




Insights in Chloroquine Action: Perspectives and Implications in Malaria and COVID-19

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• Abstract

Malaria is a threat to human mankind and kills about half a million people every year. On the other hand, COVID-19 resulted in several hundred thousand deaths since December 2019 and remains without an efficient and safe treatment. The antimalarials chloroquine (CQ) and its analog, hydroxychloroquine (HCQ), have been tested for COVID-19 treatment, and several conflicting evidence has been obtained. Therefore, the aim of this review was to summarize the evidence regarding action mechanisms of these compounds against *Plasmodium* and SARS-CoV-2 infection, together with cytometry applications. CQ and HCQ act on the renin angiotensin system, with possible implications on the cardiorespiratory system. In this context, flow and image cytometry emerge as powerful technologies to investigate the mechanism of therapeutic candidates, as well as for the identification of the immune response and prognostics of disease severity. Data from the large randomized trials support the conclusion that CQ and HCQ do not provide any clinical improvements in disease severity and progression of SARS-CoV-2 patients, as well as they do not present any solid evidence of increased serious side effects. These drugs are safe and effective antimalarials agents, but in SARS-CoV-2 patients, they need further studies in the context of clinical trials. © 2020 International Society for Advancement of Cytometry

• Key terms

Plasmodium; SARS-CoV-2; clinical trials; renin angiotensin system; viral invasion; autophagy; side effect

CHLOROQUINE AS AN ANTIMALARIAL DRUG

History of Chloroquine

The first antimalarial, quinine, was isolated from the bark of the Cinchona tree indigenous in South and Central America, an alkaloid compound categorized as quinoline methanol (for a comprehensive review, see Achan and co-workers (1)), (2). Strategic and health-related efforts during World War II led to the commercial production of the 4-aminoquinoline chloroquine (CQ), in 1947 (3). CQ is among the safest and cheapest drugs of all time (4, 5). Further, chemical introduction of a hydroxyl group at position two of one of the N-ethyl groups resulted in hydroxychloroquine (HCQ) (6, 7). Since the 1950s, CQ was used to eradicate malaria and its most devastating agent *Plasmodium falciparum*. However, that became officially impossible due to emerging resistance (5).

Uptake and Mode of Action of Chloroquine in *Plasmodium*

CQ is a weak diprotic base ($pK_a = 10.1$; (8)), meaning it can be protonated in the acidic environments of the low pH organelles within the cell, where it accumulates as CQ^{2+} remaining entrapped (3, 9). CQ and its derivatives exhibit their main antimalarial activity in the asexual stages, that is, when the parasite infects the red blood

cells (RBCs) and feeds on hemoglobin to generate amino acids (3, 10). The most accepted, but simplified hypothesis, is that the CQ accumulation inside of the food vacuole (FV) interferes with the detoxification of heme, the product of hemoglobin catabolism (3, 11). When *Plasmodium* catabolizes hemoglobin, toxic monomeric α -hematin (ferriprotoporphyrin IX) is released as by-product. α -Hematin is an agent that catalyzes reactive oxygen species (ROS) production and can deposit on and damage cell membranes (10). Since the parasites lack the heme oxygenase pathway, they rely on a unique α -hematin sequestration mechanism, to form inert hemozoin (β -hematin), alias malaria pigment (2, 11, 12). This process is essential for *Plasmodium* survival, thus considered the parasites' Achilles heel (Fig. 1). The exact mechanisms by which *Plasmodium* manages hemozoin formation are still under discussion and point to involvement of lipids, proteins, and biocrystallization. Therefore, pinpointing the definite mode of action of CQ and derivatives in *Plasmodium* still is challenging. It is well established, though, that hematin crystals are formed by β -hematin dimers, which then complex to bigger structures resulting in hemozoin crystals. Dimer formation is achieved by coordinate bonds between the prosthetic iron and the carboxylate side chain of β -hematin. Dimers then interact via hydrogen bonding to form inert hemozoin crystals (12).

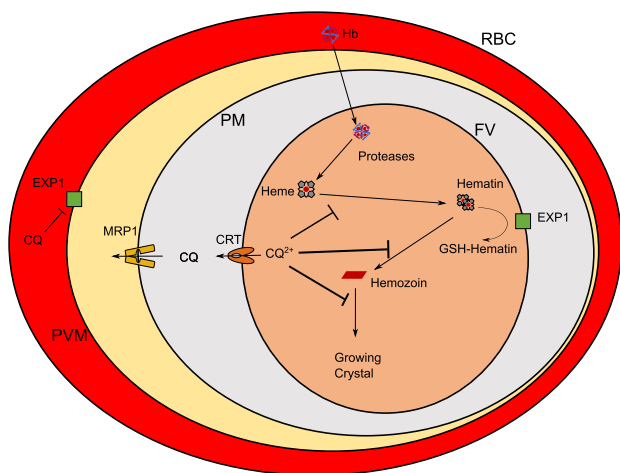


Figure 1. Suggested modes of action of chloroquine against *Plasmodium falciparum* parasites. Chloroquine can (1) prevent the formation of hemozoin by masking functional groups of hematin and the growing hemozoin crystal resulting in accumulation in the food vacuole (FV) as CQ^{2+} and (2) inhibit the activity of PfEXP1 which is involved in reduced glutathione (GSH)-mediated detoxification of heme. CQ resistance in *P. falciparum* parasites is believed to be inferred by mutations in PfCRT and PfMRP1 transporters that promote efflux of CQ out of the FV and the parasite, respectively. RBC, red blood cell; PVM, parasitophorous vacuole membrane; PM, parasite membrane; FV, food vacuole; CQ, chloroquine; CQ^{2+} , protonated chloroquine as present in the FV; Hb, hemoglobin; EXP1, *Plasmodium falciparum* exported protein 1 (a glutathione-S-transferase); CRT, *Plasmodium falciparum* CQ resistance transporter; MRP1, *Plasmodium falciparum* multidrug resistance-associated protein 1. [Color figure can be viewed at wileyonlinelibrary.com]

