 min at different temperatures (pH 5.0). Glucan 1,4- α -glucosidase activity decreased above 50°C, showing no residual activity above 70°C. ¹⁸

3.3.1.2. α -amylase

The in-house determination of α -amylase activity is based on hydrolysis of starch (reaction conditions: pH 2.5, temperature 37°C, reaction time 3 min). The enzymatic activity is determined by measuring the remaining starch, which is dyed with iodine and detected spectrophotometrically at 600 nm. The activity is quantified relative to an internal enzyme standard and expressed in Fungal α -amylase Units/g (FAU(A)/g). ¹⁹

Thermostability was tested after a pre-incubation of the food enzyme for 30 min at different temperatures (pH 5.0). α -Amylase activity decreased above 60°C, showing no residual activity at 80°C.²⁰

3.3.2. Chemical parameters

Data on the chemical parameters of the food enzyme were provided for three batches (no. 1-3) used for commercialisation and one batch (no 4) produced for the toxicological tests (Table 1). 21 The mean total organic solids (TOS) of the three food enzyme batches for commercialisation are 12.1% and the mean enzyme activity/TOS ratios are 1.4 AGU/mg TOS and 0.5 FAU(F)/mg TOS.

Table 1: Compositional data of the food enzyme

Parameters	Unit	1	2	3	4 ^(a)
Glucan 1,4-α-glucosidase activity	AGU/g batch ^(b)	163	164	161	156
α-Amylase activity	FAU(A)/g batch ^(c)	55.5	51.4	55.8	50.9
Protein	%	9.4	8.9	8.4	8.4
Ash	%	0.7	0.7	0.6	0.6
Water	%	86.5	86.9	88.3	88.4
Total organic solids (TOS) ^(d)	%	12.8	12.4	11.1	11.0
Glucan 1,4-α-glucosidase activity/mg TOS	AGU/mg TOS	1.27	1.32	1.45	1.42
α-amylase activity/mg TOS	FAU(A)/mg TOS	0.43	0.41	0.50	0.46

⁽a): Batch used for the genotoxicity studies.

3.3.3. **Purity**

The lead content in the three commercial batches and in the two batches used for toxicological studies was below 0.5 mg/kg, 22,23 which complies with the specification for lead (\leq 5 mg/kg), as laid down in the general specifications for enzymes used in food processing (FAO/WHO, 2006). In addition, the levels of arsenic, cadmium and mercury were below the limits of detection of the employed methodologies 24,25 except for one batch, in which arsenic was found at 0.13 mg/kg. The Panel considered this concentration as not of concern.

The food enzyme complies with the microbiological criteria for total coliforms, *E. coli* and *Salmonella*, as laid down in the general specifications for enzymes used in food processing (FAO/WHO, 2006).²⁵ No antimicrobial activity was detected in any of the tested batches (FAO/WHO, 2006).²⁵

-

⁽b): AGU: Amyloglucosidase Units (see Section 3.3.1).

⁽c): FAU(F): Fungal α -amylase Units (see Section 3.3.1).

⁽d): TOS calculated as 100% - % water - % ash.

¹⁷ Technical dossier/pg. 42-43/Annex 3.01.

¹⁸ Technical dossier/pg. 45/Annex 9.01.

¹⁹ Technical dossier/pg. 46-47/Annex 3.02.

²⁰ Technical dossier/pg. 48/Annex 9.02.

²¹ Technical dossier/pg. 37; Spontaneous submission of data November 2020.

²² Technical dossier/pg. 39.

²³ Spontaneous submission of data November 2020.

 $^{^{24}}$ LoDs: Pb = 0.5 mg/kg; As = 0.1 mg/kg; Cd = 0.05 mg/kg; Hg = 0.03 mg/kg.

²⁵ Technical dossier/pg. 39; Spontaneous submission of data November 2020.



Strains of *Aspergillus*, in common with most filamentous fungi, have the capacity to produce a range of secondary metabolites (Frisvad et al., 2017). The presence of ochratoxin A and fumonisin B2 was examined in four food enzyme batches and not detected at the LODs of the applied analytical methods. ^{25,26}

The Panel considered that the information provided on the purity of the food enzyme is sufficient.

