

# Apoptosis – Fueling the oncogenic fire

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Apoptosis, the most extensively studied form of programmed cell death, is essential for organismal homeostasis. Apoptotic cell death has widely been reported as a tumor suppressor mechanism. However, recent studies have shown that apoptosis exerts noncanonical functions and may paradoxically promote tumor growth and metastasis. The hijacking of apoptosis by cancer cells may arise at different levels, either via the interaction of apoptotic cells with their local or distant microenvironment, or through the abnormal pro-oncogenic roles of the main apoptosis effectors, namely caspases and mitochondria, particularly upon failed apoptosis. In this review, we highlight some of the recently described mechanisms by which apoptosis and these effectors may promote cancer aggressiveness. We believe that a better understanding of the noncanonical roles of apoptosis may be crucial for developing more efficient cancer therapies.

## Introduction

Programmed cell death plays a crucial role in many biological processes including tissue structuring during embryogenesis, development of the immune system and destruction of damaged cells. Among the different forms of programmed cell death, apoptosis is the most common and best-studied, relying on the activation of caspase proteases to induce extensive cleavage of hundreds of substrates and rapid cell death [1]. Apoptosis is necessary to remove pathogen-invaded cells, but is also needed to eliminate malignant as well as auto-

aggressive immune cells. Caspases, the key molecular mediators of this process, can be activated through two distinct but interconnected pathways: the extrinsic and the intrinsic pathway.

On one hand, the extrinsic pathway relies on the activation of death receptors through the binding of their cognate ligand(s) [1]. On the other hand, the intrinsic apoptotic pathway (also known as mitochondrial pathway) relies on widespread mitochondrial outer membrane permeabilization (MOMP) after

## Abbreviations

AiP, Apoptosis-induced proliferation; Apo-EVs, Apoptotic-derived EVs; ATM, Ataxia telangiectasia mutated; BAK, BCL2 antagonist killer 1; BAX, BCL2-associated X; BCL2, B-cell lymphoma 2; BIK, BCL2-interacting killer; CAD, Caspase-activated DNase; cGAS, cyclic GMP-AMP synthase; CICD, Caspase-independent cell death; COX, Cyclooxygenase; CSCs, Cancer stem cells; DAMP, Damage-associated molecular pattern; DRP1, Dynamin-related protein 1; EGF, Epidermal growth factor; EMT, Epithelial–mesenchymal transition; EndoG, Endonuclease G; EVs, Extracellular vesicles; FTK, Fractalkine; HSCs, hematopoietic stem cells; IAPs, inhibitor of apoptosis proteins; ICM, Irradiation-induced cell migration; iPLA2, Calcium-independent phospholipase A2; JNK, c-Jun N-Terminal Kinase; MFN1, Mitofusin 1; MFN2, Mitofusin 2; MLL, Mixed lineage leukemia; MOMP, Mitochondrial outer membrane permeabilization; PS, Phosphatidylserine; ROS, Reactive oxygen species; SMAC, Second mitochondria-derived activator of caspases; STAT3, Signal transducer and activator of transcription 3; STING, stimulation of IFN genes protein; TAM, Tumor-associated macrophage; TGF $\beta$ , Transforming growth factor beta; TNTs, tunneling nanotubes; Upd, Unpaired; VEGF, Vascular endothelial growth factor; Wg, Wingless; YAP, Yes-associated protein.

deadly apoptotic stresses such as cytokine deprivation, DNA damage, or endoplasmic reticulum stress. MOMP is tightly controlled by pro-apoptotic and anti-apoptotic members of the BCL-2 protein family [2].

Upon induction of apoptosis, the pro-apoptotic effector proteins BCL2-associated X (BAX) and BCL2 antagonist killer 1 (BAK) undergo direct or indirect activation by pro-apoptotic BH3-only proteins in order to form pores across the outer mitochondrial membrane. This process is counteracted by anti-apoptotic BCL-2 family proteins. Upon MOMP, mitochondrial intermembrane space proteins such as second mitochondria-derived activator of caspases (SMAC) and cytochrome *c* are released into the cytosol. Cytochrome *c* can then trigger apoptosome assembly and the activation of initiator caspase-9 and subsequently of effector caspase-3 and -7, leading to apoptosis [2].

The dysregulation of apoptosis characterizes a wide variety of diseases. In cancer, apoptosis is a well-established tumor suppressor mechanism, and loss of apoptotic control allows cancer cells to survive longer thus acquiring additional oncogenic hits [3]. However, apoptosis may not only serve as a tumor suppressor mechanism but may also have pro-oncogenic functions by promoting the emergence and maintenance of aggressive tumors. Aggressiveness is commonly used in the literature to describe cancers with a poor prognosis, increased proliferation, and metastatic capacities [4,5].

Interestingly when defining what drives tumor aggressiveness, a concept is gaining popularity, namely that apoptosis may exert oncogenic effects and sublethal apoptotic stresses may lead to the development of aggressive tumors.

In this review, we discuss the hidden link between apoptotic cell death, its effectors, mitochondria and caspases, and cancer aggressiveness. Our discussion begins by presenting the main oncogenic outcomes of complete apoptosis. Consequently, we highlight how failure to efficiently undergo apoptosis, nonlethal caspase signaling, and mitochondria could mediate a variety of pro-tumorigenic events. We suggest that understanding the noncanonical roles of apoptosis may improve therapeutic strategies aimed at treating highly aggressive tumors.

## Noncanonical oncogenic roles of complete apoptosis

As the most relevant form of programmed cell death, apoptosis has long been regarded as a barrier to carcinogenesis. However, apoptotic cells may also exert noncanonical functions by driving tumor cell

proliferation, leading to a reevaluation of the benefits of triggering apoptosis in cancer therapy [6]. Moreover, a high intratumoral apoptotic index is correlated with an increased mitotic index and shorter overall survival in several cancers, while potentiating tumor progression [7–10]. To address these apparently paradoxical findings, in this section we will discuss some of the main mechanisms underlying the pro-tumorigenic effects of apoptotic cells.

