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# ER-stress promotes VHL-independent degradation of hypoxia-inducible factors via FBXW1A/βTrCP

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### ABSTRACT

Metabolic adaptation and signal integration in response to hypoxic conditions is mainly regulated by hypoxiainducible factors (HIFs). At the same time, hypoxia induces ROS formation and activates the unfolded protein response (UPR), indicative of endoplasmic reticulum (ER) stress. However, whether ER stress would affect the hypoxia response remains ill-defined. Here we report that feeding mice a high fat diet causes ER stress and attenuates the response to hypoxia. Mechanistically, ER stress promotes HIF-1 $\alpha$  and HIF-2 $\alpha$  degradation independent of ROS, Ca<sup>2+</sup>, and the von Hippel-Lindau (VHL) pathway, involving GSK3 $\beta$  and the ubiquitin ligase FBXW1A/ $\beta$ TrCP. Thereby, we reveal a previously unknown function of the GSK3 $\beta$ /HIF $\alpha$ / $\beta$ TrCP1 axis in ER homeostasis and demonstrate that inhibition of the HIF-1 and HIF-2 response and genetic deficiency of GSK3 $\beta$ affects proliferation, migration, and sensitizes cells for ER stress promoted apoptosis. Vice versa, we show that hypoxia affects the ER stress response mainly through the PERK-arm of the UPR. Overall, we discovered previously unrecognized links between the HIF pathway and the ER stress response and uncovered an essential survival pathway for cells under ER stress.

### 1. Introduction

Oxygen is a vital metabolic substrate for mammalian tissue and cell functions. Maintaining  $O_2$  homeostasis is essential for survival and especially the correct functions of the respiratory, cardiovascular, and hematopoietic systems. Appropriate cellular responses to changes in oxygen tension are mediated by a number of  $O_2$ -sensitive pathways. Therein, activation of three  $\alpha$ -hypoxia-inducible transcription factor subunits (HIFs), of which HIF-1 $\alpha$  and HIF-2 $\alpha$  are the best understood, is considered as a major event of the hypoxia response. When oxygen is available, HIFs are mainly post-transcriptionally destabilized due to proline hydroxylation and subsequent VHL-mediated ubiquitinylation and proteasomal degradation [1,2]. Low oxygen stabilizes HIFs which are then able to translocate to the nucleus, dimerize with the HIF- $\beta$  subunits and bind to hypoxia-response elements (HREs) to enhance the expression of more than 300 target genes, which contribute to glycolysis, angiogenesis, erythropoiesis, cell survival, and cancer [3–5].

The proper function of the endoplasmic reticulum provides the basis

for correct protein folding and glycosylation, fatty acid synthesis as well as calcium homeostasis [6,7]. Improper or defective protein folding is associated with ER stress and may lead to ER-storage diseases [8] such as familial hypercholesterolemia, osteogenesis imperfecta, diabetes insipidus, or congenital hypothyroid goiter [9,10]. To cope with accumulation of unfolded proteins, cells have evolved the unfolded protein response (UPR). The UPR consists of three distinct ER signaling branches namely the inositol-requiring enzyme-1 (IRE1 $\alpha$ ), the protein kinase RNA (PKR)-like ER kinase (PERK), and the activating transcription factor-6 (ATF6) pathway [11]. The IRE1 $\alpha$  is considered to be the most conserved arm of the UPR [12] and leads to activation of X-box binding protein-1 (XBP-1) mRNA splicing [13], whereas activation of PERK leads to eukaryotic translation initiation factor-2 (eIF2) phosphorylation, causing inhibition of protein translation, and activation of transcription factor-4 (ATF-4) synthesis [14]. Finally, all three branches converge by orchestrating a genetic program that aims to maintain ER homeostasis [6,7].

Metabolic diseases such as obesity and type 2 diabetes as well as

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cancer display ER stress [15,16]. While ER stress in obesity is the result of high fat containing Western diets, ER stress in cancer cells is caused by high rates of protein synthesis and folding in the ER [17]. Hence, metabolic usage of fat, and protein folding creates a continuous demand of oxygen. This results in periods of ER stress and hypoxia. Consequently, the cells need to adapt to these conditions. Interestingly, it was proposed that hypoxia signaling and the UPR cooperate to boost expression of HIF target genes as severe hypoxia ( $O_2 \leq 0.02\%$ ) was shown to activate the UPR especially via PERK and IRE1 [18–20]. However, it is unknown whether this cooperation would also work in the opposite direction, *i.e.*, whether ER stress would impact the hypoxia response.

Here we reveal that ER stress attenuates the hypoxia response in vivo and in vitro. Mechanistically, we provide evidence that ER stress blocks accumulation of HIF-1 $\alpha$  and HIF-2 $\alpha$  under hypoxic conditions in a VHLindependent manner. By employing genetic deficiency models for PERK, IRE1 $\alpha$ , VHL, GSK3 $\beta$ , FBXW7, and  $\beta$ TrCP we show that the reduction in HIF-1 $\alpha$  and HIF-2 $\alpha$  by ER stress is mediated via the GSK3 $\beta/\beta$ TrCP axis. Furthermore, experiments in GSK3 $\beta$  deficient cells show that these cells are more resistant towards ER stress that could be overcome by using HIF-1 and HIF-2 inhibitors. In addition, our data demonstrate that hypoxia reduces the ER stress response by affecting mainly the PERK pathway. Altogether, our data provide unprecedented evidence that the ER undergoes a cross talk with the HIF pathway via the GSK3 $\beta/\beta$ TrCP axis under physiologically relevant hypoxic conditions.

### 2. Material and methods

All biochemicals and enzymes were of analytical grade and were obtained from commercial suppliers. Tunicamycin, Thapsigargin, Brefeldin A, and YC-1 were purchased from Enzo Life Science. PT-2385 was purchased from Cayman chemicals. All substances were dissolved in DMSO.

Animal experiments. All the experiments were performed according to protocols approved by the National Animal Experiment Board of Finland. One-month old C57BL/6 N male mice were housed in individual cages at a constant ambient temperature and a 12 h light/dark cycle. Mice were either fed a Western diet containing 15% kJ protein, 43% kJ carbohydrates, 42% kJ fat and 0.21% cholesterol (TD.88 137, ssniff Spezialdiäten GmbH, Soest, Germany) or a control diet with 18% kJ protein, 69% kJ carbohydrates and 13% kJ fat (CD.88 137, ssniff Spezialdiäten GmbH, Soest, Germany), and water *ad libitum*. After 3 months, mice remained either under room air (21% O<sub>2</sub>) or were exposed to mild hypoxia (15% O<sub>2</sub>) and further fed with either Western diet or control diet *ad libitum* for 3 weeks. Thereafter, mice were sacrificed by cervical dislocation, hematocrit was measured by a standard method, and livers were immediately frozen in liquid nitrogen and stored at -70 °C.

Snap-frozen tissues were homogenized in precooled lysis buffer (3 M urea, 25 mM Tris-HCl (pH 7.5), 75 mM NaCl and 0.25% Nonidet P-40) supplemented with complete EDTA-free tablet and centrifuged. The supernatant protein concentrations were determined by the Bradford method (Bio-Rad Protein Assay, Bio-Rad) and stored at -70 °C until further analysis.

**Cell culture.** HepG2 and HEK 293 cells were cultured under normoxia (16% O<sub>2</sub>, 79% N<sub>2</sub> and 5% CO<sub>2</sub> [by volume]) in minimal essential medium (MEM) supplemented with 10% fetal bovine serum (FBS). Colo320 cells were cultured in RPMI 1640 medium supplemented with 10% FBS. HCT116 cells were cultured in Dulbecco's modified Eagle's medium (DMEM) with 10% FBS. The *GSK3β* <sup>+/+</sup> and *GSK3β* <sup>-/-</sup>, *FBXW7*<sup>+/+</sup> and *FBXW7*<sup>-/-</sup> as well as pVHL-defective renal cell carcinoma (RCC4) cells and RCC4 cells with reintroduced pVHL (RCC4/VHL) were obtained and cultured as described [21]. The βTrCP inducible MDA-MB 231 knockdown cells were generated as described [22] and cultured in DMEM supplemented with 10% FBS and stimulated with IPTG 72 h prior experiments. Mouse embryonic fibroblasts *PERK1*<sup>+/+</sup> and *PERK1*<sup>-/-</sup> were cultured in DMEM with 10% FBS and were a gift from D. Ron [14]. The U87 cells deficient for IRE1 $\alpha$  were generated as described [23] and cultured in DMEM supplemented with 10% FBS.

