



Oxidative Stress-Related Genetic Polymorphisms Are Associated with the Prognosis of Metastatic Gastric Cancer Patients Treated with Epirubicin, Oxaliplatin and 5-Fluorouracil Combination Chemotherapy

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Abstract

Background: Oxidative stress genes are related to cancer development and treatment response. In this study, we aimed to determine the predictive and prognostic roles of oxidative stress-related genetic polymorphisms in metastatic gastric cancer (MGC) patients treated with chemotherapy.

Methods: In this retrospective study, we genotyped nine oxidative stress-related single nucleotide polymorphisms (SNPs) in NQO1, SOD2, SOD3, PON1, GSTP1, GSTT1, and NOS3 (rs1800566, rs10517, rs4880, rs1799895, rs662, rs854560, rs1695, rs2266637, rs1799983, respectively) in 108 consecutive MGC patients treated with epirubicin, oxaliplatin, and 5-fluorouracil (EOF) regimen as the first-line chemotherapy and analyzed the association between the genotypes and the disease control rate (DCR), progression-free survival (PFS), and overall survival (OS).

Results: We found that, in addition to a lower pathological grade (p=0.017), NQO1 rs1800566 CT/TT genotype was an independent predictive factor of poor PFS (hazard ratio [HR]=1.97, 95% confidence interval [CI]=1.23–3.16; p=0.005). PON1 rs662 AA/AG genotype was significantly associated with poor OS



(HR=1.95, 95% CI=1.07–3.54; p=0.029). No associations were detected between the nine SNPs and DCR.

Conclusions: NQO1 rs1800566 is an independent predictive factor of PFS for MGC patients treated with EOF chemotherapy, and *PON1* rs662 is a noteworthy prognostic factor of OS. Information on oxidative stress-related genetic variants may facilitate optimization of individualized chemotherapy in clinical practice.

Background

Gastric cancer is the fourth most common malignant tumors in the world [1]. Metastatic gastric cancer (MGC) has a poor median overall survival (OS) of only 3–5 months when treated with the best supportive care [2]. Systemic chemotherapy is commonly recommended as a fundamental treatment for MGC [3]. Epirubicin, cisplatin, and 5-fluorouracil (5-FU) (ECF) regimen (or its modifications) is a popular and effective first-line treatment for MGC patients. However, only <50% patients are responders and a relative large proportion of patient population do not benefit from this intensive treatment. Therefore, the key issue here is the manner in which to predict the efficacy of chemotherapy and to identify the responders. Thus, it is important to search for convenient-to-use and efficient biomarkers that can predict the response to the therapy and, thereby, the prognosis of the patients.

Oxidative stress plays roles in both carcinogenesis and tumor suppression. The low to moderate levels of reactive oxygen species (ROS) promote tumor growth due to accumulation of mutations, while high dose of ROS cause cancer cell deaths [4]. Epirubicin, oxaliplatin, and 5-FU, the three components of EOF regimen (one type of ECF modifications), generate ROS in their respective metabolic processes, thereby promoting their anticancer effects [5]. Epirubicin intercalates into the DNA and RNA strands, thus prevents synthesis and replication of nucleic acids. ROS generated by epirubicin in mitochondrial respiratory chain or mediated by iron, are regarded as a cause of cardiotoxicity [6]. Oxaliplatin kills cancer cells by formatting platinum-DNA adducts that disrupt DNA replication and transcription by changing the helical structure of DNA. Moreover, the formation of platinum-GSH conjugates results in increased levels of ROS [7]. The anticancer activity of 5-FU is based on its active metabolites, fluorodeoxyuridine triphosphate (FdUTP), fluorodeoxyuridine monophosphate (FdUMP), and fluorouridine triphosphate (FUTP), which inhibit thymidylate synthetase and RNA synthesis [8]. It can also induce mitochondrial ROS generation by a p53-dependent pathway [9]. Antioxidant enzymes such as nicotinamide adenine dinucleotide phosphate (NADPH) quinone oxidoreductase 1 (NQO1), superoxide dismutases (SODs), paraoxonase 1 (PON1), and glutathione S-transferases (GSTs), which are commonly considered as protective



agents, may consequently contribute to both body defense and chemotherapy resistance.

NQO1 catalyzes the conversion of quinone to hydroquinone and detoxifies metabolites generated from chemotherapeutic drugs, thus alleviating oxidative stress damage in cells [$\underline{10}$]. Recent studies reported association of NQO1 Pro187Ser polymorphism (rs1800566) with susceptibility to cancers such as gastric and breast cancers [$\underline{11}$, $\underline{12}$] as well as with modification of the prognosis of breast cancer treated with anthracycline-based adjuvant chemotherapy [$\underline{13}$]. Few studies have been performed on NQO1 rs10517.