In fact, one of the primary modes of action of different quinoline drugs, including CQ, is binding to heme and hematin, which then inhibits hemozoin crystal formation. Accumulation of the drug on the heme or hemozoin molecule masks any functional groups preventing formation or growth of the hemozoin crystal. Nonetheless, each drug exhibits specific binding modes that differ from each other. For instance, while CQ forms a Fe–N bond with a heme monomer, QN builds a Fe–O interaction (2).

In addition to hemozoin formation, the *Plasmodium* parasite can detoxify free heme by *P. falciparum* exported protein 1 (PfEXP1) enzyme catalysis. PfEXP1 is a glutathione-S-transferase located at the parasitophorous membrane that binds the thiol group of reduced glutathione (GSH) to the iron center of heme (12, 13). This mechanism was proposed as explanation to the substantial portion of free heme that escapes the FV, hence also biocrystallization into hemozoin. At the same time, it is known that minor concentrations in the micromolar range already effectively kill the parasite, suggesting additional mechanisms to be involved in heme detoxification (14).

In 2018, Lisewski and colleagues reported a direct inhibition of PfEXP1 by CQ at nanomolar levels, which may indicate a heme/hematin-unrelated complementary effect of the drug (14) (Fig. 1). It is important to note that CQ and quinoline-based derivatives are used to treat a broad range of conditions, including infectious and autoimmune diseases (15). Showing effects unrelated to heme-detoxification underpins the pleiotropic character of the drugs.

CQ Derivatives

The emergence of CQ-resistant strains urged the synthesis of CQ derivatives to overcome the health threat posed by malaria (5). While quinine (QN) was originally identified as a natural compound, it was soon replaced by the cost-effective and safe 4-aminoquinolines CQ and HCQ. QN is still used, though, as it is effective against CQ-resistant *Plasmodium* strains (2). Amodiaquine (ADQ), also a 4-aminoquinoline and QN derivative, was synthesized in the early 1940s and is still effective against CQ-resistant strains. It is used as a partner drug in the front-line malaria treatment artemisinin combination therapies (ACTs) (2, 4). Among the CQ derivatives, primaquine is the only 8-aminoquinoline and was synthesized in the 1950s. Apart from the others, it potentially attacks the liver stages of *P. vivax* and *P. ovale* (5).

Mefloquine was screened after the emergence of CQ-resistant *Plasmodium* strains as antimalarial drug of choice by the Walter Reed Army Institute of Research (WRAIR) in the 1980s. It belongs to the class of amino alcohols and is, like ADQ, still in use, as a partner drug for ACTs. Further, mefloquine is still effective against CQ-resistant strains, in which an increased expression of PfEXP1 upon mefloquine treatment was observed (2, 5, 14).

Lumefantrine and Halofantrine also belong to the amino alcohol class of CQ analogs. Lumefantrine is used in combination with artemether in ACTs, while Halofantrine is only used in rare cases, due to its high toxicity (5, 16).

Piperaquine was first synthesized in the 1980s as another bis-4-aminoquinoline analog of CQ, linking together two quinoline molecules by their piperazine rings. This was thought to increase the positive charge and generate a bulky molecule that gets entrapped in the FV more efficiently (5).

It is noteworthy that some of the described derivatives show additional antimalarial effects in stages other than the asexual ones, despite their unknown mode of action (16). Except for halofantrine, all CQ analogs are on the World Health Organization (WHO) model list of essential medicines 2019 (4). Almost all antimalarials reviewed above were extensively studied for their potential antiviral effect and reviewed recently (17).

Resistance Mechanisms to CQ in *Plasmodium falciparum*

CQ resistance emerged quickly after the approval of the drug independently in several distinct regions of the world in the late 1950s. Soon, CQ-resistant parasite strains spread continuously from Colombia, and the Mekong Subregion until sub-Saharan Africa was entirely covered in the 1980s (18).

When talking about CQ resistance, it is important to distinguish between the *in vitro* determined increase in IC₅₀ and the actual clinical outcome *in vivo* as the latter is also dependent on each individual's host factors, for example, metabolism or innate immunity (18). In fact, although infected with CQ-resistant strains, individuals from a study in Mali cleared *P. falciparum* infection after CQ treatment, which could be shown to be age-related (19). According to CQ's suggested modes of action, corresponding hypotheses exist to explain resistance. Among them are the import and export of CQ into the FV and the enhanced detoxification of CQ-hematin complexes by GSH (20).

Resistance to CQ could be linked to several different markers, such as prevalent mutations in conserved genes encoding for transporter proteins (3). Parasites of the genus *Plasmodium* possess two main transporter types/families that exert xenobiotic trafficking in and out of the food vacuole: while P-glycoprotein-related transporters direct xenobiotics into the FV, members of the drug metabolite transporter family facilitate the export (Fig. 1). In *Plasmodium*, they are represented by PfMDR1 (*Plasmodium falciparum* multidrug resistance protein1), PfMDR-2 (*Plasmodium falciparum* multidrug resistance protein2), and PfMRP-1 (*Plasmodium falciparum* multidrug resistance-associated protein1), and PfCRT (*Plasmodium falciparum* chloroquine resistance transporter), respectively (21).

