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Research Paper

Human Trial of a Genetically Modified Herpes Simplex Virus for Rapid Detection of Positive Peritoneal Cytology in the Staging of Pancreatic Cancer



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ABSTRACT

Introduction: Patients with peritoneal dissemination of pancreatic adenocarcinoma do not benefit from surgical resection, but radiologic and cytologic detection of peritoneal cancer lack sensitivity. This trial sought to determine if an oncolytic virus may be used as a diagnostic agent to detect peritoneal cancer.

Methods: Peritoneal washings from patients with pancreatic adenocarcinoma were incubated with the enhanced green fluorescent protein (eGFP)-expressing oncolytic herpes simplex virus (HSV) NV1066. eGFP-positive or negative status was recorded for each specimen and compared to results obtained by conventional cytologic evaluation. These results were correlated with recurrence and survival for patients who underwent R0 resection. *Results*: Of 82 patients entered in this trial, 12 (15%) had positive cytology and 50 (61%) had virally-mediated eGFP positive cells in peritoneal washings. All cytology-positive patients were also eGFP positive. HSV-mediated fluorescence detection had sensitivities of 94% and 100% for detection of any and peritoneal metastatic disease; respectively. Median recurrence free and disease specific survival were 6.5 and 18.3 months for eGFP positive patients, versus 12.2 and 36.2 months for eGFP negative patients (*P* = 0.01 and 0.19); respectively. *Conclusions:* A genetically modified HSV can be used as a highly sensitive diagnostic agent for detection of micrometastatic disease in patients with pancreatic adenocarcinoma and may improve patient selection for surgery. Published by Elsevier B.V. This is an open access article under the CC BY-NC-ND license

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1. Introduction

Pancreatic cancer is a highly aggressive disease with dismal prognosis. Patients with localized disease benefit from multimodality therapy including surgical resection. Patients with metastatic disease, however, gain no benefit from a potentially morbid surgical procedure. Staging laparoscopy (SL) has been shown to identify occult metastatic disease in 14% to 31% of patients, effectively sparing these patients unnecessary, aggressive therapies (Ahmed et al., 2006; Doucas et al., 2007; Enestvedt et al., 2008; Jimenez et al., 2000a, b; White et al., 2008). One component of DL that is increasingly acknowledged as important is the cytological analysis of peritoneal cells harvested. Free cancer cells in the peritoneum are thought to arise from exfoliation of malignant cells from the primary tumor and their presence is thought to lead to the peritoneum as a frequent site of recurrence (Foo et al., 1993; Gold et al., 2007). Patients with positive peritoneal cytology (PPC) as the only evidence of metastasis have the same outcome as patients with grossly visible metastases (Ferrone et al., 2006; Merchant et al., 1999). Thus, the American Joint

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Commission on Cancer (AJCC) staging system for pancreatic cancer includes PPC as a criterion for M1 disease.

While conventional cytology is currently the gold standard for detection of malignant cells in peritoneal fluid because it is both highly specific and clinically relevant, it is thought to lack sensitivity, Many patients with negative peritoneal cytology at the time of resection with curative intent still develop early intraperitoneal recurrence(Abe et al., 1995; Kodera et al., 2005; Selvaggi, 2003). Additional techniques including immunohistochemistry (IHC), microarray analysis, and reversetranscription polymerase chain reaction (RT-PCR) for tumor markers have been investigated to improve the sensitivity of detection of peritoneal cancer cells (Kodera et al., 2002, 2005; Dalal et al., 2007; Fukumoto et al., 2006; Hoffmann et al., 2007; Katsuragi et al., 2007; Mori et al., 2007; Oyama et al., 2004; Tamura et al., 2007; To et al., 2003; Zhang et al., 2006; Schmidt et al., 2001). Limitations of these techniques include cost and time consuming nature of their use, and lack of reproducibility. RT-PCR is also limited by its exquisite sensitivity, which can lead to false-positive, clinically irrelevant results (Timar et al., 2002; Kammula et al., 2004; Wong and Coit, 2012).