3.3.4. Viable cells and DNA of the production strain

The absence of the production strain in the food enzyme was demonstrated

[27]

The absence of recombinant DNA in the food enzyme

[28]

3.4. Toxicological data

The food enzyme is intended to be used in starch processing for the production of syrups and distilled alcohol production. In the course of these processes, the food enzyme is removed by the applied purification steps (see Section 3.5) and, consequently, no toxicological studies other than assessment of allergenicity are needed for the assessment of this food enzyme.

The applicant provided a bacterial gene mutation assay (Ames test), and an *in vitro* micronucleus test performed with the food enzyme under assessment (batch 4, Table 1). Batch 4 has a similar protein pattern and chemical purity as the batches used for commercialisation, and thus is considered suitable as a test item. For systemic toxicity, the applicant provided data on a substitute food enzyme glucan $1,4-\alpha$ -glucosidase produced with the *A. niger* strain BO-1, which is an early ancestor in the strain lineage leading to the production strain NZYM-BX. The genotoxicity tests are reported as supporting evidence, but the repeated dose 90-day oral toxicity study was not considered. This was because a round of chemical mutagenesis was applied in the last step of the development of the production strain NZYM-BX, and, therefore, the test item cannot be considered suitable for the assessment of the food enzyme.

3.4.1. Genotoxicity

3.4.1.1. Bacterial reverse mutation test

A bacterial reverse mutation assay (Ames test) was performed according to Organisation for Economic Co-operation and Development (OECD) Test Guideline 471 (OECD, 1997) and following Good Laboratory Practice (GLP). Four strains of *Salmonella* Typhimurium (TA98, TA100, TA1535 and TA1537) and *Es. coli* WP2uvrA were used in the presence or absence of metabolic activation, applying the direct plate incorporation method. Two separate experiments were carried out using six concentrations of the food enzyme (156, 313, 625, 1,250, 2,500 and 5,000 μ g/plate, corresponding to 148, 296, 593, 1185, 2370 and 4740 μ g TOS/plate). No cytotoxicity was observed at any concentration level of the test. Upon treatment with the food enzyme, there was no significant increase in revertant colony numbers above the control values in any strain with or without S9-mix.

The Panel concluded that the food enzyme did not induce gene mutations under the test conditions employed in this study.

3.4.1.2. In vitro micronucleus assay

The *in vitro* micronucleus test was carried out according to OECD Draft Guideline 487 (OECD, 2010) and following GLP.³¹ The experiment was performed in duplicate cultures of human peripheral whole blood lymphocytes. Cells were exposed to the test substance for 3 hours in the presence or absence of

²⁶ LoD: ochratoxin A = 0.003 mg/kg; fumonisin B2 = 0.003 mg/kg.

²⁷ Technical dossier/GMM dossier/Annex E1.

²⁸ Technical dossier/GMM dossier/Annex E2.

²⁹ Technical dossier/Annex 7.01.

³⁰ Technical dossier/Supplementary information to Ames study no. 20088014.

³¹ Technical dossier/Annex 7.02.



S9-mix and harvested 24 hours after the beginning of treatment. Additionally, a continuous 24-hour treatment without S9-mix was included. The food enzyme was tested at 2,450, 3,500 and 5,000 μ g/mL, corresponding to 269.5, 385 and 550 μ g TOS/mL. After treatments, no cytotoxicity was observed neither in the presence nor in the absence of S9-mix. In the short-term and continuous treatments in the absence of S9-mix, the frequency of binucleated cells with micronuclei (MNBN) was comparable to the negative controls at all concentrations tested. In the short-term treatment in the presence of S9-mix, a statistically significant increase in MNBN was observed for all concentrations analysed. However, the values of MNBN in the treated cultures were within the 95th percentile of the historical control range and the increase was not concentration-related, therefore, these effects were considered not to be biologically relevant.

The Panel concluded that, under the test conditions employed in this study, the food enzyme did not induce an increase in the frequency of MNBNs in cultured human peripheral blood lymphocytes.

3.4.2. Allergenicity

The allergenicity assessment considers only the food enzyme and not any carrier or other excipient which may be used in the final formulation.

