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1	Structural basis for potent neutralization of SARS-CoV-2 and role of antibody affinity
2	maturation
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12	
13	Abstract
14	SARS-CoV-2 is a betacoronavirus virus responsible for the COVID-19 pandemic. Here, we determined the
15	X-ray crystal structure of a potent neutralizing monoclonal antibody, CV30, isolated from a patient
16	infected with SARS-CoV-2, in complex with the receptor binding domain (RBD). The structure reveals
17	CV30's epitope overlaps with the human ACE2 receptor binding site thus providing the structural basis
18	for its neutralization by preventing ACE2 binding.
19	

21 Main

22	COVID-19 was declared a pandemic in March 2020 by the World Health Organization <sup>1</sup> . As of June 11 <sup>th,</sup>
23	2020, there were ~ 7.4 M infections and over 415,000 deaths worldwide <sup>2</sup> . It is caused by a coronavirus
24	of the beta family, named SARS-CoV-2 <sup>3</sup> , as it is closely related to SARS-CoV <sup>4</sup> . Their genomes share 80%
25	identity and they utilize angiotensin-converting enzyme 2 (ACE2) as receptor for entry <sup>5-11</sup> . Viral entry
26	depends on the SARS-CoV-2 spike glycoprotein, a class I fusion protein comprised of two subunits, S1
27	and S2. S1 mediates ACE2 binding through the receptor binding domain (RBD), while the S2 subunit
28	mediates fusion. Overall the spike shares 76% amino acid sequence homology with SARS <sup>4</sup> . High
29	resolutions structures of the SARS-CoV-2 stabilized spike in the prefusion revealed that the RBD can be
30	seen in a 'up' or 'down' conformation <sup>5,6</sup> . It's been shown that some of the neutralizing antibodies bind
31	the RBD in the 'up' conformation similar to when the ACE2 receptor binds <sup>12</sup> . Currently there is no
32	vaccine available to prevent SARS-CoV-2 infection and highly effective therapeutics have not been
33	developed yet either. The host immune response to this new coronavirus is also not well understood.
34	We, and others, sought to characterize the humoral immune response from infected COVID-19
35	patients <sup>12-14</sup> . Recently, we isolated a neutralizing antibody, named CV30, which binds the receptor
36	binding domain (RBD), neutralizes with 0.03 $\mu$ g/ml and competes binding with ACE2 <sup>15</sup> . However, the
37	molecular mechanism by which CV30 blocked ACE2 binding was unknown. Herein, we present the 2.75
38	Å crystal structure of SARS-CoV-2 RBD in complex with the Fab of CV30 (Extended Data Table 1).

39

40 CV30 binds almost exclusively to the concave ACE2 binding epitope (also known as the receptor binding
41 motif (RBM)) of the RBD using all six CDR loops with a total buried surface area of ~1004 Å<sup>2</sup>, ~750 Å<sup>2</sup>
42 from the heavy chain and ~254 Å<sup>2</sup> from the kappa chain (Fig. 1A). 20 residues from heavy chains and 10
43 residues from the kappa chain interact with the RBD, forming 13 and 2 hydrogen bonds, respectively

(Fig. 1C and Extended Data Table 2). There are 29 residues from the RBD that interact with CV30, 19 44 45 residues with the heavy chain, 7 residues with the light chain, and 3 residues with both (Extended Data Table 2). Of the 29 interacting residues from the SARS-CoV-2 RBD, only 16 are conserved in the SARS-46 47 CoV S protein RBD (Fig. 2c), which could explain the lack of cross-reactivity of CV30 to SARS-CoV S<sup>15</sup>. The 48 CV30 heavy chain is minimally mutated with only a two-residue change from the germline and both of 49 these residues (Val27-Ile28) are located in the CDRH1 and form nonpolar interactions with the RBD. We 50 reverted these residues to germline to assess their role. Interestingly, the germline CV30 (glCV30) 51 antibody bound to RBD with ~100-fold lower affinity (407 nM affinity) (Fig 1d and Extended Data Table 3) compared to CV30 (3.6 nM<sup>15</sup>) with a very large difference in the off-rate. glCV30 neutralized SARS-52 53 CoV-2 with ~500-fold difference with an IC50 of 16.5 vs 0.03  $\mu$ g/mL for CV30 (Fig. 1e). Val27 forms a 54 weak non-polar interaction with the RBD Asn487 and sits in a pocket formed by CDRH1 and 3. Although 55 it is unclear, Phe27 presents in gICV30 could change the electrostatic environment. The Ile28 sidechain 56 forms non-polar interactions with the RBD Gly476-Ser447, particularly the Cy atom, which the glCV30 57 Thr would be incapable of making. Thus, minimal affinity maturation of CV30 significantly impacted the 58 ability of this mAb to neutralize SARS-CoV-2.