### Pro-oncogenic effects of apoptotic cell death on tumor proliferation

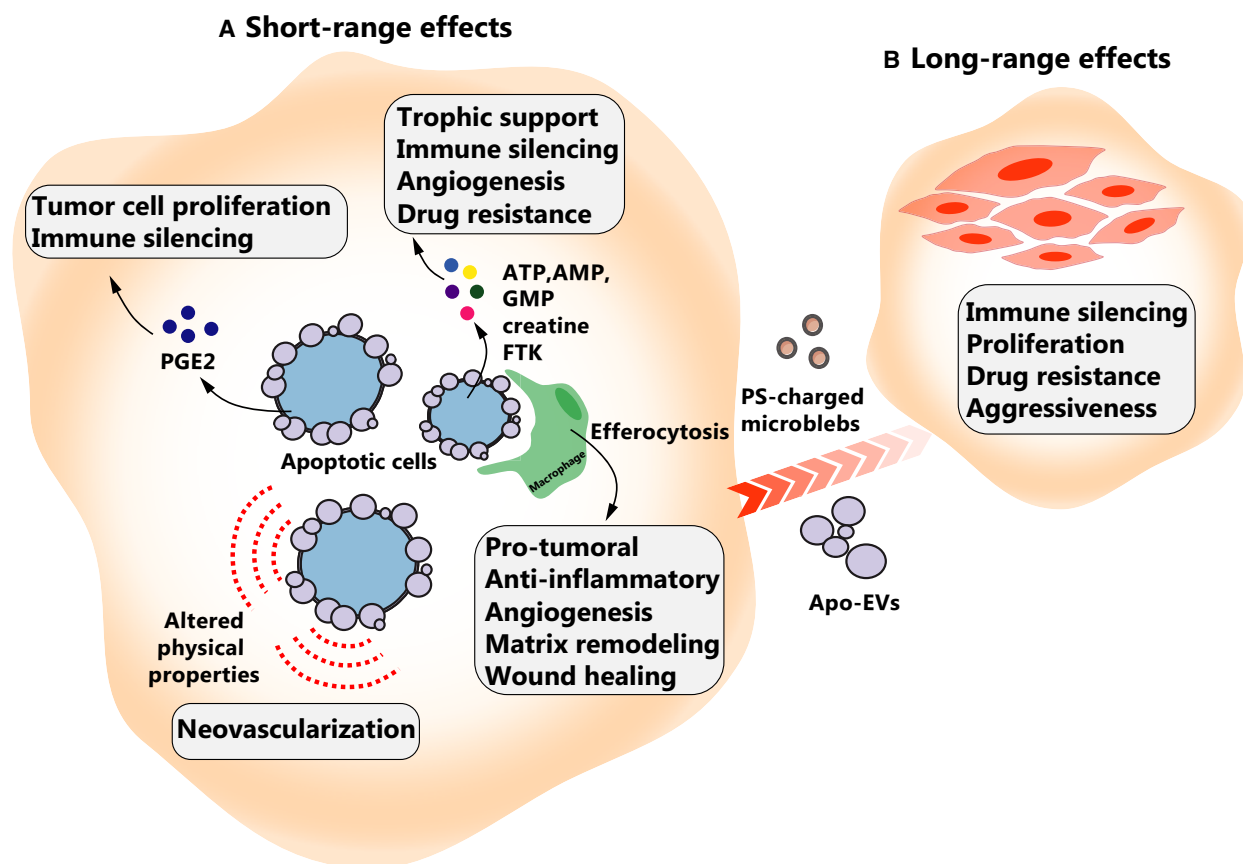
Apoptosis-induced proliferation (AiP) is an evolutionarily conserved form of compensatory proliferation in multicellular organisms, by which apoptotic cells actively stimulate surviving cells to divide [11]. This process has been the most extensively studied in *Drosophila melanogaster*, where apoptotic cells in the imaginal disk were shown to activate mitogenic signaling, thus promoting the proliferation of neighboring cells [12–14]. In this model, apoptotic cells can stimulate the proliferation of neighboring nonapoptotic cells in a caspase-dependent manner, through the initiator caspase Dronc (ortholog of human caspase-9) [15]. AiP is also supported through the recruitment of immune cells, and in particular macrophage-like hemocytes. Mechanistically, undead imaginal disk cells, sequester activated Dronc at the basal side of the plasma membrane where it activates the NADPH-oxidase Duox inducing extracellular reactive oxygen species (eROS) production. This triggers hemocyte recruitment and Eiger (Tumor necrosis factor alpha analogue) production that will sustain c-Jun N-terminal kinase (JNK) and caspase activation in undead cells. This cascade ultimately sustains the proliferation of neighboring cells [16–18]. These caspase-dependent signaling pathways equally play a critical role in wound healing and regeneration via the activation of p53, the JNK and the secretion of mitogens like Wnt homolog Wingless (Wg), the Epidermal growth factor (EGF) homolog Spitz, and the interleukin-6 homolog Unpaired (Upd) [19–24].

Furthermore, in mammals, apoptotic caspases may also contribute to tumor initiation through caspase-dependent inflammation and sustained AiP [25]. A key mediator of AiP in mammals is prostaglandin E2 (PGE2), which is secreted by apoptotic cells and has been found to mediate their ability to stimulate tumor proliferation (Fig. 1A). Accordingly, Li and collaborators demonstrated that dying cells stimulate proliferation of neighboring cancer cells through a caspase-3-dependent mechanism. In these settings, caspases

cleave and activate calcium-independent phospholipase A2 (iPLA2), resulting in an increased production of arachidonic acid, which is converted via cyclooxygenase 1 (COX1) and COX2 to PGE2, which then promotes stem and progenitor cell proliferation [26,27]. This caspase-3/PGE2 signaling cascade was also described for dying tumor cells, thus promoting the growth of neighboring tumor cells, but also extends to dying vascular endothelial cells fostering tumor cell growth [28]. Of note, active caspase-3 was also recently reported to be a key regulator of cell proliferation and organ size without instructing cell elimination, through the activation and nuclear translocation of the yes-associated protein (YAP), a vital regulator of organ size [29]. Whether this caspase-3/YAP axis is relevant for

the proliferation of cancer cells remains a matter of debate.

The role of PGE2 is particularly relevant in the context of standard antitumor treatments. Highly aggressive cancers, like melanoma, undergo tumor repopulation after cytotoxic therapy, suggesting that current therapies are often ineffective in eliminating all of the melanoma tumor cells. This tumor repopulation is orchestrated by PGE2 in a caspase 3-mediated manner, where dying melanoma cells stimulate the growth of living cells after cytotoxic therapy [30,31]. Thus, targeting PGE2 either by a PGE2-neutralizing antibody, by COX2 inhibitor celecoxib or by broader acting nonsteroidal anti-inflammatory drugs has been shown to effectively abrogate cancer stem



**Fig. 1.** Pro-oncogenic effects of apoptotic cells. (A) Short-range effects of apoptotic cell death. Apoptotic cells secrete prostaglandin E2 (PGE2) that can have pleiotropic effects on surrounding cells, including mitogenic and immune-silencing effects. Apoptotic cells release various 'eat-me' and 'find-me' molecules to signal their removal by phagocytes. These molecules include FKN that promotes angiogenesis or drug resistance and ATP that can be taken up by surrounding tumor cells to provide energy for tumor growth. These signals can also polarize TAMs toward a pro-oncogenic state (M2 phenotype) that can impair immune surveillance. Apoptotic cancer cells can also exert a physical pull on neighboring cells. (B) Long-range effects of apoptotic cell death. Apoptotic cells can impact their distant environment by releasing apoptotic-derived extracellular vesicles (Apo-EVs) or PS-charged microblebs that promote tumor survival, proliferation, and migration by transporting oncogenes, splicing factors, and PS.

cell (CSC) repopulation, enhance chemotherapeutic response in bladder urothelial carcinomas, and reduce incidence and mortality of colorectal cancer [32–34]. Moreover, selective inhibition of the PGE2 receptor EP4 by the small molecule inhibitor, L-161,982 enhances oxaliplatin efficacy in resistant colon cancer cells, supporting the role for the COX-2/PGE2/EP4 signaling axis in resistance to therapy [35]. Interestingly, PGE2 can also have immune-silencing effects and promote angiogenesis independently of Vascular endothelial growth factor (VEGF) signaling [36,37] (Fig. 1A). Aside from iPLA2, activated effector caspases in dying cancer cells are involved in the activation of protein kinase C delta, which in turn mediates phosphorylation of Akt, p38, and JNK1/2, leading to mitogen production and tumor repopulation following radiation therapy [38].