Viral oncolytic therapy is a field that seeks to genetically design viruses that specifically infect, replicate within, and kill cancers. Many promising viruses so designed are now in clinical trials as cancer

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therapy (Geevarghese et al., 2010; Heo et al., 2013; Kaufmann and Chiocca, 2014; Kemeny et al., 2006; Reid et al., 2001). The herpesbased virus, T-VEC, recently became the first oncolytic virus approved for clinical use in the Western world for the treatment of metastatic melanoma. NV1066 is a replication-competent, tumor specific herpes virus that carries the marker gene encoding enhanced green fluorescent protein (eGFP). In vitro, NV1066 has been shown to infect over 111 different human cancer cell lines, and can detect as few as 1 cancer cell in a background of 1 million normal cells (Adusumilli et al., 2011). In animal models, NV1066 can circulate the blood stream and identify occult metastatic deposits of cancer (Adusumilli et al., 2005, 2006a, b; Eisenberg et al., 2006; Stanziale et al., 2004). The aim of the current study was to evaluate use of this virus in rapid detection of peritoneal dissemination of pancreatic cancer. This human clinical trial sought to determine if the presence of virally-detected, rare peritoneal cancer cells predict peritoneal recurrence and patient outcome.

2. Methods

2.1. Virus

NV1066 is a replication-competent, attenuated oncolytic HSV type 1 (HSV-1), derived from wild-type HSV-1 (F strain) as previously described (Wong et al., 2002). This virus was attenuated by deletions of UL23, an internal repeat sequence containing single copies of the genes encoding ICP-0, ICP-4, and the ICP34.5 neurovirulence gene. This virus also carries the marker gene for eGFP, which fluoresces 35 times brighter compared with the wild-type GFP from which it was derived, inserted under the control of a constitutively expressed cytomegalovirus (CMV) promoter (Cormack et al., 1996). The eGFP transgene was inserted in place of another deleted internal repeat sequence. As a result of these genetic alterations, NV1066 has decreased virulence relative to the wild-type virus and can only successfully infect and replicate in transformed, malignant cells. The virus is remarkably cancer specific and infected cells display unmistakable bright green fluorescence (major peak at 509 nm) (Chalfie et al., 1994).

2.2. Patients

From February 2007 to May 2008, peritoneal washings were obtained prospectively from 96 patients undergoing diagnostic laparoscopy for evaluation and staging of pancreatic adenocarcinoma at Memorial Sloan-Kettering Cancer Center (MSKCC). All patients were at least 18 years of age and all presented to the Surgical Service with known or presumed pancreatic cancer based on imaging studies and/or tissue biopsy. Only patients who had histologically confirmed pancreatic adennocarcinoma were included in the clinical trial. Those pancreatic adenocarcinoma patients with an additional concomitant malignancy were excluded from the study. For outcome results, all patients were followed for recurrence of cancer and survival for a minimum of 5 years.

2.3. Ethics

This study was reviewed and approved by the MSKCC institutional review board (IRB). All patients were required to provide informed consent for participation in the study.

2.4. Laparoscopic Evaluation

Patients underwent staging laparoscopy under general anesthesia as previously described (Wong and Coit, 2012; Kelly et al., 2009). In all cases, a systemic examination of the peritoneal cavity was performed after trocar insertion and CO₂ insufflation. Peritoneal washing was then performed immediately, prior to any surgical manipulation of tissues. Normal saline was introduced into the right upper abdomen, left upper abdomen, and pelvis. Gentle agitation was performed and the

2.5. Cytologic Assay

Samples brought to the pathology department for cytologic evaluation were placed in Cytolyte fixative (Cytyc Corp., Marlborough, MA) and centrifuged for 10 min. The resulting cell pellet was fixed with PreservCyte (Cytyc Corp). Two slide preparations were made from each sample using the Thin Prep procedure. One was stained with H&E and the other with Papanicolaou stain. All cytologic preparations were evaluated for the presence of malignant cells by attending cytopathologists at Memorial Sloan-Kettering Cancer Center (MSKCC).

2.6. Virally Mediated Fluorescence Detection Assay

The samples were centrifuged for 5 min (8000 rpm) at 4 °C. After centrifugation, the cell pellets were resuspended in 500 µL warm Roswell Park Memorial Institute (RPMI) media supplemented with 10% fetal calf serum (FCS), 100 µg/mL penicillin, and 100 µg/mL streptomycin. Samples were then infected with 1×10^6 PFU NV1066. Samples were maintained at room temperature for 30 min after infection, at which time an additional 500 µL of RPMI media was added. All samples were then transferred to a humidified incubator supplied with 5% CO₂ at 37 °C. Samples were incubated with virus for 12 to 18 h prior to evaluation by fluorescence microscopy or flow cytometry.