59

CV30 competes with ACE2 for binding to the RBD<sup>15</sup> and we therefore examined the structural 60 mechanism of the receptor blocking by superimposing the SARS-CoV-2 RBD/ACE2 complex (PDB: 6LZG)<sup>9</sup> 61 62 with the CV30 Fab/RBD complex. The structure of the RBD was used to align the two complexes and 63 showed that CV30 binding did not induce any conformational changes in the RBD from the ACE2-bound complex. The aligned RBD had a RMSD of 0.353 Å over 166  $C_{\alpha}$  atoms. The structure reveals that the 64 CV30 epitope overlaps almost completely with the ACE2 epitope. A total of 26 residues of the SARS-CoV-65 66 2 RBD interact with hACE2, CV30 binds to 19 of these residues (Fig. 2A), indicating that CV30 neutralizes 67 the virus by preventing the binding of ACE2 to RBD by direct steric interactions.

69	Recently, the structure of two potent neutralizing anti-RBD antibodies were published, B38 and CB6 <sup>12,14</sup> .
70	CV30 shares a similar germline heavy chain V-genes but all three have diverse germline kappa V-genes
71	(CV30 is IGKV3-20*01, B38 is IGKV1-9*01, CB6 is IGKV1-39*01, Extended Data Fig. 1). Both CV30 and
72	B38 use IGHV3-53*01 while CB6 uses IGHV3-66*01, which is only one amino acid different than 3-53*01
73	(Val12 which does not make contact with the epitope). CV30 and CB6 each have higher affinities, 3.6 nM
74	and 2.5 nM, respectively, than B38, 70.1 nM <sup>12,14,15</sup> . Differences in affinity translate into differences in
75	neutralization potency (the IC50s for CV30 and CB6 are 0.03 and 0.036 $\mu\text{g/mL}$ , respectively, and that of
76	B38 is 0.177 $\mu$ g/mL). Interestingly, Thr28 was also mutated from germline to IIe in B38 but Phe27 was
77	not. CB6 lacks both mutations found in CV30. Differences in other regions of the antibody, such as the
78	CDRH3 and light chain are likely responsible for the overall potency all these antibodies (see below). To
79	investigate the binding mechanism of the three antibodies, a superposition of the structures was
80	created. All three bind in a nearly identical manner with the same angle of approach and similar
81	footprints (Fig. 2b). The alignment of the Fv regions of B38 and CB6 to the Fv region of CV30 had a RMSD
82	of 0.240Å over 100 $C_{\alpha}$ atoms and 0.329Å over 98 $C_{\alpha}$ atoms, respectively. Mapping the binding
83	interactions of the RBD to each of the antibodies reveals a close overlap in the binding mechanism (Fig.
84	2c-d). The footprint of the heavy chain is nearly identical, as expected from the shared germline V-gene
85	and sequence similarity. CV30 and CB6 both have longer CDRH3 and bind with higher buried surface
86	area, ~263 and ~251 Ų, respectively, than B38 (~203 Ų) (Fig. 2d, Extended Data Fig. 1). The large
87	difference is in the light chain. CV30 has the smallest binding interaction at ~254 Å <sup>2</sup> , B38 has the largest
88	interaction at ~497 Å <sup>2</sup> and then CB6 at ~354Å <sup>2</sup> . One of the more interesting findings was the interaction
89	of Thr56 in the CV30 CDRK2 which reaches across the RBD and interacts Phe486, an interaction that is
90	not found in the other two antibodies (Extended Data Fig. 1).

92 In conclusion, our structure indicates that potent neutralizing antibodies against SARS-CoV-2 bind the 93 receptor binding motif in the RBD, overlapping the ACE2 binding site, but recognize residues that are 94 specific for SARS-CoV-2 only, thus explaining the lack of cross neutralization with SARS-CoV. It is noteworthy that potently neutralizing antibodies isolated from multiple individuals use the same or 95 96 similar VH gene to target their epitope. Additionally, the minimal affinity maturation observed 21 days 97 after infection in the VH gene of CV30 showed ~100-500-fold increase in affinity and neutralization 98 potency, indicating that further affinity maturation may increase potency and potential cross-reactivity. Our studies indicate that the RBD is a promising target for vaccine design and that these potently 99 100 neutralizing antibodies should be explored as a treatment for COVID-19 infection.

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# 102 Figure legends







105 Structure is shown in cartoon with surface representation shown in transparency. CV30 heavy chain is

- shown in dark blue and light chain in light blue. RBD is shown in pink. **b.** Sequence alignment of CV30
- 107 heavy and light chains with germline genes. Black circles underneath the sequence indicate residues that
- 108 interact with the RBD. c. Details of the interactions of the heavy (left) and light (right) chains with the
- 109 RBD. CDRs are labeled and colored as shown. Residues that interacts are shown as sticks and Hydrogen
- bonds are shown in dotted lines. **d**. Kinetics of glCV30 binding to RBD measured by BLI. **e**. glCV30 and
- 111 CV30 neutralization of SARS-CoV-2 pseudovirus.