Paradoxically, these studies suggest that cancer cell apoptosis can instruct the proliferation of neighboring cancer cells, an insidious interaction that is currently not taken into consideration when designing cancer therapies.

### The oncogenic impact of apoptotic cells on the tumor microenvironment

Apoptotic cells are part of a dynamic microenvironment where they release the so-called ‘find-me’ and ‘eat-me’ signals responsible for efficient phagocytosis. These signals include fractalkine (FTK, also known as C-X3-C motif chemokine ligand 1 or CX3CL1), phosphatidylserine (PS), lysophosphatidylcholine, sphingosine-1-phosphate, and nucleotides ATP and UTP [39]. These molecules are often thought to act locally with a high affinity for immune cell activation. Nevertheless, growing evidence indicates that these signals can be used by cancer cells in their own interest to replicate and survive (Fig. 1A).

This has been reported for FTK, the role of which as a chemokine extends beyond the recruitment of phagocytes. Several recent studies investigating the noncanonical roles of FTK in oncogenesis have been carried out in hepatocellular carcinoma, prostate, or breast cancer where FTK was linked with angiogenesis, hypoxia-induced proliferation, or enhanced ERBB2 receptor signaling [40–43]. FTK also protects glial cells from Fas-mediated cell death by affecting the expression and inhibitory phosphorylation of BCL2 family proteins (Fig. 1A) [44]. Additionally, FTK stimulates metastasis formation through the activation of the Src/FAK signaling pathway and M2 macrophage recruitment [45–47]. The pro-oncogenic effects of FTK, mainly those related to cancer cell migration,

metastasis, and angiogenesis, were extensively reviewed recently [48].

It has been reported that ‘find-me’ signals produced by apoptotic cells could induce inflammation and tissue damage. However, in most cases of physiological apoptotic cell death, inflammation is avoided through efferocytosis, the mechanism at play in the removal of apoptotic cells by macrophages. Interestingly, in the context of cancer, efferocytosis creates an immunosuppressive phenotype within tumors through a coordinated series of signaling events [49]. Efferocytosis limits the presence of pro-inflammatory damage-associated molecular patterns (DAMPs) released by uncleared cell corpses and shifts cytokine production toward an immunosuppressive profile (e.g., IL-4, IL-10, IL-13, and TGF- $\beta$ ). During efferocytosis, tumor-associated macrophages (TAMs) are polarized toward an M2-like wound-healing phenotype and FOXP3+ regulatory T cells are recruited, which potently suppress the effector functions of CD4+ and CD8+ effector T cells within the tumor microenvironment (Fig. 1A). Of note, M2 macrophage polarization is also promoted by PGE2 signaling, by inducing the expression of the KLF4 transcription factor through the activation of the CREB/BDNF/TrkB signaling pathway [50,51]. Accumulation of TAMs was reported to be correlated with poor prognosis in various human malignancies and the contribution of TAMs to cancer progression has been extensively explored [49]. In 2015, Ford and collaborators reported that apoptotic tumor cells promote coordinated tumor growth, angiogenesis, and accumulation of TAMs in aggressive B-cell lymphomas and malignant melanoma. According to their model, in wild-type lymphoma, spontaneous apoptotic tumor cells are engulfed by macrophages, thus polarizing them into TAMs. Lymphoma TAMs exhibit an efferocytic, pro-angiogenic, matrix-remodeling, and wound-healing phenotype, which contributes to accelerated tumor progression [7].

A recent study showed that a specific subset of metabolites, composed of spermidine, AMP, GMP, creatine, G3P, and ATP, is actively released by various apoptotic cell types such as T cells or macrophages after caspase-dependent pannexin-1 cleavage and activation into plasma membrane channels. In a paracrine manner, these metabolites then promote neighboring cell proliferation, wound-healing and inflammation suppression (Fig. 1A) [52]. Before the clearance of dying cells, ‘find-me’ signals such as nucleotides can also have a trophic role on tumor cells [53]. For instance, extracellular ATP can be taken up by tumors via macropinocytosis to supplement their additional

energetic needs for cancer growth, survival, and drug resistance (Fig. 1A) [54,55].

Of note, other types of programmed cell death could influence the tumor microenvironment. For instance, RIPK3 activation during necroptosis was shown to induce cytokine production (notably CXCL1, CXCL2 and CCL2) even after loss of membrane integrity and thus to promote inflammation [56].

Apoptotic cells can also affect their microenvironment through their altered biophysical properties. For example, the negative surface charge caused by PS exposure was shown to induce endothelial cell sprouting and contribute to tumor neovascularization [57] (Fig. 1A). Interestingly, Fernández-Sánchez *et al.* showed that the mechanical pressure exerted by hyperproliferative tumor cells results in the activation of the  $\beta$ -catenin pathway and mediates the formation of early precancerous intestinal crypt foci, highlighting the impact of mechanical forces on tumor progression [58]. In *Drosophila*, it was elegantly shown that apoptotic cells display an apical-basal acto-myosin pulling force responsible for tissue folding [59,60]. This concept is supported by the work of Yamaguchi *et al.* [61] that highlights the role of apoptotic cells in facilitating neural tube closure. These studies suggest that apoptotic cells may play an active role in signaling to environmental cells through their distinctive biophysical properties. How this crosstalk can actually impact cell migration, metastasis, or access to nutrients and oxygen is still under investigation.

### Long-range oncogenic effects of apoptotic cells

The concept of the 'onco-regenerative niche' proposed by Gregory and Paterson suggests that cell death in tumors provides a central driving force in shaping the tumor microenvironment in short and distal settings, by orchestrating a series of interconnected cellular responses and extracellular modifications that promote tumor growth, progression, and relapse [62]. In this concept, TAMs (activated by apoptotic cells) and extracellular vesicles (EVs, produced by apoptotic cells) are proposed as two important components of the onco-regenerative niche [62].

