#### ORIGINAL ARTICLE

# Sex-specific differences in patients with nonmelanoma skin cancer of the pinna

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#### Abstract

**Background:** Generally, it is known that men are affected more frequently by nonmelanoma skin cancer (NMSC) than women. The aim of our study was to investigate the effect of sex on the characteristics of NMSCs of the pinna at the population that our center serves and to compare it with the international data.

**Methods:** We analyzed retrospectively the data of 225 patients with NMSC of the pinna. Sex-specific differences were investigated for basal cell carcinoma (BCC) and cutaneous squamous cell carcinoma (cSCC) subgroups.

**Results:** The ratio of BCC to cSCC was determined in male patients at 1:1.3, in contrast in females it was identified at 4:1 (P = .001).

**Conclusion:** In our study, a new aspect of the sex-dependent distribution of cSCC and BCC of the pinna was demonstrated. Women are affected four times more frequently by BCC than by cSCC, whereas in men this ratio is approximately equal.

#### K E Y W O R D S

basal cell carcinoma, sex, nonmelanoma skin cancer, pinna, squamous cell carcinoma

## **1** | INTRODUCTION

Nonmelanoma skin cancer (NMSC) accounts for the most frequent entity of cancer worldwide.<sup>1</sup> In general, basal cell carcinomas (BCCs) represent 75% to 80% of all cases of NMSC while cutaneous squamous cell carcinomas (cSCCs) are responsible for most of the remaining.<sup>2,3</sup> Other rare entities, which represents just under 1% of all NMSCs,<sup>4</sup> include the basosquamous carcinoma, which is thought to be an aggressive subtype of BCC with an increased risk of recurrence and metastases<sup>5</sup> or the rare but highly malignant Merkel cell carcinoma.<sup>6</sup> Approximately 90% of NMSC are located in the head and neck region, of which 6% to 10%

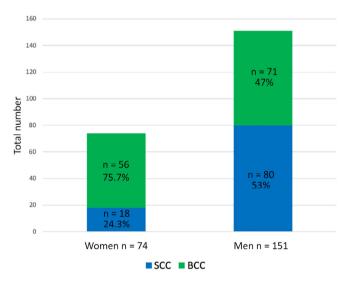
appear at the pinna.<sup>7,8</sup> In women, skin cancer is located more frequently in the midface while men are more often affected on the scalp and pinna.<sup>9</sup> Skin cancer is rarely seen in the young population, but due to the accumulative effect of the risk factors during a lifetime the incidence increases with age.<sup>10,11</sup> In different countries, the incidence rate of NMSC varies significantly. In Germany, the incidence rate of BCC is appointed at 113:100.000 for men and at 102:100.000 for women, for cSCC it is indicated at 30:100.000 for men and at 16:100.000 for women.<sup>9</sup> Overall the incidence of BCC and cSCC is still increasing.<sup>12,13</sup>

The risk factors for NMSC can be divided in exogenic and endogenic factors. The most important exogenic

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factor is the ultraviolet radiation which causes molecular transformations in skin cells due to DNA-initiated mutations.<sup>14</sup> Additionally the radiation has effects on the inflammatory response of the skin and the subsequent migration of cells of the immune system which contribute to the development of skin cancer.<sup>15</sup> An immunosuppressive therapy increases the risk of skin cancer, especially for SCC.<sup>16</sup> Genetic predisposition like Fitzpatrick skin type 1, xeroderma pigmentosum, or variations in the Melanocortinreceptor 1 (MC1R) gene are also major risk factors for the development of BCC<sup>17,18</sup> and cSCC.<sup>12,19</sup> In a recent study, the possible role of oncogenic viruses, especially human papilloma viruses, in the origin of NMSC is postulated.<sup>20</sup> cSCCs usually develop from UV-induced predamaged skin lesions like actinic keratosis or Bowen's disease (carcinoma in situ), but can also arise de novo.<sup>11</sup> For actinic keratosis, the risk of progression to cSCC is 0.6% at the first year and 2.6% at the fourth year.<sup>21</sup> About 65% of all cSCCs emerge from precursor lesions.<sup>22</sup> For BCCs, there are no known precursor lesions.<sup>23</sup>



**FIGURE 1** Sex-specific incidences of squamous cell carcinoma and basal cell carcinoma [Color figure can be viewed at wileyonlinelibrary.com]

The therapy of choice for NMSC is surgical excision with clear resection margins.<sup>24</sup> Especially in the facial area, this excision is impeded by the task to achieve an appropriate cancer-free safety distance as well as a satisfying aesthetic outcome. Specific facial aesthetic units may also be used for prognostic evaluation and follow-up in NMSC, as reported for melanoma.<sup>25</sup>

BCCs are often classified as semimalignant because of their locally invasive growth but a very low rate of distant or regional metastases (<0.1%).<sup>26</sup> Generally, the rate of locoregional metastasis of cSCCs lies between 0.5% and 2%. If located on the pinna, the risk increases up to 16%.<sup>27,28</sup> Hence, cSCCs of the external ear are associated with a higher risk of recurrence and disease progression, which leads to a poorer prognosis compared to cSCCs located at other body parts.<sup>29,30</sup>

In general, men are more frequently affected by NMSC than women.<sup>31</sup> The aim of our study was to investigate the effect of sex on the characteristics of NMSC at the pinna that our center serves and to compare it with the international data.