114	Figure 2. Comparison of the CV30 epitope against ACE2 and other neutralizing antibodies. a. Structural
115	overlay of ACE2/RBD complex with CV30/RBD complex. <b>b</b> . Structural alignment of the variable domains
116	of CV30, B38, and CB6. <b>c</b> . Sequence alignment of SARS-CoV RBD and SARS-CoV-2 RBD. The residues that
117	interact with ACE2 are indicated by the black circles. Residues that interact with CV30, B38, and CB6 are
118	indicated by the colored squares (light chain interactions), circles (heavy chain interactions), or triangles
119	(interactions with both chains). <b>d</b> . Surface representation of the RBD with the binding epitope colored.
120	Light chain interactions are the lightest color, heavy chain interactions are next lightest, and CDRH3
121	specific interactions are darkest, and interacting with both heavy and light chain is purple.
122	
173	Methods
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124	Recombinant Protein Expression and Purification
125	The plasmid encoding the receptor binding domain of SARS-CoV-2 spike protein fused to a monomeric
126	Fc (p $\alpha$ H-RBD-Fc) has been previously described <sup>5</sup> and was a gift from Dr. Jason McLellan.
127	1L of 293SGlycoDelete cells <sup>16</sup> were cultured to a density of 1 million cells/mL and transiently transfected
128	with 500µg of p $\alpha$ H-RBD-Fc using 2 mg of polyethylenimine (PEI, Polysciences, Cat# 24765). Cultural
129	supernatant was harvested 6 days post-transfection by centrifugation and sterile filtered using a $0.22 \mu m$
130	vacuum filter. The RBD was purified using protein A agarose resin (GoldBio, Cat# P-400) and cleaving the
131	Fc domain using HRV3C protease (made in house) on-column. The eluate containing the RBD was further
132	purified by SEC using a HiLoad 16/600 Superdex 200 pg column (GE Healthcare) column pre-equilibrated
133	in 2mM Tris-HCl, pH 8.0, 200mM NaCl. Protein was aliquoted, flash frozen, and stored at -80°C until
134	needed.

136 500mL of 293EBNA cells were cultured to a density of 1 million cells/mL and transiently transfected with 137 125µg each of CV30 Heavy and Kappa chains using 1 mg of PEI. Cultural supernatant was harvested 6 138 days post-transfection by centrifugation and sterile filtered using a 0.22µm vacuum filter. IgG was 139 purified using protein A agarose resin and eluted using Pierce IgG Elution Buffer (Thermo Scientific, Cat# 140 21004). Eluate was pH adjusted to 7.5 using 1M HEPES, pH 7.5. IgG was further purified by SEC using a 141 HiLoad 16/600 Superdex 200 pg column. Antigen binding fragment (Fab) was generated by incubating IgG with LysC (New England Biolabs, Cat# P8109S) at a ratio of 1µg LysC per 10mg IgG at 37°C for 18hrs. 142 143 Fab unexpectedly stuck to protein A resin and was eluted as mixture of Fab, undigested IgG, and digested Fc product using the IgG elution buffer. Fab and Fc product was purified by SEC. The CV30-Fab 144 145 and SARS-CoV-2 RBD complex was obtained my mixing Fab and Fc product with a 2-fold molar excess of 146 RBD and incubated for 90min at RT with nutation followed by SEC. The complex was verified by SDS-147 PAGE analysis.

148

# 149 Crystal Screening and Structure Determination

150 The complex was concentrated to 10mg/mL for initial crystal screening by sitting-drop vapor-diffusion in 151 the MCSG Suite (Anatrace) using a NT8 drop setter (Formulatrix). Diffracting crystals were obtained in a 152 mother liquor (ML) containing 0.2M (NH<sub>4</sub>) Citrate, tribasic, pH 7.0 and 12% (w/v) PEG 3350. The crystals 153 were cryoprotected by soaking in ML supplemented with 30% (v/v) ethylene glycol. Diffraction data was 154 collected at Advanced Photon Source (APS) SBC 19-ID at a 12.662 keV. The data set was processed using XDS<sup>17</sup> to a resolution of 2.75Å. The structure of the complex was solved by molecular replacement using 155 Phaser<sup>18</sup> with a search model of SARS-CoV-2 RBD (PDBid: 6lzg)<sup>9</sup> and the Fab structure (PDBid: 5i1e)<sup>19</sup> 156 divided into Fv and Fc portions. Remaining model building was completed using COOT<sup>20</sup> and refinement 157