Manganese SOD (MnSOD, SOD2) and extracellular SOD (EcSOD, SOD3) are members of the SOD family, which reduce dismutation of superoxide into oxygen and hydrogen peroxide and thereby prevent cells against oxidative stress. *SOD2* Val16Ala (rs4880) and *SOD3* Arg231Gly (rs1799895) polymorphisms are pathogenic as they induce disturbance in the MnSOD activities and elevate the level of serum EcSOD [14, 15].

PON1 is an antioxidant enzyme that hydrolyses paraoxon [16]. Two common functional genetic polymorphisms, Leu55Met (rs854560) and Gln192Arg (rs662), regulate the serum level and activity of PON [16, 17]. Both the variants are significantly associated with breast and epithelial ovarian cancer vulnerability [18, 19].

GSTs catalyze the conjugation of glutathione to xenobiotics to form glutathione disulfide for the purpose of cell protection [20]. GSTs can also induce drug resistance. Frequent genetic variant of *GSTP1* Ile105Val (rs1695) and *GSTT1*-null have been indicated to be relevant to the response of anthracycline-based chemotherapy in breast cancer patients [21]. Whether the response connects to another common variant Val169Ile in *GSTT1* (rs2266637) remains to be clarified.

In addition to the above-mentioned antioxidant enzymes, an oxidative stress-related gene, *NOS3*, is an oxidative stress inducer as well as an angiogenesis promoter [22]. It encodes endothelial nitric oxide synthase (eNOS), which converts L-arginine, NADPH, and oxygen into nitric oxide (NO) [23]. A polymorphism in *NOS3*, Asp298Glu (rs1799983), has been linked to the risk of cancers such as colon cancer [24] and bladder cancer [25].

This retrospective analysis was performed to investigate the influence of nine oxidative stress-related genetic polymorphisms (rs1800566, rs10517, rs4880, rs1799895, rs662, rs854560, rs1695, rs2266637, rs1799983) toward predicting the response and prognosis of MGC patients treated with the EOF regimen.

Methods

Study population

The present retrospective study analyzed the clinical outcomes of 108 consecutive Chinese Han MGC patients treated with EOF as the first-line chemotherapy between May 2009 and June 2012 at the Fudan University Shanghai Cancer Center. All the patients were pathologically diagnosed with gastric cancer and had



confirmed metastasis by computed tomography (CT) or magnetic resonance imaging (MRI). Each patient had at least one measurable lesion involved. The patients received EOF treatment comprising of an intravenous infusion of 50 mg/ m² epirubicin with a 2-h intravenous infusion of 130 mg/m² oxaliplatin on day 1, followed by a 24-h continuous infusion of 375-425 mg/m²/day 5-FU from day 1 to 5. The treatment was given every 3 weeks until disease progression, unacceptable toxicity, or withdrawal at patient's will or doctor's discretion. For patients whose lesions continued to shrink after six cycles with good tolerability, 1–2 extra cycles of the treatment were recommended; otherwise, oral FU administration or follow-up was recommended as applicable. The tumor responses were evaluated according to the response evaluation criteria in solid tumors (RECIST) 1.0 guideline every 6 weeks. The patients with complete remission (CR), partial remission (PR), and stable disease (SD) were considered as "controlled." The patients with progressive disease (PD) were considered as "uncontrolled." The study was performed in compliance with the principles of the Helsinki Accords and approved by Ethics Committee of Fudan University Shanghai Cancer Center. All patients provided signed informed consent for providing the blood samples to the tissue bank of the Fudan University Shanghai Cancer Center before the start of the treatment.

Genotyping

We selected and genotyped nine single nucleotide polymorphisms (SNPs) at seven oxidative stress-related genes from NCBI dbSNP database (http://www.ncbi.nlm.nih.gov/SNP/): NQO1 (rs1800566, rs10517), SOD2 (rs4880), SOD3 (rs1799895), PON1 (rs662, rs854560), GSTP1 (rs1695), GSTT1 (rs2266637), and NOS3 (rs1799983). Genomic DNA was extracted from the whole blood by the standard phenol-chloroform method with AxyPrep Blood Genomic DNA Miniprep Kit (Axygen Scientific, Inc.). All SNPs were genotyped by the TaqMan assay method by using the ABI 7900 DNA Detection System (Applied Biosystems, Foster City, California). All probes and primers were designed by the Assay-on-Design service of Applied Biosystems. The standard polymerase chain reaction (PCR) was performed by using the Taqman Universal PCR Master Mix reagent (Applied Biosystems).