All cell lines were tested Mycoplasma negative by using the MycoAlert Detection Kit (Lonza). In all experiments the number of cell passages used was below 10. For protein extraction, cells were seeded into 6 cm dishes. After a medium change, the cells were treated with tunicamycin (10  $\mu$ g/ml), further cultured either under normoxia or hypoxia (5% O<sub>2</sub>, 90% N<sub>2</sub> and 5% CO<sub>2</sub> [by volume]) as indicated and then harvested. In all experiments control cells were treated with DMSO.

**Plasmid constructs.** The plasmids pGL3-EPO-HRE-Luc [24], pGL3-hPAI-1-796 [25], and pGL3-ERSE1 [26] have been previously described. The various constructs for full length HIF-1 $\alpha$  with mutations in proline 402, proline 564 as well as serine 551, threonine 555, serine 589, threonine 498, serine 502, serine 505, threonine 506 and serine 510 as well as the HIF-2 $\alpha$  constructs with mutations in proline 405, serine 475, serine 477, threonine 481, serine 484 and proline 531 were generated using the QuickChange mutagenesis kit (Promega) as described [21].

Western blot analysis and ubiquitylation assay. Western blot analysis was carried out as described previously [27]. In brief, medium or lysates were collected, and 100 µg of protein was loaded onto a 7.5% or 10% sodium dodecyl sulfate (SDS)-polyacrylamide gel. After electrophoresis and electroblotting onto a nitrocellulose membrane, proteins were detected with monoclonal antibodies against human HIF-1 $\alpha$ (#610959; 1:1000; BD Bioscience), p53 (1C12) (#2524; 1:1000; Cell signaling), VHL (#556347; 1:1000; BD Bioscience,#), ATF-6α (#sc-166 659; 1:1000; Santa Cruz), GSK3β (3D10) (#9832; 1:1000; Cell signaling), Ubiquitin (P4D1) (#646302; 1:3000; BioLegend), V5 (#R960-25; 1:5000; Invitrogen), β-actin (#A5316; 1:5000; Sigma Aldrich) and against  $\alpha$ -tubulin (clone B-5-1-2) (#T5168; 1:10.000; Sigma-Aldrich). Polyclonal antibodies in this study were used against HIF-1 $\alpha$  (#NB100-449; 1:1000; Novus Biologicals), HIF-2 $\alpha$ (#NB100-122; 1:1000; Novus Biologicals), LC3B (#2775; 1:1000; Cell signaling), pGSK3β (Ser9) (5B3) (#9323; 1:1000; Cell signaling), pGSK3α/β (Tyr279/Tyr216) (#ab4797; 1:1000; Abcam), GRP78 (HPA038845; 1:1000; Sigma-Aldrich), PERK (#sc-13073; 1:500; Santa Cruz), pPERK (#sc-32577; 1:500; Santa Cruz), IRE1a (#sc-20790; 1:500; Santa Cruz), FBXW7 (#A301-720A; 1:1000; Bethyl), c-Myc (N-262) (#sc-764; 1:500; Santa Cruz) and against PAI-1 (#1062; 1:200; American Diagnostica). The secondary antibody was either an anti-mouse (#1706516; 1:5000; Bio-Rad) or an anti-rabbit (#1706515; 1:5000; Bio-Rad) immunoglobulin G conjugated to horseradish peroxidase. The ECL system (GE Healthcare, Germany) was used for detection.

For ubiquitylation assays, HEK 293 cells were transfected together with expression vectors encoding either for V5-tagged full length HIF-1 $\alpha$ wild-type (WT), mutant HIF-1α (STSTSSTS), full length HIF-2α wildtype (WT), mutant HIF-2α (SSTS), myc-βTrCP, or ubiquitin. After transfection, cells were treated with proteasome inhibitor MG132 (50 μM; Calbiochem). Four hours later, cells were scraped in lysis buffer (50 mM Tris/HCL, ph 7.5, 150 mM NaCl, 1% Triton X-100, 2 mM EDTA, 2 mM EGTA, 1 mM PMSF and complete protease inhibitor cocktail tablet (Roche). After scraping, lysates were incubated with continuous shaking at 4 °C for 20 min and then they were centrifuged at 12.000 g at 4 °C for 15 min. To recover anti-V5 immunoprecipitates, 150 µg of protein was incubated with 2 µg antibody for 1 h at 4 °C before Sepharose beads (30  $\mu$ l per reaction mixture) were added for 12 h. Thereafter, the beads were washed five times with lysis buffer and recovered, pellets were dissolved in 2 X Laemmli buffer, loaded onto a 7.5% SDS gel, blotted and detected with an antibody against ubiquitin.

**Cell transfection and luciferase assay.**  $4 \times 10^5$  cells per 60 mm dish were transfected as previously described [27,28]. In brief, cells were cotransfected with either 2.5 µg of pGL3-HRE, p5-ATF-6, pGL3-ERSE-1 or pGL3-hPAI-796 and 0.25 µg of a Renilla luciferase construct (pRLSV40, Promega) for normalization. After 24 h cells were exposed to hypoxia and treated with tunicamycin (10 µg/ml) for the

indicated time. The detection of luciferase activity was performed with the Dual- Luciferase<sup>TM</sup> Reporter Gene Assay Kit (Berthold, Pforzheim, Germany).

Live Cell Imaging and bromodeoxyuridine (BrdU) incorporation assays. For real-time quantitative live-cell proliferation analysis, cells were seeded onto 96-well plates (5000 cells/well) in full media. The next day the plate was moved into the IncuCyte® ZOOM System (Essen BioScience) for live phase contrast recording of cells for 24 h in 3 h intervals. For the scratch wound assay, cells were seeded onto 96-well Essen ImageLock Plates (Essen Bioscience) ( $3 \times 10^4$  cells per well) in DMEM with 10% FBS. The following day the confluent cell monolayer was wounded with the 96 PTFE pin Wound Maker (Essen Bioscience), cells were washed with PBS and further cultured in DMEM supplemented with 0.1% FBS. The live wound closure was phase contrastimaged for 24 h in 3 h intervals. In both assays, the confluence analysis was performed using the basic IncuCyte software settings.

For BrdU incorporation cells were seeded onto 96-well plates at a density of 10 000 cells per well and allowed to settle overnight. After a medium change, cells were treated with tunicamycin ( $2 \mu g/ml$ ) and labeled with bromodeoxyuridine (BrdU) for 24 h and the BrdU cell proliferation kit (Calbiochem) was used for detection.

Analysis of cell cycle and cell death. Cell cycle and cell apoptosis were measured in synchronized cells by Annexin-V-FLOUS and propidium iodide (PI) staining kits (Roche/Sigma-Aldrich, Helsinki, Finland) according to the manufacturer 's protocol. For synchronization, confluent cells were kept without medium change for 24 h. Release into the cell cycle was achieved by subculture and incubation with fresh serum with or without tunicamycin (10 µg/ml) for indicated time points. Apoptosis and cell cycle parameters were analyzed by flow cytometry (BD FACSAria™ III cell sorter).

**Measurement of newly synthesized protein.**  $4 \times 10^5$  cells per 60 mm dishes were treated with cycloheximide (CHX; 50  $\mu$ M) for 4 h. Thereafter, the CHX containing medium was replaced with fresh medium containing tunicamycin (10  $\mu$ g/ml) and MG132 (50  $\mu$ M) to prevent the degradation of newly synthesized protein. After 4 h, cells were harvested, and protein levels were measured by immunoblot analysis.

**Measurement of ROS and intracellular Ca<sup>2+</sup>**. Fluorescence measurements were performed in 96-well plates (15.000 cells/well). Measuring ROS was performed by using the non-fluorescent dye dichlorodihydrofluorescein diacetate (H<sub>2</sub>DCF-DA). Cells were treated with tunicamycin (5 µg/ml) and Mn(III)TBAP (20 µM) for 4 h and then stimulated with H<sub>2</sub>O<sub>2</sub> (500 µM) for additional 30 min. Thereafter, cells were washed with 1 × PBS and 10 µM H<sub>2</sub>DCF-DA was added for 30 min at 37 °C in dark. Intracellular Ca<sup>2+</sup> measurements were performed by using the calcium indicator Fluo-4 (Invitrogen) according to manufacture's protocol. Cells were treated with Tu (5 µg/ml), A23187 (5 µM), Bapta (5 µM) and Bapta-AM (5 µM) for 4 h and then stimulated with Fluo-4 (2 µM) for additional 30 min. Fluorescence in each well was measured with a Tecan fluorescence plate reader at 37 °C with suitable wavelenght for DCF (Ex 495 nm, Em 529 nm) and Fluo-4 (Ex 494 nm, Em 516 nm).

