# Towards precision medicine: linking genetic and cellular heterogeneity in gastric cancer

## Estefania Carrasco-Garcia, Mikel García-Puga, Sara Arevalo and Ander Matheu

**Abstract:** Molecular and cellular heterogeneity are phenomena that are revolutionizing oncology research and becoming critical to the idea of personalized medicine. Recent comprehensive molecular profiling has identified molecular subtypes of gastric cancer (GC) and linked them to clinical information. Moreover, GC stem cells (gCSCs) have been identified and found to be responsible for GC initiation and progression, *Helicobacter pylori* oncogenic action and therapy resistance. Addressing molecular heterogeneity is critical for achieving an optimal therapeutic approach against GC as well as targeting gCSCs. In this review, we outline the implications of molecular and cellular heterogeneity in the treatment of GC and we summarize the clinical impact of the most important regulators of gCSCs.

*Keywords:* cellular heterogeneity, gastric cancer, gastric cancer stem cells, molecular heterogeneity, personalized medicine

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#### **Gastric cancer**

Gastric cancer (GC) is the fourth most common cancer and the third leading cause of cancerrelated mortality worldwide. The 5-year survival rate is less than 5% in advanced unresectable or metastatic disease,<sup>1</sup> a stage observed in around 80% of patients at diagnosis.<sup>2</sup> GC is a complex disease influenced by a range of environmental and genetic factors. Among the former, which include smoking and a high-salt diet, infection with Helicobacter pylori (H. pylori) is a major driver that promotes chronic inflammation in the gastric epithelium and the sequential histological alterations that lead to gastric carcinoma.<sup>3</sup> In this process, genetic and epigenetic alterations occur and accumulate, such as mutations in the APC, TP53 and KRAS genes, or the phenomenon of DNA hypermethylation.<sup>2</sup> Regarding the therapeutic options, surgical resection along with adjuvant or neoadjuvant radiotherapy and chemotherapy based on cisplatin, 5-fluorouracil, taxanes or irinotecan is the most potentially curative treatment for GC. However, despite the growing knowledge and the advances in drug development, GC presents a poor outcome due to late diagnosis and the extremely high heterogeneity exhibited both within tumors and between patients. This heterogeneity

makes the choice of therapy difficult and underlines the need for novel markers for patient stratification, as well as the need for therapies capable of addressing genetic, molecular and cellular heterogeneity within tumors.

#### **GC** classifications

Classically, GC has been classified according to Lauren's histological criteria into intestinal (50%), diffuse (33%) or mixed/unclassified (17%).<sup>4</sup> Intestinal GC is characterized by tumor cells arranged in tubular or glandular formations, which are often associated with intestinal metaplasia, whilst diffuse GC lacks intercellular junctions, with cancer cells infiltrating the stroma. The diffuse subtype is associated with younger patients and a poorer prognosis than the intestinal type.<sup>5</sup> In 2010, the World Health Organization (WHO) classified GC histologically, according to the predominant pattern, into papillary, tubular, mucinous and poorly cohesive. The papillary type is characterized by elongated finger-like structures lined by cylindrical or cuboidal cells supported by fibro vascular connective tissue cores. This subtype is frequently associated with liver metastasis and extensive lymph node involvement.<sup>6</sup> In the

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Correspondence to: Ander Matheu

Cellular Oncology Group, Biodonostia Health Research Institute, Paseo Dr. Beguiristain s/n, Gipuzkoa, 20014, Spain IKERBASQUE, Basque Foundation, Bilbao, Spain CIBER de Fragilidad y Envejecimiento Saludable (CIBERfes) Madrid, Spain **ander.matheu( biodonostia.org** 

Estefanía Carrasco-García

Cellular Oncology Group, Biodonostia Health Research Institute, Gipuzkoa, Spain CIBER de Fragilidad y Envejecimiento Saludable (CIBERfes), Madrid, Spain **estefania.carrasco@ biodonostia.org** 

Mikel García-Puga Sara Arevalo

Cellular Oncology Group, Biodonostia Health Research Institute, San Sebastian, Spain



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**Figure 1.** Characteristics of the Cancer Genome Atlas (TCGA) Research Network and the Asian Cancer Research Group (ACRG) gastric cancer cohorts. A summary with the different proposed subtypes is presented for each cohort. (a) *TCGA subtypes*: MSI: tumors with microsatellite instability; EBV+: tumors positive for Epstein-Barr virus; GS: genomically stable tumors; CIN: tumors with chromosome instability. (b) *ACRG subtypes*: MSI: tumors with microsatellite instability; MSS/TP53+: stable tumors with active *TP53*; MSS/ TP53-: stable tumors with inactive *TP53*, MSS/EMT: stable tumors expressing an EMT signature. The clinical characteristics and main genetic and molecular alterations are listed for each subtype.

tubular pattern, cells are arranged in branching tube-like epithelial structures, sometimes accompanied by acinar structures. The mucinous subtype contains malignant glandular cells and may harbor scattered signet-ring cells. Finally, poorly cohesive carcinomas are composed of scattered cancer cells, appearing as isolated cells or in small clusters. In some cases, tumors are mainly composed of signet-ring cells and others contain cells that resemble histiocytes, lymphocytes or plasma cells. Poorly cohesive carcinomas correspond to the diffuse type described by Lauren, while tubular and papillary carcinomas approximately correspond to the intestinal type.

