

1 **Distinct mesoscale cortical dynamics encode search strategies during spatial navigation**

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21 **ABSTRACT**

22

23 Spatial navigation is a complex cognitive process that involves neural computations in
24 distributed regions of the brain. Little is known about how cortical regions are
25 coordinated when animals navigate novel spatial environments or how that coordination
26 changes as environments become familiar. We recorded mesoscale calcium (Ca²⁺)
27 dynamics across large swathes of the dorsal cortex in mice solving the Barnes maze, a
28 2D spatial navigation task where mice used random, serial, and spatial search
29 strategies to navigate to the goal. Cortical dynamics exhibited patterns of repeated
30 calcium activity with rapid and abrupt shifts between cortical activation patterns at sub-
31 second time scales. We used a clustering algorithm to decompose the spatial patterns
32 of cortical calcium activity in a low dimensional state space, identifying 7 states, each
33 corresponding to a distinct spatial pattern of cortical activation, sufficient to describe the
34 cortical dynamics across all the mice. When mice used serial or spatial search
35 strategies to navigate to the goal, the frontal regions of the cortex were reliably activated
36 for prolonged durations of time (> 1s) shortly after trial initiation. These frontal cortex
37 activation events coincided with mice approaching the edge of the maze from the center
38 and were preceded by temporal sequences of cortical activation patterns that were
39 distinct for serial and spatial search strategies. In serial search trials, frontal cortex
40 activation events were preceded by activation of the posterior regions of the cortex
41 followed by lateral activation of one hemisphere. In spatial search trials, frontal cortical
42 events were preceded by activation of posterior regions of the cortex followed by broad
43 activation of the lateral regions of the cortex. Our results delineated cortical components
44 that differentiate goal- and non-goal oriented spatial navigation strategies.

45

46 INTRODUCTION

47

48 Successful navigation to a goal can be achieved using multiple behavioral strategies.
49 Evidence suggests that mammals (including mice, rats, cats, dogs, monkeys, and
50 humans) have access to multiple decision processes which are used at different times,
51 and which can be separated out with appropriately defined behaviors¹⁻³. The Barnes
52 maze is a goal-finding task that rats and mice learn readily^{4,5}. In this task, mice are
53 placed at the center of a well-lit environment and try to find an escape goal. Initially,
54 mice will have to search randomly as they learn the basics of the task itself, but with
55 experience, mice learn to go directly to the goal. Mice who know the task objective (find
56 the escape hole) but who do not know the spatial location of the goal perform a serial
57 search through many potential hiding holes⁶.

58

59 The incoming sensory information during spatial navigation is processed widely across
60 the cortex, and information about space has been shown to be present in many cortical
61 regions⁷⁻⁹. A number of cortical regions have neurons that reflect allocentric information
62¹⁰⁻¹², while other regions have neurons that encode turns and other egocentric
63 information^{11,13-16}, and specifically retrosplenial cortex has been shown to encode both
64 egocentric and allocentric information¹⁷. Neural activity in association areas of the
65 cortex are also implicated in encoding landmarks¹⁸⁻²⁰, route planning^{11,13,21} and
66 associating allocentric cues with motor decisions²². It has also been shown that
67 interactions between brain regions are important for various cognitive and spatial
68 navigation tasks^{18,23-25}.

69

70 While the different navigation strategies have been shown to reflect different
71 computational processes in individual cortical and subcortical regions^{1,26-31}, it remains
72 unknown how cortical signals are coordinated between different brain regions when
73 these different strategies are being used for navigation. The measurably different
74 strategies that mice show in the Barnes maze provide an opportunity to determine these
75 cortical interaction changes that drive strategy changes.

76

77 We imaged calcium (Ca^{2+}) activity across most of the dorsal cortex of freely behaving
78 mice while they solved the Barnes maze. We found that consistent with previous
79 literature, mice rapidly and progressively used less time to reach the goal with
80 increasing experience. Using a novel clustering algorithm, we decomposed the cortical
81 dynamics into a low dimensional common state space, with each state corresponding to
82 a pattern of cortical activation. We analyzed the temporal sequences of state activation
83 and found distinct sequences of state transitions in the early part of the trial when mice
84 made decisions about the direction to approach the edge of the maze. These
85 sequences of cortical state activations indicate distinct sets of brain wide circuits are
86 engaged when different behavioral strategies are used to solve the maze.

87

88 RESULTS

89

90 Mesoscale calcium imaging in mice learning a 2D spatial navigation task

91

92 We imaged calcium activity across $8 \times 10 \text{ mm}^2$ of the dorsal cortex, encompasses parts of
93 the primary and secondary motor cortices, the somatosensory and barrel cortices, the
94 retrosplenial cortex and part of the visual cortex in both hemispheres using a
95 miniaturized head mounted camera (mini-mScope, (Rynes & Surinach et al. 2021)) in
96 eight freely behaving Thy1-GCaMP6f mice³³, as they solved the Barnes maze (**Fig. 1a-**
97 **c**). As mice learned the location of the goal, they exhibited expected results in the
98 strategies used to search for the goal, which could be categorized as random, serial, or
99 spatial search strategies⁵ (**Fig. 1d**). As trials progressed, mice demonstrated a reduction
100 in primary errors, or the number of incorrect holes checked prior to reaching the correct
101 location of goal, and primary latency, or the initial trial time until the goal location is
102 found (**Fig. 1 e-f**).

103
104 Primary latency decreased from 51.0 ± 51.7 s (55.1 Interquartile range, IQR) on day 1
105 acquisition trials to 17.6 ± 16.1 s (11.0 IQR) on day 2, and 14.2 ± 14.4 s (11.1 IQR) on
106 day 3 (**Fig 1d**, Day 1 vs Day 2 $p = 0.0006$, Day 1 vs Day 3 $p = 0.0001$, Day 3 vs Probe p
107 $= 0.044$, Wilcoxon ranked sum test). The primary latency increased to 26.0 ± 24.7 s
108 (21.03 IQR) s when the goal location was moved on the probe trial, where the location
109 of the goal was altered. Similarly, the number of primary errors decreased from $11.7 \pm$
110 9.2 (11 IQR) on day 1, to 6.3 ± 6.1 (7 IQR) on day 2 and 5.7 ± 5.7 (7.5 IQR) on day 3
111 across all mice, and the number of primary errors increased to 10.9 ± 9.2 (16 IQR) when
112 the goal location was changed in the probe trial (**Fig. 1e**, Day 1 vs Day 2 $p = 0.012$, Day
113 1 vs Day 3 $p = 0.0001$, Wilcoxon ranked sum test). These results are consistent with
114 previous results obtained in this task²¹, indicating mounting the mini-mScope did not
115 interfere with behavior.

116
117 Across trials, mice utilized increasingly non-random search methods as they learned to
118 navigate the maze. On day 1, 54.5% of trials were nonrandom, whereas 45.5% were
119 random. On day 3, 93.7% of trials were non-random and 6.3% of trials were random. As
120 trials progressed 13.6% of trials were spatial on day 1, 36.36% of trials were spatial on
121 day 2, and 46.8% of trials were spatial on day 3 (**Fig 1f**).

122
123 While the white noise and bright lights were presented as mildly noxious stimuli and
124 motivated the mice to navigate to the goal progressively faster, mice rarely entered the
125 goal immediately after first poke (21% of trials, $n=13/63$ trials), preferring to explore the
126 arena. In a subset of trials, mice explored the two nearest holes and the edge around
127 the goal hole in 32% of trials ($n=20/63$), entering the goal hole 5-30 s after nearby
128 exploration. A large subset of mice (46%, $n=29/63$) chose to repeat one or more
129 searches around the maze after first goal poke before entering at some later trial time.
130 Thus, while the animals were motivated to go to the goal, the environment was not
131 excessively stress-inducing such that mice were not prevented from exploring the maze
132 further.

133
134
135 **Mesoscale cortical dynamics exhibited discrete shifts in cortical activation**
136 **patterns**

137

138 The mini-mScope imaged a field of view (FOV) of 8 mm x 11 mm, with a craniotomy
139 encompassing 6 brain regions: primary motor cortex (M1), somatosensory cortex
140 (SSC), premotor/frontal cortex (M2), retrosplenial cortex (RSC), primary visual cortex
141 (V1), and barrel cortex (BC) on each hemisphere at a resolution of ~39-56 μm per pixel
142 from the center to lateral edges of the FOV. As the mice navigated the maze, prolonged
143 patterns of calcium activation across the FOV occurred sporadically, with shifts between
144 these calcium activity patterns occurring at ~0.2-1 s time scales (**Fig. 1g**).