The potential allergenicity of the glucan 1,4- α -glucosidase and the α -amylase produced with the genetically modified *A. niger* strain NZYM-BX was assessed by comparing its amino acid sequence with those of known allergens according to the scientific opinion on the assessment of allergenicity of GM plants and microorganisms and derived food and feed of the Scientific Panel on Genetically Modified Organisms (EFSA GMO Panel, 2010). Using higher than 35% identity in a sliding window of 80 amino acids as the criterion, two matches were found. The matching allergens were Sch c 1, a glucoamylase produced by of *Schizophyllum commune*, and Asp o 21, an α -amylase produced by *Aspergillus oryzae*, known as occupational respiratory allergens.³²

No information is available on oral and respiratory sensitisation or elicitation reactions of these glucan 1,4- α -glucosidase and α -amylase activities.

Both glucoamylase from *S. commune* (Toyotome et al., 2014) and α -amylase from *A. oryzae* (Brisman and Belin, 1991; Sander et al., 1998; Quirce et al., 2002; Brisman, 2002) are known as occupational respiratory allergens associated with baker's asthma. However, several studies have shown that adults with occupational asthma caused by an enzyme (as described for α -amylase from *A. oryzae*) can ingest respiratory allergens without acquiring clinical symptoms of food allergy (Cullinan et al., 1997; Poulsen, 2004; Armentia et al., 2009). Considering the wide use of α -amylase as a food enzyme, only a low number of case reports have been described in the literature that focused on allergic reactions upon oral exposure to α -amylase in individuals respiratory sensitised to α -amylase (Losada et al., 1992; Quirce et al., 1992; Baur and Czuppon, 1995; Kanny and Moneret-Vautrin, 1995; Moreno-Ancillo et al., 2004). Such information has not been reported for glucoamylase. The Panel noted that an allergic reaction upon oral ingestion of glucan 1,4- α -glucosidase and α -amylase, produced with the genetically modified *A. niger* strain NZYM-BX, in individuals respiratory sensitised to α -amylase cannot be ruled out, but the likelihood of such a reaction to occur is considered to be low.

According to the information provided, substances or products that may cause allergies or intolerances are used as raw materials () in the media fed to the microorganisms. However, during the fermentation process, these products will be degraded and utilised by the microorganisms for cell growth, cell maintenance and production of enzyme protein. In addition, the fungal biomass and fermentation solids are removed. Taking into account the fermentation process and downstream processing, the Panel considered that potentially allergenic residues of these foods employed as nitrogen sources are not expected to be present.

Quantifying the risk for allergenicity is not possible in view of the individual susceptibility to food allergens. Allergenicity can be ruled out only if the proteins are fully removed as in the case of distilled alcohol production. In the starch processing for the production of glucose syrups, experimental data showed a significant removal (>99%) of protein. However, traces of protein could be present in glucose syrup.

The Panel considered that, under the intended conditions of use, the risk of allergic sensitisation and elicitation reactions upon dietary exposure to this food enzyme can be excluded for distilled alcohol production. The risk cannot be excluded for starch processing, but the likelihood of such reactions to occur is considered to be low.

³² Technical dossier/ Annex 8.



3.5. Dietary exposure

3.5.1. Intended use of the food enzyme

The food enzyme is intended to be used in two food processes at the recommended use levels summarised in Table 2.

In starch processing, the food enzyme is typically added during the saccharification step where it degrades gelatinised starch into dextrins. The food enzyme can also be used for raw starch hydrolysis where the starch is not completely gelatinised to degrade the dextrins to fermentable sugars.

Table 2: Intended uses and recommended use levels of the food enzyme as provided by the applicant³³

Food manufacturing process ^(a)	Raw material	Recommended dosage of the food enzyme
Starch processing for the production of glucose syrups	starch	Up to 593 mg TOS/kg starch
Distilled alcohol production	cereals	Up to 474 mg TOS/kg cereals

TOS: total organic solids.

In distilled alcohol production, the food enzyme is typically applied during the pre-saccharification together with other saccharifying enzymes to degrade the dextrins to fermentable sugars. In plants using the simultaneous saccharification and fermentation process, liquefied mash is pumped into the fermenter, where the food enzyme and other saccharifying enzymes are added together with yeast at the beginning of fermenter fill.

3.5.2. Dietary exposure estimation

The technical information and experimental data provided on the removal of food enzyme TOS during distilled alcohol production and starch processing for the production of glucose syrups was considered by the Panel as sufficient to exclude these processes from the exposure assessment (Annex B in EFSA CEF Panel, 2016). Consequently, a dietary exposure was not calculated.