Histological classifications *per se* are not sufficient to explain the high complexity of GC. In recent years, there has been outstanding progress in the elucidation of the genomic landscape of GC due to technical advances and the efforts of international research consortiums such as the Cancer Genome Atlas (TCGA) Research Network<sup>7</sup> and the Asian Cancer Research Group (ACRG).<sup>8</sup> This research has demonstrated that GC exhibit a broad plethora of gene mutations and amplifications, diverse DNA methylation profiles, and differences in the activation or inactivation of particular signaling cascades. In response to these findings, novel classifications of GC have been proposed, with distinct subtypes based on molecular alterations (Figure 1).

From analysis of information gathered on a large number of patients (n = 295) from across the world and unsupervised and integrative clustering of molecular data, the TCGA Research Network has identified four GC subtypes: tumors positive for Epstein-Barr virus (EBV+), tumors showing microsatellite instability (MSI), genomically stable (GS) tumors and cases exhibiting chromosomal instability (CIN) [Figure 1(a)]. Patients with the MSI subtype (21.7%) generally have intestinal type tumors and are diagnosed at older ages. This subtype is associated with methylation of DNA mismatch repair genes (including specifically MLH1) and a high incidence of mutations in PIK3CA, ERBB3, RNF43, PTEN, TP53, KRAS or ARID1A. The EBV+ group represents 8.8% of cases and is characterized bv DNA hypermethylation (CDKN2A being hypermethylated in all cases but MLH1 in none), mutations in PIK3CA, ARID1A and BCOR, and amplification of JAK2, CD274 (PD-L1) and PDCD1LG2 (PD-L2). According to the degree of aneuploidy, the rest of the tumors were classified as GS or exhibiting CIN. GS cases (19.7%) are mainly diffuse and diagnosed in younger patients (median age of 59 years). They have low mutation rates, ARID1A, RHOA and

CDH1 being the most frequently mutated genes. The CIN group represents almost half of cases (49.8%) and is characterized by frequent copy number alterations. Amplification of genes encoding receptor tyrosine kinases (EGFR, ERBB2, ERBB3, FGFR2 and MET) is one of the distinctive aspects of these tumors, which also show amplification of transcription factors (MYC and GATA4, among others), cell-cycle regulators (CDK6, CCNE1 and CCND1) and other genes such as PDCD1LG2 (PD-L2) or PIK3CA. Chromosomal deletions affecting CDH1, CTNNA1 and RB1, and mutations in TP53 (71%) are also frequent in this group.<sup>7</sup> Interestingly, the integration of molecular data with data related to known signaling pathways revealed a marked immune cell signaling in the EBV+ subtype, prominent alteration of cell adhesion in the GS subtype, receptor tyrosine kinase (RTK)/RAS signaling pathway activation in the CIN subtype and activation of mitotic pathways in the MSI subtype.<sup>7</sup>

The ACRG analyzed samples from 300 Korean patients and classified GC according to defined genetic signatures, the status of TP53 activation and the MSI condition.8 Thereby, the group identified four molecular subtypes: tumors showing MSI, microsatellite stable TP53 active (MSS/ TP53+), microsatellite stable TP53 inactive (MSS/ TP53-) and microsatellite stable expressing an EMT signature cases (MSS/EMT) [Figure 1(b)]. Notably, these subtypes are associated with different survival rates and recurrence patterns. Specifically, the MSI subtype has the best prognosis (mean survival of 77.8 months) and the lowest tendency to recur, followed by the MSS/TP53+ and MSS/TP53- subtypes, with intermediate prognosis (mean survival of 66.9 and 59.8 months respectively), while the poorest outcome and highest recurrence rate (63%) are associated with the MSS/EMT subtype (mean survival of 42.6 months). MSI tumors (22.7%) are diagnosed at an early stage (I/II), are commonly intestinal (60% of them), and show loss of MLH1 and a high frequency of mutations in ARID1A, KRAS, PIK3CA, ALK and genes involved in the phosphatidylinositol-3-kinase (PI3K)/mammalian target of rapamycin (mTOR) pathway. The MSS/ TP53+ subtype (26.3%) is more enriched in EBV+ cases (66%) than the others, and has a higher prevalence of mutations than the MSS/ TP53- subtype in genes such as APC, ARID1A, KRAS, PIK3CA and SMAD4. The most frequent gene amplification in these cases affect CCNE1. The MSS/TP53- subtype (35.7%) is mainly

intestinal and carries mutations in *TP53*, and has a relatively low frequency of mutations affecting other genes. This subgroup also has amplification of *ERBB2*, *CCNE1*, *MYC* and *EGFR* genes. MSS/ EMT tumors (15.3%) are predominantly diffuse (>80%) and diagnosed at a younger age (median of 53 years *versus* 64–66 years in the other subtypes). This subtype presents low cell adhesion due to the loss of *CDH1*, and exhibits the fewest mutations, *ARID1A* being among the most frequently mutated genes (13.9%). Notably, this classification has been shown to be applicable to other independent large cohorts, in which it also segregates cases into the same four defined subgroups and the association with prognosis remains.<sup>8</sup>