2.7. Fluorescence Microscopy

Samples to be evaluated by fluorescence microscopy were incubated with virus in chamber slides (Lab-Tek II; Nalge Nunc, Rochester, NY). After incubation, samples were systematically evaluated with a Zeiss Axiovert 200 M inverted stand microscope (Carl Zeiss, Inc., Oberkochen, Germany) with a 100 W mercury arc lamp light source and Retiga EX CCD digital camera. Selective excitation of eGFP was produced through a Chroma 41017 filter set after placement of both excitation and emission filters to detect eGFP. Images were processed and analyzed with the MetaMorph Imaging System (Universal Imaging Corporation, Downingtown, PA).

2.8. Flow Cytometry

Samples to be evaluated by flow cytometry were incubated with virus in polystyrene round-bottom tubes (BD Falcon, San Jose, CA). Standard flow cytometry was performed in accordance with guidelines outlined in the 1995 US–Canadian consensus conference. Data acquisition analyses were performed on a FACScan flow cytometer (BD Biosciences, San Jose, CA). CellQuest software (Becton Dickinson Immunocytometry Systems, San Jose, CA) was used for data analysis.

2.9. Characterization of eGFP-Positive Cells

To further molecularly characterize the green cells identified in peritoneal washings, virus-infected, eGFP-positive samples were incubated with immunofluorescent antibodies to cell surface markers. R-PE conjugated mouse antihuman anti-CD66 (BD Pharmingen, Franklin Lakes, NJ) and mouse antihuman anti-HLA-DR (BD Pharmingen, Franklin Lakes, NJ) were used to characterize eGFP-expressing cells in peritoneal washings. Samples were incubated with each antibody for 30 min at room temperature prior to fluorescence microscopy examination. R-PE conjugated antibody was identified by detection of red fluorescence under TRITC filter. Immunohistochemistry was also employed for characterization of eGFP-expressing cells. Cells were fixed and stained with a mouse anti-human antibody to c-kit.

2.10. Statistical Analysis

eGFP status was reported as positive or negative and was correlated with clinical and pathologic factors using the Chi-Square test for categorical variables, and the Mann–Whitney U test for continuous variables. The probability of recurrence was estimated by the Kaplan–Meier method and compared across groups using a log-rank test. Statistical significance was defined by P < 0.05.

3. Results

3.1. Patient Demographics

From February 2007 through April 2008, peritoneal washings were obtained from 96 patients undergoing diagnostic laparoscopy for presumed or biopsy-proven pancreatic cancer. Four patients were found to have neuroendocrine tumors, ten had peri-pancreatic tumors (arising from distal common bile duct or duodenal mucosa), and two had benign disease. The remaining 82 patients had pancreatic adenocarcinoma and comprised the study population. The median age of this cohort was 67.5 years (range, 29–87 years). A total of 42 patients (51%) were female. Within the pancreatic adenocarcinoma group, 49% of patients underwent laparoscopy with or without biopsy and/or celiac plexus block (n = 40), 45% underwent resection (pancreaticoduodenectomy, n = 30; distal pancreatectomy, n = 7). Lastly, 6% of patients (n = 5) underwent a palliative bypass procedure with cholecystojejunostomy, choledochojejunostomy, and/or gastrojejunostomy.

The tumor was in the head of the pancreas in 68% (n = 56) of pancreatic adenocarcinoma patients. The tumor was well differentiated in 2%, moderately differentiated in 54%, poorly differentiated in 18%, and not assessed in the remaining 26%. Four percent (n = 3) had T1; 5% (n = 4) had T2; 68% (n = 56) had T3; and 10% (n = 8) had T4 tumors. A total of 11 patients (13%) had unknown T stage. Disease stages for the pancreatic adenocarcinoma patients were as follows: IA-3 (4%), IB-2 (2%), IIA-19 (23%), IIB-27 (33%), III-4 (5%), and IV-27 (33%).