4. Conclusions

Based on the data provided and the removal of TOS during starch processing and distilled alcohol production, the Panel concluded that the food enzyme with glucan 1,4- α -glucosidase and α -amylase activities produced with the genetically modified *A. niger* strain NZYM-BX does not give rise to safety concerns under the intended conditions of use.

The CEP Panel considers the food enzyme free from viable cells of the production organism and recombinant DNA.

5. Documentation as provided to EFSA

Glucoamylase and alpha-amylase produced by a genetically modified strain of *Aspergillus niger* (strain NZYM-BX). November 2013. Submitted by 1.1 Novozymes A/S.

Additional information. September 2014. Submitted by Novozymes A/S

Additional information. December 2014. Submitted by Novozymes A/S

Spontaneous additional information. November 2020. Submitted by Novozymes A/S

Summary report on GMM part related to Glucoamylase and alpha-amylase produced from *Aspergillus niger* by Novozymes A/S. January 2015. Delivered by Technical University of Denmark (Lyngby, Denmark).

Summary report on technical part related to Glucoamylase and alpha-amylase produced from *Aspergillus niger* by Novozymes A/S. February 2014. Delivered by Technical University of Denmark (Lyngby, Denmark).

⁽a): The description provided by the applicant has been harmonised by EFSA according to the 'EC working document describing the food processes in which food enzymes are intended to be used' – not yet published at the time of adoption of this opinion.

³³ Spontaneous information November 2020.



Summary report on toxicological data related to Glucoamylase and alpha-amylase produced from *Aspergillus niger* by Novozymes A/S. January 2014. Delivered by FoBiG (Freiburg im Breisgau, Germany).