TCGA and ACRG classifications exhibit some differences that could be attributed to the application of different approaches and technological platforms, as well as differences in the ethnicity of the patients, which are mainly from Korea in the study of the ACRG and from USA and Western Europe in the TCGA analysis.<sup>7,8</sup> Moreover, the histological diffuse type is more represented in the ACRG cohort (45% in ACRG and 24% in TCGA). Nonetheless, they also present similarities such as both consortia identified a MSI subtype, with MLH1 hypermethylation and high mutation frequency. EBV and MSS/TP53+ subtypes also share similarities since MSS/TP53+ cases are frequently positive for EBV infection and some of their frequently mutated genes such as PIK3CA and ARID1A are common. However, the alteration of these genes does not define these subgroups of patients, since they have been described in several of the other subtypes of tumors. There are also analogies between GS and MSS/EMT subtypes, which affect younger patients, are mostly diffuse and present low intercellular adhesion. Finally, both CIN and MSS/ TP53- subtypes are mostly intestinal, present mutations in TP53 and exhibit amplification of EGFR family members.

# GC molecular heterogeneity and therapy

Regarding GC therapeutic options, surgical resection along with adjuvant or neoadjuvant radiotherapy and chemotherapy based on cisplatin, 5-fluorouracil, taxanes or irinotecan is the first-line and most potentially curative treatment for GC. Patients with advanced disease receive palliative chemotherapy and those whose tumors overexpress the human epidermal growth factor receptor 2 (HER2) receive trastuzumab (Herceptin, Roche, Germany) as first-line treatment. Another targeted therapy approved for the treatment of refractory advanced GC is ramucirumab (Cyramza, Lilly, USA), an antibody directed against the vascular endothelial growth factor receptor 2 (VEGFR2), that inhibits angiogenesis and prolongs survival alone or in combination with paclitaxel.<sup>9</sup> However, despite the advances in drug development, GC still presents a poor outcome.

As indicated in the previous section, GC heterogeneity is manifested in marked differences in disease aggressiveness and treatment outcome. The identification of the different molecular subtypes of GC represents an advance towards the goal of personalized medicine. Nevertheless, in current clinical practice, the choice of therapeutic strategy against GC still does not consider this molecular heterogeneity regularly and is mostly based on tumor stage (Figure 2). Thus, heterogeneity is likely to explain, at least in part, the dismal results obtained in many clinical trials, since the criteria for selection of patients in most cases are not based on molecular information. It is therefore reasonable to surmise that some agents that have not provided significant benefits in nonstratified patients with GC could be beneficial in specific subsets of patients.

In particular, based on the molecular studies referred to above, drugs directed against RTKs such as cetuximab, rilotumumab or dovitinib could represent suitable therapies for certain CIN cases, which overexpress their targets. In relation to this, some trials are underway testing an antibody against FGFR-2 in FGFR-2amplified gastric cancers [ClinicalTrials.gov identifier: NCT02318329] and the antibody nimotuzumab against EGFR is being tested in combination with irinotecan in patients with high EGFR expression [ClinicalTrials.gov identifier: NCT01813253].9 Similarly, the mTOR inhibitor everolimus or other analogues could be effective in subsets of patients with MSI subtype, since they frequently harbor activating mutations in genes involved in the mTOR pathway. Furthermore, it is also plausible that those patients harboring MSI and CIN tumors with alterations in PIK3CA or PTEN or genes encoding RTKs respond to PI3K inhibitors. Indeed, nowadays a trial is evaluating the efficacy of the inhibitor of PI3K-B GSK2636771 in patients with advanced gastric carcinomas deficient in the Phosphatase and tensin homolog (PTEN) tumor suppressor gene [ClinicalTrials.gov identifier: NCT02615730].10 In relation to PI3K, but