PfCRT was identified in 2000 to be one of the main driving forces of CQ resistance (20). Its underlying gene *pfCRT* is highly polymorphic, with up to 20 codon variations known leading to altered amino acid sequences (22). The most important mutation conferring CQ resistance is K76T, despite its inability to confer resistance alone. According to the charged drug leak hypothesis, though, the K76T mutation introduces with threonine an uncharged amino acid, removing a positive charge (carried by lysine), which allows the double positively charged CQ to exit down its concentration

gradient (23). Apart from its role in resistance mechanism, field studies corroborate the significance of K76T in determining clinical outcomes (3). Although not solely responsible for CQ resistance, PfCRT plays a predominant role, along with other proteins such as the aforementioned PfMDR-1, the Na⁺/H⁺ exchanger1 (PfNHE1) and PfMRP1 (3, 24, 25).

The membrane-associated transporter PfMDR1 imports nutrients into the FV but also transports hydrophobic compounds in the opposite direction. Mutations and copy number variations of PfMDR1 are mainly connected to MQ, HF, LMF, and QN resistance. In this context, the most abundant amino acid change is N86Y (3). Located at the parasite plasma membrane, PfMRP1 promotes efflux of CQ and QN, among other molecules such as glutathione, from the parasite. Woodland and colleagues recently showed binding of CQ and QN to PfMRP1 correlating resistance to mutations in the transporter (15). Some studies suggest a role for the putative Na⁺/H⁺ exchanger PfNHE1, which might be localized to the FV membrane. Potentially involved in maintaining the physiology of the FV it can affect QN susceptibility (3, 20).

As already described, resistance patterns and mechanisms are quite complex since different polymorphisms of several genes/proteins, especially PfCRT and PfMDR, interact. In addition, even identical haplotypes can exhibit fluctuating levels of CQ resistance, which were linked to further genes (26). To complicate the molecular interplay even more, clinical studies revealed that mutations in PfCRT also influence the expression of up to 45 unrelated genes, whose roles in the overall resistance could not be determined yet (27). This might be the parasite's response to cover the fitness loss accompanied by PfCRT mutations (20).

CQ AS AN ANTI-SARS-COV-2 DRUG

Potential Mechanism of Action in Mammalian Cells

Malaria is a threat to human mankind and kills about half a million people every year. On the other hand, COVID-19 resulted in several hundred thousand deaths since December 2019 and remains without any efficient and safe treatment. The antimalarials CQ and its analog HCQ have been tested for COVID-19 treatment. The first evidence that they might present anti-SARS-CoV-2 effects came from an *in vitro* assay (6). Since then, several mechanistic studies and clinical trials have been performed around the world.

In mechanistic studies with mammalian cells infected with different viruses, CQ has presented several effects, including prevention of autophagy (28), neutralization of acidic compartments, such as lysosomes and endosomes, diminished endocytosis [by reducing phosphatidylinositol binding clathrin assembly protein (PICALM) expression] (29), and by acting as zinc ionophore facilitating extracellular zinc influx, which inhibits RNA polymerase (30). Another mechanism may involve inhibition of virion assembly in endoplasmic reticulum-Golgi intermediate compartment (ERGIC)-like structures (29). In fact, in the past, CQ was tested against several viruses, including the coronaviruses that cause severe acute respiratory syndrome (SARS) and Middle

Eastern respiratory syndrome (MERS), and demonstrated important antiviral effects *in vitro* (31–33). However, until today, no therapeutic effects have been observed in humans (31).

The global pandemics caused by the coronavirus SARS-CoV-2 has led to an urgent search for strategies of inhibiting invasion, replication, and dissemination of the virus within the human organism. During cellular invasion, the SARS-CoV-2-spike protein has been in the focus, since it enables the virus to invade cells through various mechanisms. Experimental evidence has been collected and demonstrated that SARS-CoV-2 invades host cells via two main receptors: CD147 (reviewed by Ulrich and Pillat (34)) and the angiotensin converting enzyme 2 (ACE2) (35). Fantini and co-workers also identified a ganglioside-binding domain at the N-terminal site of the SARS-CoV-2 spike protein, which would bind to host cell surface gangliosides based on electrostatic and other noncovalent interactions (36). Within the compounds suggested for SARS-CoV-2, are CQ and HCQ (37), which had been already extensively studied for prevention and therapy of malaria. We discuss here common and different invasion methods of the two pathogens, and which tools cytometry provides for studying such mechanisms, based on an updated evidence collected on June 25, 2020 from data sources: PubMed (via MEDLINE), Scopus, bioRxiv, Preprints, ClinicalTrials.gov and World Health Organization. The work by Fantini and co-workers (36) suggests that CQ and HCQ would have domains, which compete with SARS-CoV-2 for host cell ganglioside binding and thereby prevent host cell infection. Devaux and co-workers reviewed that CQ interferes with several processes, including posttranslational modifications and biosynthesis of carbohydrates, such as sialic acid (38). Sialic acid biosynthesis involves action of quinone reductase 2 (39), which possibly might be inhibited by CQ (38, 40). Further, ACE2 glycosylation might be impaired. Due to changes in its glycosylation status, ACE2 subsequently is not anymore recognized as cellular SARS-CoV-2 receptor (41). Mechanisms depending on endosome alkalization were also described, in which the weak base CQ prevents acidification of the endosome. Under this condition, cleavage of the viral envelope and liberation of the viral gene into the cell cytoplasm would not occur (reviewed in reference (42)). Further, infection by SARS-CoV-2 induces high levels of pro-inflammatory cytokines TNF α , IL-1 β , IL-6, IL-8 and IL-17A in patients with COVID-19 (43, 44), providing possible targets for CQ treatment. This drug affects the immune response by turning pro-inflammatory features toward an anti-inflammatory action by reducing the overproduction of TNF α and expression of TNF α receptors, as shown for SARS-CoV infection of the human monocytes (9), IL-6 detection in autopsy tissues of SARS-CoV patients (45) and in the plasma of SARS-CoV-2 patients (46). Half maximal effective concentrations (EC50) of CQ and HQ against SARS-CoV-2, observed in studies *in vitro*, match possibly achievable tissue concentrations (6, 32, 41, 47, 48). Taken together, these results turned CQ and HCQ into attractive treatment options for SARS-CoV-2 infections. However, in the next sections, we