Since apoptotic cells are active players in a dynamic microenvironment, it is important to highlight that they are able to communicate with their neighboring cells even over a long distance, through the release of bioactive molecules into the extracellular environment and through production of membrane-bound EVs, including apoptotic bodies (Fig. 1B). EVs are classified on the basis of their morphology, biogenesis, or contents into three main categories: apoptotic bodies, microvesicles, and exosomes. Among them, apoptotic bodies produced by cells

undergoing programmed cell death are the largest, with sizes ranging from 50 to 5000 nm in diameter [63]. Carcinos associated with apoptotic-derived EVs (Apo-EVs) and apoptotic bodies include integral plasma membrane proteins, enzymes, and RNAs, with apoptotic bodies also harboring organelles and nuclear components including DNA and histones. With direct relevance for cancer, apoptotic bodies are known to be able to take up oncogenes, possibly driving tumor growth and progression [62] (Fig. 1B). In addition, Apo-EVs from endothelial cells were shown to have immunomodulatory properties [64,65]. The pro-oncogenic role of Apo-EVs is supported by a recent study in which Pavlyukov and collaborators reported that apoptotic cell-derived EVs promote malignancy of glioblastoma through the intercellular transfer of splicing factors. In this study, the authors demonstrated that apoptotic glioblastoma cells paradoxically promoted proliferation and resistance to therapy of surviving tumor cells by secreting Apo-EVs enriched in various components of spliceosomes. These Apo-EVs alter RNA splicing in recipient cells, thereby promoting their resistance to therapy and aggressive migratory phenotype [66]. Apo-EVs could also mediate drug resistance. For instance, in pancreatic ductal adenocarcinoma, EVs produced by cancer-associated fibroblasts as a consequence of chemotherapy transfer Snail and miR-146a to cancer cells, enhancing both their survival and proliferation during treatment [65].

Phosphatidylserine is another well-established 'eat-me' signal exposed by early apoptotic cells on the plasma membrane, in a process mediated by active caspases [67,68]. One compelling finding is that apoptotic cells release PS-charged microblebs (small EVs) that can alter the behavior of normal cells, both in proximity of and at a distance from the site of apoptosis. Specifically, microblebs derived from apoptotic endothelial cells can be taken up by target surviving endothelial cells via the PS receptor and mediate the protection of endothelial cells from camptothecin-induced cell death by inhibiting p38 activity (Fig. 1B) [69].

### How failed apoptosis shapes cancer aggressiveness

The widely accepted dogma is that apoptosis is an obstacle to cancer development. This assumption however is not compatible with an increasing body of evidence implying that proteins involved in apoptosis execution can in fact drive oncogenesis. Indeed, in several cancers, there is a strong correlation between high anti-apoptotic BCL-2 levels and favorable prognosis [70–73], whereas high expression of pro-apoptotic BAX is correlated with a poor outcome in certain

cancers [74,75]. Far from being mutated or lost through genetic alterations, caspase-3 overexpression was reported in a variety of cancers, arguing in favor of noncanonical roles for caspases in oncogenesis [76]. In addition, caspase-3 is associated with poor survival in several cancers such as colorectal, gastric, ovarian, cervical, or oral carcinoma [77–79].

Recent data show that apoptosis execution in cancer cells can be faulty, with cancer cells undergoing failed apoptosis. This is characterized by limited MOMP (minority MOMP) and subsequent low-level caspase activation, which can induce DNA damage and genomic instability and ultimately favors tumorigenesis. In this section, we will therefore discuss recent studies on the role of nonlethal caspase activation in cancer.

### Caspases and genomic instability

Most studies on caspases have focused on their roles as cell killers. However, increasing evidence highlights a variety of roles for these cysteine proteases within the cell, being either normal or cancerous. These functions are as diverse as signal transduction and cytoskeletal remodeling, and caspases are now known to play an essential role in cell proliferation, migration, and differentiation, which is particularly relevant in the context of cancer [80,81].

Recently, it was demonstrated that radiotherapy, chemotherapeutic drugs or BH3-only proteins such as BCL2-interacting killer (BIK) can activate caspases at nonlethal levels in surviving cells following minority MOMP [82–84]. Despite the fact that these studies proposed different DNA damaging mechanisms (Caspase-Activated DNase or CAD and endonuclease G, EndoG, respectively), they all reported that surviving cells are heavily impacted by unresolved DNA damage leading to genomic instability and tumorigenesis (Fig. 2). In addition, several reports shed light on a dark side of caspase activity impacting cell survival and tumorigenesis. Remarkably, sublethal doses of TRAIL or Fas ligand were shown to induce DNA damage and genetic mutations in a caspase-8- and CAD-dependent manner [85,86]. Similarly, a recent study associated nonlethal caspase activation with the transformation of Barrett's esophageal cells to cancer cells following bile acid-induced repetitive minority MOMP [87]. This conflicting role for caspases has drawn attention to their use in cancer therapy, since the BH3-mimetic ABT-737, the prototype of anti-cancer drugs targeting the pro-survival BCL2 family proteins, induces low-level caspase activation accompanied by DNA damage [88]. In addition, cells undergoing anastasis, which is a process of reversing late-stage

apoptosis, are also heavily impacted by DNA damage, which in some cells leads to oncogenic transformation [89].

Recently, Cartwright *et al.* further explored the role of sublethal caspase-3 activation in facilitating Myc-induced genetic instability and carcinogenesis. They proposed that EndoG, an apoptotic nuclease downstream of caspase-3, was directly responsible for Myc-induced genetic instability and malignant transformation in mammalian cells [90].

In recent years, there has been an increasing amount of literature supporting a causal role for caspase-dependent DNA damage in genomic instability and increased predisposition to certain cancers. Translocations of the Mixed Lineage Leukemia (MLL) gene are very common in infant or secondary (therapy-related) acute leukemia [91]. Interestingly, etoposide-induced MLL translocation was shown to require caspase activity and CAD [92]. The mitochondrial-resident EndoG was also proposed to cleave MLL locus under aphidicolin-induced replicative stress [91].

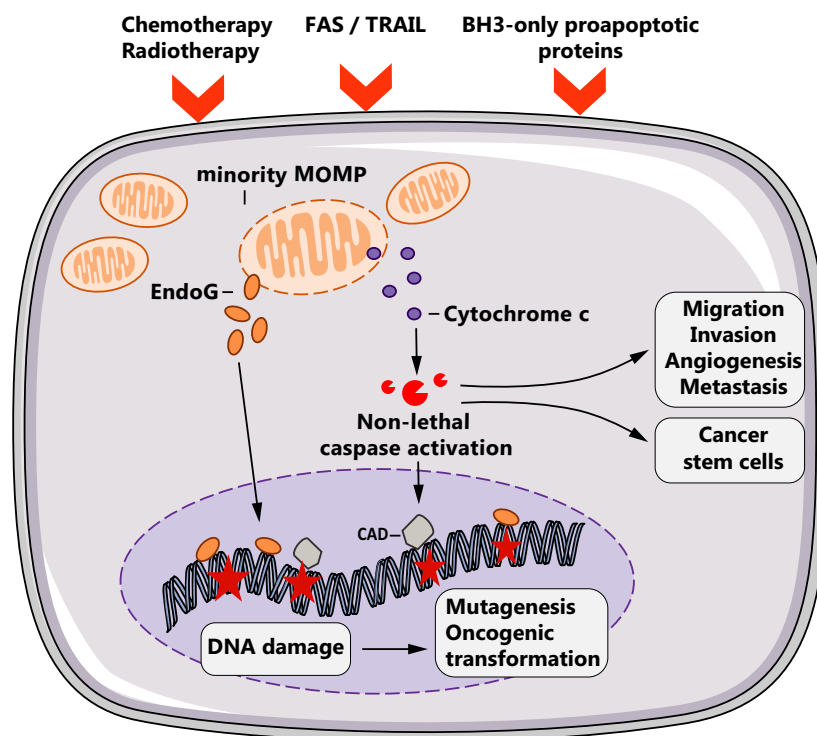
Sustained DNA damage can also lead to a process known as chromothripsis, a mechanism of chromosome fragmentation that can promote the acquisition of numerous cancer-driving mutations [93]. Since DNA cleavage is a key aspect of programmed cell death, it has been proposed that chromothripsis may occur when apoptosis is transiently initiated and then aborted [94,89]. Cells that survive such a drastic event and hence evade apoptosis may sustain several pro-oncogenic alterations, such as gene fusions, loss of tumor suppressor functions, and/or the amplification of certain oncogenes [95].