using it as a marker, another clinical trial is being developed to test the efficacy of the AKT inhibitor AZD5363 in combination with paclitaxel for the treatment of advanced gastric carcinoma with PIK3CA mutation or amplification [ClinicalTrials.gov identifier: NCT02451956].10 Also in relation to AKT as target, a recent study has revealed that the loss of ARID1A expression in GC cells due to mutations leads to the activation of AKT, suggesting that the mutational status of ARID1A in GCs may define the response of patients to therapies with AKT inhibitors.<sup>11</sup> ARID1A mutation is a very widespread event in GC, but it is especially frequent in the MSI subtype,<sup>12</sup> in which other alterations coexist that lead to the activation of AKT as mutations in PIK3CA, PTEN or ERBB3. Thus, it would be worth analyzing the response of patients with GC MSI to the inhibition of AKT, and even in combination with PI3K inhibitors. As we have mentioned, trastuzumab is being used for patients with advanced GC whose biopsies express HER2. However, trastuzumab offers very limited benefits in most patients and the effectiveness does not last long.<sup>13</sup> Interestingly, ERBB2 amplification is present in some patients with the MSS/ TP53- subtype (17.4% of them), and the TP53 mutation is a condition that predicts benefit from trastuzumab in other cancers.14 This could also be the case in GC and it would be worthwhile investigating whether patients with the MSS/ TP53- subtype and HER2 overexpression are especially responsive to treatment with trastuzumab. Nonetheless, the definition of HER2+ GC tumors remains controversial, probably due to the lack of an unified criterion,<sup>9</sup> and it is necessary to make an effort in this sense to stratify patients more accurately.

Immunotherapy has been successfully implemented in the treatment of some types of cancer and represents a promising strategy, which is revolutionizing oncology research.<sup>15</sup> In GC, the antibody nivolumab (Opdivo, Bristol-Myers Squibb, USA), which binds to the programmed cell death protein 1 (PD-1) and disrupts its interaction with its ligands PD-L1 and PD-L2, enhancing the antitumor activity of T lymphocytes, was approved in Japan in 2017 as third-line therapy for its benefit in patients with unresectable or recurrent gastric carcinoma treated with at least two prior lines of chemotherapy.<sup>16</sup> Similarly, another clinical trial has led to the US Food and Drug Administration approval of the anti-PD-L1 antibody pembrolizumab (Keytruda, Merck &

Co., USA), for the treatment of patients with PD-L1+ recurrent or advanced gastric adenocarcinoma with disease progression after two or more lines of therapy.<sup>17</sup> Remarkably, immunotherapy could also be beneficial as first line and it could be especially suitable for particular subgroups of patients. Notably, if we put our attention on immunotherapy targets and the GC classifications presented above, the TCGA study revealed amplification of PD-L1 or PD-L2 in the EBV+ and CIN subtypes. Thus, it is conceivable that a relatively high proportion of patients with EBV+ or CIN tumors would respond to immune checkpoint blockade. Further, MSI cases could also respond to this type of therapy because they present mismatch-repair deficiency, a condition that in other cancers such as colorectal predicts benefit from immune checkpoint blockade with pembrolizumab.18 In support of the use of immunotherapy in GC, a recent meta-analysis focused on PD-L1 in GC has shown that PD-L1 overexpression is associated with poor prognosis and is significantly related to EBV infection and MSI status, reinforcing the interest of this target in both subtypes.<sup>19</sup>

## GC heterogeneity at the cellular level

Intratumor heterogeneity in GC is also evident at the cellular level (Figure 3). GCs have a particularly important small subpopulation of cells that displays characteristics similar to stem cells, including unlimited self-renewal and multilineage differentiation potential. These cells, called GC stem cells (gCSCs), were first isolated from human GC biopsies in 2007,<sup>20</sup> yet their origin remains somewhat unclear. Cancer is the result of the accumulation of multiple genetic alterations that only occurs and perpetuates in long-lived and self-renewing cells such as adult stem cells.<sup>21</sup> In accordance with this notion, studies in mice have revealed that the induction of genetic or epigenetic events specifically in gastrointestinal stem cells lead to the development of GC.22,23 Moreover, this malignant transformation of gastric stem cells into gCSCs also happens as a result of H. pylori infection.<sup>24,25</sup> Other origins for gCSCs have been proposed, such as bone-marrowderived cells recruited to the stomach in response to Helicobacter infection<sup>26</sup> or cells derived from the dedifferentiation of gastric epithelial cells.<sup>27</sup>

In addition to tumor origin, growing evidence indicates that gCSCs are responsible for longterm tumor maintenance, chemotherapy resistance, recurrence and metastasis.<sup>28-34</sup> It is well known that conventional chemotherapy does not take into account intratumor heterogeneity at the cellular level and is most effective in proliferative cells. Hence, it does not target cancer stem cells (CSCs). In fact, subpopulations of cells within tumors expressing stem cell markers exhibit resistance to a broad spectrum of chemotherapeutic agents and radiation.<sup>28-31</sup> This resistance is due in part to their quiescent status and their capacity of self renewal. In line with this, in patients with GC, residual tumor tissue after chemotherapy treatment is enriched in the expression of postulated gCSC markers.<sup>32</sup> In addition, gCSCs have been implicated in the process of metastasis in GC. The expression of gCSC markers in primary GC is associated with an increased risk of metastasis and a dismal prognosis.33 Furthermore, patients with GC and circulating tumor cells that express CSC markers have earlier recurrence and are more likely to develop metastasis.34,35

