A novel CpG island methylation panel predicts survival in lung adenocarcinomas

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Abstract. The lack of clinically useful biomarkers compromise the personalized management of lung adenocarcinomas (ADCs); epigenetic events and DNA methylation in particular have exhibited potential value as biomarkers. By comparing genome-wide DNA methylation data of paired lung ADCs and normal tissues from 6 public datasets, cancer-specific CpG island (CGI) methylation changes were identified with a pre-specified criterion. Correlations between DNA methylation and expression data for each gene were assessed by Pearson correlation analysis. A prognostically relevant CGI methylation signature was constructed by risk-score analysis, and was validated using a training-validation approach. Survival data were analyzed by log-rank test and Cox regression model. In total, 134 lung ADC-specific CGI CpGs were identified, among which, a panel of 9 CGI loci were selected as prognostic candidates, and were used to construct a risk-score signature. The novel CGI methylation signature was identified to classify distinct prognostic subgroups across different datasets, and was demonstrated to be a potent independent prognostic factor for overall survival time of patients with lung ADCs. In addition, it was identified that cancer-specific CGI hypomethylation of RPL39L, along with the corresponding gene expression, provided optimized prognostication of lung ADCs. In summary, cancer-specific CGI methylation aberrations are optimal candidates for novel biomarkers of lung

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Key words: lung adenocarcinomas, CpG island, DNA methylation, biomarker, prognostication

ADCs; the 9-CpG methylation panel and hypomethylation of *RPL39L* exhibited particularly promising significance.

Introduction

Non-small cell lung cancer (NSCLC) is the leading cause of cancer-associated mortality worldwide, and adenocarcinoma (ADC) is its most common histological subtype (1). Despite multiple treatment modalities, NSCLC is commonly associated with unfavorable outcomes, and has a 5-year survival rate of <20% (1). Several factors are known to contribute to the poor prognosis of patients with NSCLC, including late diagnosis of disease and a lack of effective drugs (2). NSCLCs are a clinically and molecularly heterogeneous group of diseases, and survival outcome or treatment response varies among individuals (3). Therefore, the absence of clinically informative biomarkers for stratifying different risk subgroups or guiding targeted treatment decisions is also notable. Efforts to identify potential biomarkers have been made, with a focus on genetic alterations including somatic mutations, copy number variations and gene expression; however, few are suitable for routine use in the field of NSCLC treatment (3-5).

Epigenetic changes, and particularly those at the DNA methylation level, are implicated in tumor initiation and progression (6). Hypermethylation of CpG islands (CGI) at the promoter regions of tumor-suppressor genes and consequent transcriptional silencing represents the best-known epigenetic event in cancer biology (6). As a novel molecular candidate for cancer biomarker discovery, DNA methylation has numerous advantages over the genetic alteration- or gene expression-based biomarkers for clinical application, including reliable DNA samples, stable methylation changes, informative biological relevance and drug-induced reversibility (7). Early efforts with candidate-gene approaches have identified a number of useful prognostic biomarkers based on the CGI methylation status of key genes, including Ras association domain family 1 isoform A (RASSF1A), runt-related transcription factor 3, and deleted in esophageal and lung cancer 1, in NSCLC (8). Unfortunately, these single-gene methylation events were unable to demonstrate consistent prognostic ability in independent validation studies, and therefore have not effected a real change in routine practice (8). High-throughput genome-wide DNA methylation profiling techniques have been increasingly

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used for the detection of the cancer genome markers. These methods may provide a comprehensive and unbiased identification of prognostic DNA methylation events throughout the epigenome, eventually leading to the improvement of personalized medicine for NSCLC (3).

The present study aimed to identify clinically useful epigenetic biomarkers from lung ADC-specific CGI methylation changes at different gene regions using genome-wide DNA methylation microarray data of lung ADCs and matched normal tissues from 6 publically available datasets. Accordingly, a 9-CpG CGI methylation panel and hypomethylation/overexpression of ribosomal protein 39 like (*RPL39L*) were identified, which may be of potential value for optimizing the risk stratification and personalized management of lung ADCs.

Materials and methods

Public datasets

The Cancer Genome Atlas (TCGA). Genome-wide DNA methylation data and corresponding clinical information were retrieved from TCGA data portal (https://tcga-data.nci. nih.gov/tcga/, accessed March 2016), including a dataset of 65 lung ADCs [female/male, 35/30; Tumor-Node-Metastasis (TNM) staging, I to IV (1); median age, 67 years; age range, 38-84 years] and 24 matched non-tumor lung samples assayed using an Illumina Infinium 27k BeadChip system (TCGA-27k set) and a dataset of 456 tumor samples [female/male, 244/212; TNM staging, I to IV (1); median age, 66 years; age range, 33-88 years] and 29 matched normal samples assayed using a Illumina Infinium 450k BeadChip system (TCGA-450k set) (3). There were Infinium 27k and 450k DNA methylation data for 6 tumor samples. For the transcriptome data, Level 3 Illumina HiSeq_RNASeqV2 data were obtained for all tumor samples from the TCGA-27k set, and for 452 tumor samples and 58 matched normal samples from the TCGA-450k set. Among the aforementioned TCGA datasets, Level 2 IlluminaGA_DNASeq data were also available for 490 samples, and Level 3 Affymetrix Genome_Wide_SNP_6 data for 512 samples. Somatic copy number data were analyzed within the GISTIC2.0 module on GenePattern (http://genepattern.broadinstitute.org/gp/; accessed March 2016). An amplitude threshold of ±0.2 was used.