will discuss possible toxic mechanisms of CQ and HCQ in SARS-CoV-2 infection and the latest clinical evidences for potential harms and benefits of these drugs.

Mechanism of Action of CQ or HCQ on the RAS: Implications for the Cardiorespiratory System

Actions of CQ and HCQ on the renin angiotensin system (RAS) may explain beneficial effects *in vitro* and possible undesired side effects in humans during treatment of COVID-19. RAS is a crucial component in the regulation of several tissues and organ functionality, playing a central role in blood pressure and fluid-electrolyte homeostasis, and also in processes of inflammation and fibrosis (49, 50). RAS is controlled by three major enzymes: (I) renin that cleaves angiotensinogen to originate angiotensin I (Ang I); (II) angiotensin-converting enzyme (ACE) converting Ang I into angiotensin II (Ang II), whose actions are mediated by Ang II receptor type 1 (AT1R) and Ang II receptor type 2 (AT2R); (III) angiotensin-converting enzyme 2 (ACE2), which hydrolyzes Ang II into Angiotensin-(1-7) (Ang 1-7) that exerts its biological function through the Mas receptor (Mas R) (49, 51). Then, the activity of ACE elevates Ang II concentration, whereas ACE2 catalyzes the cleavage of Ang II into Ang 1-7, characterizing the pressor axis (ACE/Ang II/AT1R) and the depressor axis (ACE2/Ang 1-7/Mas R) (52), respectively. Alterations of activity and/or expression of one of these components cause an imbalance of RAS, hence, inducing cardiorespiratory problems.

ACE2, one of the main components of RAS, is also the invasion receptor for SARS-CoV-2, which bound to this enzyme enters the cell mainly through endocytosis, promoting loss of ACE2 function (53, 54). Low ACE2 activity increases the ACE/Ang II/AT1R pressor axis at the expense of the depressor ACE2/Ang1-7/Mas R axis, rising the concentration of Ang II and reducing the Ang 1-7 concentration. Ang II binding to the AT1R stimulates blood pressure increase, vascular permeability, inflammatory cells into tissues and cytokine production (55). Furthermore, activation of NAD(P)H oxidase, stimulated by AT1R activation, produces ROS, mitochondrial dysfunction, and cellular injury (56, 57). These pathophysiological changes could alter lung parenchyma, favoring acute respiratory lung distress (ARDS) observed in patients with COVID-19 (58–60). On the other hand, Ang 1-7 effects are opposite to those attributed to Ang II. Ang 1-7 induces nitric oxide production and decreases oxidative stress, which drives cardioprotective effects, improving heart function, preventing heart and vasculature remodeling, and protects against cardiac arrhythmias (61–63). In addition, Ang 1-7 downregulates leucocyte infiltration, proinflammatory cytokine production (TNF α , IL-1 β and IL-6) and fibrosis, besides upregulating production of the anti-inflammatory cytokine IL-10. Taken together, Ang 1-7 attenuates inflammatory status (49, 54, 64).

Hence, ACE2 exhibits a controversial scenario in COVID-19. While it enables viral entry, ACE2 can also protect the lung from SARS-CoV-2-induced injury (64). ACE2 may reduce inflammation by decreasing of the Ang II/Ang

1–7 ratio because of its enzyme activity (50, 65). Many efforts, including HCQ studies, are being made to find a way to prevent viral invasion or replication. In this context, it is known that sialic acid biosynthesis involves action of quinone reductase 2 (39), which possibly might be inhibited by HCQ (38, 40). Further, ACE2 glycosylation might be impaired. Variations in ACE2 glycosylation status might prevent ACE2-SARS-CoV-2 interaction, inhibiting viral invasion (41, 66).