**RNA preparation, reverse transcription, XBP1 splicing assay and quantitative real-time PCR.** Isolation of total RNA was performed using RNeasy Mini Kit (Qiagen, Hilden, Germany) following the manufacturer's instructions. Total RNA concentration and purity were measured on a NanoDrop spectrophotometer. One µg of total RNA was used for cDNA synthesis using iScript cDNA Synthesis Kit (Bio-Rad, München, Germany).

For XBP1 splicing cDNA was amplified using a pair of primers corresponding to nucleotides 412–431 (5'-CCTTGTAGTTGAGAACCAGG-3') and 834–853 (5'-GGGGCTTGGTATATATGTGG -3') of XBP1 [29]. The unspliced X-box binding protein 1 (XBP1u) and the spliced X-box binding protein 1 (XBP1s) mRNAs generate a 442-bp and a 416-bp PCR product, respectively. These fragments were resolved on 2.5% agarose gels to distinguish the PCR products of XBP1u and XBP1s.  $\beta$ -actin (forward 5'- GTTGTCGACGACGAGGG -3', reverse 5'- GCACAGAGCCTCG CCTT-3') was used as a loading control.

Quantitative real-time PCR was performed in an Applied Biosystems 7500 Real-Time PCR System (Life Technologies, Helsinki, Finland) by using an Applied Biosystem Power SYBR® green PCR master mix (Life Technologies). The following primer sets were used: human LDHA-F (5'-TTGACCTACGTGGCTTGGAAG-3'), human LDHA-R (5'-GGTAACG-GAATCGGGCTGAAT-3'), human GLUT1-F (5'-TCTGGCAT-CAACGCTGTCTTC-3'), human GLUT1-R (5'-CGATACCGGAGCCAA TGGT), human CITED2-F (5'-CATATGGTCTGCCATTTCCA-3'), human CITED2-R (5'-AAGGTCCCCTCTATGTGCTG-3'), human GPR157-F (5'-ACAGTGATGACCAACGGGA-3'), human GPR157-R (5' -CCATTGCGCTCTACTTGTACC-3'), human HIF-1α-F (5'-ATCCATGT-GACCATGAGGAAATG-3'), human HIF-1α-R (5'-TCGGCTAGTTAGGG-TACACTTC -3'), human PAI-1-F (5'-AGCTCCTTGTACAGATGCCG-3'), human PAI-1-R (5'-ACAACAGGAGGAGAAACCCA-3'), human  $\beta$ -actin-F (5'-GTTGTCGACGACGAGCG-3') and human-β-actin-R (5'-GCACA-GAGCCTCGCCTT-3'). The experiments for each data point were carried out in triplicate. The relative quantification of gene expression was determined using the  $\Delta\Delta$ Ct method [30].

Statistical Analysis. Densitometry data were plotted as fold induction of relative density units, with the zero-value absorbance in each figure set arbitrarily to 1 or 100%. Statistical comparisons of absorbance differences were performed by the Mann-Whitney test (Statview 4.5, Abacus Concepts, Berkeley, CA), and p values  $p \leq 0.05$  were considered significant. Luc values presented are means + SEM. Results were compared by ANOVA for repeated Luc measurements followed by the Newman-Keuls test. A probability level  $p \leq 0.05$  was accepted as significant.

### 3. Results

### 3.1. A high-fat diet blocks the hypoxia-dependent increase in hematocrit and GRP78 in mice

A high fat diet is well known to result in ER stress [31,32]. To test whether ER stress caused by a high fat diet has an impact on the hypoxia response, we exposed mice fed with either a high-fat diet or a standard diet for three months to mild hypoxia (15%  $O_2$ ) for a duration of three weeks. To assess the hypoxia and ER stress response we measured the increase in hematocrit and glucose-related protein 78 (GRP78, (also known as BIP and HSP5A)) expression, respectively. As expected, we found that mice fed a standard diet displayed an increased hematocrit upon exposure to hypoxia. However, the high-fat diet mice did not respond with an induction of the hematocrit when exposed to hypoxia (Fig. 1A). Furthermore, the hematocrit response was also reflected on HIF-1 $\alpha$  protein levels (Fig. 1B). In line with previous studies [33,34] feeding the high fat diet under normoxia was accompanied by ER stress as exemplified by the increased expression of GRP78 in the liver of mice. Interestingly, simple exposure of standard diet fed mice to hypoxia was also able to increase GRP78 levels. By contrast, this response was blunted upon exposure of the high-fat diet mice to hypoxia (Fig. 1C and D). Together, these data suggest existence of a physiologically relevant mechanistic link between the ER stress and the hypoxia response.

### 3.2. ER stress inhibits hypoxia-dependent HIF $\alpha$ protein accumulation and HIF target gene expression

Next, we aimed to explore this link in more detail. As the hypoxiapromoted increase in hematocrit is commonly the result of an enhanced erythropoiesis that is primarily HIF-2 $\alpha$  driven, we decided to examine whether ER-stress affects the response to hypoxia of both, HIF-1 $\alpha$  and HIF-2 $\alpha$  at the cellular level. To do this, we first used three



Fig. 1. A high fat diet reduced the hypoxia-dependent induction of hematocrit in mice

(A) Hematocrit values from C57BL/6 N male mice that were fed with a high fat diet (HFD; 42% fat) or control diet (13% fat) for 3 months and housed in individual cages for additional 3 weeks under normoxia (N, 21% O<sub>2</sub>) or mild hypoxia (H, 15% O<sub>2</sub>); 6 mice per group. (B,D) Representative Western Blots. 100 µg of total liver protein from twomice per group housed as in A were analyzed by Western blot with antibodies against HIF-1 $\alpha$ , GRP78 and  $\alpha$ -tubulin. (C) GRP78 protein levels measured from the mice housed as in A. \*significance 21% O<sub>2</sub> vs 15% O<sub>2</sub>, \*\*significance 21% O<sub>2</sub> vs 21% O<sub>2</sub> HFD, <sup>#</sup>significance 15% O<sub>2</sub> vs 15% O<sub>2</sub> HFD, p  $\leq$  0.05.

substances differently causing ER-stress and investigated HIF-1 $\alpha$  in HepG2 cells exposed to hypoxia for 4 h and 24 h. Tunicamycin (Tu) is the most common agent used to study ER stress and blocks *N*-linked glycosylation, while Brefeldin A (Br.A) inhibits ER-Golgi protein transport, and Thapsigargin (Th) the ER Ca ATPase. We found that treatment of cells with Tu, BrA, and Th for 4 h as well as for 24 h completely abolished the induction of HIF-1 $\alpha$  protein levels under hypoxia (Suppl. Fig. S1). We next incorporated two other different cancer cell lines (HCT116, and Colo320) into our analyses to exclude that the effect of ER-stress caused by Tu on HIF-1 $\alpha$  protein levels is cell type specific. We found that Tu abolished the hypoxia mediated increase in HIF-1 $\alpha$  protein levels irrespective of the cell type. Furthermore, we found that Tu also abolished HIF-2 $\alpha$  induction under hypoxia in the three different cell lines (Fig. 2A and B).

We next measured whether the ER stress mediated decrease in HIF $\alpha$  levels also affects expression of HIF1/2 target genes. By using quantitative real-time PCR we found that neither hypoxia nor treatment with Tu changed the *HIF1A* mRNA levels, whereas treatment with Tu abrogated the hypoxia-dependent induction of the HIF-1 target genes *LDHA*, *SLC2A1* (*GLUT1*), and the HIF-2 targets *CITED2*, *GPR157* and *SERPINE1* (*PAI-1*) (Fig. 2C). Similarly, this response was also visible when studying HIF-1 and HIF-2 transcriptional activity with luciferase gene constructs containing either three hypoxia response elements (HRE) in front of the SV40 promoter or the *SERPINE1* (*PAI-1*) promoter (Suppl. Fig. S2A) as well as when measuring PAI-1 protein levels under normoxia and hypoxia (Fig. 2D and E).