Gene Expression Omnibus (GEO). Genome-wide DNA methylation microarray data were also obtained from 4 GEO series (https://www.ncbi.nlm.nih.gov/geo/; access at March 2016), including: i) A dataset of 59 matched lung ADCs [female/male, 45/14; TNM stage I to IV (1); median age, 68 years; age range, 39-86 years] and non-tumor lung samples [accession no. GSE32861; Selamat *et al* set (9)]; ii) a dataset of 26 matched tumor [female/male, 14/12; TNM stage I to IV (1); median age, unknown] and normal lung samples [accession no. GSE32866; Ontario Tumor Bank set (9)]; iii) a dataset of 28 matched tumor [female/male, 22/6; TNM stage I to IV (1); median age, 65 years; age range, unknown] and normal lung samples of never-smokers [accession no. GSE62948; Mansfield *et al* set (10)]; and iv) a dataset of 35 matched tumors [female/male, 19/16; TNM stage I to II (1); median age, 63 years; age range, 47-88 years] and normal lung samples of patients with lung ADCs [accession no. GSE63384; Robles *et al* set (11)].

Ethical approval. All procedures performed in studies involving humans were conducted in accordance with the ethical standards of the institutional research committees and with the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards. Informed consent was obtained from all individual participants as reported by included datasets (3,9-11).

Microarray data processing. For the Level 3 DNA methylation microarray data (Infinum BeadChips, Illumina Inc.), the methylation level of each interrogated CpG locus was summarized as a β -value, providing a continuous and quantitative index of DNA methylation, ranging from 0 (completely unmethylated) to 1 (completely methylated). To ensure that β -values were comparable across each dataset/platform, batch effects were adjusted by a non-parametric empirical Bayes approach (ber R package; version 3.2.5; https://www.r-project.org/) (12-14). The empirical Bayes correction was demonstrated to effectively remove batch effects following initial microarray data normalization (12,13). M-value transformation was applied prior to the batch effect adjustment to avoid a negative β -value, as described previously (15). For the gene-level analysis of the Level 3 Illumina HiSeq_RNASeqV2 data, expression values of 0 were set as the overall minimum value, and all data were log₂ transformed and standardized to z-scores within each gene. All missing values were imputed by nearest neighbor averaging (impute R package) (3).

Cancer-specific CGI methylation loci and their correlation with gene expression. The CpG probes interrogated by the Infinium 27k and 450k platforms were maintained for analysis, and were annotated using the Infinium Human Methylation 450k annotation file. Prior selection of CpGs probes was performed by removal of those that: i) Targeted the X and Y chromosomes; ii) contained a single-nucleotide polymorphism within 5 base pairs of and including the targeted CpGs; and iii) were not located at CGI regions of a gene; CGI was defined by the UCSC genome reference (http://genome.ucsc.edu/; accessed March 2016). For CpGs corresponding to multiple annotation terms, the first one in the 450k annotation file were used in the present study, to simplify data interpretation. Finally, a total of 9,270 CpG probes were included for additional analysis. Differentially methylated CpGs were computed by two-sample Wilcoxon test (samr R package). Lung ADC-specific CpGs were defined as those having a median β difference ≥ 0.2 between matched tumor and non-tumor lung samples and a false discovery rate (FDR) q-value ≤ 0.05 in at least 4 of the 6 datasets. Methylation and expression data were paired based on each Entrez Gene ID (https://www.ncbi.nlm.nih. gov/gene/; accessed March 2016). The correlation between methylation and expression level of each gene was evaluated by Pearson's correlation analysis, and those having an absolute Pearson correlation coefficient (r) \geq 0.3, 0.2-0.3, or 0.1-0.2 and P≤0.05 were defined as strong, moderate or weak correlations, respectively.

Construction and validation of a CGI methylation-based risk score signature. The training-validation approach was used to construct a prognostic CGI methylation signature. The training phase was performed using the TCGA-450k set, where the methylation levels of lung ADC-specific CpGs were correlated with overall survival (OS) time by univariate Cox regression analysis with permutation correction within the Biometric Research Branch-Array Tools (http://brb.nci.nih. gov/BRB-ArrayTools, accessed March 2016). Those that exhibited significant correlation with OS (permutation P≤0.05), and high variability [standard deviation (SD)≥0.10] were finally selected as prognostic methylation candidates. Probes with a higher SD variability indicated that the interrogated CpGs loci may have more opportunities to be dysregulated across tumors. These CpGs may therefore be more likely to serve roles in tumor biology, and the alterations in those CpGs may be easier to detect (16). The prognostic model was established by risk-score analysis, where each patient was assigned a risk score that is a linear combination of the methylation levels of each CpG weighted by their corresponding Cox regression coefficients (17). The median risk score (3.08) from the training set was pre-specified as cut-off for stratifying low-risk and high-risk subgroups. The validation phase was performed on the aforementioned TCGA-27k (3) and Robles et al (11) datasets. An additional dataset of patients with lung ADC [female/male, 127/125; TNM stage I to IV (1); median age, 65 years; age range, 40-90 years] with relapse-free survival (RFS) time was also included for independent validation [accession no. GSE39279; n=252; Sandoval et al set (2)].

Database for Annotation, Visualization and Integrated Discovery (DAVID) annotation clustering analysis. DAVID (version 6.7; https://david.ncifcrf.gov/; accessed March 2016) (18) was used to create functional annotation for genes corresponding to cancer-specific differentially-methylated CpGs with Gene Ontology (19), BioCarta (20) and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway tools (21).