145

146 We used an image correlation and clustering methodology to cluster spatial patterns of
147 calcium activity observed in individual frames into groups of highly correlated images
148 with similar patterns of cortical activation. We refer to these groups of highly correlated
149 images as cortical activation 'states' (**Fig 2a-b, Supplementary Fig 1a**). Briefly, the z-
150 scored calcium DF/F activity recorded at each time frame was correlated with every
151 frame recorded for a mouse across all trials, forming an image correlation matrix. The
152 data in this matrix was then iteratively clustered into increasing numbers of states. The
153 number of states needed to optimally cluster the cortical activity patterns is not known *a*
154 *priori*. We used a t-distance optimization algorithm to determine the optimal number of
155 states that could segregate the image correlation matrix into groups to maximize the
156 correlations between images within a group while simultaneously minimizing the
157 correlations between images across groups³⁴ (See Methods and **Supplementary Fig.**
158 **1** for more details). We found that 5-10 states optimally described calcium activity
159 clusters across each mouse (**Supplementary Fig 1b, Supplementary Fig 2**). An
160 example of this clustering methodology for one mouse is shown in **Figure 2a-b**.

161

162 To identify a common state space to describe activity in all mice, similar clustering
163 methodology was employed. Briefly, the average DF/F activity for each state identified
164 per mouse was calculated by averaging activity across all frames within each state. The
165 average frames for each state for all mice were then correlated to form a second image
166 correlation matrix across all mice (51 x 51 matrix, **Supp. Fig. 1a**). The image correlation
167 matrix was then sorted into 7 states via k-means clustering to construct the intra-mouse
168 state space model.

169

170 The spatial distribution of the mean calcium activity of all seven states for one mouse is
171 shown in **Figure 2c top**. Additionally, a bar graph of the mean DF/F activity patterns for
172 each ROI in the Allen brain atlas across all 7 states in each mouse (**Fig 2c, bottom**).
173 States 1 and 2 were characterized by high calcium activity in the frontal regions of the
174 FOV. State 3 was characterized by high activity in several cortical areas of each
175 hemisphere, with peak activation in bilateral somatosensory, primary motors, and
176 antero-lateral retrosplenial cortex. States 4 and 5 were characterized by high calcium
177 activity in the posterior regions of the FOV. State 6 was characterized by high calcium
178 activity in the vicinity of the midline. Lastly, state 7 was marked by activity distributed
179 broadly across the left hemisphere. Observed mean activation patterns for states 1-6
180 were lateralized in most mice (**Supplementary Fig. 2**), perhaps indicating functional
181 specialization between the cortical hemispheres during navigation.

182

183 Every mouse had one of state 1 or 2 present where frontal regions of the cortex were
184 active, with $n = 4$ mice expressing both states. Additionally, every mouse had one of
185 state 4 or 5 present, where the posterior regions of the cortex were active, with $n = 2$
186 mice exhibiting both states. State 3 and 6 where the lateral regions of the cortex and the
187 medial regions of the cortex were respectively active were present in all mice ($n = 8$),
188 and state 7, where the activity was higher in predominantly in the left hemisphere was
189 present in $n = 5$ mice (**Supplementary Fig. 2**). Example montages of DF/F z-score
190 activity for commonly occurring state transitions are shown in **Supplementary Figures**
191 **3 and 4**. The time series of detected states during the first 15 seconds of each trial is
192 shown in **Figure 2d**, where rows denote trials for each search strategy, and colors
193 signify the state that each frame in that trial was assigned. White spaces denote the trial
194 has ended when the mouse enters the goal hole. Examples of the state activation along
195 the path taken by a mouse during a random, serial, and spatial search trials are shown
196 in **Figure 2e**. Similar visualization of state activation along the paths traversed by the
197 mice in all trials are shown in **Supplementary Figures 5-8**.

198
199 We evaluated the probability of a particular cortical activation state being active. For all
200 states, mean state activation probability varied between 14.2% - 22.7% (**Fig. 2f-g**).
201 States 3 and 6 which are present in all the mice had slightly higher activation
202 probabilities of $22.5 \pm 9.2\%$ and $18.8 \pm 6.9\%$ respectively. Thus, there was no one state
203 having a dominant activation probability. Grouping trials by search strategy
204 (**Supplementary Fig. 9a**), we observed no significant differences in state activation
205 probabilities for any of the states. The mean state activation probabilities did not change
206 substantially as mice performed successive trials (**Supplementary Fig. 9a right**).

207
208 We further examined how cortical activation changed from one state to the other by
209 constructing state transition probabilities matrices for serial search trials and spatial
210 search trials (**Supplementary Fig. 9b**). Notably, state 3 had a high probability of 18.7%
211 and 15.3% to transition to state 1 in random and serial trials, respectively. Transition
212 probability from state 3 to state 1 in corresponding spatial trials decreased to 6.3%
213 during spatial trials. State transition probabilities from state 5 were low ($<6\%$) when
214 transitioning to other states in trials on which mice used a random search strategy. In
215 trials on which mice used a serial search strategy, state 5 transitioned to state 6 with a
216 probability of 6.1%. In contrast, state 5 transitioned to state 3 and 7 with probabilities of
217 6.3% and 8.7% respectively during trials which mice used a spatial search method.
218 These results highlight how cortical dynamics were different for the trials with different
219 behavioral strategies.

220
221 **Frontal regions of the cortex are activated for prolonged durations shortly after**
222 **trial initiation**

223
224 Representing the patterns of cortical activation in a low-dimensional state space allowed
225 us to examine trial-by-trial variation in cortical dynamics during the spatial navigation
226 task. We observed repeated temporal sequences of state activation that occurred
227 shortly after trial initiation. Trials typically started with a variegated sequence of states,
228 but then transitioned to a clear and prolonged period of activation of the one or both

229 frontal cortex active states (states 1 or 2) near the start of the trial (**Fig. 2d**). These
230 prolonged durations of frontal cortex states (henceforth referred to as frontal state
231 activation event or FSA event) could be algorithmically identified as conditions where
232 state 1 or 2 was active for more than 1 second near the start of the trial (**Fig. 3a**). The
233 FSA events occurred in 57.1% of trials where mice used random search method, 91.7%
234 of trials which the mouse used a serial search method, and 85.0% of trials where the
235 mouse used a spatial search method (**Fig. 3b**). These FSA periods were primarily
236 associated with non-random search strategy trials. Overall, mean onset to the FSA
237 event was 2.3 ± 1.9 s. In trials in which mice performed a random search strategy, the
238 mean onset to the FSA event was 1.4 ± 1.2 s, whereas in serial search trials it was 2.3
239 ± 2.0 s, and 2.5 ± 2.0 s in spatial search strategy trials (**Fig. 3c**, $p = 0.46$ random vs
240 serial, $p = 0.30$ random vs spatial, $p = 0.45$ serial vs spatial). The mean duration of the
241 FSA event was 2.0 ± 0.7 s. The duration of the FSA event at the beginning of trials were
242 also longer in serial search and spatial than in random strategy trials. In trials on which
243 the mice performed a random strategy, the mean duration of the FSA event was $1.5 \pm$
244 0.4 s, whereas it was 2.0 ± 0.6 s in serial trials, and 2.2 ± 1.0 s in spatial trials (**Fig. 3d**,
245 $p = 0.082$ random vs serial, $p = 0.18$ random vs spatial, $p = 0.98$ serial vs spatial).

246

247 **Frontal state activation events coincided with approach to edge of the maze**

248

249 We next evaluated the behavior of the mice around the FSA events in serial and spatial
250 search trials by examining the position, velocity, and head direction of the mice (**Fig. 3e-**
251 **I**). Plots of the location of the mice during the FSA event indicated that the FSA event
252 occurred when mice approached the edge of the maze from the initial starting location
253 at the center of the maze (**Fig. 3f**). In 84.4% of trials with a FSA event, the event
254 initiated before or during the mouse's approach to the edge of the arena. The FSA
255 event began during the initial period of the trial before the mouse approached the edge
256 and as it investigated its surroundings. The FSA events occurred before the mouse
257 reached the edge of the maze in 60.6% of trials with an FSA event for serial trials vs
258 35.3% of trials for spatial search strategies with an FSA event. The FSA events were
259 also accompanied by an increase in velocity of the animal, with instantaneous velocity
260 peaking ~ 800 ms after event onset in both serial (mean peak velocity of 25.5 cm/s, **Fig.**
261 **3f top left** and **Fig. 3g left**) and spatial trials (mean peak velocity of 27.1 cm/s, **Fig. 3f**
262 **bottom left** and **Fig. 3g left**). The end of the FSA event coincided with a decrease in
263 velocity in both serial and spatial trials, with a steeper decline in velocity in spatial trials
264 starting 50 ms prior to the end of the event as mice approached the vicinity of the goal
265 (**Fig. 3f right** and **Fig. 3g right**). Correspondingly, the probability of activation of either
266 one of the frontal states was significantly higher in the approach zone of the maze, as
267 compared to state activation probabilities across the whole maze in serial and spatial
268 trials ($p = 0.0022$ serials trials, $p = 0.003$ spatial trials, Wilcoxon rank sum test).