Statistical analysis

Deviations from the Hardy–Weinberg equilibrium, genotype distributions and allele frequencies, haplotype analysis, and pairwise linkage disequilibrium (LD) were calculated with an online software, SHEsis (http://analysis.bio-x.cn/myAnalysis.php) [26]. The LD of all pairs of SNPs within each gene was tested with D' and R². Comparisons of the allele and genotype frequencies between the controlled and uncontrolled patients, as well as the relationship between the genotypes and clinicopathological features were based on chi-square or Fisher's exact probability tests. We used the SPSS software package (version 15.0; SPSS,



Chicago, IL, USA) for the analysis of progression-free survival (PFS) and OS by the Kaplan–Meier method and log-rank test. Risk factors at p<0.1 were further analyzed as covariates in a multivariate Cox regression model. All p values were two-tailed; p<0.05 was considered statistically significant.

Results

Study population characteristics

Blood samples of all 108 patients were collected before the patients received EOF chemotherapy. Each patient had at least one unresectable lesion, including 36 (33.3%) patients with liver metastasis, 7 (6.5%) with lung metastasis, 31 (28.7%) with ascites, 9 (8.3%) with pleural effusion, and 63 (58.3%) with retroperitoneal lymph node invasion. Among these, 5 (4.6%), 8 (7.4%), and 95 (88.0%) patients had 1, 2, and \geq 3 lesions. After EOF treatment, 1 (0.9%), 41 (38.0%), 47 (43.5%), and 19 (17.6%) patients were evaluated as CR, PR, SD, and PD, respectively. 82.4% patients achieved disease control (CR+PR+SD). Age, gender, Eastern Cooperative Oncology Group (ECOG) score, pathological grade, presence/ absence of synchronous metastasis, primary lesion, and the number of lesions showed no significant difference between the controlled and uncontrolled groups (p>0.05).

Alleles, genotypes, clinicopathological features and disease control rate (DCR)

Seven oxidative stress-related genes (*NQO1*, *SOD2*, *SOD3*, *PON1*, *GSTP1*, *GSTT1*, *NOS3*) and nine SNPs (rs1800566, rs10517, rs4880, rs1799895, rs662, rs854560, rs1695, rs2266637, rs1799983) analyzed in our study are listed under Table 1. Eight SNPs were located in the coding regions, except rs10517 in the 3' untranslated region (UTR). The minor allele frequency (MAF) of each SNP in the Han population was >0.03 according to the HapMap database (lack of data: rs1799895 and rs2266637). The Hardy–Weinberg equilibrium of these SNPs was tested in all patients. *SOD3* (rs1799895), *GSTT1* (rs2266637), and *NOS3* (rs1799983) deviated at p<0.05 level of significance. Patients with non-informative genotyping for some SNPs (e.g., 4 out of 108 patients for rs1799983) were excluded in corresponding SNP analysis. No allele or genotype showed significant association with DCR (Table 2). We found that there were associations between pathological grade and *GSTP1* rs1695 in codominant model, as well as number of lesions and *SOD3* rs1799895 (p=0.03 and p=0.007, respectively, S1 Table).

Survival analysis

The Kaplan–Meier analysis with log-rank test of progression-free survival (PFS) indicated that the pathological grade, number of lesions, and genotypes of rs1800566 in *NQO1* were significantly associated with PFS (Table 3). As for



| Table ' | Nine | SNPs | in the s | even | oxidative | stress-related | l genes ana | lyzed in the | study. |
|---------|--------------------------|------|----------|------|-----------|----------------|-------------|--------------|--------|
|---------|--------------------------|------|----------|------|-----------|----------------|-------------|--------------|--------|

| Gene | SNP ID | Location | Alleles | Function | MAF (HCB)* | HWE test P-value** |
|-------|-----------|----------------|---------|-----------------|------------|--------------------|
| NQO1 | rs1800566 | chr16:69745145 | T>C | Missense(P187S) | 0.478 | 0.835 |
| | rs10517 | chr16:69743760 | C>T | 3'UTR | 0.381 | 0.304 |
| SOD2 | rs4880 | chr6:160113872 | T>C | Missense(V16A) | 0.146 | 0.703 |
| SOD3 | rs1799895 | chr4:24801834 | C>G | Missense(R231G) | NA | 0.007 |
| PON1 | rs662 | chr7:94937446 | G>A | Missense(Q192R) | 0.430 | 0.678 |
| | rs854560 | chr7:94946084 | A>T | Missense(L55M) | 0.033 | 0.727 |
| GSTP1 | rs1695 | chr11:67352689 | A>G | Missense(I105V) | 0.207 | 0.252 |
| GSTT1 | rs2266637 | chr22:24376845 | G>A | Missense(V169I) | NA | 4.69e-006 |
| NOS3 | rs1799983 | chr7:150696111 | G>T | Missense(D298E) | 0.111 | 0.021 |

^{*}HCB: Han Chinese Beijing. NA: Not available in dbSNP.