Statistical analysis. Survival data were estimated by the Kaplan-Meier method, and compared using the log-rank test. Survival data were summarized as median OS time or RFS time. The associations between variables and survival data were evaluated using the univariate Cox regression model. A multivariate Cox regression model was used to evaluate the independence of each potential prognostic indicator by incorporating those significant variables from the univariate Cox model. Pooled survival data were analyzed by meta-analysis with the inverse-variance method, where either fixed- or random-effect models were used on the basis of the intra-dataset heterogeneity. Heterogeneity was analyzed using the χ^2 test and I² statistic, with P_{heterogeneity} <0.1 or I² value >50% being considered significant. When integrating the DNA methylation and gene expression data of RPL39L, the optimal cut-off values to segregate patients into poor and good prognostic subgroups were determined by the maximally selected rank statistics, as described previously (22). All calculations were performed with SPSS v19.0 (SPSS Software, Inc., Chicago, IL, USA) and R software version 3.2.5, and P≤0.05 was considered to indicate a statistically significant difference.

Results

Identification of cancer-specific CGI methylation loci in lung ADCs. By comparing the genome-wide DNA methylation data of matched lung ADCs and non-tumor tissues from the 6 included datasets, a total of 134 CGI loci (corresponding to 119 genes) that met the study criteria of lung ADCs-specific methylation changes were identified. Almost all of these CGI CpGs gained DNA methylation, whereas only 3 loci ([cg07693270 (RPL39L); cg24898753 (ferritin heavy chain 1); and cg06038133 (CORO6)] were hypomethylated in lung ADCs (Table I). DAVID annotation analysis (18) revealed that those cancer-specific methylation changes often affected genes with roles in the regulation of transcription (49 genes, P=3.60x10⁻¹⁰), cell-cell signaling (17 genes, P=1.29x10⁻⁵) and cell surface receptor linked signal transduction (22 genes, P=0.042). Furthermore, by integrating TCGA gene expression data, it was identified that the methylation levels of 27 (20%), 23 (17%) and 45 (34%) CpGs exhibited strong, moderate and weak correlations with their gene expressions, respectively. Accordingly, among those that were strongly associated with DNA methylation (n=82), 64 genes (78%) were differentially expressed between tumor and non-tumor tissues. In summary, ADC-specific CGI loci, and those with corresponding gene expression aberrations in particular, may serve as potential biomarker candidates with diagnostic and prognostic possibilities.

Identification of a novel CGI methylation signature that is a potent prognostic indicator for OS time in lung ADCs. Within the univariate Cox regression model incorporating methylation data of those ADCs-specific CGI loci, a total of 9 CGI CpGs were identified from the training set (TCGA-450k set) that were significantly associated with OS (permutation $P \le 0.05$), and that had higher variability (SD ≥ 0.10) in lung ADCs. Characteristics of the 9 CGI CpGs are summarized in Table II. Methylation data of 7 and 2 CpGs exhibited negative and positive associations with OS, respectively (Table II). Accordingly, as aforementioned, the risk score formula for the CpGs of the MyoD family inhibitor (MDFI), homeobox D3 (HOXD3), CKLF like MARVEL transmembrane domain containing 2 (CMTM2), paired box 3 (PAX3), LY6/PLAUR domain containing 5 (LYPD5), laeverin (LVRN), RPL39L, glutamate ionotropic receptor kainite type subunit 2 (GRIK2) and complexin 2 (CPLX2) genes was established as follows: Risk score= $[(1.403 \text{ x } \beta \text{-value of } cg05345286 \text{ (MDFI)}) +$ (1.564 x β-value of cg18702197 (HOXD3)) + (1.646 x β-value of cg01683883 (CMTM2)) + (1.526 x β -value of cg02245378 (PAX3)) + (0.984 x β -value of cg12768605 (*LYPD5*)) + (1.316 x β -value of cg25044651 (Laeverin (LVRN)) + (-1.130 x β -value of cg07693270 (*RPL39L*)) + (1.088 x β -value of cg26316946 (GRIK2)) + (-0.835 x β -value of cg19885761 (CPLX2))]. On the basis of the risk formula, each patient from the TCGA-450k set was assigned a risk score, and then classified into low-risk or high-risk groups using the median score as a cut-off (3.08). Survival analysis indicated that in the TCGA-450k set, the low-risk group was associated with increased OS times compared with the high-risk group [54.4 vs. 42.3 months, respectively; P=0.006 (log-rank test); Fig. 1A].

To confirm its prognostic relevance, the CGI methylation signature in an additional 2 datasets, the TCGA-27k and

Table I. Characteristics of the identified lung ADC-specific CpGs at CpG island regions.