269

270 **Frontal activation state events in spatial trials followed initial orientation towards** 271 **the goal**

272

273 The period before the FSA event is likely a self-localization event in which mice survey
274 the space before deciding on direction of approach to the edge of the mice. We

275 examined the changes in both the allocentric heading direction angle (ω), and the
276 egocentric heading direction angle (ϕ) of the mice at the start of the FSA event (**Fig. 3i-**
277 **m**). When mice employed spatial search strategies, mice oriented towards the goal
278 quadrant ($|\omega| < 45^\circ$) in 59% of trials (10/17 trials) at the onset of the FSA event, with an
279 increased fraction (76.4%, 13/17 trials) 500 ms after event onset. In contrast, mice were
280 oriented towards the goal quadrant in the allocentric reference frame in only 27% of
281 serial trials (9/33) at the event onset (**Fig. 3j top left**). Mean ω was $64 \pm 62^\circ$ in spatial
282 trails as compared to $92 \pm 50^\circ$ in serial trials at FSA event onset ($p = 0.103$, Wilcoxon
283 rank sum test). Mean ω was $46 \pm 43^\circ$ in spatial trails, significantly lower as compared to
284 $97 \pm 45^\circ$ in serial trials 1s after FSA event onset ($p = 0.017$, Wilcoxon rank sum test).

285

286 Similar differences in egocentric heading direction angles between serial and spatial
287 trials at the start of the FSA event (**Fig. 3l and m**). When mice employed spatial search
288 strategies, mice oriented in the direction of goal quadrant ($|\phi| < 45^\circ$) in 65% of trials
289 (11/17 trials), with an increased fraction (82%, 14/17 trials) 500 ms after event onset. In
290 contrast, mice were oriented towards the goal quadrant in the egocentric reference
291 frame in 24% of serial trials (8/33) at the event onset, with no decline in egocentric
292 heading direction angle observed after event onset (**Fig. 3l top left**). Mean ϕ at event
293 onset was $63 \pm 59^\circ$, significantly lower than the mean ϕ of $98 \pm 46^\circ$ in serial trials ($p =$
294 0.020 , Wilcoxon rank sum test). Significant differences in mean ϕ were maintained 1s
295 after event onset (**Fig. 3m left**, $p = 0.020$, Wilcoxon Rank-sum test).

296

297 **Sequences of state transitions before activation of the frontal cortex were search** 298 **strategy dependent**

299

300 We next evaluated if there were differences in sequences of state activation during
301 specific periods around the FSA events. Examining state activation probabilities in the
302 duration of time prior to the FSA event period revealed differences between serial and
303 spatial search methods (**Fig. 4a**). In trials where mice utilized serial searches, state 7
304 had an activation probability of $29.3 \pm 29.2\%$ prior to FSA event. In spatial trials, the
305 activation probability reduced to $14.1 \pm 5.4\%$. State 3 had an activation probability of
306 $28.7 \pm 26.9\%$ prior to the FSA event in spatial search trials, significantly higher than the
307 activation probability in serial search trials ($12.4 \pm 19.6\%$, $p = 0.007$, Wilcoxon ranked
308 sum test, **Fig. 4a**). State 6 activation probability did not change notably between the two
309 search strategies ($16.5 \pm 17.4\%$ serial search trials, $11.9 \pm 13.6\%$ spatial search trials).
310 Examining state transition probabilities in the period before the FSA event revealed
311 differences in dynamics of cortical activity between spatial and serial trials (**Fig. 4b**).
312 Most prominently, state 3 had a high probability of transitioning to many states in spatial
313 trials, but not in serial trials.

314

315 To quantify the patterns of state transitions leading up to the FSA, we constructed peri-
316 event state probability histograms (**Fig. 4g**). As a control, we generated randomized
317 data by performing 100 bootstraps of the time series of states for each trial. We
318 determined if a state's activation probability was statistically significant from the
319 bootstrapped trials by using an Anova test with a Bonferroni correction of the mean
320 state activation probability aligned to the FSA period to the bootstrapped data. In serial

321 trails, the 1 s period leading up to the FSA event was marked by significantly higher
322 activation of state 4, followed state 5 and then by state 7 when compared bootstrapped
323 mean. In contrast, in spatial trials, the same 1 s period was marked by activation of
324 state 5 that was followed by activation of state 3 before entering the FSA period (**Fig.**
325 **4d**). These results indicate that the sequences of state transitions occurring before the
326 FSA events were search strategy dependent (**Fig. 4e**).

327

328 **State 3 was preferentially active before FSA during goal-heading direction in** 329 **spatial but not serial trials**

330

331 When considering the entire duration before the FSA event, heading direction in the
332 allocentric reference frame was significantly more aligned towards the goal in spatial
333 search trials ($77.1^\circ \pm 50.4^\circ$) as compared to serial search trials ($94.9^\circ \pm 52.7^\circ$, $p < 0.001$,
334 Kruskal-Wallis test). Similarly, evaluating the egocentric heading direction revealed
335 significantly more alignment towards the goal in spatial search trials ($88.1^\circ \pm 50.3^\circ$) as
336 compared to serial search trials ($101.8^\circ \pm 51^\circ$, $p < 0.001$, Kruskal-Wallis test). Thus, there
337 was an overall change in tuning of heading direction for most states that differed
338 between serial and spatial trials. We next asked if the animals head orientation affected
339 the activation of states. We examined the times when head direction of the mice was
340 aligned to the goal quadrant in the allocentric reference frame ($|\omega| < 45^\circ$, **Fig. 4f**) and
341 egocentric reference frame ($|\phi| < 45^\circ$, **Fig. 4g**). Within these events we asked what the
342 likelihood of a certain state being active was prior to the FSA event. State 3, which was
343 significantly more likely to be active immediately prior to FSA event onset in spatial trials
344 (**Fig. 4a,c**), was much more likely to be active when animals were oriented towards the
345 goal quadrant in the allocentric frame of reference during spatial trials), as compared to
346 serial trials (mean $P(s_3)$ spatial = 0.33, mean $P(s_3)$ serial = 0.13, $p = 0.046$, Wilcoxon
347 Rank-sum test). For egocentric goal orientation, state 3 also had a higher probability of
348 being active while mice were oriented to the goal as well (mean $P(s_3)$ spatial = 0.31,
349 mean $P(s_3)$ serial = 0.07, $p = 0.021$, Wilcoxon Rank-sum test). No significant
350 differences were found for any of the other states. These results indicate that state 3
351 was preferentially activated when the animals head direction was oriented towards the
352 goal in spatial trials, but not in serial trials. Thus, activation of state 3 in spatial trials may
353 indicate a recognition of the goal direction in spatial trials when mice make direct
354 approaches to the goal.

355

356

357 **DISCUSSION**

358

359 We discovered coordinated sequences of brain-wide activity patterns reflected in
360 mesoscale cortical activity on a spatial navigation task that differentiated goal-oriented
361 and non-goal-oriented strategies. The clustering algorithm we developed in this study
362 identified 7 cortical activation states that were generalizable across mice and trials, and
363 15 state transitions that occurred frequently during this spatial navigation task. Similar
364 numbers of dynamic motifs have been independently described in studies looking at
365 mesoscale calcium dynamics during head-fixed spontaneous behaviors³⁵, with distinct
366 dynamics observed during memory guided and sensory guided tasks³⁶, and

367 uninstructed movements during sensory decision making³⁷ and locomotion³⁸. These
368 findings suggest that such generalizable repeated sequences of cortical activity may
369 underlie a diverse set of behaviors. Our data show that these sequences differentiate
370 decision strategies, most likely due to changes in the computations underlying these
371 decision strategies.