However, up to now, there is no favorable scientific evidence to support the use of any dose of CQ and HCQ in patients with COVID-19 (67, 68). In contrast, there are studies that suggest potential harm of patients infected with SARS-CoV-2 by HCQ treatment, which may be associated with a significant occurrence of ventricular arrhythmias (69) and increased risk of QT prolongation (69, 70). ACE2 is expressed in similar quantities at the cell surface in absence or presence of treatment with CQ; however, impaired glycosylation might reduce ACE2 activity (71). Although enzyme activity is not relevant for virus infection, it is extremely important for Ang II conversion into Ang 1–7 that is a physiological antiarrhythmic agent (63). COVID-19 patients present downregulation of ACE2 expression in the plasmatic membrane interaction with SARS-CoV2. We hypothesized that the loss of ACE2 functions corroborates to CQ-impaired ACE2 glycosylation, leading to arrhythmia, increase of oxidative stress, vascular permeability and fibrosis, as well as to proinflammatory cytokine production (Fig. 2). All these consequences may be attributed to the imbalance of RAS, due to the increase of the pressor axis, aggravating ARDS and elevating death risk of COVID-19 patients.

CQ or HCQ for the Prophylaxis or Treatment of COVID-19?

CQ and HCQ pharmacokinetics shows large distribution together with slow elimination from the body, enabling toxic effects of this drug (72). HCQ has one hydroxyl group more than CQ and is associated with a lower incidence of adverse effects with chronic use (73). A randomized double-masked clinical trial assessed, for the first time, low dosages of CQ (high dosage: 600 mg twice daily for 10 days; low dosage: 450 mg twice on day 1 and once daily for 4 days) in patients with severe COVID-19 (67). They did not observe any apparent benefit of CQ regarding lethality of enrolled patients, but they suggested that higher dosages of CQ should not be recommended for treatment of severe COVID-19, because of safety concerns regarding QTc interval prolongation, favoring fatal arrhythmias (67).

Several HCQ trials for COVID-19 treatment have been conducted around the world, evaluating the maximum dose of 600 mg. Some of these studies observed promising results in the therapy against SARS-CoV-2 (38, 47, 48, 74, 75), while others revealed no therapeutic effects in COVID-19 (67, 76). A systematic review of these small studies concluded that results are conflicting and there is insufficient evidence about HCQ-induced effects in COVID-19 (68). Outcomes as

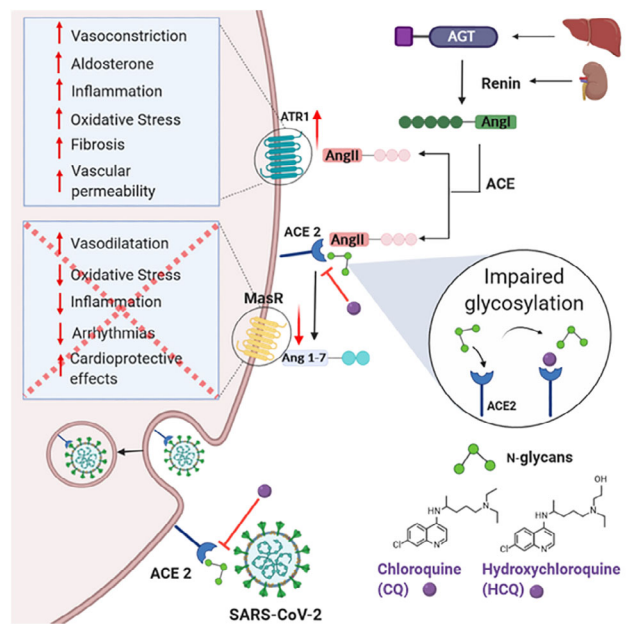


Figure 2. Interference of chloroquine and hydroxychloroquine in the renin-angiotensin system (RAS). Angiotensinogen, produced in the liver, is cleaved by the renin protease produced in the kidney. Cleavage of Ang I by ACE produces the active octapeptide Ang II that acts via the AT1R, inducing vasoconstriction, production of aldosterone, increased inflammation, oxidative stress, fibrosis, and vascular permeability. Ang II levels are regulated by ACE2 that cleaves Ang II and produces Ang 1–7, a heptapeptide that acts via the Mas receptor, inducing vasodilation and cardioprotective effects, while decreasing oxidative stress, inflammation and arrhythmias. Expression of ACE2, the SARS-CoV-2 cell receptor, is decreased by the endocytosis process that allows viral entry. CQ and HCQ inhibit viral entry by impairing terminal glycosylation of ACE2, which may reduce enzyme activity, elevating Ang II concentration and favoring the pressor axis. CQ, chloroquine; HCQ, hydroxychloroquine; AGT, angiotensinogen; Ang I, angiotensin I; Ang II, angiotensin II; ACE, angiotensin-converting enzyme; ACE2, angiotensin-converting enzyme 2; AT1R, Ang II receptor type 1; MasR, Mas receptor. [Color figure can be viewed at wileyonlinelibrary.com]

mortality, progression of disease, symptoms, and viral load were evaluated (68).

In this context of conflicting and insufficient evidence, data from the largest trial, entitled “Randomised Evaluation of COVID-19 Therapy” (RECOVERY), did not reveal any meaningful reduced mortality of hospitalized patients treated with HCQ (ClinicalTrials.gov Identifier: NCT04381936; (77, 78)). In this study, 25.7% of hospitalized patients treated with HCQ died compared to 23.5% of patients, who had usual care alone (endpoint of 28 days; 1,542 patients treated with HCQ vs. 3,132 control patients; hazard ratio 1.11 [95% confidence interval 0.98–1.26]). These preliminary results of the RECOVERY trial demonstrated that HQC did not evoke any beneficial effects in patients hospitalized with COVID-19. Thus, hereupon, the RECOVERY, as well as, randomized worldwide clinical trial launched by the WHO, called “Treatments for COVID-19: Canadian Arm of the SOLIDARITY Trial,” decided to stop enrolling participants to the HCQ and CQ

arms ((79); ClinicalTrials.gov Identifier: NCT04330690). These data demonstrated the importance of large and randomized trials to provide accurate results about the efficacy and the safety of therapies.