Probes	Chr.	Symbols	Gene ID	Association with CpG island	Association with gene region	Methylation status between tumor and normal tissues	Pearson coefficients between DNA methylation and gene expression ^a	Log ₂ fold change between tumor and normal tissues ^b
cg18335068	19	ZNF677	342926	Island	5'UTR	Hypermethylation	-0.603	-0.860
cg08089301	17	HOXB4	3214	Island	1stExon	Hypermethylation	-0.536	-0.326
cg04317399	7	HOXA4	3201	Island	1stExon	Hypermethylation	-0.492	-1.446
cg07533148	1	TRIM58	25893	Island	1stExon	Hypermethylation	-0.475	-1.407
cg07703401	16	HBQ1	3049	Island	1stExon	Hypermethylation	-0.461	-0.552
cg23432345	7	HOXA7	3204	Island	1stExon	Hypermethylation	-0.436	-0.648
cg12880658	5	CD01	1036	Island	1stExon	Hypermethylation	-0.414	-1.599
cg02919422	8	SOX17	64321	Island	5'UTR	Hypermethylation	-0.410	-1.527
cg25875213	19	ZNF781	163115	Island	5'UTR	Hypermethylation	-0.402	-1.279
cg14458834	17	HOXB4	3214	Island	1stExon	Hypermethylation	-0.389	-0.326
cg10088985	4	CXCL5	6374	Island	1stExon	Hypermethylation	-0.375	-0.392
cg04048259	20	EDN3	1908	Island	TSS200	Hypermethylation	-0.363	-1.415
cg04062391	19	ZNF560	147741	Island	5'UTR	Hypermethylation	-0.341	Not Significant
cg16428251	3	SOX14	8403	Island	TSS200	Hypermethylation	-0.341	Not Significant
cg07621046	10	C10orf82	143379	Island	TSS200	Hypermethylation	-0.337	-0.355
cg18536148	17	TBX4	9496	Island	5'UTR	Hypermethylation	-0.332	-1.540
cg23290344	8	NEFM	4741	Island	TSS1500	Hypermethylation	-0.328	-0.336
cg21233722	5	$DOCK^2$	1794	Island	Body	Hypermethylation	-0.325	-0.946
cg14384532	15	NTRK3	4916	Island	TSS1500	Hypermethylation	-0.322	-1 120
cg02008154	7	TRX20	57057	Island	1stExon	Hypermethylation	-0.320	Not Significant
cg21546671	17	HOXR4	3214	Island	1stExon	Hypermethylation	-0.319	-0 326
cg19885761	5	CPIX2	10814	Island	5'UTR	Hypermethylation	-0.318	-0.476
cg03734874	14	TMFM170	388021	Island	TSS1500	Hypermethylation	-0.313	0.530
cg17525406	1	ΔΙΔΡΙ	55966	Island	Body	Hypermethylation	-0.295	-0.943
cg20616414	0	WNK^2	65268	Island	1stExon	Hypermethylation	-0.295	-0.945
cg10235817	1	ADRA2C	152	Island	1stExon	Hypermethylation	-0.288	1,000
cg101/1715	12	SLC548	160728	Island	1stExon	Hypermethylation	-0.209	-1.000
cg10141713	12	ODEDD	8/100	Island	1stExon	Hypermethylation	-0.234	-0.029 Not Significant
cg00015770	- 1	$Q \Lambda T \Lambda$	5091	Island	TSCI500	Hypermethylation	-0.240	
cg07330647	1	CWI142	20157	Island	TSS1500	Hypermethylation	-0.237	0.777
cg23464904	4	CWH43 TDV20	60137 57057	Island	1551500 1stEven	Hypermethylation	-0.237	-0.971 Not Significant
cg15870800	2		27037		TSLEXOI	Hypermethylation	-0.233	
cg00092813	2	SPHKAP	80309		155200	Hypermethylation	-0.233	-0.977
cg23/10218	8	MSC ATDO A2	9242	Island	IstExon	Hypermethylation	-0.225	0.735
cg12111/14	13	ATP8A2	51/61	Island	Body	Hypermethylation	-0.220	-1.184
cg00548268	/	NPIX2	4885	Island	1551500	Hypermethylation	-0.215	0.843
cg213/6883	1	ACIN2	88	Island	Body	Hypermethylation	-0.213	-1.639
cg08441806	10	NKX6-2	84504	Island	IstExon	Hypermethylation	-0.212	-0.576
cg20959866	1	AJAPI	55966	Island	TSS1500	Hypermethylation	-0.211	-0.943
cg00662556	18	GALRI	2587	Island	Body	Hypermethylation	-0.211	-0.322
cg20792062	12	KCNA5	3741	Island	5'UTR	Hypermethylation	-0.211	-1.276
cg10556064	16	SMPD3	55512	Island	5'UTR	Hypermethylation	-0.206	-0.405
cg20291049	2	POU3F3	5455	Island	1stExon	Hypermethylation	-0.200	0.385
cg12614105	7	NPY	4852	Island	5'UTR	Hypermethylation	-0.195	Not Significant
cg09619146	10	CPXM2	119587	Island	1stExon	Hypermethylation	-0.193	Not Significant
cg04490714	16	SLC6A2	6530	Island	1stExon	Hypermethylation	-0.190	-0.375
cg13929328	10	FOXI2	399823	Island	1stExon	Hypermethylation	-0.189	-0.781
cg18081258	14	NDRG2	57447	Island	TSS1500	Hypermethylation	-0.188	-1.450
cg15343119	18	GALR1	2587	Island	TSS1500	Hypermethylation	-0.187	-0.322

Table I. Continued.