372

373 Trial initiation was marked by an initial duration lasting 1-2 seconds of variegated
374 sequences of states while animals were in the center of the maze near the starting
375 location, followed by prolonged activation of states associated with activation of frontal
376 areas of the cortex lasting 1-2 seconds (FSA event) as the animals turned towards the
377 edge of the maze. Despite the variability in the behavior, with the path taken by the mice
378 to goal distinct in each trial, the FSA event occurred reliably in most serial and spatial
379 search strategy trials and coincided with the phase of spatial navigation where mice
380 approached the edge of the maze from the central starting point.

381

382 Importantly, the sequence of state changes preceding and succeeding the frontal
383 activation event were distinct for goal and non-goal oriented (spatial and serial) search
384 strategies. In spatial (goal-oriented) trials, the 1s period prior to the frontal state
385 activation event (FSA) was marked by a transition from activation of posterior regions of
386 the cortex to broad activation of the lateral regions of the cortex, anterior to the primary
387 visual areas (State 3). In serial (non-goal-oriented) trials, this 1s period was marked by a
388 sequential progression of states associated with high level bilateral activation of
389 posterior regions of the cortex along with the RSC (States 4 and 5), followed by broad
390 activation of left hemisphere (State 7). These distinct sequences of state transitions are
391 summarized in **Figure 3i**. This points to different brain wide circuits being recruited at
392 different time points during the task. Further, these data suggest a frontal role in moving
393 towards the edge and suggests differences in information processing between spatial
394 and serial strategies, both of which successfully get the mouse to the goal.

395

396 Goal-oriented spatial navigation depends on cognitive maps³⁹, dependent on structures
397 such as the hippocampus (HPC) and connected cortical circuits^{1,3,40-42}. Recent work
398 looking simultaneously at mesoscale cortical activity and HPC electrophysiology has
399 established a temporal link between mesoscale cortical activity and hippocampal
400 oscillatory such as slow gamma activity and sharp wave ripples in the HPC^{25,43-45}. Such
401 studies confirm previously elucidated systems across hippocampus and cortical brain
402 regions that mediate spatial navigation^{46,47}.

403 We posit that the distinct spatio-temporal sequences of cortical activation we observed
404 in this study may be part of a larger cortico-hippocampal network computation wherein
405 incoming sensory information seeds retrieval of encoded memory in the HPC followed
406 by reactivation of trace memory in the cortex, followed by execution of motor sequences
407 in which frontal regions of the cortex are active (**Fig. 5**). These sequences are different
408 depending on whether the navigation strategy involves orienting towards a known
409 spatial goal before making an approach or part of a simpler serial search process.

410

411

412 **METHODS**

413

414 **Surgery:** Eight Thy-GCaMP6f mice were used in this study³³. All animal procedures
415 were performed in accordance with the University of Minnesota's Institutional Animal
416 Care and Use committee (IACUC). Mice were pre-emptively administered 1 mg/kg slow-
417 release Buprenorphine (Buprenorphine-SR, ZooPharm) and 1 mg/kg Meloxicam prior to
418 surgery. They were then anesthetized using 1-4% isoflurane in pure oxygen prepared
419 for surgery following standard aseptic procedures – the scalp was shaved and sterilized
420 with repeated, alternate scrubbing with Betadine and 70% ethanol. The eyes were
421 covered in sterile eye ointment (Puralube, Dechra Veterinary Products) to prevent
422 drying. The mouse was affixed in a cranial microsurgery robot^{48,49} under 1-2%
423 isoflurane. The surgical robot performed a large bilateral craniotomy spanning most of
424 the motor, somatosensory, association, higher visual and visual cortices. The top edge
425 of the craniotomy was always cut at 2 mm anterior to Bregma to facilitate alignment of
426 the field of view to the Allen brain atlas⁵⁰. A transparent polymer skull⁵¹ compatible with
427 a miniaturized head mounted device³² was initially glued to the skull using surgical
428 grade cyanoacrylate glue (Vetbond, 3M). Two bone screws were implanted in the
429 parietal bone to further anchor the implant, prior to cementing with dental cement
430 (Metabond, Parkell Inc). Mice were recovered from surgery on heating pad and returned
431 to their home cage once they were full ambulatory.

432

433 **Barnes Maze behavior:**

434

435 Mice recovered for at least 3 days after surgery. Mice were handled for 15-minute
436 sessions over three successive days prior to experiments to acclimatize them to the
437 experimenter. Dummy mini-mScopes with matched weights to the device used for trials
438 were attached to the mice head to acclimatize them to the device weight during the
439 handling sessions. An experimenter lowered the mice to the center of the maze at the
440 beginning of each trial. Trials were split into three groups, habituation phase, acquisition
441 phase, and probe phase, derived from canonical Barnes maze procedure (Barnes et al.
442 1979, Pitts et al 2018). During the habituation trail phase, animals were placed in a
443 cylinder in the center of the maze and a dummy mini-mScope was fitted to their
444 implants. Non-goal holes were covered, revealing only the goal hole, and the mouse
445 was allowed to explore the maze for 4 minutes. The maze was then rotated by 90°
446 degrees for acquisition trials. During acquisition trial days, the mini-mscope was fitted
447 onto mice for recording. Mice were placed in the start cylinder in low red-light
448 conditions. Immediately when the trial began, white noise was played at 60 dB and a
449 yellow overhead light was turned on. Non-goal holes were 1 cm deep with black silicone
450 floors. Trials were terminated when the mouse entered the goal hole or after a 3-minute
451 experiment time. For the probe trials, the maze was rotated so that the goal was in a
452 different location with respect to the visual cues. Following all trials, the mouse was
453 placed in the goal box for 1 minute, then returned to their home cage outside of the
454 behavioral enclosure. In between trials, the maze was cleaned with 70% ethanol to
455 reduce odor trails.

456

457 The Barnes maze was constructed from a 2.5. cm thick white, high-density polyethylene
458 (HDPE) sheet. A 1-meter diameter circle was cut out of the HDPE sheet. Twenty 10 cm
459 diameter holes were cut into the perimeter 5 cm from the edge of the sheet. A custom-
460 made stair-case goal box was 3D printed using 1.75 mm diameter black PLA filament
461 on a fused deposition modeling 3D printer (M2 3D printer, MakerGear). The maze was
462 mounted onto an aluminum extrusion frame and anchored to a behavioral enclosure.
463 The maze was 0.6 meters from the ground and at least 1.5 meters from any wall. The
464 walls of the behavioral enclosure were made from 1/8-inch-thick single plywood sheets
465 (Eucatile white tile board, Home Depot) and were coated with acoustic damping foam
466 on the inner walls (JBER Acoustic Sound Foam Panels, Amazon) that covered the 1.8
467 m x 1.8 m x 2.4 m enclosure. A single behavior camera was mounted 1.2 m above the
468 center of the arena to record behavior during the experiments (Blackfly S USB-3, FLIR).
469 The mini-mScope electronics were routed through a low torque commutator (Carousel
470 Commutator 1x DHST 2x LED, Plexon Inc).

471

472 **Cortex-wide imaging using mini-mscope**

473

474 **Behavior imaging:** One overhead camera was used to capture the entirety of the
475 Barnes maze. The behavior camera was set to external trigger mode, line 3 trigger, any
476 edge, (Spinview) and was synchronized to capture frames with the TTL pulses sent by
477 the mini-mScope at each frame capture. The behavior camera exposure was set to
478 1000 μ s and the resulting frames were compressed by 25% and saved to random
479 access memory (128 GB RAM) as a .avi video file.

480

481 **Calcium imaging:** The original mini-mScope CMOS sensor³² was replaced with the
482 MiniFAST CMOS sensor (Sony IMX290LLR-C CMOS sensor, Framos) for its increased
483 sensitivity and smaller pixel size (Juneau et al. 2020). The MiniFAST sensor was set to
484 acquire images at 30 frames per second (FPS), with each frame alternating between
485 blue and green light illumination. Thus, images were acquired at 15 FPS under each
486 illumination condition. The CMOS gain was set to a value of 55, and the LED voltage
487 and current for the green LEDs was 5V 0.2A and 8V 0.8A for the blue LEDs. The blue
488 and green LEDs on the mini-mScope were pulsed for 120 seconds, prior to the
489 experiment to allow them to warm up and reach a stable intensity. The mice were
490 brought into the Barnes maze under red light and placed into the opaque cylinder at the
491 center of the maze ~90 s after the LEDs were turned on. The mini-mScope was
492 attached to the mice via 3 interlocking magnets. At ~120 seconds, the white noise and
493 yellow LEDs in the Barnes maze were switched on and the opaque cylinder was
494 removed, marking the start of the trial. Trials typically lasted until mice went into the goal
495 hole or at the end of 180 seconds.