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rs1800566, patients with CC genotype had a longer median PFS than those carrying CT and TT in both the codominant model (for CC, CT, and TT genotypes; median PFS: 231.0, 159.0, and 149.0 days, respectively; p=0.022) and the dominant model (for CC and CT/TT genotypes; median PFS: 231.0 and 156.0 days, respectively; p=0.008; Fig. 1A). As shown in Table 4, the Cox regression analysis revealed that the patients carrying T allele had an increased risk of disease progression (dominant model, hazard ratio [HR]=1.97, 95% confidence interval [CI]=1.23–3.16; p=0.005), demonstrating that, in addition to pathological grade (HR=0.31, 95% CI=0.12–0.81; p=0.017), rs1800566 was an independent predictive factor of PFS for patients treated with EOF. No significant association was detected between PFS and the other eight SNPs.

In the Kaplan–Meier analysis of OS, the number of lesions and genotypes of rs662 in *PON1* revealed a borderline significant association with OS (<u>Table 3</u>). Patients with GG genotype had better longevity than AA and AG carriers (for GG and AA/AG genotypes; median OS: 565.0 and 299.0 days, respectively; p=0.056; <u>Fig. 1D</u>). On comparison of AA with GG only, the difference was significant (p=0.032). The number of lesions and rs622 were further entered into the Cox regression model as covariates. The results demonstrated that rs662 was an important prognostic factor of MGC patients treated with EOF (HR=1.95, 95% CI=1.07–3.54; p=0.029).

LD analysis

Pairwise LD between rs1800566 and rs10517 showed D'=0.99 and R^2 =0.49, indicating no LD between the two SNPs in NQO1 (S1 Fig.). Haplotype analysis showed that no haplotype of rs1800566 and rs10517 was responsible for disease control (S2 Table).

^{**}HWE: Hardy-Weinberg equilibrium. HWE is tested in all patients.



Table 2. Allele and genotype distribution in controlled and uncontrolled patients.

| SNP ID | SNP ID Genotype frequency* | | P-value** | Allele freque | ncy* | X ² | <i>P</i> -value** | Odds Ratio (95%CI) | |
|--------------|----------------------------|-----------|-----------|---------------|------------|----------------|-------------------|--------------------|------------------|
| rs1800566 | СС | СТ | TT | | С | Т | | | |
| controlled | 32(0.360) | 41(0.461) | 16(0.180) | 0.269 | 105(0.590) | 73(0.410) | 0.226 | 0.634 | 1.19(0.58~2.46) |
| uncontrolled | 6(0.316) | 12(0.632) | 1(0.053) | | 24(0.632) | 14(0.368) | | | |
| rs10517 | CC | CT | TT | | С | T | | | |
| controlled | 32(0.364) | 39(0.443) | 17(0.193) | 0.922 | 103(0.585) | 73(0.415) | 0.082 | 0.775 | 0.90(0.43~1.88) |
| uncontrolled | 6(0.353) | 7(0.412) | 4(0.235) | | 19(0.559) | 15(0.441) | | | |
| rs4880 | CC | CT | TT | | С | T | | | |
| controlled | 2(0.023) | 15(0.170) | 71(0.807) | 0.325 | 19(0.108) | 157(0.892) | 1.702 | 0.192 | 1.87(0.72~4.82) |
| uncontrolled | 0(0.000) | 7(0.368) | 12(0.632) | | 7(0.184) | 31(0.816) | | | |
| rs1799895 | CC | CG | GG | | С | G | | | |
| controlled | 83(0.943) | 4(0.045) | 1(0.011) | 0.890 | 170(0.966) | 6(0.034) | 0.060 | 0.807 | 0.31(0.15~11.17) |
| uncontrolled | 18(0.947) | 1(0.053) | 0(0.000) | | 37(0.974) | 1(0.026) | | | |
| rs662 | AA | AG | GG | | Α | G | | | |
| controlled | 14(0.165) | 38(0.447) | 33(0.388) | 0.434 | 66(0.388) | 104(0.612) | 1.557 | 0.212 | 0.61(0.27~1.34) |
| uncontrolled | 1(0.056) | 8(0.444) | 9(0.500) | | 10(0.278) | 26(0.722) | | | |
| rs854560 | AA | AT | TT | | Α | Т | | | |
| controlled | 82(0.921) | 7(0.079) | 0(0.000) | 0.218 | 171(0.961) | 7(0.039) | 1.464 | 0.226 | _*** |
| uncontrolled | 18(1.000) | 0(0.000) | 0(0.000) | | 36(1.000) | 0(0.000) | | | |
| rs1695 | AA | AG | GG | | Α | G | | | |
| controlled | 64(0.719) | 24(0.270) | 1(0.011) | 0.553 | 152(0.854) | 26(0.146) | 0.537 | 0.464 | 0.71(0.28~1.79) |
| uncontrolled | 11(0.611) | 7(0.389) | 0(0.000) | | 29(0.806) | 7(0.194) | | | |
| rs2266637 | AA | AG | GG | | Α | G | | | |
| controlled | 1(0.014) | 2(0.028) | 68(0.958) | 0.689 | 4(0.028) | 138(0.972) | 0.980 | 0.322 | _*** |
| uncontrolled | 0(0.000) | 0(0.000) | 17(1.000) | | 0(0.000) | 34(1.000) | | | |
| rs1799983 | GG | GT | TT | | G | Т | | | |
| controlled | 69(0.802) | 14(0.163) | 3(0.035) | 0.915 | 152(0.884) | 20(0.116) | 0.144 | 0.704 | 0.82(0.28~2.34) |
| uncontrolled | 14(0.778) | 3(0.167) | 1(0.056) | | 31(0.861) | 5(0.139) | | | |