These findings suggest that minority MOMP and the subsequent nonlethal caspase activation could favor oncogenic transformation and promote the emergence of more aggressive tumor cells. Hence, understanding these caspase-mediated oncogenic effects may also give rise to potentially important therapeutic possibilities.

### Caspases and metastasis

Metastasis involves the spreading of cancer cells from the primary tumor to other sites in the body and is one of the deadliest consequences of cancer, resulting in the majority of cancer-related deaths [96]. Thus, understanding the mechanisms that lead to invasion and metastasis are critical for the identification of novel therapeutic targets. To achieve enhanced motility, cells continuously deform and rearrange their cytoskeleton. Caspases are major modifiers of cytoskeletal structure during apoptosis; therefore, it is

**Fig. 2.** Oncogenic effects of nonlethal caspase activation. Suboptimal apoptotic stimuli can engage minority MOMP, which releases several apoptogenic factors such as cytochrome *c* or EndoG. Cytochrome *c* leads to nonlethal effector caspase activation and derepression of caspase-activated DNase (CAD). Together with EndoG, CAD cleaves genomic DNA causing oncogenic mutations that can lead to genomic instability and tumorigenesis. Nonlethal caspase activation can also promote cancer cell migration, invasion, and stemness (induction of CSCs).



conceivable that caspases may also play a role in controlling cell motility (Fig. 2). Several studies demonstrated a role for caspases in cell invasion and cellular transformation. However, an activating or inhibiting role for caspases on metastasis depends on the model studied [97].

In mammalian models, a role for caspases has been uncovered in cell migration. Zhou *et al.* demonstrated that *CASP3* knockout colon cancer cells are markedly less invasive and more sensitive to radiotherapy *in vitro* and *in vivo*. More interestingly, cells deficient in caspase-3 were less prone to generate pulmonary metastasis when inoculated subcutaneously or intravenously [98].

Caspase-8 is also involved in cell migration and metastasis. Caspase-8 mutations in head and neck squamous cell carcinoma ES confer resistance to death receptor-mediated apoptosis and enhance migration, invasion, and tumor growth [99]. Mechanistically, caspase-8 interacts with multicomplex proteins to enhance cleavage of focal adhesion substrates and cell migration. Senft *et al.* [100] reported that caspase-8 contributes to cell migration via its interaction with p85, a subunit of phosphatidylinositol 3-kinase. Furthermore, caspase-8 knockdown disrupts metastasis in neuroblastoma *in vivo* [101]. Similarly, it was also reported that inhibition of caspase-3 and caspase-8 decreased glioblastoma cell migration and invasion and that this

caspase-dependent motility was mediated by the cleavage of the motility-associated gelsolin protein [102]. These studies support a role for caspases in promoting cell migration and metastasis (Fig. 2). To further investigate the link between nonlethal caspase activation and cancer aggressiveness, Berthenet *et al.* recently isolated melanoma cells undergoing failed apoptosis following BH3-only protein expression or chemotherapy [103]. Interestingly, these cells displayed enhanced migration and invasion through a JNK-dependent mechanism, supporting recent publications [104,105]. In addition, they defined a failed apoptotic gene signature which can discriminate primary from metastatic melanoma tumors. Cancer cells undergoing anastasis also present a phenotypic switch from proliferation to migration, with activation of Transforming growth factor beta signaling and Snail expression that are known to promote epithelial-mesenchymal transition (EMT) [106].

Aside from these migration-associated features, caspases are also involved in angiogenesis, a process allowing tumor neovascularization. Angiogenesis plays a key role in cancer aggressiveness by providing tumor cells with oxygen and nutrients, and by opening new paths for cancer dissemination. Interestingly, Bernard *et al.* [107] recently showed that activated caspase-3 can act as a transcription factor to induce VEGFA expression and promote angiogenesis. Accordingly,

anastatic cells also have a higher expression of angiogenesis-related genes (*PGF*, *EPHA2*, and *SPRY2*), suggesting an increased potential to trigger tumor neovascularization [106,108].

In contrast, several studies in flies have proposed an antagonistic role for caspase in cell migration. In a model of larval wing epithelium migration, Rudrapatna *et al.* generated undead epithelial cells via the expression of Hid (SMAC/Diablo orthologue) while preventing lethal effector caspase activation through the expression of the baculovirus caspase inhibitor p35. Intriguingly, these cells gained in migratory and invasive properties, partly due to JNK-driven metalloproteinase 1 expression [109,110]. In contrast, a recent study from Arama *et al.* showed that caspases act as inhibitors of cell motility, invasion and EMT in a model of epithelial cell migration in irradiated developing *Drosophila* wings [97]. Using elegant genetic and imaging approaches, they showed that nonlethal levels of caspase activation inhibit irradiation-induced cell migration (ICM) in *Drosophila*. They further showed that ICM requires the Rho family of GTPases and the ATR DNA repair pathway. An inhibitory nonapoptotic role for caspase in cell migration is also supported by another recent study, showing that Dronc activation coupled with ROS production delays thorax closure, which is a model of wound healing and that requires collective cell migration [111]. An interesting fact, which may help to reconcile this apparent conflicting role of caspases in ICM, is that enhanced cell motility is only observed when the *Drosophila* tissue is entirely mutated for caspases, suggesting that exogenous factors cleaved by caspases are present in the invaded tissue to limit ICM [97]. These studies in *Drosophila* contradict the study using *CASP3* KO cancer cells injected in otherwise wild-type mice showing that cell invasion could be antagonized by caspase inhibition [98]. These paradoxical results in *Drosophila* and in mammalian cells may be due to the fact that caspases positively regulate cell invasion in a cell-autonomous manner and negatively in a nonautonomous fashion in the invaded tissue. These different findings could also arise from the choice of experimental setup. Firstly, for caspase activation Rudrapatna *et al.* opted for Dronc activation, while effector caspases were inhibited, which is a cellular model known to elicit a strong secretory phenotype, and the Arama group triggered caspase activation further upstream of the apoptotic cascade, by using irradiation. Secondly, different types of cellular migration (ICM triggers an EMT-like invasion centered on single cell invasion while thorax closure implies collective cell migration) may involve different caspases and levels of activation.