References

- Armentia A, Dias-Perales A, Castrodeza J, Dueñas-Laita A, Palacin A and Fernándes S, 2009. Why can patients with baker's asthma tolerate wheat flour ingestion? Is wheat pollen allergy relevant? Allergologia et Immunopathologia, 37, 203–204.
- Baur X and Czuppon AB, 1995. Allergic reaction after eating α -amylase (Asp o 2)-containing bred. A case report. Allergy, 50, 85–87.
- Brisman J and Belin L, 1991. Clinical and immunological responses to occupational exposure to α -amylase in the baking industry. British Journal of Industrial Medicine, 48, 604–608.
- Brisman J, 2002. Baker's asthma. Occupational and Environmental Medicine, 59, 498-502.
- Cullinan P, Cook A, Jones M, Cannon J, Fitzgerald B and Newman Taylor AJ, 1997. Clinical responses to ingested fungal α-amylase and hemicellulase in persons sensitized to *Aspergillus fumigatus*? Allergy, 52, 346–349.
- EFSA (European Food Safety Authority), 2009a. Guidance of EFSA prepared by the Scientific Panel of Food Contact Material, Enzymes, Flavourings and Processing Aids on the Submission of a Dossier on Food Enzymes. EFSA Journal 2009;7(8):1305, 26 pp. https://doi.org/10.2903/j.efsa.2009.1305
- EFSA (European Food Safety Authority), 2009b. Guidance of the Scientific Committee on transparency in the scientific aspects of risk assessments carried out by EFSA. Part 2: general principles. EFSA Journal 2009;7 (5):1051, 22 pp. https://doi.org/10.2903/j.efsa.2009.1051
- EFSA CEF Panel (EFSA Panel on Food Contact Materials, Enzymes, Flavourings and Processing Aids), 2016. Panel statement on the exposure assessment of food enzymes. EFSA Journal 2016;14(11):4581, 9 pp. https://doi.org/10.2903/j.efsa.2016.4581 and Annex B Process-specific technical data used in exposure assessment of food enzymes (Available online: https://efsa.onlinelibrary.wiley.com/action/downloadSupplement?doi=10. 2903%2Fj.efsa.2016.4581&file=efs24581-sup-0001-Annex_B.pdf)
- EFSA CEP Panel (EFSA Panel on Food Contact Materials, Enzymes and Processing Aids), 2019. Statement on the characterisation of microorganisms used for the production of food enzymes. EFSA Journal 2019;17(6):5741, 13 pp. doi.org/10.2903/j.efsa.2019.5741
- EFSA GMO Panel (EFSA Panel on Genetically Modified Organisms), 2010. Scientific Opinion on the assessment of allergenicity of GM plants and microorganisms and derived food and feed. EFSA Journal 2010;8(7):1700, 168 pp. https://doi.org/10.2903/j.efsa.2010.1700
- FAO/WHO (Food and Agriculture Organization of the United Nations/World Health Organization), 2006. General specifications and considerations for enzyme preparations used in food processing in Compendium of food additive specifications. 67th meeting. FAO JECFA Monographs, 3, 63–67. Available online: http://www.fao.org/3/a-a0675e.pdf
- Frisvad JC, Møller LLH, Larsen TO, Kumar R and Arnau J, 2017. Safety of the fungal workhorses of industrial biotechnology: update on the mycotoxin and secondary metabolite potential of *Aspergillus niger, Aspergillus oryzae*, and *Trichoderma reesei*. Applied Microbiology and Biotechnology, 102, 9481–9515.
- Kanny G and Moneret-Vautrin D-A, 1995. α -amylase contained in bread can induce food allergy. Journal of Allergy and Clinical Immunology, 95, 132–133.
- Losada E, Hinojosa M, Quirce S, Sánchez-Cano M and Moneo I, 1992. Occupational asthma caused by α -amylase inhalation: clinical and immunologic findings and bronchial response patterns. Journal of Allergy and Clinical Immunology, 89, 118–125.
- Moreno-Ancillo A, Domínguez-Noche C, Gil-Adrados AC and Cosmes PM, 2004. Bread eating induced oral angioedema due to α-amylase allergy. Journal of Investigative Allergology and Clinical Immunology, 14, 346–347.
- OECD (Organisation for Economic Co-Operation and Development), 1997. OECD Guideline for the testing of chemicals, Section 4 Health effects, Test No. 471: Bacterial reverse mutation test. 21 July 1997. 11 pp. Available online: http://www.oecd-ilibrary.org/environment/test-no-471-bacterial-reverse-mutation-test_978 9264071247-en;jsessionid=9zfgzu35paaq.x-oecd-live-01
- OECD (Organisation for Economic Co-Operation and Development), 2010. OECD Guideline for the testing of chemicals, Section 4 Health effects, Test No. 487: *In vitro* mammalian cell micronucleus test. 22 July 2010. 29 pp. Available online: https://www.oecd-ilibrary.org/environment/test-no-487-in-vitro-mammalian-cell-micronucle us-test 9789264091016-en
- Poulsen LK, 2004. Allergy assessment of foods or ingredients derived from biotechnology, gene-modified organisms, or novel food. Molecular Nutrition & Food Research, 48, 413–423.
- Quirce S, Cuevas M, Díez-Gómez M, Fernández-Rivas M, Hinojosa M, González R and Losada E, 1992. Respiratory allergy to *Aspergillus*-derived enzymes in bakers' asthma. Journal of Allergy and Clinical Immunology, 90, 970–978.
- Quirce S, Fernandez-Nieto M, Bartolome B, Bombin C, Cuevas M and Sastre J, 2002. Glucoamylase: another fungal enzyme associated with baker's asthma. AnnAllergy Asthma Immunol, 89, 197–202.



Sander I, Raulf-Heimsoth M, Siethoff C, Lohaus C, Meyer HE and Baur X, 1998. Allergy to *Aspergillus*-derived enzymes in the baking industry: identification of beta-xylosidase from *Aspergillus niger* as a new allergen (Asp n 14). Journal of Allergy and Clinical Immunology, 102, 256–264.

Toyotome T, Satoh M, Yahiro M, Watanabe A, Nomura F and Kamei K, 2014. Glucoamylase is a major allergen of *Schizophyllum commune*. Clinical and Experimental Allergy, 44, 450–457.

Abbreviations

CAS Chemical Abstracts Service

CEF EFSA Panel on Food Contact Materials, Enzymes, Flavourings and Processing Aids

CEP EFSA Panel on Food Contact Materials, Enzymes and Processing Aids EINECS European Inventory of Existing Commercial Chemical Substances

FAO Food and Agricultural Organization of the United Nations

GLP Good Laboratory Practice

GMM genetically modified microorganism GMO genetically modified organism

IUBMB International Union of Biochemistry and Molecular Biology

LoD limit of detection

MNBN binucleated cells with micronuclei

OECD Organisation for Economic Cooperation and Development

PCR polymerase chain reaction

SDS-PAGE sodium dodecyl sulfate-polyacrylamide gel electrophoresis

TOS total organic solids

WHO World Health Organization