- was performed in Phenix<sup>21</sup>. The data collection and refinement statistics are summarized in Extended
  Data Table 1. Structural figures were made in Pymol.
- 160 BLI
- 161 For kinetic analyses glCV30 was captured on anti-Human IgG Fc capture (AHC) sensors at a
- 162 concentration of 20 µg/mL and loaded for 100s. After loading, the baseline signal was then recorded for
- 163 1min in KB. The sensors were immersed into wells containing serial dilutions of purified SARS-CoV-2 RBD
- 164 in KB for 150s (association phase), followed by immersion in KB for an additional 600s (dissociation
- 165 phase). The background signal from each analyte-containing well was measured using VRC01 IgG control
- 166 reference sensors and subtracted from the signal obtained with each corresponding glCV30 loaded
- 167 sensor. Kinetic analyses were performed at least twice with an independently prepared analyte dilution
- series. Curve fitting was performed using a 1:1 binding model and the ForteBio data analysis software.
- 169 Mean kon, koff values were determined by averaging all binding curves that matched the theoretical fit
- 170 with an R2 value of  $\geq 0.98$ .

#### 171 Neutralization Assay

- 172 HIV-1 derived viral particles were pseudotyped with full length wildtype SARS-CoV-2 S<sup>22</sup>. Briefly,
- 173 plasmids expressing the HIV-1 Gag and pol (pHDM540 Hgpm2), HIV-1Rev (pRC-CMV-rev1b), HIV-1 Tat
- 174 (pHDM-tat1b), the SARS-CoV-2 spike (pHDM-SARS-CoV-2 Spike ) and a luciferase/GFP reporter (pHAGE-
- 175 CMV-Luc2-IRES542 ZsGreen-W ) were co-transfected into 293T cells at a 1:1:1:1.6:4.6 ratio using 293
- 176 Free transfection reagent according to the manufacturer's instructions. 72 hours later the culture
- 177 supernatant was harvested, clarified by centrifugation and frozen at -80°C.
- 178 293 cells stably expressing ACE2 (HEK-293T-hACE2) were seeded at a density of 4x10<sup>3</sup> cells/well in a 100
- 179 µL volume in 96 well flat bottom tissue culture plates. The next day, CV30 and germline CV30 were
- serially diluted in 30 µL of cDMEM in 96 well round bottom 27 plates in triplicate. An equal volume of

181	viral supernatant diluted to result in 2×10 <sup>5</sup> luciferase units was added to each well and incubated for 60		
182	min at 37 °C. Meanwhile 50 $\mu L$ of cDMEM containing 6 $\mu g/mL$ polybrene was added to each well of		
183	293T-ACE2 cells (2 $\mu$ g/mL final concentration) and incubated for 30 min. The media was aspirated from		
184	293T-ACE2 cells and 100 $\mu$ L of the virus-antibody mixture was added. The plates were incubated at 37°C		
185	for 72 hours. The supernatant was aspirated and replaced with 100 $\mu L$ of Steadyglo luciferase reagent		
186	(Promega). 75 $\mu$ L was then transferred to an opaque, white bottom plate and read on a Fluorskan		
187	Ascent Fluorimeter. Control wells containing virus but no antibody (cells + virus) and no virus or		
188	antibody (cells only) were included on each plate.		
189	% neutralization for each well was calculated as the RLU of the average of the cells + virus wells, minus		
190	test wells (cells +mAb + virus), and dividing this result difference by the average RLU between virus		
191	control (cells+ virus) and average RLU between wells containing cells alone, multiplied by 100. The		
192	antibody concentration that neutralized 50% of infectivity (IC50) was interpolated from the		
193	neutralization curves determined using the log(inhibitor) vs. response Variable slope (four		
194	parameters) fit using automatic outlier detection in Graphpad Prism Software.		
195			
196	Data availability		
197	Coordinates and structure factors for CV30 Fab-SARS-CoV-2 RBD complex have been deposited in the		
198	Protein Data Bank (PDB) under the accession code 6XE1.		
199			
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253

# 254 Author contribution

- 255 N.K.H, A.T.M., L.S and M.P. conceived the project. N.K.H, A.T.M., L.S and M.P designed the experiments.
- 256 J.F. cloned the plasmids. N.K.H and A.B.S. expressed and purified the proteins. N.K.H. crystallized
- proteins, collected and processed the diffraction data, and solved the crystal structure. N.K.H and A.J.M.
- 258 performed kinetic experiments. Y-H. W. and A.J.M performed neutralization assay. N.K.H, A.T.M., L.S
- and M.P. analyzed and discussed data. N.K.H and M.P. wrote the original manuscript draft. N.K.H,
- A.T.M., L.S and M.P. reviewed and edited the manuscript.