							Pearson	
						Methylation	coefficients	Log_2 fold
				Association	Association	status between	between DNA	change between
D 1		0 1 1		with CpG	with gene	tumor and	methylation and	tumor and
Probes	Chr.	Symbols	Gene ID	island	region	normal tissues	gene expression ^a	normal tissues ⁶
cg00891541	16	SMPD3	55512	Island	5'UTR	Hypermethylation	-0.187	-0.405
cg10486998	18	GALR1	2587	Island	TSS1500	Hypermethylation	-0.187	-0.322
cg21245652	2	MAL	4118	Island	TSS1500	Hypermethylation	-0.181	-1.204
cg06675478	13	SOX1	6656	Island	TSS200	Hypermethylation	-0.178	0.352
cg26721264	18	GALR1	2587	Island	TSS1500	Hypermethylation	-0.178	-0.322
cg18952647	15	BNC1	646	Island	TSS1500	Hypermethylation	-0.177	-0.498
cg01683883	16	CMTM2	146225	Island	TSS1500	Hypermethylation	-0.175	-1.336
cg06722633	1	GRIK3	2899	Island	Body	Hypermethylation	-0.175	Not Significant
cg25942450	5	TLX3	30012	Island	TSS200	Hypermethylation	-0.173	0.474
cg27009703	7	HOXA9	3205	Island	1stExon	Hypermethylation	-0.170	Not Significant
cg04534765	18	GALR1	2587	Island	1stExon	Hypermethylation	-0.170	-0.322
cg19064258	16	HS3ST2	9956	Island	1stExon	Hypermethylation	-0.163	-0.265
cg02164046	3	SST	6750	Island	1stExon	Hypermethylation	-0.159	Not Significant
cg12768605	19	LYPD5	284348	Island	TSS200	Hypermethylation	-0.153	-0.346
cg25720804	5	TLX3	30012	Island	1stExon	Hypermethylation	-0.153	0.474
cg10883303	7	HOXA13	3209	Island	1stExon	Hypermethylation	-0.150	0.831
cg12457773	6	NRSN1	140767	Island	5'UTR	Hypermethylation	-0.150	-0.521
cg14008883	10	SLC18A3	6572	Island	1stExon	Hypermethylation	-0.148	0.725
cg03544320	4	CRMP1	1400	Island	1stExon	Hypermethylation	-0.147	-0.610
cg24199834	4	POU4F2	5458	Island	1stExon	Hypermethylation	-0.145	Not Significant
cg19456540	14	SIX6	4990	Island	1stExon	Hypermethylation	-0.144	0.392
cg08572611	7	ACTL6B	51412	Island	Body	Hypermethylation	-0.142	Not Significant
cg00489401	5	FLT4	2324	Island	Body	Hypermethylation	-0.133	-1.340
cg05373457	8	KCNS2	3788	Island	5'UTR	Hypermethylation	-0.133	Not Significant
cg14991487	2	HOXD9	3235	Island	TSS200	Hypermethylation	-0.123	Not Significant
cg02774439	4	HAND2	9464	Island	5'UTR	Hypermethylation	-0.122	-0.364
cg02757432	10	GPR26	2849	Island	1stExon	Hypermethylation	-0.114	Not Significant
cg25044651	5	LVRN	206338	Island	1stExon	Hypermethylation	-0.112	Not Significant
cg01354473	7	HOXA9	3205	Island	1stExon	Hypermethylation	-0.112	Not Significant
cg08109815	6	NMBR	4829	Island	5'UTR	Hypermethylation	-0.107	-0.506
cg10303487	8	DPYS	1807	Island	1stExon	Hypermethylation	-0.107	-0.816
cg18555440	11	MYOD1	4654	Island	1stExon	Hypermethylation	-0.094	Not Significant
cg09936561	4	DRD5	1816	Island	1stExon	Hypermethylation	-0.085	Not Significant
cg14859460	5	GRM6	2916	Island	TSS200	Hypermethylation	-0.079	Not Significant
cg18722841	11	PHOX2A	401	Island	1stExon	Hypermethylation	-0.079	0.428
cg09229912	12	CUX2	23316	Island	1stExon	Hypermethylation	-0.076	Not Significant
cg20404387	1	FAM43B	163933	Island	1stExon	Hypermethylation	-0.072	0.314
cg12782180	7	LEP	3952	Island	TSS1500	Hypermethylation	-0.070	0.987
cg15489294	5	LVRN	206338	Island	TSS1500	Hypermethylation	-0.068	Not Significant
cg25993718	20	CBLN4	140689	Island	TSS200	Hypermethylation	-0.067	-0.431
cg16787600	10	SORCS3	22986	Island	1stExon	Hypermethylation	-0.062	Not Significant
cg07307078	18	TUBB6	84617	Island	TSS1500	Hypermethylation	-0.059	-1.308
cg08832227	12	KCNA1	3736	Island	Body	Hypermethylation	-0.058	-0.405
cg01381846	7	HOXA9	3205	Island	1stExon	Hypermethylation	-0.055	Not Significant
cg02332525	3	GRM7	2917	Island	1stExon	Hypermethylation	-0.050	-0.368
cg15748507	10	PRLHR	2834	Island	Body	Hypermethylation	-0.049	Not Significant
cg15191648	18	SALL3	27164	Island	TSS200	Hypermethylation	-0.048	0.690
cg26609631	13	GSX1	219409	Island	5'UTR	Hypermethylation	-0.048	Not Significant
U						J	-	0

Table I. Continued.