## Caspases and stemness

Cancer stem cells are involved in tumorigenesis, metastasis, drug resistance, and relapse [112]. Within the tumor bulk, CSCs have the capacity to self-renew, differentiate, and give rise to a new tumor. This process is precisely regulated by various modulators, including WNT/ $\beta$ -catenin, NOTCH and Hedgehog signaling, chromatin remodeling complexes, and noncoding RNAs [112].

Caspases were described to regulate stem cell properties in a wide variety of embryonic and adult tissues, and they are thus prevalent in many cellular processes during development and adulthood. More specifically, caspases play an important role in stem cell differentiation. Fujita *et al.* showed that caspase activity increases during retinoic acid-driven differentiation, a scenario requiring cleavage and inactivation of the stemness transcriptional factor NANOG by active caspase-9. Of note, chemical inhibition of caspase activation using pan-caspase inhibitors or caspase-3 KO hinders the differentiation process [113]. At a more physiological level, caspases can also influence muscle regeneration from resident stem cells called satellite cells. The stemness of satellite cells is maintained by the transcription factor Pax7. Importantly, caspase-3 can cleave Pax-7, initiating the myogenic differentiation program [114]. Fernando *et al.* [115] reached a similar conclusion in an *in vitro* model of skeletal muscle differentiation. The authors identified Mst1 as being activated by caspase-mediated proteolysis and initiating myogenesis. The same group extended their findings to neurosphere differentiation [116]. Cardiomyocyte regeneration equally requires nonlethal caspase activation: Low-level stresses trigger caspase activation that further drives cardiomyocyte differentiation [117].

Intense interest surrounds induced pluripotent stem cells that can be obtained from virtually all differentiated cells through the expression of a particular mix of transcription factors [118]. One study links the re-induction of pluripotency with OCT4-driven expression of caspase-3 and caspase-8. Mechanistically, the authors demonstrated that caspase-8 cleaves and inactivates RB, a differentiation state enforcer [119]. Caspases can also be involved in maintaining stem cells quiescence. For hematopoietic stem cells (HSCs), cellular quiescence is particularly important to ensure durable life-long repopulation of all blood and immune cells. In caspase-3 KO mice, HSCs have an increased sensitivity to exogenous proliferation signals leading to accelerated differentiation and proliferation [120]. The authors therefore concluded that caspases limit the



proliferating signals and enforce the quiescence of HSCs [120].

Since caspases play an important role in orchestrating the fate of normal stem cells, several studies tested this interplay in CSCs (Fig. 2). The group of Chuan-Yuan Li recently described that a large panel of cancer cells sustained spontaneous DNA double-strand breaks through limited mitochondrial permeabilization and nonlethal activation of caspases and nucleases [121]. With relevance for CSCs, these DNA lesions chronically activate an Ataxia telangiectasia mutated (ATM)-signal transducer and activator of transcription 3 (STAT3)-NF- $\kappa$ B axis that sustains tumorigenicity and promotes cancer stemness in a patient-derived glioma model [121]. The role of ATM in CSCs is also supported by studies in glioblastoma and breast cancer where ATM activation was correlated with increased survival and radioresistance of CSCs [122–124]. The population of CSCs can also increase after failed apoptosis in human mammary carcinoma. Xu *et al.* referred to this as ‘reverse apoptosis’, reporting that mammary carcinoma cells initiating apoptosis under staurosporine or paclitaxel treatment could recover with increased capacity to induce lymph node metastasis. This is associated with an elevated percentage of cells with CSC characteristics (CD44+/CD24– surface markers) in the surviving cells [125]. Along this line, BIK-triggered failed apoptosis in breast cancer cells was also reported to increase their stem-like characteristics [84].

These results suggest that nonlethal caspase activation can promote cancer aggressiveness through the induction and maintenance of CSCs (Fig. 2). However, the mechanisms underlying this noncanonical regulation need to be further explored, in order to understand the full impact of nonlethal caspase activation in tumor cells.

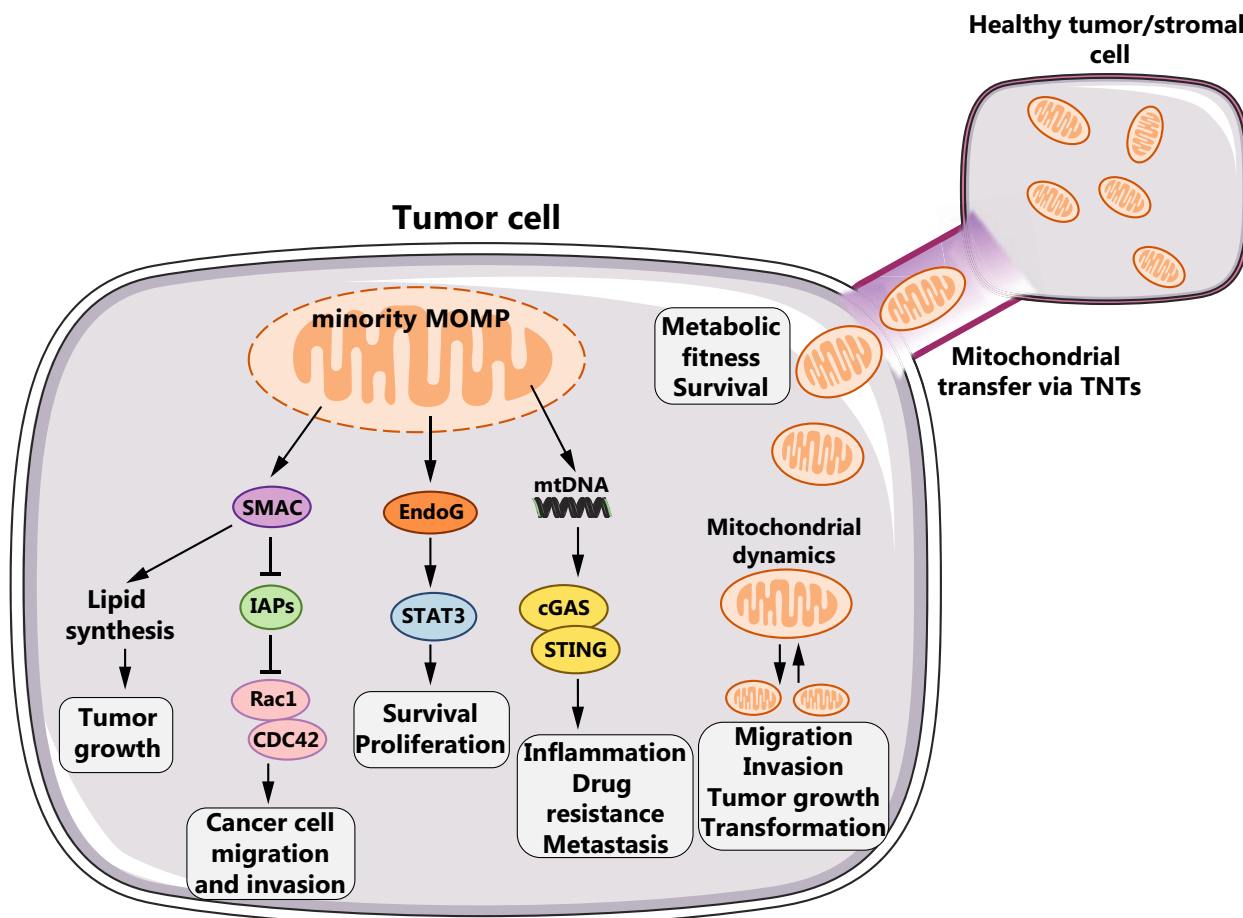
### Mitochondria, key apoptotic mediators of tumor aggressiveness