#### 3.3. HIF $\alpha$ downregulation by tunicamycin is UPR independent

Previous studies have shown that severe hypoxia/anoxia and UPR signaling appear to merge at the UPR branches of PERK and IRE1 [18–20] suggesting that tunicamycin caused differences in hypoxic HIF $\alpha$  levels could involve the UPR via PERK and IRE1.

To probe into this, we first examined cells deficient for either PERK or IRE1 $\alpha$  and tested whether this would counteract the Tu effects on HIF $\alpha$ s. We found that PERK-deficient cells displayed higher levels of HIF-1 $\alpha$  already under normoxic control conditions when compared to their wild-type counterparts. By contrast, HIF-2 $\alpha$  levels were about the same in normoxic control and PERK-deficient cells. Exposure of cells to hypoxia induced the two HIF $\alpha$  proteins which was dampened in the presence of Tu, irrespective of whether PERK was present or not (Fig. 3A and B). Likewise, absence of IRE1 $\alpha$  did not overcome the effect of Tu on HIF $\alpha$  accumulation under hypoxic conditions (Fig. 3C and D). Together, these data indicate that Tu decreases hypoxic HIF $\alpha$  induction independently of PERK, and IRE1 $\alpha$ .

To further substantiate this, we next measured UPR activation by Tu in cells cultured under normoxia and hypoxia for 4 h. To do this, we measured GRP78, phospho-PERK, total PERK, ATF-6 $\alpha$  and IRE1 $\alpha$  protein levels by Western blot. In line with previous reports [35,36] we found that Tu induced a strong induction of GRP78 levels; no differences were observed when comparing normoxia and hypoxia (Fig. 3E and F). Further, hypoxia alone and treatment with Tu enhanced phospho-PERK levels; thereby, hypoxia and Tu had rather a slight additive effect. In addition, Tu increased ATF-6 $\alpha$  but slightly decreased IRE1 $\alpha$  levels; no differences between normoxia and hypoxia were noted (Fig. 3E and F).

In line with the increased ATF- $6\alpha$  levels we found that treatment with Tu upregulated an ER stress responsive luciferase reporter by about 3-fold under normoxia and hypoxia (Suppl. Fig. S2A). Although IRE1 $\alpha$  levels were slightly reduced by Tu, we found that this did not affect Tu induced XBP-1 splicing downstream of IRE $\alpha$  and that it functioned independent of the oxygen tension (Fig. 3G). In addition, exposure of cells to different hypoxia regiments (either 5% or 3% O<sub>2</sub>) for varying times had no effect on XBP-1 splicing, while treatment with Tu induced XBP-1 splicing independent of the oxygen tension (Suppl. Fig. S2B). Together, these data are in agreement with the proposal that Tu activates all arms of the UPR response independent of the oxygen tension.

## 3.4. HIF $\alpha$ downregulation by tunicamycin occurs independent of Ca<sup>2+</sup>, and reactive oxygen species (ROS)

Earlier findings indicated also a role of Ca<sup>2+</sup> and ROS in the UPR [6, 37] and in HIF signaling [38–40]. Accordingly, we next tested whether Tu affects Ca<sup>2+</sup> and ROS levels and whether they may be involved in the Tu-mediated HIF $\alpha$  decrease.

First, we examined whether Tu has an effect on intracellular  $Ca^{2+}$  levels. In agreement with previous reports [38,39] we found that the calcium ionophore A23187 increased cellular  $Ca^{2+}$  levels. Furthermore, the cell permeable  $Ca^{2+}$  chelator BAPTA-AM, but not the non-permeable BAPTA, decreased cellular  $Ca^{2+}$  levels (Fig. 4A) also in line with previous data [38,39]. However, intracellular  $Ca^{2+}$  levels remained



Fig. 2. ER stress inhibits hypoxia-dependent induction of HIF-1 $\alpha$  and HIF-2 $\alpha$  as well as HIF target gene expression

(A) HepG2, HCT 116 and Colo320 cells were cultured under normoxia (16%  $O_2$ ) for 24 h, then treated with Tu (10  $\mu$ g/ml) and further cultured either under normoxia or hypoxia (5% O2) for 4 h. HIF-1α or HIF-2α protein levels measured by Western blot under normoxia (16% O<sub>2</sub>) were set to 1. \*significance 16% O<sub>2</sub> vs 5% O<sub>2</sub>; \*\*significance 16% O<sub>2</sub> vs 16% O<sub>2</sub> + Tu,  $^{\#}$ significance 5% O<sub>2</sub> vs 5%  $O_2 + Tu$ ,  $p \le 0.05$ , n = 3. (B) Representative Western Blots. 100 µg of total protein were analyzed by Western blot with antibodies against HIF-1 $\alpha$ , HIF-2 $\alpha$ and  $\alpha$ -tubulin. (C) HepG2 cells were cultured under normoxic (16% O<sub>2</sub>) conditions for 24 h, then treated with Tu (10  $\mu$ g/ml) and further cultured either under normoxia or hypoxia (5% O2) for 6 h. Relative HIF-1a, LDHA, GLUT1, CITED2, GPR157 and PAI-1 mRNA levels were evaluated by quantitative realtime PCR relative to β-actin mRNA in triplicate samples. \*significance 16% O2 vs 5% O<sub>2</sub>, \*\*significance 16% O<sub>2</sub> vs 16% O<sub>2</sub> + Tu,  $^{\#}$ significance 5% O<sub>2</sub> vs 5%  $O_2$  + Tu, p  $\leq$  0.05, n = 4. (D) HepG2 cells were treated as in C and PAI-1 protein levels were measured by Western blot analysis. Protein levels under 16% O2 were set to 1. \*significance 16% O2 vs 5% O2, \*\*significance 16% O2 vs 16%  $O_2$  + Tu, <sup>#</sup>significance 5%  $O_2$  vs 5%  $O_2$  + Tu,  $p \le 0.05$ , n = 3. (E) Representative Western blot.

unchanged upon exposure of cells with Tu suggesting that the Tu effect on HIF $\alpha$  proteins is not Ca<sup>2+</sup> mediated. Indeed, when testing whether chelation of Ca<sup>2+</sup> with BAPTA-AM would restore HIF $\alpha$  levels in the presence of Tu, we found that HIF $\alpha$  protein levels remained reduced in the presence of Tu when compared to BAPTA-AM treatment alone (Fig. 4B, C, D). Together, these data suggest that Ca<sup>2+</sup> is involved in HIF $\alpha$  regulation but argue against a major role of Ca<sup>2+</sup> in the Tu-mediated HIF $\alpha$  decrease.

Next, we assessed ROS and found that treatment of cells with Tu decreased ROS levels whereas  $H_2O_2$  increased ROS levels in line with expectations (Fig. 4E). In addition, the well known antioxidant Mn(III) TBAP decreased ROS in a similar manner as Tu. Both, the Tu- and Mn (III)TBAP-mediated ROS decrease was counteracted by the simultaneous addition of  $H_2O_2$  (Fig. 4E) suggesting that  $H_2O_2$  may also counteract the Tu effect on HIF $\alpha$  levels.

To examine this, we treated cells with Tu and/or  $H_2O_2$  and measured HIF $\alpha$  levels under normoxia and hypoxia. As before, treatment with Tu alone abolished the hypoxia mediated increase in HIF $\alpha$  protein levels. According to expectations [41,42], treatment of cells with  $H_2O_2$  increased HIF-1 $\alpha$  levels under normoxia whereas  $H_2O_2$  did not induce but rather dampened HIF-1 $\alpha$  protein induction under hypoxia. On the contrary, HIF-2 $\alpha$  protein levels were enhanced upon  $H_2O_2$  treatment of cells with Tu and  $H_2O_2$  abolished the induction of both HIF $\alpha$  in response to  $H_2O_2$  under normoxia and did not antagonize the Tu effect under hypoxia (Fig. 4F, G, H). Together, these data argue against a major role of ROS in mediating the Tu effects on HIF $\alpha$  proteins.