3.2. Conventional Cytology

Of the 82 patients with pancreatic adenocarcinoma, 12 (15%) had positive cytology. Of these 12 patients, ten had visible liver (n = 2)and/or peritoneal metastases (n = 8) confirmed by tissue biopsy. Two of the 12 had positive cytology as the only evidence of metastasis. These two patients had T3 and T4 disease, and would have been stages IIA and III, respectively, if not for the finding of positive cytology. Of 16 total patients with isolated liver metastases, two had positive cytology. Of nine patients with isolated peritoneal or peritoneal and liver metastases, eight had positive cytology. Cytology showed sensitivities of 44% and 89% for detection any metastatic disease and for detection of peritoneal metastases, respectively.

3.3. eGFP Expression

Of the 82 patients with pancreatic adenocarcinoma, 50 (61%) had virally-mediated strongly eGFP positive cells in peritoneal washings (Fig. 1). Of these 50 patients, 26 (52%) had stage IV disease. Disease stages for the remaining eGFP-positive patients were as follows: IA–2 (4%), IB–1 (2%), IIA–7 (14%), IIB–12 (24%), and III–2 (4%). All twelve cytology-positive patients were also eGFP positive. Of the 16 patients with isolated liver metastases, 15 were eGFP positive. All nine patients with isolated peritoneal or peritoneal and liver metastases were eGFP positive. HSV-mediated fluorescence detection had sensitivities of 94%



Fig. 1. Fluorescent microscopic image of strongly eGFP-positive cells in peritoneal washing from a patient with pancreatic cancer who had negative cytology (magnification $100 \times$).

and 100% for detection of any metastatic disease and for detection of peritoneal-based metastasis, respectively.

3.4. Correlation of Clinicopathologic Factors and eGFP Expression in Peritoneal Washings

Table 1 summarizes the correlation between eGFP expression in peritoneal washings and various clinicopathologic features including T stage, presence of intraperitoneal metastases (hepatic and peritoneal), cytology, stage, lymph node status, degree of differentiation, and the presence of vascular/perineural invasion. Molecular diagnosis with virally mediated fluorescence correlated significantly with the presence of hepatic (P < 0.005) and peritoneal (P = 0.027).

3.5. Molecular Characterization of eGFP-Positive Cells

eGFP-positive cells isolated by flow cytometry were able to be further characterized using fluorescent antibodies to cell-surface markers, and immunohistochemistry. Fig. 2A demonstrates strongly and weaklyeGFP expressing cells in peritoneal washings from a patient with pancreatic cancer. The weakly-eGFP positive cells had dendrites and were occasionally observed in both benign and malignant washings. These cells were counter-stained with a fluorescent antibody to HLA-DR, confirming them to be dendritic cells (Fig. 2B). Conversely, the round, strongly positive cells were counterstained with a fluorescent antibody

Table 1

Univariate analysis of clinicopathologic variables associated with eGFP positivity in all patients.

Clinicopathologic features	Total $(n = 82)$	eGFP positive $(n = 50)$	eGFP negative $(n = 32)$	Р
T-stage ^a				1.00
Tx	11	11	0	
T1-2	7	4	3	
T3-4	64	35	29	
LN metastasisa	29	13	16	0.78
Peritoneal metastasis	9	9	0	0.01
Hepatic metastasis	16	15	1	<0.01
Positive cytology	12	12	0	<0.01
Stagea				0.03
I–IIA	24	10	14	
IIB-IV	58	40	18	
Differentiation				0.76
Unknown	21	11	10	
Well/moderate	46	30	16	
Poor	15	9	6	
Vascular invasion	27	15	12	0.07
Perineural invasion	34	16	18	1.00

Values in bold font are statistically significant.

^a Pathologic information was used when available for defining T and N stages. When pathology was not available, clinical staging information was used.



Fig. 2. (A) Fluorescent microscopic image of cells in peritoneal washing from a patient with pancreatic cancer, demonstrating large, round, strongly eGFP positive cells, and rare, weakly eGFP positive cells with dendritic processes $(10\times)$. The cells were subsequently sorted by eGFP expression. The weakly eGFP positive cells were counter-stained with a fluorescent antibody to a human leukocyte antigen (HLA-DR), confirming them to be dendritic cells (B; $100\times)$. Conversely, the strongly eGFP positive cells counter-stained with an antibody to CEA (C; $100\times)$. eGFP positive cells isolated from a patient with metastatic gastrointestinal stromal tumor (GIST) were fixed and stained with an antibody to c-KIT (D; $100\times)$.

to CEA (Fig. 2C). Lastly, eGFP-positive cells isolated from a patient with metastatic GIST were positive for c-KIT on immunohistochemistry (Fig. 2D).