^{*}Presented as n (frequency).

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Discussion

Oxidative stress is involved in tumor development and in response to systemic therapy. ROS induce mutation in the early stage of cancer as a possible tumor promoter [4]. However, at the advanced stage, ROS have controversial roles: they promote cancer progression with DNA damage and function as intermediates to facilitate chemotherapeutic agents against tumors.

In our study, we examined nine SNPs in seven oxidative stress-related genes: *NQO1*, *SOD2*, *SOD3*, *PON1*, *GSTP1*, *GSTT1*, and *NOS3*. All these genes encode antioxidant enzymes, except *NOS3*, which is a gene encoding eNOS that generates NO in vascular endothelial cells and thereby induces oxidative and nitrosative

^{**}Fisher's p-value for all genotype frequency comparisons between the controlled and uncontrolled patients, as well as allele frequency comparisons for rs854560, rs1799895, rs2266637.

^{***}Odds ratio cannot be calculated for no uncontrolled patient carries T allele of rs854560 or A allele of rs2266637.



Table 3. PFS and OS analysis with Kaplan-Meier method and log-rank test.

| Clinical features | Patients (n) | PFS (days) | | | OS (days) | | |
|------------------------------|--------------|------------|-------------|------------------|-----------|--------------|--------------------------|
| | | Median PFS | 95% CI | <i>P</i> -Value* | Median OS | 95% CI | P-Value* |
| Age | | | | | | | |
| <=60 | 80 | 159.0 | 129.3–188.7 | 0.176 | 465.0 | 165.5–764.5 | 0.916 |
| >60 | 28 | 206.0 | 114.5–297.5 | | 403.0 | 263.4-542.6 | |
| Gender | | | | | | | |
| Male | 64 | 182.0 | 143.3–220.7 | 0.163 | 534.0 | 314.9–753.1 | 0.359 |
| Female | 44 | 166.0 | 141.8–190.2 | | 372.0 | 245.7-498.3 | |
| ECOG score*** | | | | | | | |
| 0 | 14 | 240.0 | 157.2–322.8 | 0.768 | 704.0 | 480.5–927.5 | 0.153 |
| 1 | 89 | 167.0 | 141.3–192.7 | | 367.0 | 218.3–515.7 | |
| 2 | 5 | 237.0 | 0.0-634.2 | | 299.0 | 0.0-829.3 | |
| Pathological grade | | | | | | | |
| Low and undifferentiated | 66 | 156.0 | 135.4–176.6 | 0.004 | 403.0 | 212.6-593.4 | 0.226 |
| Moderate and high | 13 | 380.0 | 178.8–581.2 | | 875.0 | 170.0–1580.0 | |
| Unclassified | 29 | 180.0 | 156.1–203.9 | | 367.0 | 161.8–572.2 | |
| Synchronous metastasis | | | | | | | |
| Presence | 88 | 166.0 | 143.7–188.3 | 0.052 | 444.0 | 295.4–592.6 | 0.650 |
| Absence | 20 | 253.0 | 136.5–369.5 | | 565.0 | 276.9-853.1 | |
| Primary lesion | | | | | | | |
| Cardia | 25 | 180.0 | 150.5–209.5 | 0.788 | 367.0 | 119.0–614.9 | 0.626 |
| Non-cardia | 83 | 173.0 | 150.9–195.1 | | 465.0 | 267.9–662.1 | |
| No. of lesions | | | | | | | |
| 1 | 5 | 545.0 | 117.7–972.3 | 0.038 | 984.0 | _** | 0.076 |
| 2 | 8 | 411.0 | 147.8–674.2 | | 570.0 | 34.9-1105.1 | |
| 3 or more | 95 | 167.0 | 140.9–193.1 | | 372.0 | 224.5–519.5 | |
| rs1800566 (codominant model) | | | | | | | |
| CC | 38 | 231.0 | 133.5–328.5 | 0.022 | 565.0 | 320.3-809.7 | 0.226 |
| СТ | 53 | 159.0 | 132.3–185.7 | | 444.0 | 236.1–651.9 | |
| TT | 17 | 149.0 | 94.9–203.1 | | 465.0 | 164.3–765.7 | |
| rs1800566 (dominant model) | | | | | | | |
| CC | 38 | 231.0 | 133.5–328.5 | 0.008 | 565.0 | 320.3-809.7 | 0.188 |
| CT+TT | 70 | 156.0 | 135.9–176.1 | | 444.0 | 249.2–638.8 | |
| rs10517 | | | | | | | |
| CC+CT | 84 | 171.0 | 147.3–194.7 | 0.127 | 444.0 | 259.5–628.5 | 0.370 |
| TT | 21 | 267.0 | 158.7–375.3 | | 565.0 | 333.8–796.2 | |
| rs662 (codominant model) | | | | | | | |
| AA | 15 | 162.0 | 118.6–205.4 | 0.736 | 265.0 | 167.4–362.6 | 0.087 (AA vs. GG: 0.032) |
| AG | 46 | 180.0 | 163.2–196.8 | | 304.0 | 216.3–391.7 | |
| GG | 42 | 166.0 | 127.3–204.7 | | 565.0 | 441.1–688.9 | |
| rs662 (dominant model) | | | | | | | |
| GG | 42 | 166.0 | 127.3–204.7 | 0.781 | 565.0 | 441.1–688.9 | 0.056 |
| | | | | | | | |