Mitochondria are vital organelles involved in critical processes such as energy production, calcium homeostasis, or redox balance. There are several major pro-oncogenic mechanisms involving mitochondria such as oncogenic mtDNA mutations, oxidative stress, regulation of cell death, or metabolic reprogramming. Since these canonical functions were extensively described in recent reviews, [126,127] we will briefly outline here how mitochondria can initiate and foster the oncogenic process through less known mechanisms involving partial mitochondrial permeabilization, mitochondrial transfer, and mitochondrial dynamics (Fig. 3).

### Impact of limited mitochondrial permeabilization in cancer progression

It was commonly believed that MOMP irreversibly induces apoptosis when triggered in all mitochondria. However, as discussed above, some cells can resist apoptosis due to the engagement of MOMP in a limited number of mitochondria without killing the cell [12,82,103]. Several cell signaling modulators can thus be released upon minority MOMP that may have a significant impact on tumor progression. For example, the SMAC/Diablo protein, which usually resides in the mitochondrial intermembrane space, is released following MOMP and promotes apoptosis by negatively regulating inhibitor of apoptosis proteins (IAPs). Interestingly, IAPs can function as direct E3 ubiquitin ligases for the Rho GTPase Rac1, inducing its proteasomal degradation (Fig. 3). As a consequence, SMAC-mediated stabilization of Rac1 promotes a mesenchymal mode of migration in tumor cells [128,129]. Similarly, the same group reported that melanoma-IAP regulates C-RAF stability and cell migration, while XIAP can also promote cancer cell migration through its contribution to Cdc42 homeostasis [130–132]. However, it remains unclear whether SMAC/Diablo released from the mitochondria upon minority MOMP can have an impact on cancer cell migration by negatively regulating IAP expression. Recently, another study strongly supported the role of the SMAC/Diablo protein in tumorigenesis. Indeed, SMAC/Diablo was reported to be over-expressed in cancer cells despite its role in promoting cell death, suggesting it might possess nonapoptotic functions. In this research, the authors demonstrated that SMAC/Diablo displays additional roles related to the regulation of lipid synthesis essential for cancer growth and development, which may partially explain the overexpression of this protein in various cancers, including lung, B-cell lymphoma, testis, colon, stomach, breast, prostate, and skin cancer [133,134]. EndoG is another factor released from the mitochondria and as mentioned before it promotes the activation of NF- $\kappa$ B and STAT3 signaling pathways, leading to the survival and proliferation of tumor cells (Fig. 3) [121].

Additionally, mtDNA could be involved in tumorigenesis, metastasis, and chemoresistance. For instance, Neuzil *et al.* reported that in the absence of mtDNA, tumor cells display a remarkably delayed tumor growth. MtDNA-depleted tumor cells may actively acquire mtDNA from surrounding cells, thereby restoring respiratory function and even tumorigenic potential [135,136]. This finding indicates that tumor cells may seek for and obtain functional mtDNA from the surrounding microenvironment via horizontal transfer.



**Fig. 3.** Apoptosis-related oncogenic roles of mitochondria. Minority MOMP can release several pro-apoptotic molecules such as SMAC, EndoG and mtDNA. Specifically, SMAC promotes growth and metastasis in surviving tumor cells by increasing lipid synthesis and lifting IAP-mediated inhibition on the Rho GTPases Rac1 or Cdc42. EndoG promotes survival and proliferation through the activation of the STAT3 signaling pathway. MtDNA released from permeabilized mitochondria is sensed by the cGAS-STING pathway and stimulates inflammation and metastasis. Mitochondrial dynamics (fission and fusion) can also sustain cancer cell migration, tumor growth and oncogenic transformation. Mitochondrial transfer via TNTs from neighboring healthy cells promotes tumor cell survival by enhancing the metabolic fitness of damaged cancer cells.

Therefore, it could be hypothesized that mtDNA released upon minority MOMP could also have an impact on cancer. Acting as a DAMP, mtDNA can be a potent innate immunity activator. Indeed, mtDNA released from mitochondria as a result of the permeabilizing activity of pro-apoptotic BAX and BAK proteins can trigger the activation of the interferon pathway via the cyclic GMP-AMP synthase (cGAS) and the stimulator of IFN genes protein (STING) pathway, which stimulates interferon production [137–139]. Although crucial for innate immunity and fight against viral pathogens, sustained and uncontrolled activation of cGAS-STING and the downstream signaling cascade is responsible for chronic inflammation, resistance to

chemotherapy and metastasis [140–143] (Fig. 3). While cGAS-STING activation is undeniably involved in cancer progression, the exact contribution of mtDNA to this effect remains unclear, as well as the relevance of minority MOMP to release sufficient amounts of mtDNA to efficiently activate this inflammatory pathway. A more plausible way through which cancer cells could maintain chronic cGAS-STING-driven inflammation would be through the acquisition of extra-tumoral mtDNA [143].

Thus, it is tempting to speculate that the release of mitochondrial factors upon minority MOMP may promote tumor progression, supporting a noncanonical role for mitochondria beyond the induction of apoptosis.

## Mitochondrial transfer

The mechanisms underlying cancer cell recovery from apoptosis are under investigation; however, it seems that mitochondrial transfer from healthy cells may rescue apoptotic cells from death (Fig. 3). Therefore, recent work suggests that this may also be relevant for tumor cell survival following an early apoptotic signaling. Wang *et al.* found that the delivery of functional mitochondria from healthy cells to early apoptotic cells via tunneling nanotubes (TNTs) mediates the recovery of UV-treated PC12 cells in the early stages of apoptosis [144]. Mitochondrial transfer has also been reported between cardiomyocytes and cardiac myofibroblasts, along membrane nanotubes to rescue distressed cardiomyocytes from apoptosis [145].

