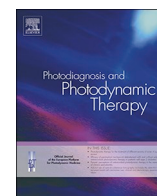




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## Letter to the editor

## Optical theranostics and treatment dosimetry for COVID-19 lung complications: Towards increasing the survival rate of vulnerable populations



The containment of the COVID-19 pandemic has changed the management priorities of the healthcare system and led to the adoption of social isolation measures worldwide. Although these measures slowed the progress of the pandemic, no effective treatments for lung complications of COVID-19 are available for the most susceptible populations including the elderly and individuals with preexisting health conditions. This letter aims to propose optical methods for theranostics of COVID-19 pulmonary infection and associated lung complications and foster research on protocol optimization using these methods.

Pulmonary manifestations of COVID-19 including pneumonia, acute respiratory distress syndrome (ARDS) and lymphadenopathy [1] are identified by using chest X-rays or computed tomography (CT) scans. These manifestations are currently managed through mechanical ventilation and critical care strategies, which are not useful to decrease the death rates of vulnerable groups. Recently ultrasound was proposed as a diagnostic method for COVID-19 infection [2], whereas discussed treatment options include currently approved antivirals and repurposed drugs [3]. However, diagnosis and therapeutic protocols are still not well established for in every medical institution due to the shortage of space and medical equipment capable of minimizing the contact with infected patients. This makes the early detection of lung complications especially difficult and, as a consequence, the COVID-19 infection becomes deadly for vulnerable individuals. Early detection can be achieved with optical methods, which allow molecular-sensitive and real-time analysis of biological tissues [4,5]. Optical methods are suitable for theranostics applications involving on-site treatment and control of its dosimetry based on the infection status. In addition, optical devices can be miniaturized and integrated with existing optical-medical equipment used to measure patient vital signs such as blood oxygenation, heart rate, respiratory rate and temperature.

Early COVID-19 detection and treatment monitoring can be performed by using near-infrared (NIR) spectroscopy to monitor the pulmonary water content, blood oxygenation and heart rate [4,5]. Since blood oxygenation decreases in ARDS patients and the water content increases and in the pneumonia ones, NIR spectroscopy is especially useful in cases with these manifestations. Also, NIR spectroscopy has already been used for diagnosis and treatment monitoring in a variety of conditions in the brain, heart, breast, cervix, lung, skin, and others [6], which opens the opportunity for distant monitoring of patient diseases in addition to those related to COVID-19. Both diagnosis and treatment monitoring rely on devices with integrated classification models based on large datasets containing optical signals associated to the probed disorders [7] and can be performed together with other medical modalities including X-rays, CT scans, ultrasound images and magnetic resonance imaging (MRI) [8]. Other techniques such as gas in scattering media absorption spectroscopy (GASMAS) can be used to determine the oxygen gas concentration inside the lungs and identify respiratory complications [9]. Oxygenation signals of both NIR

spectroscopy and GASMAS can be used to control the light and drug dosimetry for antimicrobial photodynamic therapy (aPDT) with extracorporeal illumination [10]. In this case, spectroscopic signals can be captured from reflectance or reemittance of red and NIR light [9,11] at the same time aPDT controls COVID-19 infections by activating photosensitizer-antibody conjugates targeting coronavirus structures (such as the RBD of the S1 subunit of their spike proteins, which binds to the cellular angiotensin-converting enzyme 2 (ACE2), or S2 subunits mediating the membrane fusion between the virus and infected cell). While most of the lung volume can be illuminated for optical treatments, their monitoring can be performed by probing the outer centimeters of the lung with light sources and detectors placed at multiple positions of the chest, as illustrated in the Fig. 1 below:

The light and drug dosimetry of aPDT and drug repurposing/re-positioning treatments can be adjusted in real-time according to the infection severity, oxygen availability and generated heating due to the light absorption in tissues. If systemic doses can be optically detected, drug repurposing therapies may be based on the feedback provided by the systemic levels of specific drugs instead of only relying on infection severity. Additional guidance on treatment monitoring and effectiveness can also be performed with existing methods such as ultrasound. Finally, microbial inactivation can be performed on tracheally intubated COVID-19 patients by using ultraviolet-C (UV-C) tracheo-bronchial irradiation treatment, especially in ARDS patients. Stawicki [12] proposes a protocol of repeated illumination cycles for short-term infection management by using diffusive optical fibers for light delivery. Proposed application times and UV-C power levels are based on previous microbial inactivation studies for SARS-CoV. UV-C can also be used with photosensitizer-antibody conjugates for both identification of infected lung areas and subsequent treatment. As for the other therapies discussed in this letter, eye and skin protection is necessary for the safety of every individual on the treatment room (e.g. treatment providers and patients on hospital isolation rooms). Radiation doses should not exceed the safety standards for light exposure, especially considering UV-C mutagenic effects.

With the discussed theranostics and treatment dosimetry applications in mind, optical methods can be used to monitor and treat patients from a distance. This ensures the safety of healthcare workers and helps overcoming the pandemic. Even though the current technology is still immature to be applied to control the virus spread, I would like to emphasize the potential of optical theranostics methods to detect and treat COVID-19 pulmonary manifestations, especially on vulnerable populations. Multiple opportunities arise from the flexibility of optical technologies to be automated, incorporated into medical tools (potentially integrated with existing devices), and combined to ultrasound and drug repurposing. To exploit these opportunities, the collaboration among researchers, industry and medical institutions is vital more than ever before.

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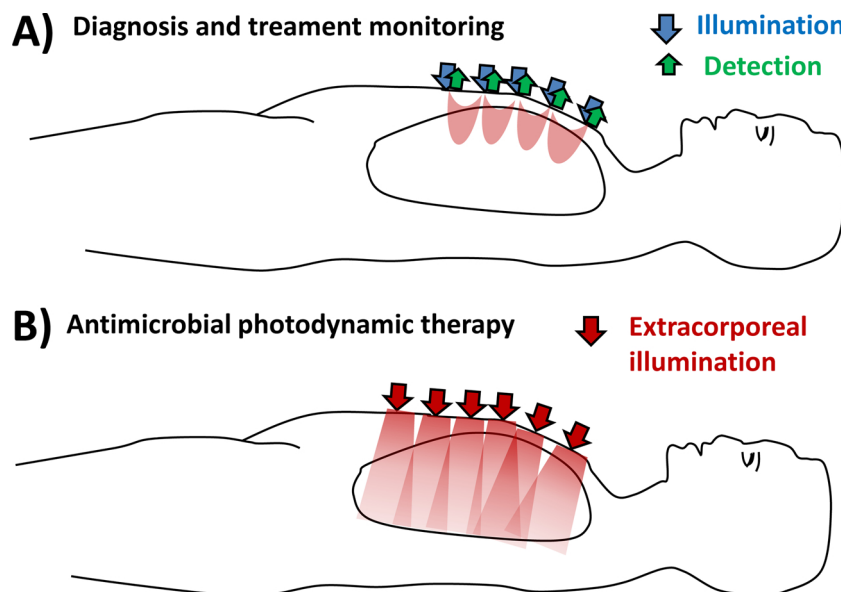


Fig. 1. Geometries for A) optical diagnosis and treatment monitoring (e.g. NIR spectroscopy and GASMAS) and B) antimicrobial photodynamic therapy of lung infection.

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Not required.

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#### Declaration of Competing Interest

No conflicts of interest to declare.

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