Table 3 Cont

| Clinical features | Patients (n) | PFS (days) | | | OS (days) | | | |
|-------------------|--------------|------------|-------------|------------------|-----------|-------------|----------|--|
| | | Median PFS | 95% CI | <i>P</i> -Value* | Median OS | 95% CI | P-Value* | |
| AA+AG | 61 | 178.0 | 160.5–195.5 | | 299.0 | 212.2–385.8 | | |

^{*}Factors at p<0.1 level enter into Cox regression analysis. P-values for further analysis (p<0.1) are in bold.

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stress [22,23]. In addition to the clinical characteristic pathological grade, NQO1 rs1800566 was found to be an independent predictive factor of PFS for MGC patients treated with EOF regimen. Moreover, we detected a tendency of shorter OS for CT/TT genotype carriers as compared to that of CC genotype, which is similar to our results of PFS analysis (for CC and CT/TT genotypes; median OS: 565.0 and 444.0 days, respectively; p=0.188). Although the difference did not reach a significant level, the shorter tendency of OS, together with the significant poorer PFS of CT/TT genotype carriers indicated a link between T allele and poor treatment outcome.

Our results are supported by those of Fagerholm's [13], who demonstrated that rs1800566 TT genotype was a poor prognostic and predictive factor in the treatment of breast cancer patients, probably due to the C to T substitution, which causes a Pro to Ser change at the residue 187, resulting in low level of NQO1 activity and impaired detoxification of ROS. Tumor growth is consequently promoted by enhanced genetic instability and antiapoptosis [13]. Fagerholm's *in vitro* experiments proved that breast cancer cells with TT genotype were resistant to epirubicin, which can be partly attributed to decreased expression of NQO1. This mechanism may also be a potential explanation for the poor treatment outcome of the TT genotype patients treated with EOF in our study.

NQO1 polymorphisms have been associated with the treatment response in some studies as well. For instance, Barragan [27] and Tian [28] indicated that NQO1 polymorphism rs1800566 has predictive usefulness toward clinical response to induction therapy (anthracycline- and cytarabine-based regimen) in acute myeloid leukemia (AML) and platinum-based chemotherapy in non-small cell lung cancer (NSCLC) patients, respectively. Both these studies showed that patients with TT genotype have a lower response rate than patients with other genotypes. However, we did not identify the response rate or DCR of EOF treatment in association with the NQO1 polymorphisms rs1800566 and rs10517. In Barragan's and Tian's studies, cisplatin (or carboplatin) is the key component of the doublet regimen in NSCLC and anthracycline is the key factor of the combined regimen in AML. However, in our trial, although the triplet regimen contained oxaliplatin and epirubicin (a type of anthracyclines), 5-FU also played an essential role against cancer progression. Therefore, in MGC patients treated with this triplet EOF regimen, numerous factors are involved in determining the

^{**95%} CI cannot be calculated as 3 out of 5 individuals in the subgroup are censored.