Probes	Chr.	Symbols	Gene ID	Association with CpG island	Association with gene region	Methylation status between tumor and normal tissues	Pearson coefficients between DNA methylation and gene expression ^a	Log ₂ fold change between tumor and normal tissues ^b
cg13302823	8	SCRT1	83482	Island	1stExon	Hypermethylation	-0.033	Not Significant
cg01839464	18	DCC	1630	Island	Body	Hypermethylation	-0.029	-1.162
cg25691167	7	FERD3L	222894	Island	1stExon	Hypermethylation	-0.025	Not Significant
cg05345286	6	MDFI	4188	Island	Body	Hypermethylation	-0.024	0.960
cg25574024	11	IGF2AS	51214	Island	Body	Hypermethylation	-0.020	Not Significant
cg11525285	14	VSX2	338917	Island	1stExon	Hypermethylation	-0.019	-0.269
cg22187630	19	CACNAIA	773	Island	1stExon	Hypermethylation	-0.016	0.290
cg21296230	15	GREM1	26585	Island	5'UTR	Hypermethylation	-0.010	1.327
cg13791131	11	IGF2AS	51214	Island	Body	Hypermethylation	-0.009	Not Significant
cg01295203	8	PRDM14	63978	Island	TSS1500	Hypermethylation	0.002	Not Significant
cg26252167	6	GPR6	2830	Island	1stExon	Hypermethylation	0.004	Not Significant
cg13547644	1	ACTA1	58	Island	5'UTR	Hypermethylation	0.012	Not Significant
cg22881914	14	NID2	22795	Island	TSS1500	Hypermethylation	0.028	0.667
cg23207990	4	SFRP2	6423	Island	TSS1500	Hypermethylation	0.041	0.729
cg13323752	12	SLC2A14	144195	Island	TSS200	Hypermethylation	0.054	-0.701
cg09643544	19	ZNF177	7730	Island	1stExon	Hypermethylation	0.064	-0.725
cg08575537	7	EPO	2056	Island	Body	Hypermethylation	0.064	-0.262
cg15107670	11	WT1	7490	Island	1stExon	Hypermethylation	0.067	0.569
cg26186727	18	NETO1	81832	Island	1stExon	Hypermethylation	0.086	1.372
cg06958829	17	ACSF2	80221	Island	Body	Hypermethylation	0.091	-0.500
cg04907257	5	ADCY2	108	Island	TSS1500	Hypermethylation	0.097	-0.578
cg21591742	2	HOXD10	3236	Island	TSS1500	Hypermethylation	0.114	0.507
cg03958979	6	NR2E1	7101	Island	TSS1500	Hypermethylation	0.123	1.200
cg02245378	2	PAX3	5077	Island	Body	Hypermethylation	0.126	Not Significant
cg14144305	11	ALX4	60529	Island	Body	Hypermethylation	0.129	Not Significant
cg25902889	19	FSD1	79187	Island	Body	Hypermethylation	0.141	0.842
cg22660578	17	LHX1	3975	Island	TSS1500	Hypermethylation	0.151	0.784
cg22341310	19	ZNF541	84215	Island	Body	Hypermethylation	0.172	-0.621
cg13462129	7	DLX5	1749	Island	Body	Hypermethylation	0.193	0.678
cg11376198	1	AKR7L	246181	Island	TSS200	Hypermethylation	0.243	0.531
cg26316946	6	GRIK2	2898	Island	1stExon	Hypermethylation	0.246	0.659
cg03874199	2	HOXD12	3238	Island	TSS200	Hypermethylation	0.283	0.501
cg23130254	2	HOXD12	3238	Island	1stExon	Hypermethylation	0.317	0.501
cg00767581	2	HOXD4	3233	Island	TSS1500	Hypermethylation	0.353	Not Significant
cg18702197	2	HOXD3	3232	Island	TSS1500	Hypermethylation	0.355	0.422
cg07693270	3	RPL39L	116832	Island	5'UTR	Hypomethylation	-0.668	1.296
cg24898753	11	FTH1	2495	Island	TSS1500	Hypomethylation	0.053	-0.589
cg06038133	17	CORO6	84940	Island	Body	Hypomethylation	0.054	-0.849

^aPearson coefficients that were calculated using all TCGA lung ADC samples with paired DNA methylation and gene expression data. ^bLog2 fold changes that were calculated using the expression data from all paired lung ADCs and normal tissues from TCGA. TSS, transcription start site; UTR, untranslated region; TCGA, The Cancer Genome Atlas; ADC, adenocarcinoma; Chr., chromosome.

Robles *et al* (11) datasets, were analyzed. By directly applying the risk formula and using cut-off points, the TCGA-27k set was divided into a low-risk group (n=21) and a high-risk group (n=44). In concordance with the training set, patients within

the low-risk group exhibited increased OS times compared with those within the high-risk group [77.3 vs. 34.2 months; P=0.039 (log-rank test); Fig. 1B]. Similar results were also observed within the Robles *et al* (11) set, where low-risk

Probe ID	Symbol	Association with gene region	Chr.	Methylation status between tumor and normal tissues ^a	Expression status between tumor and normal tissues ^b	Pearson coefficients between DNA methylation and gene expression ^c	Univariate Cox coefficients ^d	Permutation P-value ^d
cg05345286	MDFI	Body	6	Hyper	Up	-0.024	1.403	0.003
cg18702197	HOXD3	TSS1500	2	Hyper	Up	0.355	1.564	0.004
cg01683883	CMTM2	TSS1500	16	Hyper	Down	-0.175	1.646	0.012
cg02245378	PAX3	Body	2	Hyper	NS	0.126	1.526	0.017
cg12768605	LYPD5	TSS200	19	Hyper	Down	-0.153	0.984	0.024
cg25044651	LVRN	1stExon	5	Hyper	NS	-0.112	1.316	0.030
cg07693270	RPL39L	5'UTR	3	Нуро	Up	-0.668	-1.130	0.043
cg26316946	GRIK2	1stExon	6	Hyper	Up	0.246	1.088	0.043
cg19885761	CPLX2	5'UTR	5	Hyper	Down	-0.318	-0.835	0.049

Table II. Characteristics of the 9-CpG CpG island methylation panel.

^aMethylation status in all the 6 included datasets. ^bExpression status in all matched lung adenocarcinomas and normal tissues within the combined TCGA dataset (TCGA-27k and TCGA-450k sets). ^cPearson coefficients in all TCGA tumor samples with paired DNA methylation and gene expression data. ^dCalculated within the TCGA-450k training set. Chr., chromosome; hyper, hypermethylation; hypo, hypomethylation; up, upregulation; down, downregulation; NS, not significantly altered; TCGA, The Cancer Genome Atlas; TSS, transcription start site; UTR, untranslated region; MDFI, MyoD family inhibitor; HOXD3, homeobox D3; CMTM2, CKLF like MARVEL transmembrane domain containing 2; PAX3, paired box 3; LYPD5, LY6/PLAUR domain containing 5; LVRN, laeverin; RPL39L, ribosomal protein 39 like; GRIK2, glutamate ionotropic receptor kainite type subunit 2; CPLX2, complexin 2.