## 2 | MATERIALS AND METHODS

The records of all patients with NMSC of the pinna treated at the Department of Otorhinolaryngology – Head and Neck Surgery of the Medical University of Graz from 2005 to 2015 were reviewed retrospectively. Inclusion criteria were histologic proof of cSCC or BCC and age of at least 18 years. Any other kind of skin cancer was excluded. The follow-up period started at the time of diagnosis of malignant skin cancer and study endpoints were recurrence, death, or the end of data collection December 2015. To ensure an at least one-year long surveillance, new patients were included not later than December 2014. For cSCC, the staging process included computer tomography or magnetic resonance tomography of head and neck, chest X-ray, and abdominal ultrasonography. The TNM staging was used corresponding to

**TABLE 1** Characteristics of male and female patients divided in SCC and BCC

| Characteristics     | Female          | Male            | Significance |
|---------------------|-----------------|-----------------|--------------|
| Number SCC          | 18              | 80              | P = .001     |
| Age at diagnose SCC | $81.2 \pm 7.3$  | $74.6 \pm 10.6$ | P = .003     |
| Recurrence rate SCC | 16.7%           | 27.5%           | P = .26      |
| Number BCC          | 56              | 71              | P = .001     |
| Age at diagnose BCC | $73.6 \pm 12.0$ | $71.8 \pm 10.7$ | P = .34      |
| Recurrence rate BCC | 25.0%           | 12.7%           | P = .06      |

Abbreviations: BCC, basal cell carcinoma; SCC, squamous cell carcinoma.

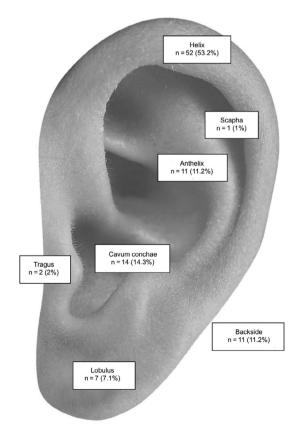
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American Joint Committee on Cancer of the year 2002— Union Internationale Contre le Cancer (UICC) 6th Edition or the year 2010—UICC 7th Edition, as appropriate.

The two histopathological groups cSCC and BCC were examined to look for sex differences in relation to age at presentation, tumor localization, TNM classification, grade of differentiation, recurrence, metastatic rate, recurrence free survival time, cartilage or bone infiltration, and treatment methods. Statistical analysis was operated with SPSS software, version 23.0 (SPSS, Chicago, Illinois). The data are presented as medians with ranges or means with SDs, as appropriate. Comparisons of continuous or categorical variables were performed with Student's t test or Mann-Whitney U test and Chi-squared or Fisher exact test, as appropriate. Kaplan-Meier analysis was used to estimate recurrence free survival time and significances were identified with log-rank test. Statistical significance was set at <.05 (two-sided). The study was approved by the ethics committee of the Medical University of Graz.

## 3 | RESULTS

In total, 225 patients with NMSC of the pinna were included in our survey, to be specific 151 males (67.1%) and 74 females (32.9%). Regarding the histologic diagnosis, BCC



was the most frequent kind (127 individuals [56.4%]) followed by cSCC (98 [43.6%]). We found that women were rarely diagnosed with cSCC (18 [23.7%]) and



FIGURE 3 Location of BCCs. BCC, basal cell carcinoma

TABLE 2 Statistical differences in sex-specific characteristics

|                            | Sex      |
|----------------------------|----------|
| Ratio SCC/BCC              | P = .001 |
| Age SCC                    | P = .003 |
| Recurrence SCC             | n.s.     |
| Age BCC                    | n.s.     |
| Recurrence BCC             | P = .06  |
| Follow-up                  | n.s.     |
| Therapy                    | n.s.     |
| -classification            | n.s.     |
| Tumor location             | n.s      |
| Grade of differentiation   | n.s.     |
| Cartilagineus infiltration | n.s.     |
| Skullbase infiltration     | n.s.     |
| Parotic infiltration       | n.s.     |
| Nodal metastatic rate      | n.s.     |