^{***}ECOG is one of the first publicly funded cooperative groups to perform multi-center clinical trials for cancer research in USA. ECOG score is a commonly used scoring system for evaluating patients' performance status.



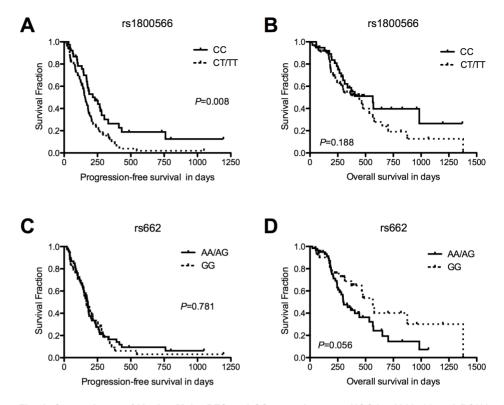


Fig. 1. Comparisons of Kaplan-Meier PFS and OS curve between NQO1 rs1800566 and PON1 rs662 genotypes among subgroups. (A, B) PFS and OS for rs1800566 in dominant model. CT/TT carriers (dotted line, n=70) have a significantly shorter PFS than CC carriers (solid line, n=38). Median PFS for CC and CT/TT genotype: 231.0 vs. 156.0 days, p=0.008, log-rank test; median OS: 565.0 vs. 444.0 days, p=0.188, log-rank test. (C, D) PFS and OS among patients in rs662 genotype subgroups. GG carriers (dotted line, n=42) live significantly longer than AA/AG carriers (solid line, n=61). Median PFS for GG and AA/AG genotype: 166.0 vs. 178.0 days, p=0.781, log-rank test; median OS: 565.0 vs. 299.0 months, p=0.056, log-rank test.

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response rate. The role of rs1800566 in predicting the response to the combination regimen in such patients remains unclear.

Compared with PFS, some factors (e.g., second-line or third-line treatment, local therapy) exert their influence on OS besides first-line treatment. In fact, PFS more directly reflects the efficacy of the first-line treatment; therefore, *NQO1* rs1800566 may serve as a surrogate biomarker in predicting the short-term therapeutic effect of EOF triplet regimen in MGC patients. It may also serve as a potential biomarker for selecting patients more likely to benefit from the treatment of EOF regimen.

Another polymorphism of NQO1, rs10517, was tested. There exists no published data on the relationship between the clinical outcome of cancer patients and this SNP. We found that TT carriers were likely to have a tendency of better PFS because the survival curve of TT carriers showed a clear trend of deviation from the curve of other patients, but the difference was not significant (for CC/CT and TT genotypes; median PFS: 171.0 and 267.0 days, respectively; p=0.127). Further studies are required to determine whether rs10517, like rs1800566, is also an important biomarker.



Table 4. Multivariate PFS and OS analysis with Cox regression.

| Clinical features | PFS | PFS | | | os | | |
|--------------------------|------|-----------|----------|------|------------|------------------|--|
| | HR | 95%CI | P-Value* | HR | 95%CI | <i>P</i> -Value* | |
| Pathological Grade | | | | | | | |
| Low and undifferentiated | 1 | | 0.017** | _*** | | | |
| Moderate and high | 0.31 | 0.12-0.81 | | | | | |
| Unclassified | 0.73 | 0.44-1.20 | | | | | |
| No. of lesions | | | | | | | |
| 1 | 1 | | 0.212 | 1 | | 0.094 | |
| 2 | 0.30 | 0.06-1.43 | | 2.66 | 0.27-25.96 | | |
| 3 or more | 0.83 | 0.26–2.66 | | 6.36 | 0.83-48.75 | | |
| Synchronous metastasis | | | | | | | |
| Presence | 1 | | 0.223 | _*** | | | |
| Absence | 0.67 | 0.35–1.28 | | | | | |
| rs1800566 | | | | | | | |
| CC | 1 | | 0.005 | NA | | | |
| CT+TT | 1.97 | 1.23–3.16 | | | | | |
| rs662 | | | | | | | |
| GG | NA | | | 1 | | 0.029 | |
| AA+AG | | | | 1.95 | 1.07–3.54 | | |

^{*}Significant p-values (p<0.05) are in bold.

HR: hazard ratio. NA: Not available.