Large randomized trials of HCQ have also been conducted to evaluate prophylaxis for COVID-19. The study entitled “Treatment of Non-severe Confirmed Cases of COVID-19 and Chemoprophylaxis of Their Contacts as Prevention Strategy: a Cluster Randomized Clinical Trial (PEP CoV-2 Study)” randomized more than 2,300 asymptomatic subjects, and no significant difference in progression of severe disease was observed between HCQ and control groups (ClinicalTrials.gov Identifier: NCT04304053; (77)). Similar results were also observed by Boulware and co-workers with 821 asymptomatic participants receiving HCQ or placebo within 4 days after exposure ((80); ClinicalTrials.gov number, NCT04308668). The incidence of severe disease did not differ significantly between patients treated with HCQ (11.8%) and those treated with placebo (14.3%). On the other hand, the mild and medium side effects were more frequent in the HCQ group than in the placebo group (40.1% vs. 16.8%). Serious side effects were not identified ((80); ClinicalTrials.gov number, NCT04308668).

There are studies suggesting, based on preliminary evidence, that HCQ might increase the risk of adverse events in COVID-19 patients. Some clinical trials suggest increased risk of QT prolongation (69, 70) and elevated frequency of arrhythmias in patients receiving HCQ compared to control subjects (16% vs. 10%) (69). However, large studies did not reveal any serious harm signals in patients treated or not with HCQ during SARS-CoV-2 infection (80–82). Finally, it is important to highlight that possible adverse effects of CQ accumulation including macular eye disease and cardiomyopathy should not be neglected (38, 83).

Cytometry Applications for Studying Molecular Interactions of Coronavirus Infection and Pathology

Cytometry applications focus at the investigation of virus–cell surface interactions as well as at determination of viral load to study efficiencies of drug and vaccine candidates. This is important for any functional study and screening of drug candidates, which might interfere with SARS-CoV-2 infection. For instance, culture media from Expi293F cells were collected, which secreted the SARS-CoV-2 recognition binding domain (RBD) fused to superfolder GFP (sfGFP), incubated with serial dilutions of Expi293F cells expressing myc-tagged ACE2 and then analyzed by flow cytometry (84). This flow cytometry assay measuring ACE-2 expression by detection with an anti-myc Alexa 647-coupled antibody versus RBD-sfGFP allowed the screening of 30 single amino acid mutations of the RBD sequence. The T92Q substitution removing the N90 glycan increased the binding fluorescence signal, showing that this assay besides overall gross binding analysis would be able to detect small alterations in the ACE-2 glycan surface coat as consequence of HCQ or CQ action (84).

Cell-to-cell fusion assays were established for studying virus–host cell interactions without the need of the infectious virus (85). For these assays, a cell line expressing the EGFP-fused SARS-CoV spike protein, recombinantly expressed by Vero E6 cells, and a second cell line, which expresses the virus entry receptor, for instance, ACE2, can be used. An advance of this technique was obtained by Sha et al., who developed a double fluorescence label assay, in which they transfected COS-7 cells with a plasmid encoding the SARS-CoV spike protein or ACE2 (86). Following selection of transfectants, recombinant SARS-CoV spike protein expressing cells were transfected with a pDsRed2-ER vector, while the ACE2 receptor expression was visualized by using an EGFP-coding vector. Following co-culture of SARS-CoV spike protein-red fluorescence and ACE2 green fluorescence labeled cells, cell fusion occurred and multinucleated syncytia with yellow fluorescence were detected by fluorescence microscopy. Efficiency of viral protein-provoked cell fusion was quantified by flow cytometry analysis (86).

For studying cell surface protein interactions between the receptor-binding domain (RBD) of the SARS-CoV spike protein-fused to human Fc domain (RBD-Fc) and ACE2, image cytometry was also employed (87). The authors of this work also found that the spike protein RBD was internalized together with ACE2, and that removal of *N*-linked glycosylation of the RBD did not have any effect on ACE2 internalization. Similarly, Wang and co-workers evaluated antibody interference of spike binding to ACE2 receptor by flow cytometry (88) (Fig. 3). In order to evaluate, whether antibodies from immunized mouse bind to RBD of SARS-CoV-2 spike, RBD-Fc molecules were preincubated with these immunoglobulins. After that, incubation mixtures were added to cells expressing ACE2-GFP and Alexa Fluor 594 conjugated goat anti-human IgG antibodies. The antibody entitled 47D11 interfered with spike/ACE2 interaction and only single-positive cells were observed (88) (Fig. 3). The influence of other drugs, such as CQ and HCQ, in the spike/ACE2 interaction can also be evaluated by similar methods. Moreover, expression of the SARS-CoV GFP-fused 7a protein in HEK 293 cells led to inhibition of host cellular DNA synthesis and accumulation of cells in the G0/G1 phase, as studied by confocal scanning fluorescence and epifluorescence microscopy (89).