### 3.5. Tunicamycin downregulates $HIF\alpha$ proteins in a VHL-independent manner

As Tu did not change *HIF1A* mRNA expression (Fig. 2C) we next examined, whether the reduced HIF $\alpha$  protein levels after Tu treatment is due to changes in protein synthesis and protein half-life. To assess the protein synthesis, we first blocked protein synthesis by treating cells with cycloheximide (CHX) and then restarted protein synthesis by replacing the CHX-containing medium with fresh medium supplemented with Tu and MG132; the latter to prevent degradation of newly synthesized protein. This allows to follow the newly synthesized proteins and to analyze their levels by Western blot. We found that Tu left HIF $\alpha$  protein synthesis unaltered; on the contrary, Tu in the presence of MG132 led to slightly induced HIF $\alpha$  protein levels (Fig. 5A and B). In addition, we performed half-life measurements after blocking de-novo protein synthesis with cycloheximide and found that Tu reduced the half-life of both HIF-1 $\alpha$  and HIF-2 $\alpha$  (Fig. 5C, D, E) indicating that Tu acts posttranslationally via destabilization of HIF $\alpha$ s.

As oxygen-dependent HIFa degradation is mainly regulated through hydroxylation of two proline residues, Pro 402 and Pro 564 in HIF-1 $\alpha$ , as well as Pro 405 and Pro 531 in HIF-2 $\alpha$ , that is followed by binding of the von Hippel-Lindau protein (VHL) [43] we next examined whether this pathway is involved in the Tu-mediated HIFa degradation. Therefore, we used VHL-defective RCC4 cells (-VHL) and RCC4 cells with reintroduced VHL (+VHL). In line with previous reports [44], we found that cells lacking VHL displayed similar high levels of the two HIFa proteins under normoxia and hypoxia. However, treatment with Tu reduced the HIF-1 $\alpha$  and HIF-2 $\alpha$  levels under both normoxia and hypoxia by about 50% suggesting that the Tu effect is mainly O<sub>2</sub> and VHL independent (Fig. 6A and B). Reintroducing VHL into the RCC4 cells reconstituted the hypoxia-dependent induction of the HIFa proteins under control conditions, but as before, treatment with Tu abolished hypoxia-dependent HIFa induction (Fig. 6A and B). Conclusively, the Tu-dependent decrease in HIFa levels is O2 and VHL independent, and other mediators than VHL likely contribute to the decrease of HIFα protein levels in response to Tu.



**Fig. 3.** HIFα downregulation by tunicamycin is UPR independent

(A. C)  $PERK^{+/+}$ .  $PERK^{-/-}$ . U87 scrambled (Scr) and U87 IRE1a knock down cells were cultured under normoxic conditions (16%  $O_2$ ) for 24 h, then treated with Tu (10 µg/ml) and further cultured either under normoxia or hypoxia (5%  $O_2$ ) for 4 h. HIF-1 $\alpha$  or HIF-2 $\alpha$ levels measured under normoxia (16% O2) were set to 1. \*significance 16% O2 vs 5% O2, \*\*significance 16%  $O_2$  vs 16%  $O_2$  + Tu,  $^{\#}$ significance 5%  $\mathrm{O}_2$  vs 5%  $\mathrm{O}_2$  + Tu, p  $\leq$ 0.05, n = 3. (B, D) Representative Western blot of total proteins analyzed with antibodies against HIF-1α, HIF-2α, total PERK, IRE1 $\alpha$  and  $\alpha$ -tubulin. (E) HepG2 cells were treated with Tu (10 µg/ml) and further cultured for 4 h under normoxic (16% O2) or hypoxic (5% O<sub>2</sub>) conditions. The GRP78, phospho PERK (pPERK), total PERK (PERK), ATF-6 $\alpha$ , IRE1 $\alpha$ , and  $\alpha$ -tubulin protein levels were measured by Western blot analysis. In each experiment the protein levels under 16% O<sub>2</sub> were set to 1. \*significance 16% O<sub>2</sub> vs 5% O<sub>2</sub>, \*\*significance 16% O<sub>2</sub> vs 16% O<sub>2</sub>  $\leq$  0.05, n = 3. (F) Representative Western blots of total protein analyzed with antibodies against GRP78, pPERK, PERK, ATF- $6\alpha$ , IRE1 $\alpha$  and  $\alpha$ -tubulin. (G) HEK 293 cells were cultured under normoxia (16% O2) for 24 h, then treated with Tu (10 µg/ml) and further cultured either under normoxia or hypoxia (5% O<sub>2</sub>) for 4 h. Total RNA was extracted, and XBP1 mRNA was detected by RT-PCR. XBP1u was observed as a 442-bp band, and XBP1s was observed as a 416-bp band.  $\beta$ -actin served as a loading control.

## 3.6. GSK3 $\beta$ is involved in the tunicamycin-dependent downregulation of HIF $\alpha$ proteins

Since it was previously shown that GSK3 $\beta$  can promote degradation of HIF-1 $\alpha$  independent of VHL [44] we next tested its involvement in the Tu-dependent HIF $\alpha$  degradation by using *GSK3\beta* <sup>+/+</sup> cells and GSK3 $\beta$ -deficient cells (*GSK3\beta* <sup>-/-</sup>). As expected, hypoxia induced HIF $\alpha$  protein levels when *GSK3\beta* <sup>+/+</sup> cells were used and treatment with Tu almost completely abolished this induction. Similiarly, hypoxia induced HIF $\alpha$  proteins in *GSK3\beta* <sup>-/-</sup> cells, albeit the cells exhibited already higher HIF $\alpha$  levels under control conditions. However, when *GSK3\beta* <sup>-/-</sup>

cells were treated with Tu HIF $\alpha$  levels were no longer reduced; by contrast, Tu even slightly induced HIF $\alpha$  protein levels under both normoxia and hypoxia (Fig. 6C and D). In line, treatment of cells with Tu increased GSK3 $\beta$  activity as indicated by reduced phosphorylation at serine 9 and increased phosphorylation at tyrosin 216 (Suppl. Fig. S3).

To further examine whether the effects of Tu on HIF $\alpha$  stability are GSK3 $\beta$ -dependent, we transfected cells with full length HIF-1 $\alpha$  and HIF-2 $\alpha$  constructs in which either the proline hydroxylation residues (PP) or the GSK3 $\beta$  phosphorylation sites T498, S502, S505, T506, S510, S551, T555, and S589 (STSTSSTS) in HIF-1 $\alpha$  [44,45] or S475, S477, T481, S484 (SSTS) in HIF-2 $\alpha$  were substituted with alanine, respectively.



Fig. 4. HIF $\alpha$  downregulation by tunicamycin occurs independent of  $\text{Ca}^{2+}$  and ROS

(A) HEK 293 cells were treated with Tu (5 µg/ml). A23187 (5 µM), Bapta (5 µM) and Bapta-AM (5 µM) for 4 h and then stimulated with Fluo-4 (2  $\mu$ M) for additional 30 min. Fluo-4 fluorescence in each well was measured with a Tecan fluorescence plate reader at 37 °C with exitation wavelenght 494 nm and emission wavelenght 516 nm \*significant difference non-treated vs A23187, \*\*significant difference nontreated vs Bapta-AM, \*\*\*significant difference nontreated vs Bapta-AM + Tu,  $p \leq 0.05$ . Measurements were done in triplicates and each well was measured  $4 \times 4$ , n = 4. (**B**, **C**) HEK 293 cells were cultured under normoxia (16% O2) for 24 h, then treated with Tu (10  $\mu$ g/ml), Bapta (5  $\mu$ M) and Bapta-AM (5  $\mu$ M) alone or in combination and further cultured either under normoxia or hypoxia (5% O2) for 4 h. HIF-1a or HIF-2a protein levels measured by Western blot under normoxia (16% O<sub>2</sub>) were set to 1. \*significance 16%  $O_2$  vs 16%  $O_2$  + Bapta-AM, \*\*significance 5%  $O_2$  vs 5% O<sub>2</sub> + Bapta-AM, \*\*\*significance 16% O<sub>2</sub> + Bapta-AM vs 16% O<sub>2</sub> + Bapta-AM + Tu, <sup>#</sup>significance 5% O<sub>2</sub> + Bapta-AM vs 5%  $O_2$  + Bapta-AM + Tu, p < 0.05, n = 3. (D) Representative Western blot of total proteins analyzed with antibodies against HIF-1 $\alpha$ , HIF-2 $\alpha$  and α-tubulin. (E) HEK 293 cells were pre-treated with Tu (5  $\mu$ g/ml) and Mn(III)TBAP (20  $\mu$ M). After 4 h, cells were treated with H<sub>2</sub>O<sub>2</sub> (500 nM) for 30 min and then stimulated with DCF (10 µM) for additional 30 min. DCF fluorescence in each well was measured with a Tecan fluorescence plate reader at 37 °C with exitation wavelenght 495 nm and emission wavelenght 529 nm \*significant difference non-treated vs H<sub>2</sub>O<sub>2</sub>, p < 0.05. Measurements were done in triplicates and</p> each well was measured 4  $\times$  4, n = 4. (F, G) HEK 293 cells were cultured under normoxia (16% O2) for 24 h, then treated with Tu (10  $\mu$ g/ml) and H<sub>2</sub>O<sub>2</sub> (500 nM) alone or in combination and further cultured either under normoxia or hypoxia (5% O<sub>2</sub>) for 4 h. HIF-1a or HIF-2a protein levels measured by Western blot under normoxia (16% O<sub>2</sub>) were set to 1. \*significance 16% O2 vs 5% O2, \*\*significance 5% O2 nontreated vs 5% O2 treated, \*\*\*significance 16% O2 non-treated vs 16%  $O_2$  treated,  $p \le 0.05$ , n = 3. (H) Representative Western blot of total proteins analyzed with antibodies against HIF-1 $\alpha$ , HIF-2 $\alpha$  and  $\alpha$ -tubulin.