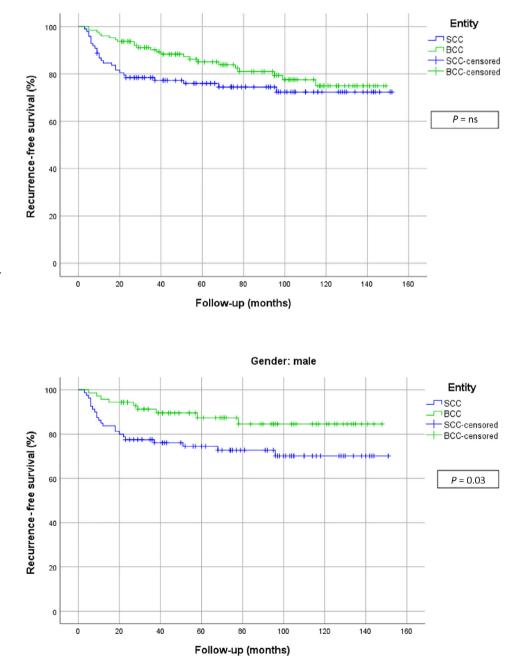
Abbreviations: BCC, basal cell carcinoma; n.s., no significance; SCC, squamous cell carcinoma.

much more frequent with BCC (56 [73.7%]). On the contrary, the occurrence in the male study population was nearly balanced for cSCC (80 [53%]) and BCC (71 [47%]). Therefore, the distribution of BCC to cSCC in the female subgroup was approximately 4:1, while in the male group the ratio of BCC to cSCC was approximately 1.1:1 ( $P \le .001$ ). Figure 1 outlines sex-specific incidences of cSCC and BCC.

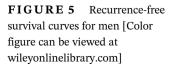
Generally, the age at diagnosis of cSCC (75.8  $\pm$  10.3 years) was significantly higher than for BCC (72.7  $\pm$  11.3 years) (*P* = .03). Women with cSCC of the pinna were significantly older than men, to be specific women had a median age of 81.2  $\pm$  7.3 years whereas men were 74.6  $\pm$  10.6 years old (*P* = .003). Also, in cases of BCC,

the age at diagnosis was higher in women compared to men, to be specific  $73.6 \pm 12.0$  years in women and  $71.8 \pm 10.7$  years in men. Table 1 shows characteristics of male and female patients.

In women, the most common tumor site of cSCCs was the helix (66.7%), while BCCs were distributed almost equal between the helix (37.5%) and the cavum conchae (35.7%). In men, the helix (50%) was the most frequent location of cSCCs and the most common sites for BCCs were the cavum conchae (31%) and the helix (26.8%). When the distribution of the tumor site was compared dependent on sex a tendency toward higher rates of skin cancer in the backside of the pinna could be detected in men but no statistically significant



**FIGURE 4** Recurrence-free survival curves of all patients [Color figure can be viewed at wileyonlinelibrary.com]



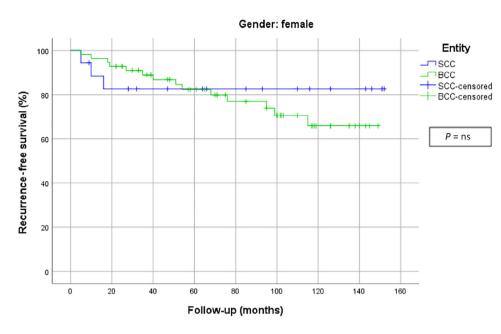


FIGURE 6 Recurrence-free survival curves for women [Color figure can be viewed at wileyonlinelibrary.com]

discrepancy was visible. Figures 2 and 3 show detailed information of tumor locations of cSCCs and BCCs.

The infiltration of cartilage was significantly higher in cSCC than in BCC, in women in 26.7% of cSCC and in 6% of BCC (P = .04) and in men in 21.2% of cSCC and just in 8.3% of BCC (P = .05). The TNM classification and the grade of differentiation showed no significant differences referring to sex. The rate of nodal metastases was almost equal at 5.9% for women and at 5.2% for men. Table 2 shows the various characteristics in which sex-specific differences were observed. The treatment of choice for the majority of primary cases was a surgical resection of the carcinoma in 219 patients (97.3%). In four cases (1.8%), a postoperative radiotherapy/chemotherapy was added. Due to the inoperability of the tumor or the patients unsuitability for a general anesthesia, a primary radiation therapy was performed in two further cases (0.9%). The local recurrence rate for men and women together was determined for cSCC at 25.5% and for BCC at 18.1%. For cSCC, the recurrence rate was found to be 27.5% for men and 16.7% for women. In cases of BCC, the rate of recurrence was 12.7% for men and 25% for women. These observed differences did not quite reach statistical significance (P = .06). In cases of recurrent disease, the treatment of choice was surgery in 29 patients (58%), surgery with postoperative radiotherapy/ chemotherapy in 17 cases (34%), and a definitive radiotherapy/chemotherapy in 4 cases (8%).