All these findings, taken together, highlight the importance of identifying the regulators of gCSCs for their use as biomarkers for patient stratification as well as molecular targets. Moreover, it would be important to link their expression to the established clinical and pathological prognostic factors, the recently identified genetic mutations and molecular alterations that are drivers and passengers of GC progression and the aforementioned novel classifications. Classically there has been great interest in the search for surface markers that are differentially expressed in CSCs given the possibility of identifying, isolating and targeting this cell population through the use of antibodies. However, other intracellular proteins such as transcription factors or enzymes are also relevant in the biology of CSCs and may constitute suitable molecular GC biomarkers and also therapeutic targets. In this review, we summarize the current knowledge regarding the impact of the most relevant regulators of gCSCs in GC pathobiology.

## Regulators of gCSCs

*CD44.* CD44 is a trans membrane glycoprotein that binds to hyaluronic acid (HA) in the extracellular matrix<sup>36</sup> and also interacts with osteopontin, collagens or matrix metalloproteinases (MMPs). It is a fetal and adult hematopoietic stem cell regulator that participates in cell–cell interactions, cell adhesion and migration, and is involved in processes such as lymphocyte activation and



**Figure 2.** Impact of molecular heterogeneity and personalized treatment in gastric cancer (GC). (a) Historically, patients with GC have been treated uniformly, and this has been associated with therapeutic effects in a limited percentage of patients, as it does not take into account the high molecular heterogeneity present among patents with GC. (b) Screening to identify theranostic biomarkers is a necessary condition for personalized medicine approaches. In this case, the identification of specific biomarkers would allow patient stratification and subsequently personalized treatment, ensuring that each subgroup or individual receives the most appropriate and effective treatment or drug. This approach might significantly increase the therapeutic effects in patients.

homing.<sup>37</sup> The standard form of CD44 (CD44s) comprises 10 exons and alternative splicing results in many distinct variants (CD44v).<sup>38</sup> The role of CD44 as a robust and widespread CSC marker has been described elsewhere.<sup>39</sup> In GC, Takaishi and collaborators originally found that CD44+ cells isolated from GC cell lines had self-renewal and tumorigenic potential when inoculated into immunodeficient mice. Moreover, CD44 silencing abrogated these stem cell properties, this being the first gCSC biomarker proposed.<sup>28</sup> Accordingly, enhanced chemo resistance and invasiveness for CD44+ cells have also been shown in GC cell lines.<sup>30</sup> In line with the role of

CD44 as a biomarker of gCSCs, the analysis of gastric tissue samples from patients suggests that the emergence of gCSCs induced by *H. pylori* infection of gastric mucosa may rely on CD44 induction.<sup>40</sup> Nevertheless, some studies have not found CSC characteristics in the subpopulations of CD44+ cells isolated from patient-derived xenografts,<sup>41,42</sup> and it has been suggested that some CD44 variants could be more relevant for gCSCs than CD44s.<sup>43</sup>

Regarding the relevance of CD44 to clinical practice, CD44 expression in gastric tumors is related to adverse clinical and pathological features. In



**Figure 3.** Proper management of gastric cancer (GC) demands consideration of the intratumoral cellular heterogeneity. (a) Gastric tumors are complex entities, highly heterogeneous at the cellular level, composed of different populations of tumor and stromal cells and containing GC stem cells (gCSCs; in red), a minority undifferentiated, self-renewing and quiescent cell population. (b) These characteristics suggest that gCSCs are responsible for cancer initiation, resistance to therapy and recurrence. Consequently, identifying gCSC biomarkers and regulators is critical for the treatment of GC and should be taken into account in personalized medicine approaches.

particular, high CD44 expression is associated with larger tumor size,<sup>44</sup> low grade of differentiation,<sup>45,46</sup> tumor relapse,<sup>46</sup> lymph node invasion,<sup>44,47</sup> distant metastasis<sup>44,46</sup> and reduced survival.<sup>30,44-46</sup> Congruently, the frequency of circulating CD44+ tumor cells in patients with GC correlates with disease stage.<sup>48</sup> Furthermore, the number of CD44 variants present in tumors is related to prognosis, suggesting that different isoforms may have different functions in GC.<sup>43</sup>