When using the proline hydroxylation resistant PP mutants treatment with Tu still reduced HIF $\alpha$  protein levels, whereas Tu did not have an effect on the HIF $\alpha$  constructs when the GSK3 sites were mutated (Fig. 6E and F).

Together, these findings indicate that  $GSK3\beta$  is involved in the tunicamycin-dependent downregulation of HIF $\alpha$  proteins.

### 3.7. Tunicamycin downregulates HIF-1 $\alpha$ in a $\beta$ TrCP-dependent manner

GSK3 is known to recruit two major ubiquitin E3-ligases to promote proteasomal degradation of the phosphorylated substrate. One is the tumor suppressor FBXW7 and the other is beta-transducin repeat containing protein ( $\beta$ TrCP) also known as FBXW1A [46]. To test whether FBXW7 can act as a ubiquitin ligase that is involved in HIF $\alpha$  degradation in response to Tu, we used FBXW7 wild type (*FBXW7*<sup>+/+</sup>) and FBXW7-deficient cells (*FBXW7*<sup>-/-</sup>). Under hypoxia the absence of FBXW7 increased HIF $\alpha$  by about 2-fold compared to wild type cells, but loss of FBXW7 did not abrogate the downregulation of HIF $\alpha$  after Tu treatment (Fig. 7A and B). Thus, FBXW7 appeared not to be involved in the degradation of HIF $\alpha$  in response to Tu.

We next investigated whether  $\beta TrCP$  is involved in HIF $\alpha$  down-regulation in response to Tu treatment by using an IPTG-inducible  $\beta TrCP$  knockdown cell line. When we treated those cells with Tu under both normoxia and hypoxia we found that Tu no longer downregulates HIF $\alpha$ 



**Fig. 5.** Tunicamycin shortens HIFα half-life but does not affect translation **(A)** HEK 293 cells were cultured for 4 h under normoxia (16% O<sub>2</sub>) or hypoxia (5% O<sub>2</sub>) in the presence of cycloheximide (CHX; 50 μM). Then, protein synthesis was restarted by a medium change (MC, fresh medium without cycloheximide) but containing MG132 (50 μM) to prevent degradation of newly synthesized proteins. Culture was continued under normoxia (16% O<sub>2</sub>) or hypoxia (5% O<sub>2</sub>) in the absence or presence of Tu (10 μg/ml) for 4 h. In each experiment HIF-1α or HIF-2α levels under 16% O<sub>2</sub> were set to 1. n = 3. (**B**, **E**) Representative Western blot of total protein analyzed with antibodies against HIF-1α, HIF-2α and α-tubulin. (**C**, **D**) HEK 293 cells were cultured under hypoxia 5% O<sub>2</sub> for 24 h and after inhibition of protein synthesis with cycloheximide (10 μg/ml), the HIF-1α or HIF-2α protein half-life was measured by Western blot analysis. The HIF-1α or HIF-2α protein levels at 0 min were set to 100%. \*significant differences non-treated (NT) vs Tu, p ≤ 0.05, n = 3.

levels upon loss of  $\beta$ TrCP, whereas Tu still reduced HIF $\alpha$  levels in the presence of  $\beta$ TrCP (Fig. 7C and D and Suppl. Fig. S4). In line, overexpression of  $\beta$ TrCP reduced HIF-1 $\alpha$  protein levels in HEK 293 cells (Fig. 7E and F).

To substantiate the finding that  $\beta$ TrCP is able to promote HIF $\alpha$  ubiquitinylation in concert with GSK3, we performed ubiquitinylation assays. We found that  $\beta$ TrCP increased ubiquitinylation of wildtype HIF-1 $\alpha$  and HIF-2 $\alpha$  (Fig. 7G) whereas lack of GSK3 $\beta$  phosphorylation sites in

HIF-1 $\alpha$  (STSTSSTS) as well as HIF-2 $\alpha$  (SSTS) did not respond to  $\beta$ TrCPdependent ubiquitinylation (Fig. 7G). Together, these data suggest that  $\beta$ TrCP is involved in the proteasomal degradation of HIF $\alpha$ s after Tu treatment.

### 3.8. Tunicamycin affects cell proliferation and migration via the GSK3 $\beta$ -HIF axis

Treatment of cells with Tu is supposed to inhibit proliferation and migration of hepatocellular carcinoma, colon cancer, and microvascular endothelial cells [47–49]. Therefore, we investigated to which extent the GSK3 $\beta$ -HIF axis is involved in cell proliferation, and migration as well as in apoptosis regulation. First, we tested whether Tu affects the cell cycle and found that treatment of *GSK3* $\beta$  <sup>+/+</sup> cells with Tu keeps more cells in the *S*-phase, while the number of cells in G2 decreases. In line, when measuring BrdU incorporation into newly synthesized DNA we found that Tu reduces BrdU incorporation in *GSK3* $\beta$  <sup>+/+</sup> cells (Fig. 8A and B). Interestingly, Tu did neither affect cell cycle distribution nor BrdU incorporation in *GSK3* $\beta$  <sup>-/-</sup> cells, although absence of GSK3 $\beta$  increased the number of cells in the G1 phase and reduced BrdU incorporation when compared to their wild-type counterparts (Fig. 8A and B).

Following apoptosis by flow cytometry in *GSK3* $\beta$  <sup>+/+</sup> cells showed that treatment with tunicamycin for 24 h increased the number of early apoptotic (EA) and late apoptotic cells (LA) (Fig. 8C and D). Further, we found that hypoxia, but not Tu increased LC-3I and LC-3II levels, suggesting that Tu likely does not affect autophagic flux (Suppl. Fig. S5). On the contrary, there were no significant changes in the number of apoptotic cells upon treatment of  $GSK3\beta^{-/-}$  cells with Tu, although the mere absence of GSK3<sup>β</sup> appeared to increase apoptosis when comparing these cells with the  $GSK3\beta^{+/+}$  cells, which is in line with earlier studies [50] (Fig. 8E and F). These data suggest that loss of GSK3 $\beta$  leads to a more resistant phenotype against tunicamycin treatment that may involve  $HIF\alpha$  and which should be reflected on cell proliferation and migration. To substantiate this, we performed live-cell analyses (Incucyte®) with *GSK3* $\beta^{+/+}$  and *GSK3* $\beta^{-/-}$  cells that were treated with Tu alone or in combination with either the HIF-1 $\alpha$  inhibitor YC-1 or the HIF-2a inhibitor PT-2385. As seen before, Tu significantly decreased proliferation in  $\textit{GSK3}\beta^{\;+/+}$  cells, whereas Tu had no significant effect in  $GSK3\beta^{-/-}$  cells (Fig. 9A). However, when  $GSK3\beta^{-/-}$  cells were treated with the HIF $\alpha$  inhibitors YC-1 or PT-2385 alone or in combination with Tu, proliferation significantly decreased in  $GSK3\beta^{-/-}$  cells (Fig. 9A and Suppl. Fig. S6A). Similarly, when performing a wound healing assay we found that treatment with Tu reduced the migration rate of GSK3 $\beta$  <sup>+/+</sup> cells (Fig. 9B and C), whereas Tu treatment had almost no effect on the migration rate of  $GSK3\beta^{-/-}$  cells (Fig. 9D and E). Again, and despite  $GSK3\beta^{-/-}$  cells migrated slower than the wild-type cells, Tu did not affect the wound healing capacity of these cells wheras YC-1 and PT-2385 rendered these cells sensitive to Tu treatments and inhibited migration (Fig. 9D and E and Suppl. Figs. S6B and C). Altogether, these data suggest that the GSK3β-HIFα axis adds to the regulation of the UPR in response to ER stress.