Figure 1. Kaplan-Meier curves of overall survival time using the 9-CpG island methylation signature across each dataset. (A) TCGA-450k dataset. (B) TCGA-27k dataset. (C) Robles *et al* (11) dataset. TCGA, The Cancer Genome Atlas.

patients were associated with improved OS compared with the high-risk patients [median time not reached for either group; P=0.009 (log-rank test); Fig. 1C]. Pooled analysis at dataset level confirmed the prognostic relevance of the CGI methylation signature for lung ADCs [hazard ratio (HR)=1.61,95% confidence interval (CI), 1.20-2.17; P=0.002; I²=29%, P=0.25].

Univariate Cox regression analysis of all patients from TCGA datasets (combined TCGA-27k and TCGA-450k sets) indicated that only tumor stages and the CGI methylation signature were significantly associated with OS, while patient age, sex, tumor stages, smoking status, MET proto-oncogene, receptor tyrosine kinase amplification and mutations in key genes including KRAS proto-oncogene, GTPase, Epithelial growth factor receptor, tumor protein P53 and B-Raf proto-oncogene, serine/threonine kinase were not. Finally, the multivariate Cox regression analysis demonstrated the

prognostic significance of the CGI methylation signature of the present study in lung ADCs (Table III).

CGI methylation signature is not a strong prognostic indicator of *RFS in lung ADCs*. To investigate the association of the CGI methylation signature of the present study with RFS, it was analyzed within the TCGA-450k set, which yielded a marginally significant difference in RFS between each risk group [33.9 vs. 27.0 months; P=0.049 (log-rank test); Fig. 2A]. Then, in the TCGA-27k set, low-risk patients appeared to exhibit longer RFS compared with the high-risk patients, but the difference did not reach significance (68.2 vs. 17.0 months; log-rank test P=0.072; Fig. 2B). An additional large cohort of lung ADCs was finally introduced into the validation phase, where the CGI methylation signature also failed to significantly stratify patients into subgroups with distinct RFS outcomes [62.6 vs. 55.6 months; P=0.492 (log-rank test); Fig. 2C]. Despite that, the

	Univariate Cox n	nodel	Multivariate Cox model			
Variables	HR (95% CI)	P-value	HR (95% CI)	P-value		
Tumor stage	1.651 (1.441-1.893)	< 0.001	1.611 (1.405-1.847)	< 0.001		
CGI methylation signature	1.606 (1.199-2.152)	0.001	1.449 (1.078-1.947)	0.014		
Sex	1.057 (0.794-1.407)	0.705	-	-		
Smoking status	0.915 (0.611-1.371)	0.666	-	-		
Age	1.009 (0.993-1.024)	0.271	-	-		
BRAF mutations	0.707 (0.402-1.246)	0.231	-	-		
EGFR mutations	1.230 (0.830-1.824)	0.302	-	-		
KRAS mutations	1.176 (0.858-1.610)	0.314	-	-		
TP53 mutations	1.332 (0.990-1.793)	0.058	-	-		
MET amplification	1.027 (0.833-1.268)	0.801	-	-		

Table III	. Results	from	Cox	regression	models	within	all T	'he (Cancer	Genome	Atlas	sampl	les.
				<u> </u>									

HR, hazard ratio; CI, confidence interval; CGI, CpG island; BRAF, B-Raf proto-oncogene, serine/threonine kinase; EGFR, epithelial growth factor receptor; KRAS, KRAS proto-oncogene, GTPase; TP53, tumor protein P53; MET, MET proto-oncogene, receptor tyrosine kinase.



Figure 2. Kaplan-Meier curves of the relapse-free survival time using the 9-CpG island methylation signature across each dataset. (A) TCGA-450k dataset. (B) TCGA-27k dataset. (C) Sandoval *et al* (2) dataset. TCGA, The Cancer Genome Atlas.

pooled analysis of the 3 datasets yielded a significant difference in RFS between the risk groups (HR, 1.30; 95% CI, 1.04-2.62; P=0.020; I²=0%; P=0.38). The inconsistent results from different analysis levels indicated that the CGI methylation signature is not a robust indicator for RFS in lung ADCs.

Novel classification approach based on the integration of DNA methylation and gene expression of RPL39L in lung ADCs. By characterizing each member of the CGI methylation panel, it was identified that one CGI locus (cg07693270) was consistently hypomethylated in lung ADCs (Fig. 3A), and the methylation data were closely correlated with gene expression (*RPL39L*, Pearson coefficient r=-0.668; P<0.0001; Fig. 3B), indicating a methylation-dependent transcriptional regulatory mechanism for RPL39L. In line with its epigenetic status, RPL39L was upregulated in lung ADCs, indicating a tumor-promoting role (Fig. 3C). At the initiation of the present study, the methylation level of RPL39L was positively correlated with OS. Therefore, the present study attempted to prognostically classify patients by single-locus methylation status of RPL39L, and it was identified that tumors with methylated CGI of RPL39L were associated with increased OS compared with the unmethylated tumors within TCGA

samples (Fig. 3D). Additionally, it was identified that based on RPL39L expression levels, patients may also be classified into distinct prognostic subgroups, in which tumors exhibiting decreased RPL39L expression levels were associated with increased OS time compared with those with increased expression levels [59.7 vs. 42.7 months; P=0.002 (log-rank test); Fig. 3E]. These data indicated the possibility of a promising classification approach based on the integration of the DNA methylation and gene expression of RPL39L. Consequently, the present study identified that tumors with increased methvlation and decreased expression of RPL39L exhibited the best OS among all cases (Fig. 3F and G). The multivariate Cox model demonstrated the prognostic independence of the integrated approach (HR, 0.54; 95% CI, 0.36-0.81; P=0.003) as compared with tumor stages (HR, 1.66; 95% CI, 1.45-1.91; P<0.001) within TCGA samples. These data indicated that RPL39L may serve oncogenic roles in the progression of lung ADCs, and may represent a novel promising therapeutic target for this disease. The integrated epigenetic and transcriptional assessment of PRL39L may be useful for optimizing the risk stratification of patients with lung ADC, and for identifying the appropriate subgroups sensitive to targeted drugs against RPL39L.