All these findings taken together reveal the importance of CD44 in GC and suggest that it could be used as GC biomarker and that its inhibition could represent a useful therapy. Interestingly, the combination of CD44 expression together with the expression of EMT markers predicts early recurrence of GC after surgery.<sup>49</sup> Therefore, it is feasible that CD44 targeting could have a special relevance in the MSS/EMT subtype identified by the ACRG. However, CD44 binding to HA activates RHOA in a process that promotes the progression of some types of cancer, such as breast cancer and head and neck squamous cell carcinoma.<sup>50</sup> RHOA mutations are found in GC, particularly in patients with the GS subtype, and these mutations are predicted to confer gain of function.7,51,52 Moreover, increased RHOA activity correlated with poorer overall survival in patients with diffuse GC,53 which is the predominant histologic type found in the GS subtype. Hence, CD44 activity may be most relevant in the molecular context of the gastric GS subgroup. In relation to therapy, it has been observed that the inhibition of the Sonic Hedgehog (SHH) pathway, specifically the inhibition of SMO with

vismodegib (Genentech, USA), reverses *in vitro* the chemoresistance of CD44+ CG cells.<sup>30</sup> Moreover, in patients with GC, high CD44 expression is associated with decreased survival in response to chemotherapy alone, whereas high CD44 expression is associated with improved survival in those patients receiving chemotherapy plus vismodegib.<sup>30</sup> This fact encourages the use of SMO inhibitors in patients with GC and high CD44 expression. The relevance of CD44 in the different identified GC molecular subtypes is not known, but since CD44 activates RHOA, it could be more relevant in cases with mutations in *RHOA*, and so it is conceivable that vismodegib can benefit patients with GS tumors.

CD133. CD133 is a trans membrane glycoprotein present in embryonic epithelial structures that functions as an organizer of the plasma membrane topology and lipid composition.54 It has been widely found to be a regulator of CSCs in a variety of cancers.55 Studies performed in GC cell lines demonstrate that CD133+ cells present a CSC phenotype, since they are more tumorigenic and chemoresistant, and exhibit greater migration and invasion capacities than CD133- cells.56-58 However, other studies using GC cell lines or cells derived from resected gastric cancer biopsies have also shown that CD133 expression is not indispensable for GC cells to exhibit enhanced tumorigenicity in vivo or high capacity to form spheroid colonies.28,41,59 Notably, CD133 expression is higher in GC tissue than non-neoplastic gastric mucosa in patient samples.<sup>45,60</sup> Further, expression of this glycoprotein is associated with adverse clinical and pathological features like venous invasion, larger tumor size or higher grade,<sup>56,60,61</sup> and it predicts reduced overall and disease-free survival,45,56,60,61 underlining its relevance to clinical practice. Regarding GC subtypes, CD133 expression is significantly associated with intestinal gastric cases,<sup>61</sup> but in the ACRG and TCGA analyzes, overexpression of CD133 has not been associated with any specific molecular subtype. Regarding the options to attack CD133+ cells in cancer, a recent phase I clinical trial has demonstrated the feasibility, safety and efficacy of autologous chimeric antigen receptor modified T cells directed against CD133 (CART-133) in patients with diverse advanced or refractory and metastatic solid cancers (hepatocellular, pancreatic and colorectal carcinomas) [ClinicalTrials.gov identifier: NCT02541370].62 Thus, despite more trials being needed, in the future, immunotherapy with anti-CD133 CAR-modified T cells could be

implemented in the clinics to target gCSCs in GC.

LGR5. LGR5 is a receptor for R-spondins that is part of the WNT signaling complex<sup>63</sup> and also a target gene of this pathway.<sup>64</sup> Lgr5+ stem cells are the cells of origin of intestinal and colorectal cancer,65,66 and in the stomach, increasing evidence suggests that LGR5 could be a marker for stem cells and gCSCs. Further, Lgr5 expression is almost restricted to a subset of cells located at the base of the pyloric glands in mice, a distribution that is in line with the area of origin of GC in humans.65 Through in vivo lineage tracing experiments, Barker and colleagues identified that Lgr5+ cells are self renewing, multipotent and are responsible for the renewal of the gastric epithelium.<sup>22</sup> Interestingly, the transformation of these stem cells drives gastric tumorigenesis in vivo.22,67 Further supporting its role as a gastric stem cell modulator, LGR5+ cells are expanded in GC tissues infected by *H. pylori*.<sup>25,68</sup> As in mice, in the human stomach, LGR5 is expressed in the bottom of the gastric glands<sup>69</sup> and it is particularly upregulated, among the increased expression of canonical stem regulators and EMT core genes, in GC cell line derived spheres.<sup>70</sup> These results expand the role of LGR5 as a gastric CSC biomarker and regulator from mice to humans. Moreover, ectopic LGR5 overexpression potentiated sphere growth and the migration and chemoresistance of GC cells,<sup>70</sup> linking LGR5 activity to the regulation of characteristic features of gCSCs. In clinical settings, independent studies have reported LGR5 overexpression in human GC samples, which progressively increases from differentiated to poorly differentiated gastric carcinomas.71,72 Furthermore, high LGR5 expression has been strongly linked to adverse clinical and pathological features such as large tumor size and lymphatic invasion,73-75 and also with earlier recurrence, metastasis and shorter survival.72,73,75 The aforementioned association between the expression of LGR5 and EMT markers in in vitro studies<sup>70</sup> might suggest that LGR5 would be relevant in the subset of patients with MSS/EMT GC. However, this subtype of GCs are mostly histologically diffuse and LGR5 expression has been associated with the intestinal subtype,72 so that additional studies are needed to determine whether LGR5 is particularly relevant in any of the GC subtypes.