#### 4. Discussion

The current investigation provides novel insights that link ER stress and the hypoxia response. Thereby, we present evidence that ER stress and activation of the UPR under hypoxic conditions attenuate HIFdependent transcription. At the molecular level we show that activation of GSK3 $\beta$  by UPR agonists is followed by recruitment of the ubiquitin ligase  $\beta$ TrCP to HIF $\alpha$  subunits that results in their subsequent degradation. Consequently, biological processes such as, cell proliferation and migration are affected. Altogether, the current results establish the GSK3 $\beta$ /HIF $\alpha$ / $\beta$ TrCP axis as being crucial to control physiological processes and cellular homeostasis under conditions of ER stress under normoxia and hypoxia.



Previous evidence indicating that severe hypoxic/anoxic conditions activate the PERK part of the UPR [51] led to the view of a mutual interconnection between the UPR and HIF signaling suggesting that ER stress could boost the hypoxia response [52]. However, the current study could not entirely support this. While we were able to show an activation of PERK when cells were exposed to even relatively mild (5% O<sub>2</sub>) hypoxia, and also a slight additive effect in PERK activation upon exposure to Tu and hypoxia, HIFα levels were reduced under combined exposure of cells to Tu and hypoxia. This was at the first glance surprising, but when considering that stabilization of HIFs due to inhibition of HIF prolyl hydroxylases occurs already at higher oxygen tensions  $(\sim 2\% O_2)$  [53] than that required for UPR activation, it appears reasonable that HIF $\alpha$ s would be activated before the UPR would be activated by hypoxia. Hence, UPR activation does not necessarily need to augment HIFα activation. Indeed, the findings of our study are in line with another recent study showing that UPR agonists prevent activation of HIF-induced gene expression [54]. Interestingly, our data show that UPR activation downregulates the hypoxia-dependent accumulation of both HIF-1 $\alpha$  and HIF-2 $\alpha$  whereas the latter study observed this effect only for HIF-1 $\alpha$ . In addition, the experiments of the present study with

Fig. 6. Destabilization of HIF-1 $\alpha$  and HIF-2 $\alpha$  by tunicamycin is independent of VHL but dependent on GSK3 $\beta$ 

(A, C) VHL-defective RCC4 cells (- VHL), the RCC4 cells with reintroduced VHL (+VHL),  $GSK3\beta^{+/+}$  MEFs and  $GSK3\beta^{-/-}$  MEFs were cultured under normoxia (16% O2) for 24 h, then treated with Tu (10 µg/ml) and further cultured under either normoxia or hypoxia (5%  $O_2$ ) for 4 h. HIF-1 $\alpha$  and HIF-2 $\alpha$  levels measured under normoxia (16% O2) were set to 1. \*significance 16% O2 vs 5% O2; \*\*significance 16%  $O_2$  vs 16%  $O_2$  + Tu, <sup>#</sup>significance 5%  $O_2$  vs 5%  $O_2$  + Tu, p < 0.05, n = 3. (B, D) Representative Western blots of total proteins analyzed with antibodies against HIF-1 $\alpha$ , HIF-2 $\alpha$ , VHL, GSK3 $\alpha/\beta$ and α-tubulin. (E) HEK 293 cells were transfected with expression vectors for V5-tagged full length HIF-1α mutants HIF-1α P402A/P564A (PP), HIF-1α T498V, S502A, S505A, T506A, S510A, S551A, T555V, S589A (STSTSSTS) or the full length HIF-2 $\alpha$  mutants HIF-2 $\alpha$ P405A, P531A (PP) and HIF-2α S475R. S477F, T481A, S484R (SSTS). After transfection, cells were treated with Tu (10 µg/ ml) and further cultured under normoxia for 4 h. HIFa protein levels measured by Western blot treated with Tu were set to 1. \*significance non-treated vs + Tu,  $p \le 0.05$ , n = 3. Note that the GSK3 site HIF1 $\alpha$  STSTSSTS and HIF-2a SSTS mutants do not harbour mutations at the PP hydroxylation sites and are therefore less stabilized than the respective PP mutants. (F) Representative Western blots of total proteins analyzed with antibodies against V5 and α-tubulin.

PERK- and IRE1-deficient cells argue against a role of these two UPR branches in the reduction of HIF $\alpha$  levels by Tu under hypoxia. Furthermore, the experiments with ATF6 responsive ER stress luciferase constructs indicate activation of this UPR branch by Tu as well; however, the data indicating that HIF-1 $\alpha$  and HIF-2 $\alpha$  mRNA levels are unchanged during Tu and hypoxia treatment support the view that this branch of the UPR is also not responsible for the effects of ER stressors on hypoxic HIF $\alpha$  levels.

As our results do not indicate involvement of the UPR arms, alternative pathways must be activated to reduce HIF $\alpha$  levels in ER stressed hypoxic cells. This could involve ER related ROS production and depletion of the ER Ca<sup>2+</sup> store. Indeed, our data show that Tu can decrease ROS levels and increase Ca<sup>2+</sup> levels suggesting involvement of ROS and Ca<sup>2+</sup> in the Tu response of HIF $\alpha$ . While H<sub>2</sub>O<sub>2</sub> could not restore HIF $\alpha$  levels, BAPTA-AM partially rescued HIF $\alpha$  expression suggesting that Ca<sup>2+</sup> may participate in this response. This is in line with our and other groups' previous data indicating a role of Ca<sup>2+</sup> for the regulation of HIF $\alpha$  stability [38–40]. Our current data on HIF $\alpha$  half-life add on this and show that stabilization of HIF $\alpha$  proteins is involved in mediating the Tu effects. While oxygen-dependent HIF $\alpha$  degradation operates by



Fig. 7. Tunicamycin downregulates HIF-1 $\alpha$  in a  $\beta$ TrCP-dependent manner

(A)  $FBXW7^{+/+}$  and  $FBXW7^{-/-}$ , were cultured under normoxia (16% O2) for 24 h, then treated with Tu (10 µg/ml) and further cultured either under normoxia or hypoxia (5%  $O_2$ ) for 4 h. HIF-1 $\alpha$  protein levels measured by Western blot under normoxia (16% O<sub>2</sub>) were set to 1. \*significance 16% O2 vs 5% O2, #significance 5%  $O_2$  vs 5%  $O_2$  + Tu, p  $\leq$  0.05, n = 3. (C) MDA-MB 231 cells with an inducible BTrCP knockdown were cultured for 24 h under normoxia (16% O2) and treated with IPTG (1 mM) for 72 h prior to treatment with Tu (10  $\mu$ g/ml). HIF-1 $\alpha$  protein levels measured under normoxia (16% O<sub>2</sub>) were set to 1. \*significance 16% O2 vs 5% O2; \*\*significance 16%  $O_2$  vs 16%  $O_2$  + Tu, <sup>#</sup>significance 5%  $O_2$  vs 5%  $O_2$  + Tu,  $p \leq 0.05$ , n = 3. (B, D) Representative Western blots of total protein analyzed with antibodies against HIF-1 $\alpha$ , HIF-2 $\alpha$ , FBXW7,  $\beta$ TrCP and  $\alpha$ -tubulin. (E) HEK 293 cells transfected myc-tagged wild-type βTrCP were cultured either under normoxia or hypoxia (5% O2) for 4 h. HIF-1a protein levels measured by Western blot under normoxia (16% O<sub>2</sub>) were set to 1. \*significance 16% O<sub>2</sub> vs 5% O<sub>2</sub>: <sup>#</sup>significance 5%  $O_2$  vs 5%  $O_2$  + Tu, p  $\leq$  0.05, n = 3. (F) Representative Western blot. 100  $\mu g$  of total protein were analyzed with antibodies against HIF-1a, myc-Tag and α-tubulin. (G) Ubiquitylation assay. Ubiquitylated proteins are visualized by immunoblot (IB) analysis of anti-V5 immunoprecipitates (IP) and input from MG132 treated HEK 293 cells cotransfected with expression vectors for the V5-tagged full-length wildtype HIF-1α (WT), mutant HIF-1α (STSTSSTS), wildtype HIF-2 $\alpha$  (WT), mutant HIF-2 $\alpha$  (SSTS), and myctagged-βTrCP or ubiquitin.

proline hydroxylation and recruitment of the VHL containing E3 ubiquitn ligase complex, our data with VHL-deficient cells indicate that the Tu effects are occurring independent of VHL. Aside from prolyl hydroxylation, modification of HIF $\alpha$ s by phosphorylation has been implicated to regulate their stability in an oxygen-independent manner.