496

497 **Data pre-processing:**

498 Behavior data pre-processing: For each trial, the location of each hole in the Barnes
499 maze and the outer shape of the maze was automatically detected using computer
500 vision scripts to define regions of interests (ROIs) within the Barnes maze. The location
501 of the goal hole was marked to track where it was located, as the Barnes maze was
502 rotated across cohorts and probe days. The behavior camera data was aligned with the

503 calcium imaging data via timestamps generated by the CMOS data acquisition board.
504 Any frame drops or motion artifacts detected in the calcium imaging data were dropped
505 in both the calcium imaging data and the behavior imaging data. The behavior camera
506 data was also down sampled to match the calcium channel from the mini-mScope.

507
508 Calcium data pre-processing: To assist with data saving, the MiniFAST software saves
509 calcium imaging data in separate 1000 frame videos. The individual 1000 frame videos
510 were combined into a single video using custom MATLAB scripts (2022b, MathWorks).
511 The mean pixel intensity of each frame was calculated, and K-means clustering was
512 used to classify each mean pixel intensity of the video into the blue and green channels.
513 Frames that were not classifiable into either the blue nor green channels due to large
514 motion artifacts or irregularities in LED intensity (~0.04% of all frames) were marked for
515 removal in future analysis. The videos corresponding to both illumination wavelengths
516 were then passed through a motion correction algorithm⁵³.

517
518 The calcium data videos were compressed to 80% of their original size with a bilinear
519 binning algorithm (2022b, MathWorks). One frame randomly selected in each trial was
520 used to draw a mask around the imaged brain surface and exclude the background and
521 superior sagittal sinus artery to reduce noise in the overall DF/F signal. For each
522 mouse, the masks across all trials were averaged to generate a mouse-specific average
523 cortex mask. The average mask was imposed across images acquired in all trials for a
524 mouse so that the number of pixels used in each analysis remained consistent.

525
526 Each pixel within the mask was corrected for global illumination fluctuations using a
527 correction algorithm that produces DF/F data⁵⁴. The DF/F data was filtered using a zero-
528 order phase Chebyshev band-pass filter with cutoff frequencies of 0.1 Hz and 5 Hz
529 (2022b, MathWorks). The resulting data was then spatially filtered with a 7-pixel
530 nearest-neighbor average using a custom MATLAB (2022b, MathWorks) script. The
531 resulting DF/F time series for each pixel was then z-scored.

532 533 **Data Analysis**

534
535 **Behavior:** Data from the overhead behavior camera was analyzed using an
536 unsupervised, marker-less tracking algorithm (DeepLabCut⁵⁵). The program was trained
537 to track the nose, the top of the head/mini-mScope, between the ears, the right and left
538 forepaws, the shoulder blades, right and left hind paws, the lower back, the base of the
539 tail, and the tip of the tail. This tracking data was used to determine where the mice
540 were in the Barnes maze throughout the trial. To classify search strategy, the Barnes
541 maze was split into 4 equal quadrants and each hole was automatically detected and
542 labeled. Random trials were classified if the mouse's tracking trajectory crossed over 3
543 quadrants of the maze non-sequentially before reaching the goal. Serial trials were
544 classified if the mice traveled less than 3 sequential quadrants and covered at least 3
545 sequential holes on either end of the goal hole. Spatial trials were defined if the mice
546 traversed less than 2 sequential quadrants and no more than 1 sequential hole on either
547 side of the goal hole. Radially, the maze was divided into the central circle, the
548 approach zone and the serial exploration zone, with the diameter of the central circle

549 corresponding to the length of the mice (60 pixels), and the inner radius of the serial
550 exploration zone being one length of the mouse lesser than the outer diameter of the
551 maze.

552

553 **State identification using image correlation clustering:** All calcium data was
554 analyzed using custom scripts in MATLAB (2022b, MathWorks). At each time point, the
555 DF/F z-score for the current frame was correlated with all frames across trials per
556 mouse using a Pearson's correlation coefficient to construct a correlation matrix across
557 trials (**Figure 2b**). The correlation matrix was then sorted using k-means clustering with
558 RNG defaults for reproducibility and with 5000 maximum iterations and 500 replicates to
559 search for common, reoccurring activity patterns across time. A t-distance optimization
560 algorithm was used to determine the optimal number of clusters to sort the correlation
561 matrix, so that the correlations within each cluster were maximized and correlations
562 across clusters were minimized³⁴. The number of clusters for which the largest
563 cumulative t-distance value obtained was selected as the number of clusters or states
564 for each mouse (**Supplementary Figures 1-2**). All the frames within an identified
565 cluster were averaged to generate a mean activity spatial map for each state. Image
566 correlations between these mean activity maps for each state identified for all mice were
567 computed to construct a second correlation matrix, which was then sorted into 7
568 clusters via k-means clustering (**Figure 2c, Supplementary Figure 1a,**
569 **Supplementary Figure 2**).

570

571 **Frontal state activation:** The time series of state activations for all trials were filtered
572 using a sliding window to extract periods of high activation of state 1 and 2 (the frontal
573 states) for all trials. The frontal state activation event was determined to be present if it
574 persisted for a period greater than 1 second, with up to 4 frames of jitter into other
575 states before returning to state 1 or state 2. After the events were labeled, all state
576 activation time series were aligned to the start and end of the frontal state activation
577 event period for statistics and further analysis.

578

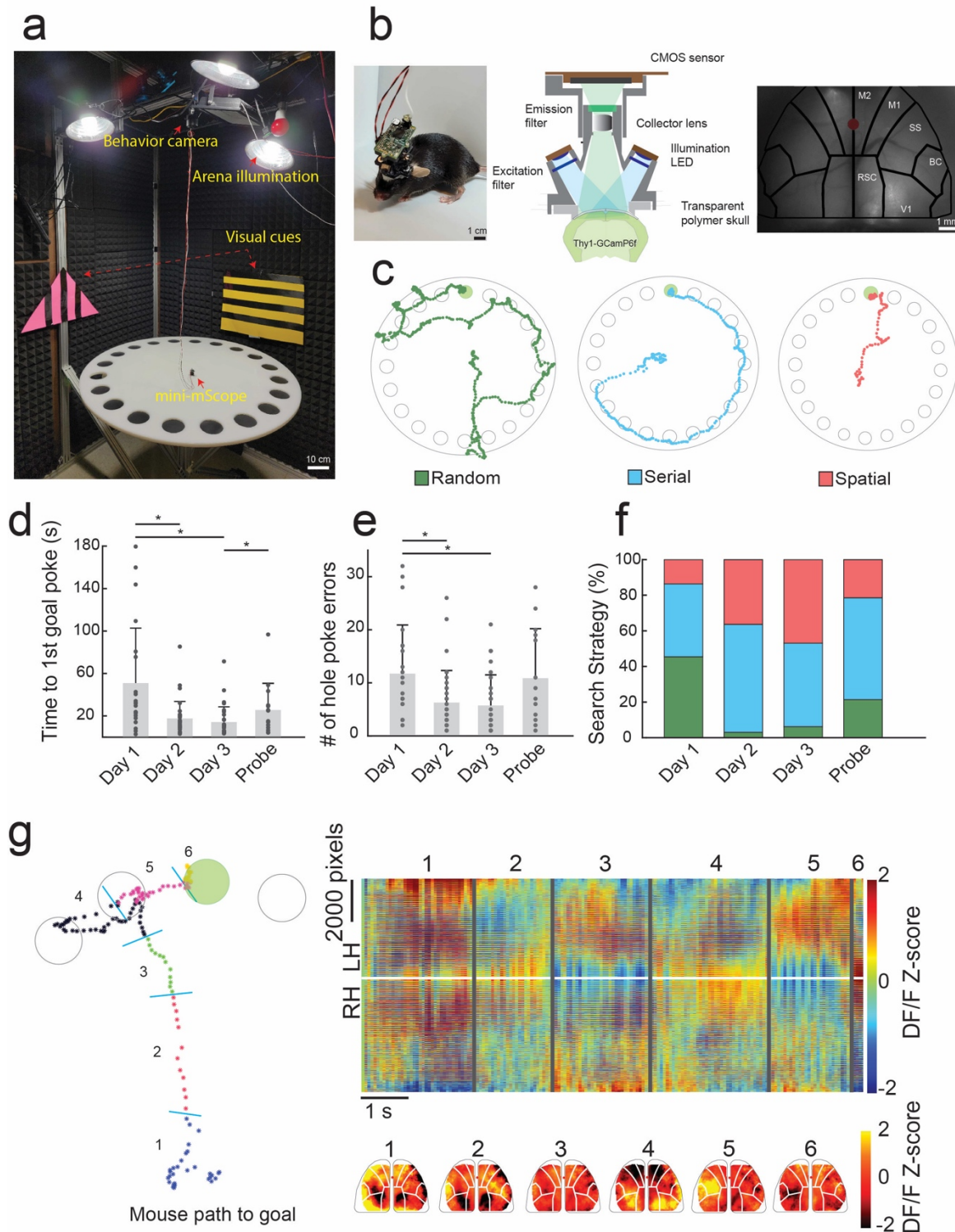
579 **Head orientation angle:** Two angles were defined for head orientation of the mouse
580 during the start of the trial until the frontal state activation period. The allocentric angle,
581 denoted as ω , was the angle between the instantaneous mouse body-head vector
582 relative to fixed vector drawn from the center of the maze to the goal. The egocentric
583 angle, denoted as ϕ , was the angle difference between the instantaneous mouse body-
584 head vector and vector drawn from the instantaneous position of the mouse's body to
585 the goal location.