The study of endocytic and autophagic pathways, to obtain data regarding possible treatments of SARS-CoV-2 by HCQ or CQ (90), is also a promising cytometry application. CQ, HCQ and other potential anti-SARS-CoV-2 drugs, as corticosteroids, emtricitabine/tenofovir, interferon α -2b, lopinavir/ritonavir and ruxolitinib, decrease autophagy by several mechanisms, including the inhibition of autophagosome fusion with lysosomes (91–96). This inhibition triggers autophagosome accumulation that can be evidenced by high LC3-II levels (high MFI in flow cytometry and high number of LC3-II⁺ points in image cytometry) (Fig. 3). In an *in vitro* study, Liu et al. infected Vero E6 cells with SARS CoV-2 in the presence of increasing concentrations of CQ and CHQ and determined the effectivity of HCQ

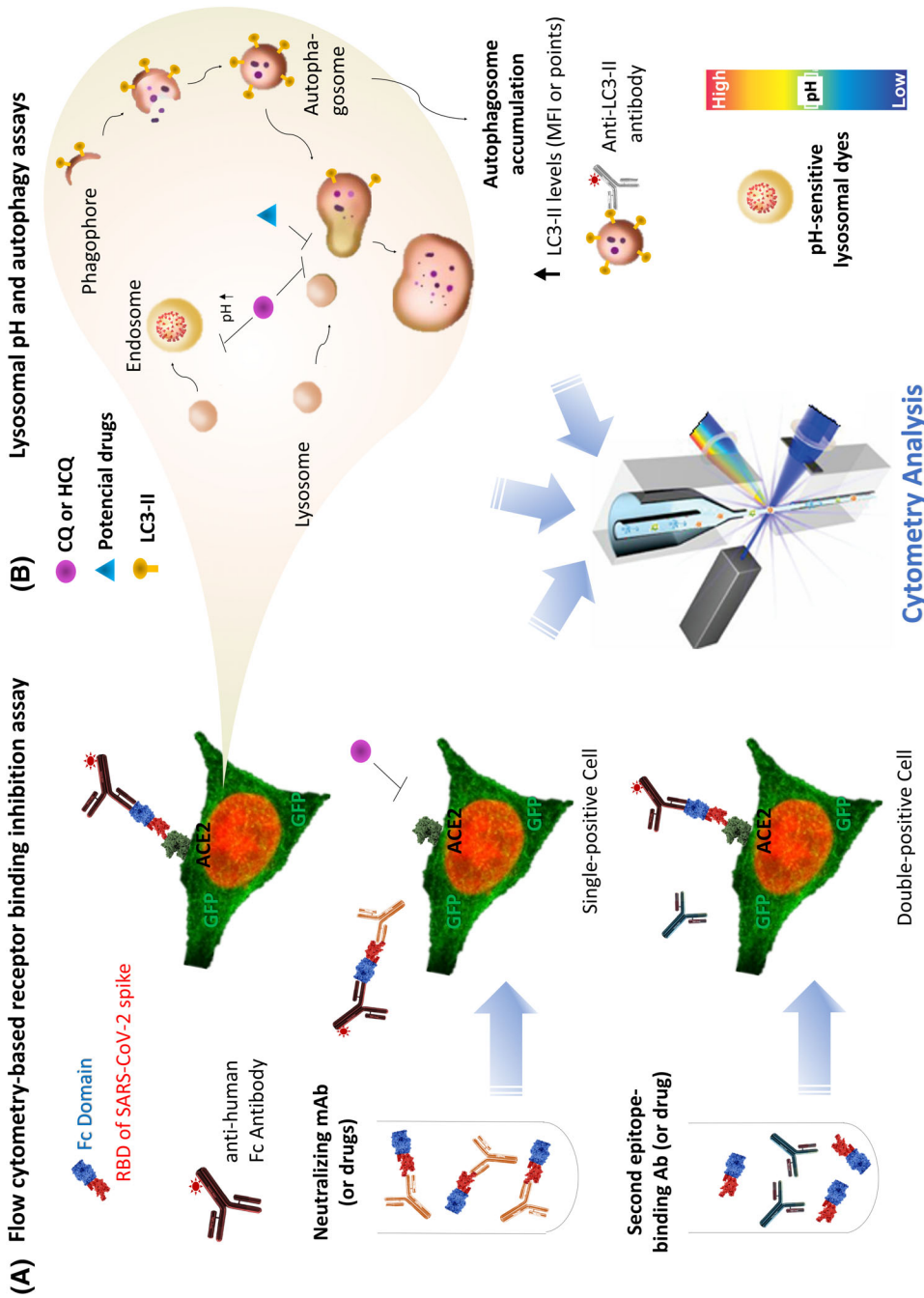


Figure 3. Cytometry applications for studying molecular interactions of coronavirus infection and pathology. **(A)** Antibody or drug interference of spike binding to ACE2 receptor can be evaluated by flow cytometry. The spike ectodomain is tagged to the human Fc domain (spike-Fc), while ACE2 receptor expression was visualized by using a GFP-coding vector. To study, whether drugs or monoclonal antibodies (mAbs) bind to the spike protein, these molecules can be preincubated with spike-Fc to form complexes. After that, this mix can be incubated with cells expressing ACE2-GFP and goat anti-human IgG antibodies conjugated with fluorophore. If the tested antibody or drug interferes with spike/ACE2 interaction, only single-positive cells will be observed in cytometry analysis. The influence of CQ and HCQ on spike/ACE2 interaction can also be evaluated by similar methods. **(B)** The influence of SARS-CoV-2 and possible treatments on lysosomal pH and autophagy can be investigated by cytometry analysis. CQ and HCQ, for example, may increase the endosomal and lysosomal pH, as evidenced by pH-sensitive dyes. Moreover, CQ, HCQ and other potential anti-SARS-CoV-2 drugs (corticosteroids, emtricitabine/tenofovir, interferon α -2b, lopinavir/ritonavir and ruxolitinib) decrease autophagy by several mechanisms, including the inhibition of autophagosome fusion with lysosomes. This inhibition triggers autophagosome accumulation evidenced by high LC3-II levels (detected as high MFI in flow cytometry and high number of LC3-II⁺ points in image cytometry). Receptor binding domain, RBD; Ab, antibody; mAb, mouse antibody; MFI, median fluorescence intensity; CQ, chloroquine; HCQ, hydroxychloroquine; ACE2, angiotensin-converting enzyme 2; LC3-II, microtubule-associated protein 1 light chain 3-II. [Color figure can be viewed at wileyonlinelibrary.com]