*CD24.* CD24 is a sialoglycoprotein physiologically expressed in developing or regenerating tissues that is expressed in hematologic malignancies and several solid cancers, including GC. Like other stem cell genes, its expression is enriched in spheres derived from GC cell lines.<sup>76</sup> However, there are conflicting results, since Takaishi and colleagues did not find enhanced sphere-forming capacity and tumorigenicity for CD24+ populations isolated from GC cell lines.28 According to its putative role regulating CSCs, some data in mice suggest that CD24 is relevant to the procancerous effect related to Helicobacter infection,77 whilst studies in GC cultures show that it enhances cell migration, and its inhibition results in apoptosis.78 Importantly, a meta-analysis concluded that CD24 overexpression in human GC was associated with tumor depth, invasion of lymph nodes, metastasis and reduced survival.79 As is the case of CD44, functional analyses show that CD24 also exerts oncogenic signaling through the GTPase RHOA.<sup>80</sup> Thus, CD24 expression may be especially relevant in patients with GS gastric tumors.7

CD90. CD90 is a glycoprotein anchored to the cell membrane that is a member of the immunoglobulin family of proteins. It is expressed in many cell types and is involved in processes such as cell adhesion, migration, apoptosis and T-cell activation. Interestingly, the culture of engrafted primary GC tissues under stem-selective conditions promotes enrichment in GC CD90+ cells, which exhibit tumorigenicity as single cells and have self-renewal potential, linking CD90 with gCSCs.81 Likewise, drug pressure exerted in vitro on GC cell lines increases the presence of cells with high CD90 expression and stem cell properties.<sup>82</sup> In patients, CD90 expression is higher in tumors than normal adjacent gastric tissue.83 Mechanistically, high CD90 expression correlates with ERBB2 overexpression, while trastuzumab decreases the population of CD90+ cells in primary cancers.<sup>81</sup> Since ERBB2 amplification is characteristic of the MSS/TP53- subgroup, these findings suggest that it would be interesting to characterize the expression of CD90 as a potential biomarker in this molecular subtype.

*SOX9.* SOX9 is a member of the SOX family of transcription factors, which regulate stem cell maintenance and cell fate decisions in multiple organ systems, including the gastrointestinal tract.<sup>84</sup> It is overexpressed in a variety of human cancers, high levels of SOX9 being correlated with malignant character and self-renewal properties in colon, breast or brain cancers.<sup>85–88</sup> In relation to gCSCs, SOX9 levels are elevated in GC cell line derived spheres, *H. pylori* infected

cells and cisplatin-resistant cells.<sup>31</sup> Moreover, its silencing is associated with detrimental effects on the activity of gCSCs reflected in a reduction in tumorsphere self renewal and weaker tumor-initiating potential.<sup>31</sup> Paralleling these effects, SOX9 mediates cisplatin chemoresistance in GC cell lines.<sup>31,89</sup> Notably, SOX9 is a critical effector of the carcinogenic action of *H. pylori*. The bacterium induces SOX9 expression in pretumorigenic gastric mouse cells<sup>90</sup> and also in GC cells, especially in response to highly virulent strains.<sup>31</sup> Notably, SOX9 is required for bacteria-induced GC cell proliferation and acquisition of stem-celllike properties.<sup>31</sup>

Several studies have linked SOX9 expression to GC biology in clinical settings. High tumor SOX9 expression is associated with advanced TNM stages, lymph node metastasis and shorter overall patient survival.<sup>31,91</sup> High levels of SOX9 correlate with elevated expression of CEACAM1 in human biopsies,92 a prometastatic gene associated with lymph node metastasis and advanced TNM stage in GC.93 Furthermore, elevated SOX9 expression is associated with the activation of the WNT canonical oncogenic pathway, with which it establishes a regulatory feedback loop.<sup>31</sup> As far as SOX9 and GC molecular subtypes is concerned, SOX9 is among the genes most expressed with respect to healthy gastric tissue in patients from the TCGA and ACRG cohorts, its expression being higher in CIN, EBV+ and MSI than in the GS subtype.<sup>31</sup>