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Some studies have indicated a link among the PON1 activity, gastric cancer susceptibility, and patients' OS. It has been shown that the serum arylesterase activity of PON1 was reduced significantly in patients with gastroesophageal cancer than in healthy control [29]. Atay [30] reported that the PON1 activity is an independent predictor of OS for patients with gastric cancer (both metastatic and nonmetastatic). The PON1 serum level and activity, which correspond to oxidative stress and inflammatory response, are affected by *PON1* polymorphisms such as rs854560 and rs662. In detail, a Glu to Arg change at the codon 192 from an A to G substitution in rs662 is correlated with increased PON1 activity, suggesting a potential link between rs662 and gastric cancer.

Consistent with Atay's study, we identified a notable association between rs662 and OS of MGC patients treated with EOF regimen: AA and AG carriers (HR=1.95) have a poor median OS as compared with GG carriers. Considering that no relationship has been identified between rs662 and DCR or PFS of EOF treatment, we presume that rs662 could be a prognostic biomarker of OS for MGC patients. Although several studies have attempted to investigate association between *PON1* polymorphisms and cancer susceptibility, to the best of our knowledge, no study has focused on the relationship between *PON1* polymorphisms and chemotherapeutic outcomes including the response rate, PFS, and

^{**}P-value is calculated in patients with classified pathological stage.

^{***}Only rs662 and number of lesions are included in the OS analysis based on results in Table 3.



OS. Thus, this is the first report of prognostic value for rs662 in the treatment of MGC.

Although SODs and GSTs are antioxidant enzymes similar to NQO1 and PON1, studies focusing on the association of the formers with the therapeutic effect of chemotherapy have drawn contradictory conclusions. High SODs have been suggested to have a detrimental effect, instead of a protective effect, in cancer. For example, a study investigating chemotherapy and MnSOD proved that tumor cells adapted to oxidative stress by gaining MnSOD are resistant to 5-FU [31]. Furthermore, C allele in rs4880, which leads to a higher MnSOD antioxidant activity, has lesser treatment-related toxicity and shorter PFS after adjuvant chemotherapy [32]. In contrast, GSTP1 rs1695 G allele with low GST level indicates an enhanced risk of chemoresistance to palliative chemotherapy in advanced gastric cancer [33]. NOS3, which acts as a probable oxidative stressinducer, adds evidence to the argument. Choi et al. [34] revealed that women with lower NO-encoding genotypes tend to experience disease progression during adjuvant therapy of breast cancer, which support the notion that ROS are essential for inducing chemotherapeutic response. However, our results were not in accordance with the results of Choi et al. Therefore, the prognostic or predictive value of these SNPs needs to be further elucidated in future studies with a larger sample size.

Our data suggest that the polymorphisms of NQO1 and PON1 are highly related with the clinical outcome of MGC treated with EOF regimen. Both the SNPs can be easily identified before the treatment, thus providing a method to predict the patients' short-term and long-term efficacy and helping in evaluating the medical choices and in making clinical decisions.

Our study has some limitations. Our sample size was relatively small, and we did not detect all of the polymorphism sites of each target gene as we selected only some sites that were considered important. In addition, we did not set an additional validation set; hence, more clinical data is required for further verification of the data. In future studies, we plan to investigate the underlying mechanism of these SNPs affecting the patient's chemotherapy response and prognosis in a larger and more intensive set-up.

Conclusions

Our study reveals that NQO1 rs1800566 is an independent predictive factor of PFS for MGC patients treated with EOF chemotherapy and that PON1 rs662 is an important prognostic factor of OS. We believe that our results need to be confirmed in a larger study cohort to confirm the substantial benefit of the treatment regimen to patients carrying a specific genotype. Moreover, genotype-based drug selection has the potential to become more meaningful in the future after validation in a large patient population in order to allow oncologists to personalize the treatments for patients.



Supporting Information

S1 Fig. Linkage disequilibrium for a haplotype block within rs1800566 and rs10517. The number in the square is D'*100 between the two SNPs. D'=0.99, r^2 =0.49.

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S1 Table. *P*-values of chi-square test or Fisher's exact probability test between clinicopathological features and nine SNPs (in codominant model). doi:10.1371/journal.pone.0116027.s002 (DOCX)

S2 Table. Halpotype analysis of rs1800566 and rs10517. doi:10.1371/journal.pone.0116027.s003 (DOCX)

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Author Contributions

Conceived and designed the experiments: XDZ JL. Performed the experiments: XYZ LXQ GH. Analyzed the data: RXG ZYC XL RJL WJG. Contributed reagents/materials/analysis tools: XYZ LXQ XL GH. Contributed to the writing of the manuscript: RXG ZYC XDZ.

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