3.6. Recurrence and Survival

Of the 82 pancreatic adenocarcinoma patients, a total of 33, all of whom were cytology negative, underwent R0 resection. This group was analyzed for intraperitoneal and any recurrence free survival (RFS). At a median follow up of 15.4 months, 20 patients (61%) went on to develop clinical recurrence. Within the eGFP-positive group, 75% of patients developed recurrence. The most common site of first recurrence was the liver (n = 12), followed by the peritoneum (n = 4), and the lung (n = 2) or local/nodal (n = 2). Of those patients with recurrent disease first detected in the liver, nearly half also had evidence of peritoneal disease. Median intraperitoneal and any recurrence free survival were significantly shorter in the eGFP positive group (6.5 versus 14.6 months, P < 0.01; 6.5 versus 12.2 months, P = 0.01; respectively) (Fig. 3A and B). Disease specific survival was also shorter in the eGFP positive patients but this difference did not reach statistical significance (18.3 versus 36.2 months; P = 0.19) (Fig. 4). On univariate analysis of factors associated with recurrence and survival in 33 patients who



Fig. 3. Kaplan–Meier curve demonstrating recurrence free survival for pancreatic cancer patients who underwent R0 resection who were eGFP positive (n = 16) or negative (n = 17). At a median follow up of 15.4 months, eGFP positive patients had significantly shorter time to intraperitoneal recurrence (A) and any recurrence (B) (P = 0.05, 0.02; respectively).



Fig. 4. Kaplan–Meier curve demonstrating disease specific survival for pancreatic cancer patients who underwent R0 resection stratified by eGFP status. Median DSS was clinically, but not statistically, significantly shorter in the eGFP positive patients (18.3 versus 36.2 months; P = 0.19).

underwent R0 resection, eGFP positivity was the only variable associated with recurrence (O.R. 2.64, 95% C.I. 1.21–5.78; P = 0.02). None of the variables evaluated had a statistically-significant association with disease specific survival (Table 2).

4. Discussion

Peritoneal micro-metastases are a common occurrence and portend poor prognosis for many malignancies including ovarian, gastric, and pancreatic cancer (Ferrone et al., 2006; Merchant et al., 1999; Bentrem et al., 2005; Burke et al., 1998; Yachida et al., 2002; Yoshimura et al., 1984). The current standard method of detection relies on cytologic evaluation of harvested cells according to for cytomorphologic characteristics of malignant transformation. This test is highly specific and clinically relevant: positive results are associated with very poor prognosis, early intraabdominal recurrence, and death (Jimenez et al., 2000a; Gold et al., 2007; Ferrone et al., 2006; Merchant et al., 1999; Bentrem et al., 2005; Burke et al., 1998; Yachida et al., 2002; Yoshimura et al., 1984). However, when very few free cancer cells are present in the peritoneum, they may be difficult to identify by cytomorphologic criteria alone. In addition, mesothelial atypia and inflammatory infiltrates can complicate cytologic analysis of peritoneal fluid. Pancreatic and gastric cancer patients undergo DL with the goal

Table 2

Univariate analysis of pathologic variables associated with recurrence and survival in patients undergoing R0 resection (N = 33).

Variable	Recurrence free survival			Disease specific survival		
	O.R.	95% C.I.	Р	O.R.	95% C.I.	Р
eGFP positive	2.64	1.21-5.78	0.02	1.72	0.74-4.00	0.21
Tumor depth			0.25			0.46
T1-2	REF			REF		
T3	2.33	0.55-9.86		1.73	0.40-7.45	0.46
Positive lymph nodes	1.11	0.50-2.43	0.80	1.25	0.51-3.06	0.62
Differentiation			0.11			0.64
Well	REF			REF		
Moderate/poor	2.05	0.85-4.94		1.21	0.52-2.85	0.65
Vascular invasion	1.97	0.79-4.93	0.15	1.53	0.52-4.53	0.44
Perineural invasion	1.37	0.18-10.17	0.76	1.81	0.23-14.35	0.57

Values in bold font are statistically significant.

of accurate preoperative staging and are often found to have false negative cytology. Many of these patients then undergo resection with curative intent only to develop early postoperative recurrence in the peritoneal cavity.