ALDH. Aldehyde dehydrogenase (ALDH) exerts a detoxifying action by the oxidation of cellular aldehydes and is also involved in retinoic acid signaling through the oxidation of retinol. High ALDH expression or enzymatic activity has been observed in CSCs.94 In GC, the fraction of ALDH+ cells present in GC cell lines, gastric human biopsies and patient-derived xenografts display self-renewal capacity, high tumorigenicity, multilineage differentiation potential and chemoresistance,<sup>29,95–97</sup> suggesting that this may be a robust gCSC biomarker. In line with this idea, the ALDH+CD44+/CD166+ signature corresponded to the most tumorigenic phenotype among cells derived from human primary GCs.97 Finally, the ALDH-3A1 isoform of ALDH is the most overexpressed in gCSCs, and its expression at the protein level in human GC biopsies correlated with dysplasia, lymph node metastasis and tumor stage.98 These results link ALDH to the pathology of GC and future work should explore

whether it might be associated with any particular GC subtype. From a therapeutic point of view, it was observed that *in vitro* transforming growth factor (TGF)- $\beta$  decreases the cancer-initiating cell population within diffuse-type gastric carcinoma cells, wherein it downregulates ALDH1 expression.<sup>96,99</sup> Accordingly, in human diffuse-type gastric carcinoma tissues, the expression of ALDH1 at the protein level correlated inversely with Smad3 phosphorylation as a measure of TGF- $\beta$  signalling.<sup>96</sup> Nevertheless, TGF- $\beta$  exerts a relevant oncogenic activity in GC, so that the administration of TGF- $\beta$  with the aim of targeting gCSCs could represent a double-edged sword.

# Concluding remarks

The improvement in our understanding of the pathology of GC has greatly hastened over the last decade. The advent of sophisticated genomic tools has allowed deciphering and upgrading our understanding of the molecular pathology of GC, enabling us to redefine the disease at the molecular level. Moreover, it has been firmly established that GC exhibits significant intratumor cellular heterogeneity and plasticity, increasing our understanding of the biology of GC, suggesting novel molecular targets and proposing the reevaluation of conventional therapeutic strategies. The development of molecularly targeted therapies, coupled with robust and accurate biomarkers and improved diagnostic criteria, holds the promise of delivering a new era in GC treatment. In this sense, a big effort is being done in the field of bioinformatics in the integration of molecular information available (gene expression, mutations, methylation, copy number alterations, etc.) oriented to understand the mechanism of drug response for the improvement of the treatment. Nevertheless, in this context it is important to underline that despite the fact that numerous clinical trials are ongoing in GC, most of them are still not based on markers,9 an aspect that undoubtedly underestimates the potential of many therapeutic agents.

It is interesting to note that in the search for response markers, the expression of the molecular targets to which the drugs are directed does not necessarily define response. An example is the case of ramucirumab, which improves the survival of patients with advanced GC as second-line treatment,<sup>100,101</sup> without its benefit being defined by the expression of its target VEGFR2.<sup>102</sup> In some cases, other secondary targets also inhibited

by the therapeutic agents, especially when they are chemical inhibitors, could be decisive in the response, or even molecules whose action is related to the inhibited target. In the treatment of cancer, the off-target compensatory effects cannot be ignored. These compensatory effects can activate redundant pathways, counteracting in this way the effect of the drug. For this reason, targeting simultaneously different molecular pathways (horizontal inhibition) might represent a good strategy that has been extensively evaluated and implemented in multiple types of cancer. However, it is also important to take into account that after a treatment, heterogeneous resistance mechanisms emerge and it has been shown that resistance in some cases involves the reactivation of the same pathway that is inhibited by the therapeutic agent. For this reason, inhibiting multiple nodes of the same pathway (vertical inhibition) also represents a therapeutic strategy that requires attention and further investigation.

It is clear that the effective treatment of GC must take into account the molecular heterogeneity and be well defined according to molecular markers defining response. Nonetheless, it is also essential to target the populations of gCSCs, because given their unique characteristics of quiescence, self renewal and so on, they are resistant to conventional therapies and responsible for many of the phenomena of recurrence. Regarding the attack of gCSCs, this also demands the identification of optimal molecular targets and in this work we have presented different molecules that are relevant in these cells and could be exploited in the treatment. One proposed method of gCSCspecific treatment is the use of antibodies conjugated to cytotoxic compounds or the so-called functional antibodies, whose binding inhibits the function of the target. These approaches have shown good results in preclinical trials in animal models of various types of cancer,<sup>103</sup> but some drawbacks have to be taken into account since some of the markers (antigens) are also expressed in adult stem cells and even in normal tissues. Indeed, CD44 is expressed in most epithelial and lymphatic tissues and also in some populations of adult stem cells. For their part, CD133 and CD24 are rarely expressed in normal tissues but are present in some adult stem cells, such as hematopoietic or intestinal stem cells respectively.<sup>104</sup> Another therapeutic possibility is the production of T cells which bind to CSC-specific antigens (CAR T-cell therapy). Although this strategy is not exempt from the problems related to the

ubiquity of the molecules used as targets, currently two CAR T-cell therapies have been approved for the treatment of lymphomas and the field is rapidly evolving.

We have ahead of us a very interesting horizon in the fight against GC in which correct integration and interpretation of the genomic data, precise design of new clinical trials and new opportunities for targeting gCSCs will lead to improved quality of life and increased survival of patients with GC.

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#### **Conflict of interest statement**

The authors declare that there is no conflict of interest.

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