586

587 **Statistics:** Wilcoxon rank sum non-parametric tests were used to determine the
588 statistical significance between serial and spatial search strategies' state activation
589 (**Figure 3e, Figure 4 h,i**). A Kruskal-Wallis test was used to determine statistical
590 significance between head direction angles in the pre-FSA period. Non-parametric tests
591 allow for unequal sample sizes between the search strategies. ANOVA tests were run
592 with a Bonferroni correction to determine the significance of state activation in the peri-
593 event state probability histograms (**Figure 2g**). All error bars denote standard deviation.

594

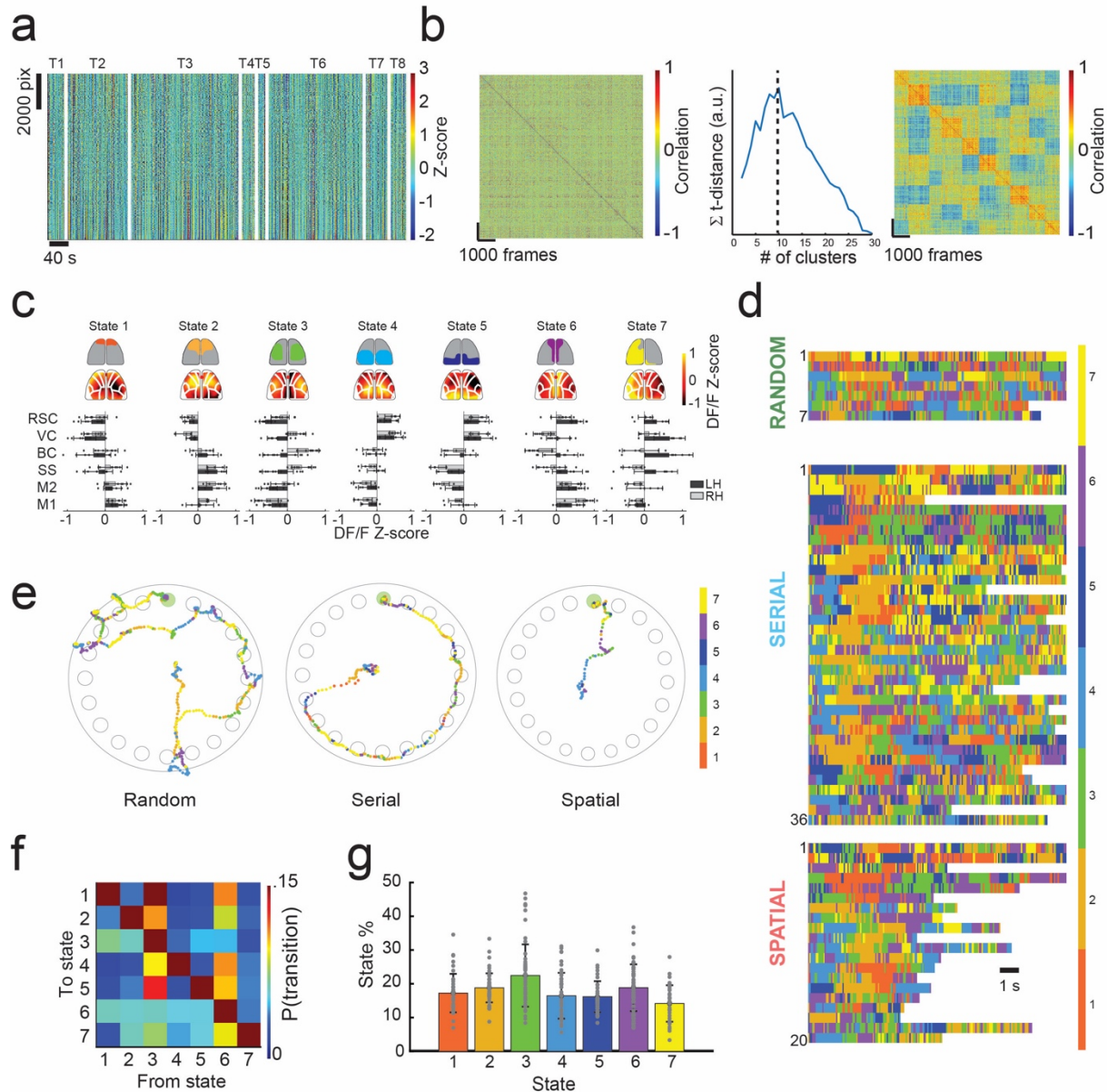
595 FIGURES
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Figure 1: Mesoscale calcium imaging during spatial navigation. **a)** Photograph of the behavioral setup including the mini-mScope and the Barnes Maze. **b)** Left: Photo of a mouse bearing the mini-mScope in the behavioral arena in a). Middle: Computer aided design (CAD) cross-sectional view of the mini-mScope. Right: Photo of raw