NV1066-mediated eGFP expression has been shown to aid in detection of malignant deposits in preclinical animal models of pleural, peritoneal, and lymphatic disease, and in preparations of malignant cells mixed with benign cells from various organs in vitro (Adusumilli et al., 2005, 2006a, b, 2011; Eisenberg et al., 2006; Stanziale et al., 2004). In the current study, we clinically test a virally-mediated fluorescence detection assay with NV1066 was employed to detect peritoneal micro-metastases in pancreatic cancer patients. In this cohort of pancreatic adenocarcinoma patients evaluated prospectively, virally-mediated fluorescence increased the yield of diagnosis of peritoneal micrometastasis by 46% over conventional cytology. This increased sensitivity appears to be clinically relevant. Patients undergoing resection with curative intent, all of whom had negative conventional cytology, had a median recurrence free survival of only 6.5 months if eGFP positive, versus 12.2 months if eGFP negative (P = 0.01). This finding confirms that the presence of eGFP positive cells in peritoneal washings is a sensitive indicator of micrometastasis and that these cells do go on to develop into early recurrence. As is true for pancreas cancer in general, the most common site of first clinical recurrence after curative-intent resection for patients in this trial was the liver, followed by the peritoneum. eGFP positivity predicted not only intraperitoneal, but any recurrence. This finding suggests that the presence of eGFP positive cells may be an indicator of aggressive tumor biology. Median disease specific survival for the eGFP-negative patients was 36.2 months, double that of eGFPpositive patients. Although not statistically significant, this survival is comparable if not superior to the 20-24 month median survival expected after curative resection and adjuvant therapy. Median survival of this magnitude for pancreatic cancer patients has only been reported in neoadjuvant therapy trials. This finding suggests that virally-mediated fluorescence detection of peritoneal micrometastasis may have an important role in patient selection for surgery.

Virally-mediated fluorescence detection can be incorporated into the DL procedure with essentially no increased morbidity or risk to the patient, and can enhance the sensitivity of detection of peritoneal micrometastatic disease. This assay is inexpensive, rapid, and easy to perform and interpret. eGFP positivity could potentially be incorporated into DL for pancreatic cancer patients. If adopted into clinical practice, eGFP positive patients, like those with positive cytology, could be offered neoadjuvant or definitive systemic therapy. While this pilot study focuses on pancreatic adenocarcinoma, there is reason to believe that this highly sensitive and specific assay is applicable to essentially all intraabdominal malignancies. Virally mediated fluorescence has the potential to enhance the sensitivity of DL for the detection of occult micrometastatic disease for many cancers (Kemeny et al., 2006).

The virus tested in this study is one of a family of genetically engineered viruses designed for specific infection and killing of cancer. These were meant as therapeutic agents. In preclinical studies, these viruses infect and kill many cancer cell lines, including many pancreatic cancer cell lines (Buijs et al., 2014; Dai et al., 2014; Haddad et al., 2012; Liu et al., 2013; Yamamura et al., 2014). The current study indicates that this virus infects and replicates in primary pancreatic cancer cells from many different patients. In preclinical studies, the ability to kill tumor is directly correlated to infectivity and replication (Adusumilli et al., 2006b; Stanziale et al., 2004; Wong et al., 2002). These observations suggest that herpes simplex oncolytic viruses should be investigated not only as agents to improve staging and patient selection, but especially as novel treatment agents for pancreatic cancer, a disease that is highly resistant to cytotoxic chemotherapy.

Declaration of Interests

The authors have declared no conflicts of interest.

Author Contributions

Kaitlyn Kelly: Literature search, data collection, data analysis and interpretation, figures, manuscript writing.

Joyce Wong: Data collection, manuscript review.

Mithat Gönen: Data analysis and interpretation, statistics.

Peter Allen: Patient recruitment, data interpretation, manuscript review.

Murray Brennan: Patient recruitment, data interpretation, manuscript review.

Daniel Coit: Patient recruitment, data interpretation, manuscript review.

Yuman Fong: Study design, patient recruitment, data analysis and interpretation, figures, manuscript writing and reviewing.

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