and CQ against SARS CoV-2 infection using an immunofluorescence assay against the virus nucleoprotein (6).

Effects of CQ and derivatives on the endosomal pH possibly can also be evaluated using imaging with pH-sensitive fluorescence dyes coupled to transferrin. Endocytosis of the complex formed by transferrin and its receptor and subsequent endocytic trafficking (97) will take the dye into the endosomes, enabling pH measurements in this organelle. In this context, endosome pH measurements, following conjugation of both rhodamine and fluorescein can be performed, by using flow or imaging cytometry, to determine the ratio of pH-sensitive fluorescein over pH-insensitive rhodamine fluorescence emissions (98). Another strategy of using nanoparticles for delivery of pH-sensitive fluorophores into endosomes was described by Benjaminsen and co-workers (99).

Cytometry applications, with focus on antibody-enhancement (ADE) of SARS-CoV2 infection are discussed in a recent paper of our group (100). Severe COVID-19 is associated with a cytokine storm as well as depletion of CD8⁺ cells, increased numbers of neutrophils and lymphopenia as SARS-CoV-2 prognostics (101). Cossarizza and co-workers (102) used flow cytometry for studying changes of lymphocyte subsets in patients with severe SARS-CoV-2, such as a decrease in T-cell frequency together with an increase in the number of naive helper T-cells and a reduction in the number of memory T-cells, confirming previous results of Qin and co-workers (103). ARDS as complication of SARS-CoV-2, possibly involving ACE-2 dysfunction, can be also assessed by flow cytometry analysis of Treg cell phenotypes (104). CyTOF assays were used to determine signatures of the immune system in the COVID-19 peripheral blood (105) showing immunological dysregulation with diminished T and NK cell numbers, while expression of CXCR3, CD28, and TGF- β augmented. As shown above, alterations in the counts of immune cells and dysbalanced cytokine release, measured by cytometry, are important for the understanding of the mechanisms of disease progression. The inflammation marker NLR given as the neutrophil over lymphocyte count has gained importance for SARS-CoV-2 disease development (106), as shown before for cardiovascular disease prognostics (107).

Overall, cytometry is important for different fields of COVID-19, from understanding the binding mechanism of SARS-CoV-2 to the definition of the immune status, vaccine development, and diagnostics for prognostics of disease severity. These parameters can be determined under conditions of HCQ or CQ treatment or treatment with another drug and provide a forecast of therapeutic efficiency. Therefore, future perspectives in cytometry applications in SARS-CoV-2 research will focus on multiplex immunophenotyping of infection rates and infected cell subtypes, analyses of cytokine production in single-cells and in serum through the cytometric bead arrays and routine screening for drug and neutralizing antibody efficacies and undesired side effects, such as ADE. In this context, the involvement of innate lymphoid cells (ILCs), with ILC1, ILC2, and ILC3 profiles, in SARS-CoV-2 infection is unknown and requires futures

cytometry experiments (107, 108). The ILC sorting from blood of infected subjects, enrichment of these populations, followed by several analyzes of function or sequencing single cells are required, since these lymphoid cells are closely involved in pulmonary disease. Moreover, the utilization of recombinant proteins of virus, such as spike RBD (Fc-Tag), spike N-terminal domains (NTD; Fc-Tag), or cofactor of viral RNA polymerase (Fc-Tag), can also be widely used in flow cytometry experiments, in other to test neutralizing-antibodies, treatments or mechanisms for SARS-CoV-2 without requiring biosafety laboratories.

Taking together, data from the large randomized trials support the conclusion that CQ and HCQ do not provide any clinical improvement in disease severity and progression in SARS-CoV-2 patients, as well as, do not present any solid evidence of increased serious side effects (109). In this way, QH or HCQ administration in patients with SARS-CoV-2 is only recommended in the context of clinical trials. On the other hand, thus drugs are safe and effective antimalarial agents.

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Micheli Pillat: Conceptualization; writing-original draft; writing-review and editing. **Arne Krüger:** Conceptualization; writing-original draft; writing-review and editing. **Lara Guimarães:** Writing-original draft; writing-review and editing. **Claudiana Lameu:** Conceptualization; funding acquisition; supervision; writing-original draft; writing-review and editing. **Edmarcia de Souza:** Writing-original draft; writing-review and editing. **Carsten Wrenger:** Conceptualization; funding acquisition; supervision; writing-original draft; writing-review and editing. **Henning Ulrich:** Conceptualization; funding acquisition; supervision; writing-original draft; writing-review and editing.

CONFLICTS OF INTEREST

The authors declare that there are no conflicts of interest regarding the publication of this paper.

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