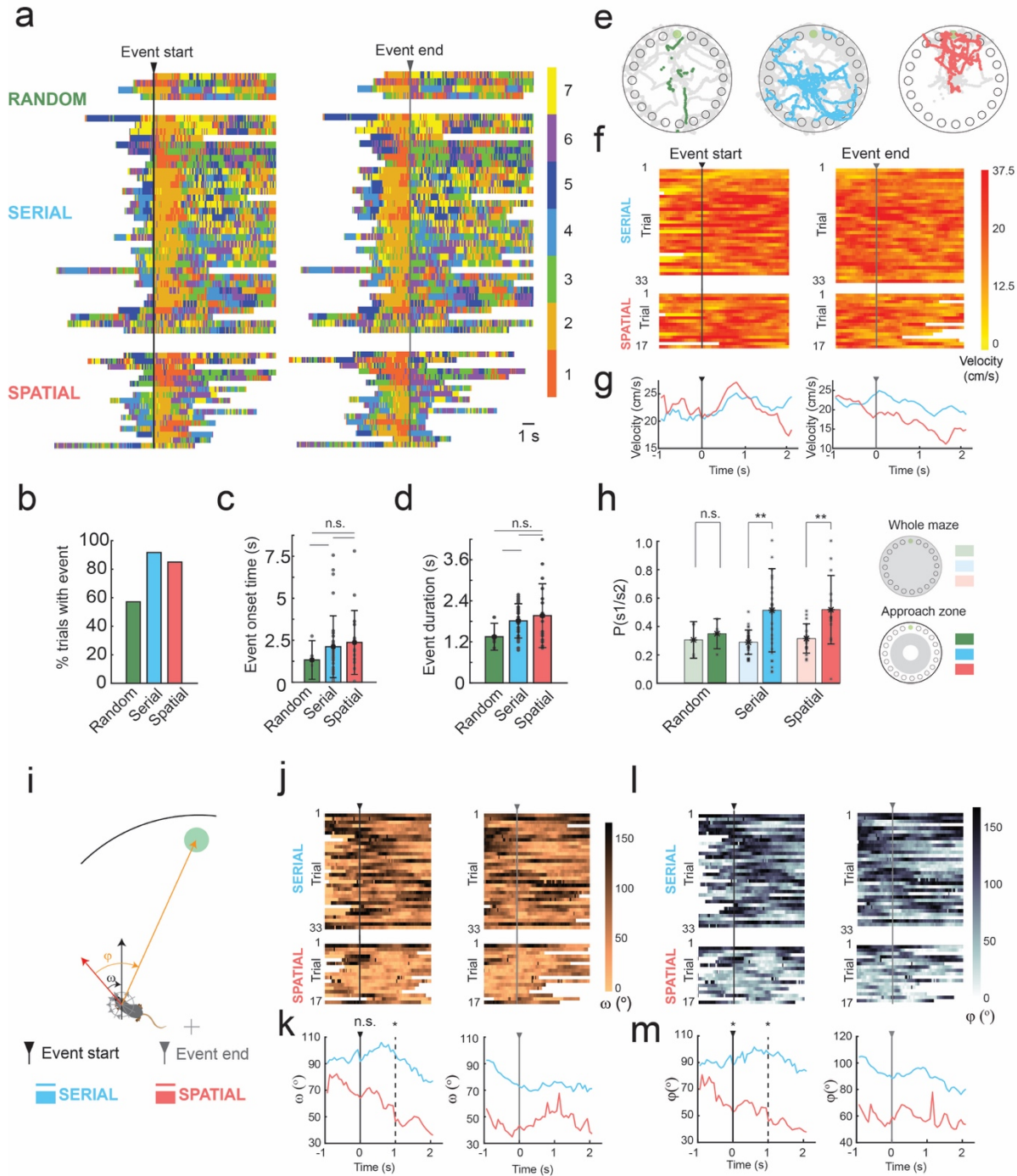
602 imaging field of view (FOV) through the mini-mScope with an Allen atlas overlaid. Red
603 dot indicates bregma. M1- Primary motor cortex, M2 – secondary motor cortex, SS –
604 somatosensory cortex, BC – barrel cortex, V1 – visual cortex, RSC – retrosplenial
605 cortex. **c)** Traces obtained from tracking data of one mouse which utilized random,
606 serial, and spatial search methods as it learned to navigate the Barnes maze. **d)** Bar
607 plot showing the mean primary latency, or time to first goal hole discovery, across days
608 as mice learned to navigate the Barnes maze. * indicates $p < 0.05$, Wilcoxon rank sum
609 test. **e)** A bar plot showing the mean number of primary errors, or the number of times
610 the mouse checked an incorrect hole before reaching the goal. * indicates $p < 0.05$. **f)** A
611 bar plot showing the percentage of search strategies utilized across all trial days. **g)**
612 *Left:* Tracking data from a spatial trial in which the mouse makes a single error on the
613 way to the goal. The trace is annotated with periods that correspond to state-like shifts
614 in calcium data across the cortex shown in the graph on the right. *Right:* a map of the
615 calcium data across the entire FOV acquired during the trial shown in the left panel.
616 Numbered lines correspond to state-like global calcium activity transitions observed
617 during the behavioral periods marked in the left panel. Pseudo color maps of the
618 calcium DF/F z-score from frames during each behavioral period are shown below. All
619 error bars indicate sample standard deviation.
620



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623 **Figure 2: Identifying brain states from mesoscale calcium activity.** a) Example of
624 the method used to identify cortex-wide brain states from widefield calcium imaging
625 during spatial navigation from one mouse. Data from all trials for one mouse is shown.
626 All pixels across the FOV are plotted vs time. Trials are indicated by T1-T8 labels,
627 separated by the white lines. b) *Left:* A correlation matrix is constructed by computing
628 the image correlation between all frames. K-means clustering is used to organize the
629 correlation matrix into highly correlated groups, denoted as states. *Center:* The number
630 of states is determined by using an optimization algorithm which maximizes intra-cluster
631 correlation while minimizing inter-cluster correlations. The maximum t-distance value
632 indicates the optimal number of states for this mouse ($k=10$ states here). *Right:* the
633 result of re-sorting the correlation map on the left into an optimized number of clusters
634 determined with k-means clustering and t-distance optimization, resulting in 10 states
635 for this mouse. c) Common state space model across $n = 8$ mice and 63 trials. Optimum

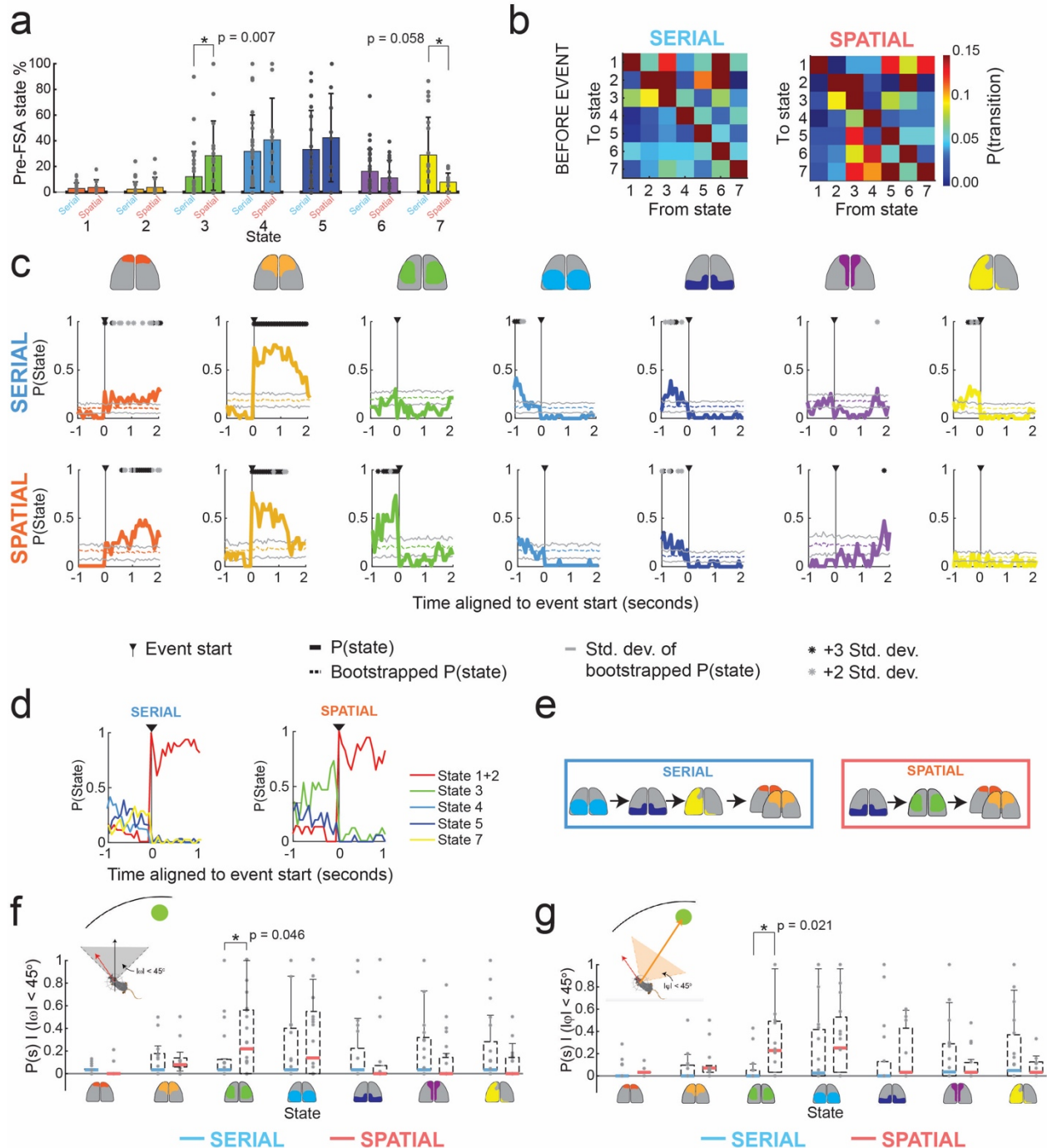
636 number of states varied from 5-10 states across all mice, with an average of 6.4 ± 2
637 states (**Supplementary Figs. 1 and 2**). 7 states were selected as sufficient to describe
638 the state space across mice. States were identified by cortical areas across the FOV
639 with high DF/F z-score calcium signal. The top row illustrates simplified activity maps
640 with high DF/F z-score activity. Below the top row are average DF/F z-score heat maps
641 for the mouse in **a-b** which fit into the common state space. Bottom: bar graphs
642 depicting the average DF/F z-score of cortical regions across mice using the Allen atlas.
643 **d)** Time series of state activation of the first 12 seconds of all trials plotted in a time
644 series. Color bar indicates state number. The top row are random trials, the middle row
645 are serial trials, and the bottom row are spatial trials. **e)** Examples path plots of random,
646 serial, and spatial trials with state number overlayed on mouse tracking data. Color bar
647 indicates state number. **f)** State transition probability matrix across all trials. **g)** Bar
648 graph of the total state activation probabilities across all trials.
649