Remarkably, this process has also been described in cancer cells and particularly in the leukemic microenvironment, where mitochondrial transfer between bone marrow mesenchymal stromal cells and leukemic cells protects cancer cells from cytarabine treatment [146]. Moreover, the uptake of mitochondria by leukemic cells increases oxidative phosphorylation and favors survival (Fig. 3) [146,147]. Pasquier *et al.* also investigated the formation of heterocellular TNTs between stromal, mesenchymal or endothelial cells, and cancer cells. These TNTs preferentially mediated mitochondrial exchange from stromal to cancer cells, resulting in the emergence of chemoresistance to doxorubicin. The preferential mitochondrial shuttling from stromal cells toward cancer cells adds another layer of complexity to the metastatic niche [148]. Given that TNT-mediated mitochondrial transfer is almost exclusively unidirectional, from healthy to damaged cells, one might wonder whether molecular cues expressed by apoptotic cells can also act as 'rescue me' signals, attracting mitochondrial-loaded TNTs. This issue was addressed by Liu *et al.* by shielding surface-exposed PS on apoptotic endothelial cells with Annexin V. Unexpectedly, this blocked the formation of TNTs between donor and apoptotic cells, indicating that PS selectively guides TNTs toward damaged cells [149,147]. These findings are important for cancer therapy, where mitochondrial transfer to cancer cells damaged by chemo- or radiotherapy could protect certain cancer cells from therapy. One might envision combining chemotherapy with inhibitors of TNT formation and guidance such as actin polymerization inhibitors or masking PS on apoptotic cancer cells [147].

Understanding the role of mitochondrial transfer in defined biological contexts is limited by the experimental tools available. This was improved by the MitoCeption protocol developed by the Vignais team

that permitted direct and quantitative mitochondrial transfer from one cell to another. They showed that the transfer of mesenchymal stem cell-derived mitochondria boosts the energetic metabolism in recipient cancer cells while fostering cancer cell proliferation and invasion [150]. More recently, they also demonstrated the role of mitochondrial transfer as a novel mechanism in the regulation of T cell function, whereas uptake of mitochondria promotes an anti-inflammatory phenotype in Th17 cells [151]. Surprisingly, recent studies found that circulating blood contains cell-free respiratory competent mitochondria [152]. This implies that cancer cell-derived mitochondria might transmit their pro-oncogenic signals at the level of the whole organism. In summary, mitochondrial transfer seems to arise as a relevant survival strategy for cancer cells in order to recover from apoptosis and enhance tumor progression. Thus, this pro-survival mechanism should seriously be taken into account and specifically targeted when developing cancer therapies.

## Mitochondrial dynamics

The mitochondrial network is highly dynamic since it undergoes perpetual cycles of fusion and fission. Mechanistically, mitochondrial fusion and fission are executed by evolutionarily conserved GTPases that either constrict and fragment the mitochondria [dynamin-related protein 1 (DRP1)] or tether and fuse together shorter mitochondria to form elongated organelles [Mitofusin 1 (MFN1), MFN2, and Optic atrophy protein 1] [153,154]. Interestingly, during early apoptotic stages, the mitochondrial network is dramatically altered, leaning toward complete fission [155]. Similarly, abnormal mitochondrial fusion and fission are observed in cancer cells where they could impact tumor migration and invasion. This notion is supported by Zhao *et al.*, who found an upregulation of mitochondrial fission protein DRP1 expression in human invasive breast carcinoma and metastases to lymph nodes. This was associated with a higher mitochondrial fission and preferential distribution of fragmented mitochondria in lamellipodia, which are wave-like cellular extensions at the leading edge of migrating cells. Their results suggest that DRP1-dependent mitochondrial fission is critical for breast cancer cell migration and invasion (Fig. 3) [156]. These findings reflect those of Desai *et al.* who also found that preferential anterior distribution of mitochondria is correlated with faster and more persistent cancer cell migration. This correlation is particularly relevant

during confined cell migration conditions, similar to those encountered by metastatic cells *in vivo* [157]. With a direct impact on cancer therapy, the distribution of elongated and active mitochondria within the lamellipodia was also observed as an adaptive response of cancer cells to treatment with PI3K inhibitors that are currently used in clinical applications. As a consequence, mitochondrial repositioning in the cortical cytoskeleton sustains the turnover of focal adhesion complexes and therefore facilitates cell migration and invasion [158–160]. Mechanistically, Caino *et al.* established that cancer cells reprogram a network of proteins involved in mitochondrial intracellular trafficking centered on syntaphilin to shuttle mitochondria to the cortical cytoskeleton and sustain metastasis [161,162].

Well-characterized oncogenic signaling pathways can also hijack mitochondrial dynamics and favor cancer aggressiveness (Fig. 3). Specifically, oncogenic Myc transcriptionally controls the expression of several proteins involved either in mitochondrial trafficking (RHOT1, RHOT2, TRAK2, and Kif5B) or fission (DRP1). This facilitates the redistribution of mitochondria in lamellipodia to boost tumor cell invasion [163]. DRP1-mediated mitochondrial fission was also shown to be a crucial regulator of MAPK-driven tumor growth, while also mediating Ras-induced transformation [164,165]. These studies suggest that mitochondrial dynamics are linked with tumor progression (Fig. 3) raising the question whether one can use mitochondrial shape and intracellular localization to specifically identify highly metastatic cancer cells. Moreover, inhibition of either fusion or fission might be considered when targeting cancer metastasis.

## Concluding remarks

Triggered by radiotherapy and most chemotherapeutic drugs, apoptosis blunts tumor growth in a wide variety of cancers. However, in the context of cancer therapy, inducing apoptosis might not always be pertinent. As we have discussed in this review, apoptosis *per se* or failed apoptosis exert oncogenic functions in certain circumstances, with caspases and mitochondria mediating most of the pro-oncogenic effects. These non-canonical tumorigenic functions range from tumor cell proliferation, survival, genetic instability, stemness, angiogenesis, and metastasis, all of which may promote tumor growth and emergence of more aggressive cancers. Therefore, since apoptosis might be deleterious toward cancer treatment in certain contexts, we could consider inducing other forms of cell death, such as MOMP-induced caspase-independent cell death (or

CICD). Indeed, CICD is highly immunogenic and it lacks the capacity to induce the proliferation of surviving cancer cells, making it a promising alternative to apoptosis when envisioning novel therapeutic approaches [166–169]. Other strategies could involve the use of caspase inhibitors to prevent unwanted effects linked with nonlethal caspase activation or caspase activators to raise this nonlethal caspase activation to lethal levels and effectively kill cancer cells. PGE2 inhibitors could also be used to prevent AiP.

Taken together, we suggest that understanding the mechanisms regulating the balance between the tumor suppressor and oncogenic functions of apoptotic signaling may lead to more effective therapeutic strategies in the treatment of highly aggressive tumors.

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## Conflict of interest

The authors declare no conflict of interest.

## Author contributions

All authors drafted, edited, and revised the manuscripts.

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