When the whole study population was considered, the recurrence-free survival curve showed earlier recurrences of cSCC in contrast to BCCs. Interestingly cSCCs, who at first showed numerous relapses, present a plateau phase after 2 years. However, BCC displayed a steady recurrence rate. After about 10 years, the recurrence-free survival rate was nearly equal. Figure 4 shows the recurrence-free survival curves for all patients with NMSC. When analyzing the recurrence-free survival time exclusively for men, a significant difference could be detected: cSCC showed an earlier recurrence than BCCs (P = .027). Figure 5 shows the recurrence-free survival curves of men. In contrast, the recurrence-free survival curves for women showed most recurrences of cSCCs in the first 20 months, while BCC showed a more slowly but continuous rate of recurrence which finally crossed below the SCC curve. However, no statistically significant differences could be detected between these two groups. Figure 6 demonstrates the recurrence-free survival curves of woman.

## 4 | DISCUSSION

Our results demonstrate that for woman the distribution of BCC to cSCC is approximately 4:1, while in the male group the ratio of BCC to cSCC is approximately 1:1.1. In comparison to our findings, Ahmad et al, who included 41 patients with NMSC of the pinna in their study, presented an incidence of BCC to cSCC of 1.3:1.32 Tolkachjov who included 649 patients to their study described a ratio of BCC to cSCC of 1:1.3.33 Both studies did not execute any sex-specific analysis. If the results of our study are considered exclusively for men, then they are consistent with these published findings. For us, it is of clinical importance to note that in female patients the chance of skin cancer on the pinna being a BCC is much higher than previous studies would suggest. To the best of our knowledge, we are the first to describe a significant difference of distribution of NMSC of the pinna between men and woman. These results can be

helpful for the clinical diagnosis of NMSCs, in case of lesions difficult to confirm due to complex anatomic conditions.

Although cSCC and BCC share a lot of similarities, they have a difference in their etiological characteristic. A higher risk for BCC is suggested for frequent and severe sunburns in childhood and adolescent.<sup>34</sup> Whereas the highest risk factor for cSCC is the cumulative dose of UV radiation.<sup>35</sup> An attempt of explanation could be that women have longer hair which covers their ears and provides prevention against UV radiation. This might be a protective factor for cSCCs in women. But in cases of BCC, this possible explanation is not durable because women are concerned more often than men in our cohort. Adalsteinsson et al describe a significant higher incidence of BCC in women than in men recently in the population of Iceland. They state that the increase of BCC in women correlates with the increase of traveling and use of tanning beds.<sup>36</sup>

A recent Skh-1 mice model study showed different reactions to UV radiation in reference to inflammation and consecutive different antioxidant activity levels in male and female mice; and therefore resulting higher number and severity of carcinoma in male mice.<sup>37</sup> Further molecular pathologic pathway studies about the genesis of NMSC and the immunologic reaction to UV radiation especially on sex-dependent differences are needed.<sup>38-40</sup> Over the last few decades, the risk of developing cSCC and BCC has risen significantly in women.<sup>41,42</sup>

In our study, population men are affected twice as frequent by NMSC at the pinna than women. In reports of the American Cancer Society, an equal sex distribution has been described, however not explicit for the external ear but for the whole body.<sup>43</sup>

The recurrence rate of BCC was determined at 18.1% in our study population, which is slightly higher to the described recurrence rates of up to 14% in literature.<sup>44,45</sup> Furthermore, also not in concordance with our data is the fact that in general the incidence rate of BCC is approximately 20% higher in men than in woman.<sup>42</sup> The recurrence rate for cSCC at 25.5% in our study is in accordance with former published studies, which report rates from 17% to 37%.<sup>46,47</sup>

Nevertheless, all cutaneous carcinomas of the pinna are reported to be in a hazardous location for neoplasia and are therefore labeled high-risk carcinoma with an increased risk of recurrence and morbidity.<sup>33,47</sup> This knowledge from multiple earlier publications is consistent with the data of this study. Therefore, it is of crucial importance to prevent the occurrence of NMSC by reducing the risk through better public education on the dangers of UV radiation and the importance of effective protection.

## 5 | CONCLUSION

In our study, a new aspect on the sex distribution of cSCC and BCC of the pinna could be demonstrated. The knowledge, that women are concerned four times as frequent by BCC than by cSCC and men are affected approximately equally often by the most common NMSCs, should be used carefully in risk evaluation of suspect lesions at the pinna.

## **CONFLICT OF INTEREST**

The authors declare no potential conflict of interest.

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