Thereby, several investigations, including our own, prompted to a prominent role of GSK3 $\beta$  in HIF-1 $\alpha$  degradation [21,44,55]. An interesting feature of GSK3 is, that it is commonly active and inhibited by upstream signals. By contrast, we found and confirmed earlier studies [56] showing that ER stress activates GSK3 $\beta$ . However, none of the



**Fig. 8.** Lack of GSK3β affects proliferation and apoptosis upon tunicamycin treatment

(A) Cell cycle distribution of  $GSK3\beta^{+/+}$  MEFs and GSK3 $\beta$  deficient (GSK3 $\beta^{-/-}$ ) MEFs upon Tu (10 µg/ ml) treatment for 24 h. G1/0 phase (blue fraction), S phase (red fraction), and G2 phase (green fraction). **(B)** BrdU incorporation in  $GSK3\beta^{+/+}$  and  $GSK3\beta^{-/-}$ cells, \*significance  $GSK3\beta^{+/+}$  vs  $GSK3\beta^{+/+}$  + Tu, \*\*significance  $GSK3\beta^{+/+}$  vs  $GSK3\beta^{-/-}$ , #significance  $GSK3\beta^{+/+}$  + Tu vs  $GSK3\beta^{-/-}$  + Tu, p  $\leq$  0.05, n = 4. (C, E) Histograms of apoptosis in  $GSK3\beta^{+/+}$  and GSK3p -/- cells was assessed by Annexin-V/PI staining and measured by flow cytometry. (D, F) Quantification of apoptosis in GSK3 $\beta$  <sup>+/+</sup> and GSK3 $\beta$  <sup>-/-</sup> Live cells (blue fraction), early apoptotic cells (red fraction; EA), and late apoptotic cells (green fraction; LA), \*significance *GSK3* $\beta$  <sup>+/+</sup> vs *GSK3* $\beta$  <sup>+/+</sup> + Tu, p  $\leq$ 0.05, n = 4. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

earlier studies has examined the relationship between GSK3 $\beta$  and ER stress-accelerated HIF $\alpha$  degradation. Our data now extend these studies and indicate that GSK3 $\beta$  promotes ER stress-dependent degradation of HIF $\alpha$ s, and point to the involvement of an E3 ligase complex other than that containing VHL.

Indeed, we identified βTrCP as an E3-ubiquitin ligase to be involved in the Tu- and GSK3 $\beta$ -mediated HIF $\alpha$  degradation as lack of GSK3 $\beta$  and deficiency of BTrCP abrogated the Tu effects on HIFa proteins. However, the role of GSK3 $\beta$  in recruitment of E3 ligases for HIF $\alpha$  degradation appears to be more complex and to involve not only target phosphorylation but also additional, yet unidentified, factors. This is exemplified by comparing current findings and previous reports related to GSK3β's role on hypoxia and PI3K/AKT-dependent HIF-1α regulation. Although GSK3β is able to phosphorylate T498, S502, S505, T506, S510, S551, T555, and S589 in HIF-1 $\alpha$  [44,45], phosphorylation at S551, T555, and S589 by GSK3 $\beta$  were enough to promote HIF-1 $\alpha$  degradation by the E3-ubiquitin ligase FBXW7 in relation to hypoxia and PI3K/AKT signaling [21,44]. The current findings with FBXW7-deficient cells and mutant HIF-1 $\alpha$  and HIF2 $\alpha$  constructs broaden that view and point to a more prominent role of T498, S502, S505, T506 and S510 in HIF-1 $\alpha$  as well as S475, S477, T481, and S484 in HIF-2 $\alpha$  degradation by  $\beta$ TrCP in response to ER stress. Although such a dual role may be at the first glance surprising, similar aspects have been observed with other short living proteins. For example, MYC is known to be ubiquitylated by the F-box ubiquitin ligases Skp2, FBXW7, FBXO28, FBXL14 and the Hect-domain ubiquitin ligase HectH9 (ARF-BP1, Huwe1) [57-61].

Another example was found with the induced myeloid leukemia cell differentiation protein Mcl-1 that is cooperatively degraded by FBXW7 and  $\beta$ TrCP after being phosphorylated by GSK3 [62]. Together, this suggests existence of factor(s)/component(s) that are yet to be identified but dictate(s) which ubiquitin ligase is recruited to HIF $\alpha$  in response to multiple phosphorylation by GSK3 $\beta$ . In analogy to WNT signaling, the simplest scenario would be a scaffold protein(s) that promotes interaction between GSK3 $\beta$ , HIF $\alpha$ , and the respective E3 ligases.

Our data also reveal a previously unknown function of the GSK3/ HIF $\alpha/\beta$ TrCP axis during ER stress-affected cell proliferation and apoptosis. Thereby, loss of GSK3<sup>β</sup> abrogated the effects of Tu on cell proliferation and migration in a HIF-dependent manner suggesting that GSK3 inhibitors could promote cell growth and inhibit apoptosis at least partially via HIFs. This links back to the high-fat induced ER stress and suggests that inhibition of GSK3 may be beneficial in obesity, diabetes and/or metabolic syndrome where a deficit in hypoxia mediated angiogenesis is thought to contribute to insulin resistance, inflammation and metabolic disease. On the other hand, activation of the ER stress pathway in malignant solid tumors could be considered as a therapeutic option as this would attenuate or prevent hypoxic adaptation due to accelerated HIF $\alpha$  degradation. While unraveling this was behind the scope of the current study, our results extend our molecular understanding of HIF $\alpha$  degradation and point to a new mechanism of HIF $\alpha$ regulation that is unrelated to oxygen and thus independent of the VHL-E3 ligase complex. Degradation of HIFa by BTrCP becomes an integral part of the complex molecular pathway linking hypoxic responses to ER



Fig. 9. Tunicamycin affects proliferation and migration in a HIF $\alpha$ -dependent manner

(A) Real-time proliferation rate of  $GSK3\beta^{+/+}$  MEFs and  $GSK3\beta^{-/-}$  MEFs upon Tu treatment alone or in combination with HIF-1 $\alpha$  inhibitor YC-1, or HIF-2 $\alpha$  inhibitor PT-2385, \*significance  $GSK3\beta^{+/+}$  vs  $GSK3\beta^{+/+}$  vs  $GSK3\beta^{+/+}$  + Tu,\*\*significance  $GSK3\beta^{-/-}$  vs  $GSK3\beta^{-/-}$  + YC-1 + Tu, \*significance  $GSK3\beta^{-/-}$  vs  $GSK3\beta^{-/-}$  + Tu + PT-2385,  $p \le 0.05$ , n = 4. (B,D) Real-time wound closure of  $GSK3\beta^{+/+}$  and  $GSK3\beta^{-/-}$  cells upon Tu treatment, \*significance non-treated vs treated,  $p \le 0.05$ , n = 4. (C,E) Representative images of wound closure.

stress, genetic alterations, and malignant progression. Overall, these findings offer the potential to affect HIF $\alpha$ -dependent processes by targeting GSK3, or  $\beta$ TrCP.

### Author contributions

Conception and design, D.M. and T.K.; Acquisition of data (provided animals, provided facilities, etc.), D.M., K.K., G.S.R., D.C.F., K.-H.H., B. B., and T.K.; Analysis and interpretation of data, D.M., K.K., G.S.R., D.C. F., K.-H.H., B.B., and T.K.; Writing of the manuscript, D.M., B.B., and T. K.; Resources, K.-H.H., B.B., and T.K., Study supervision, T.K.

### Declaration of competing interest

The authors declare that there is no conflict of interest-

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#### Appendix A. Supplementary data

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