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652 **Figure 3: Prolonged activation of frontal states at trial start.** a) Time series of state
653 activation of all trials containing >1 second activation of frontal states 1 or 2 aligned to
654 the start of the frontal state activation event (FSA event, left) and the end of the FSA
655 event (right) b) A bar graph depicting the total percentage of trials in which FSA event
656 occurred when mice used random, serial and spatial search strategies. c) A bar graph
657 depicting the mean onset time to the FSA event for trials which the mouse utilized each
658 search strategy. d) A bar graph depicting the total duration of the of the FSA event for
659 trials which the mouse utilized each search strategy. e) Mouse tracking data from trials

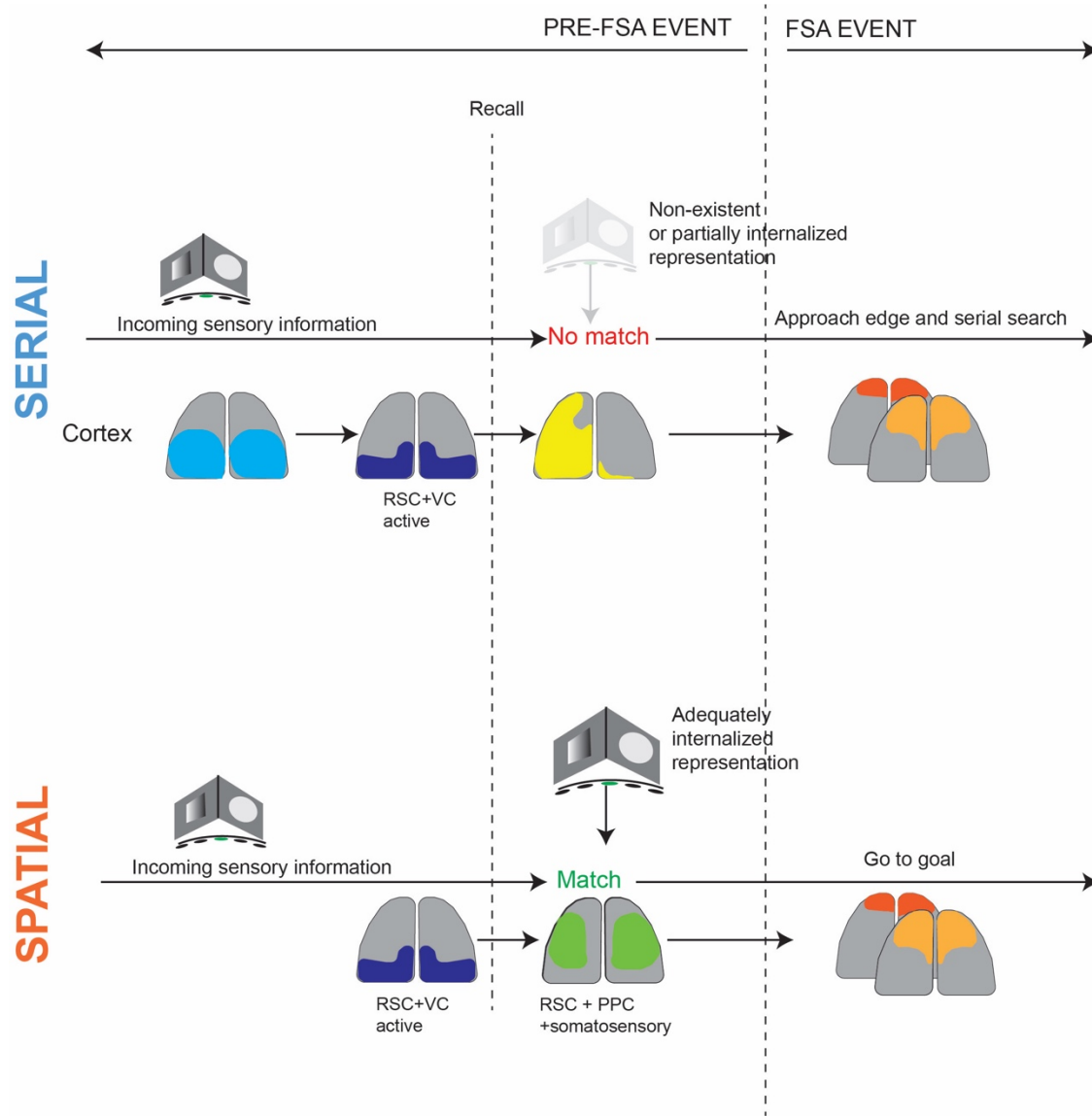
660 in which the mouse utilized random, serial, and spatial search strategies. Colored points
661 indicate the frontal state activation period and gray points indicate the rest of the
662 tracking data to first goal poke. **f)** Plots depicting the velocity of mice in trials with a FSA
663 event. The FSA event period is aligned to the start and end of the event for serial and
664 spatial trials. **g)** Average velocity plots made from **f** with serial and spatial trials
665 superimposed before and after the frontal state activation event. **h)** State activation
666 probability of frontal state 1 or 2 across the whole maze and within the approach zone.
667 ** indicate $p < 0.001$, Wilcoxon rank-sum test. **i)** Schematic of allocentric and egocentric
668 head angles, ω and ϕ , respectively. **j)** Allocentric head direction plots of trials with the
669 frontal state activation period aligned to the start and end of the event for serial and
670 spatial trials. **k)** Average allocentric head direction plots made from **j** with serial and
671 spatial trials superimposed before and after the frontal state activation event. * indicates
672 $p < 0.05$ ($p = 0.104$ at 0s, $p = 0.002$ at 1s after FSA initiation, Wilcoxon rank sum test). **l)**
673 Egocentric head direction plots of trials with the frontal state activation period aligned to
674 the start and end of the event for serial and spatial trials. **m)** Average egocentric head
675 direction plots made from **l** with serial and spatial trials superimposed before and after
676 the frontal state activation event. * indicates $p < 0.05$ ($p = 0.02$ at 0s, $p = 0.002$ at 1s
677 after FSA initiation, Wilcoxon rank sum test).
678



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681 **Figure 4: Sequences of states before FSA event depend on search method.** a) Bar
682 plots of states activation probabilities for all states before the FSA event in serial and
683 spatial trials. Statistically significant differences are highlighted (Wilcoxon rank sum test)
684 **b)** State transition probabilities before the FSA event for serial and spatial trials. **c)** Peri-
685 event state probability histograms aligned to the start of the frontal state activation event
686 in serial and spatial trials. Solid color lines denote the average peri-event probability
687 across all trials. Transparent solid color lines indicate the average of 100 randomized
688 bootstrapped trials with standard deviation lines in gray. Asterisks indicate statistical

689 significance against bootstrapped data using an Anova test with a Bonferroni correction
690 (gray $p < 0.05$; black $p < 0.01$). **d)** Summary of statistically significant probability of state
691 activation in **g**. **e)** Simplified state transition schematic of cortical activation states 1 s
692 before and during the FSA event using the statistically significant state activation
693 periods found in **c** for serial and spatial trials. **f)** State activation probability box plots
694 generated for allocentric head direction for $|\omega| < 45$ degrees for serial vs spatial trials. **f)**
695 State activation probability plots generated for egocentric head direction for $|\phi| < 45$
696 degrees for serial vs spatial trials. Statistically significant comparisons are highlighted
697 (Wilcoxon rank sum test).
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Figure 5: Proposed model for distinct cortical dynamics corresponding to non-goal and goal directed search strategies

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856 **AUTHOR CONTRIBUTIONS**

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858 DS and MLR contributed equally. DS, MLR, SBK designed experiments, DS and MLR
859 conducted the experiments. DS, MLR, EK, KS, ADR and SBK performed data analyses,
860 DS, MLR, EK, KS, ADR and SBK wrote the manuscript.

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863 **CONFLICT STATEMENT**

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865 SBK and DS are co-founders of Objective Biotechnology Inc., which is seeking to
866 commercialize the mini-mScope technology.

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