Figure 3. Integration of DNA methylation and gene expression of *RPL39L* within The Cancer Genome Atlas samples. (A) Methylation status between matched lung adenocarcinomas and normal tissues. (B) Expression status between matched lung adenocarcinomas and normal tissues. (C) Pearson correlation between DNA methylation and *RPL39L* gene expression. (D) Patient classification on the basis of single-locus methylation levels of *RPL39L*. (E) Patient classification on the basis of the combined assessment of DNA methylation and gene expression of *RPL39L*. (G) Patients with increased methylation and decreased expression of *RPL39L* experienced an improved survival time compared with that in the other subgroups. *RPL39L*, ribosomal protein 39 like; methy, methylated; unmethy, unmethylated.

Discussion

The study of epigenetic markers, particularly DNA methylation, represents one of the most promising and fastest expanding areas in cancer biomarker identification (23). Similar to other tumors, lung ADCs are characterized by distinct genome-wide DNA methylation landscapes, where the global hypomethylation of DNA repeats occurs concomitantly with CGI hypermethylation of gene regions (8). Among those cancer-specific DNA methylation aberrations, the promoter-specific CGI *de novo* methylation of tumor suppressor genes is the best-known epigenetic abnormality in lung cancer patients (8). Studies using candidate gene approaches have identified a large number of known tumor suppressors, including cyclin-dependent kinase inhibitor 2A (24), RAS association domain family member

1 (25), O-6-methylguanine-DNA methyltransferase (26) and APC, WNT signaling pathway regulator (27), to be consistently methylated in lung ADCs. A number of those epigenetic alterations were identified to serve crucial roles in tumorigenesis via the regulation of gene expression and to exhibit promise in the diagnosis and prognostication of patients with lung cancer (24-27). Previously, efforts have been made to comprehensively assess cancer epigenomes using genome-wide DNA methylation profiling techniques, including Illumina array-based assays, restriction landmark genome scanning gel-based analysis, and next-generation sequencing-based analysis (23,28). The application of those high-throughput detection approaches may provide an unbiased and clear view of the lung cancer epigenome, and assist in identifying useful DNA methylation events for diagnostic and prognostic purposes. The reproducibility of results from genome-wide DNA methylation analysis may be an issue for making definitive conclusions from these types of studies, as false-positive data are common in microarray analysis where the number of interrogated loci within each tumor is larger compared with the number of participants (29).

Batch effects appear to be a common phenomenon in high-throughput microarray data, particularly for the Infinium Methylation BeadChip (13). In the present study, the effective empirical Bayes method was adopted to remove the potential non-biological difference of methylation data across each dataset. Genome-wide DNA methylation data of lung ADCs and matched control tissues from 6 publically available datasets were then independently re-analyzed, and stricter criteria were adopted to identify robust cancer-specific CGI methylation loci in lung ADCs. In total, 134 cancer-specific CpGs were consistently observed in at least 4 of the 6 datasets examined in the present study, 11 of which had been described by previous studies with other DNA methylation detection approaches, for example genes in HOX clusters (30) including HOXB4, HOXA7 and HOXA9, TRIM58 (31) and GALR1 (32) (Table I). The methylation status of these genes exhibited promise for the early detection and risk prediction for lung cancer (30-32). In addition, by integrating gene expression data, it was identified that a considerable proportion of these cancer-specific CGI methylation changes may have significant effects on their relevant gene expression, and indicate potential functional value in tumorigenesis of lung ADCs. Well-studied examples are the de novo CGI methylation of zinc finger protein 677 (33), cysteine dioxygenase type 1 (34,35), SRY-box 1 (SOX1) (36) and SOX17 (37) in NSCLCs. The data from the present study were corroborated by the validation of the identified CGI methylation candidates in the literature (30-34). In addition, the present study also identified a panel of previously unknown cancer-specific CGI methylation loci that may have potential roles in determining the fate of patients with lung cancer, which will warrant future investigation.

Clinically or functionally characterizing each CGI candidate is beyond the scope of the present study. Instead, by applying a univariate Cox regression model and permutation correction, a panel of 9 CGI CpGs that were significantly associated with OS time was identified in a large cohort of patients with lung ADCs (TCGA-450k set; n=450). The detection of a panel of biomarkers, compared with single markers, may have a higher sensitivity and specificity for specific clinical purposes (38). Therefore, a risk score-based prognostic classifier was established based on the methylation patterns of the 9 CpGs to assist in stratifying patients into distinct prognostic subgroups. The novel methylation signature indicated consistent prognostic ability in different patient cohorts. Finally, a multivariate Cox model demonstrated its prognostic significance in the context of different tumor stages. However, with respect to the RFS data, which is an additional notable clinical outcome, the novel methylation signature demonstrated limited value for risk stratification, and future validation is required for justifying a definitive conclusion. In summary, the data in the present study indicated that the CGI methylation signature of the present study may be a potent prognostic indicator for OS outcome in patients with all-stage lung ADCs. Additional supporting evidence for this novel CGI methylation signature may support its potential biological relevance in cancer biology. In the present study, it was identified that the methylation levels of 8 CpG loci were significantly correlated with gene expression (positively correlated: HOXD3, GRIK2 and PAX3; and negative correlation: PRL39L, CPLX2, CMTM2, LYPD5 and LVRN). Accordingly, the majority of the genes were differentially expressed between lung ADCs and normal tissues (upregulated: RPL39L, GRIK2 and HOXD3; and downregulated: CMTM2, CPLX2 and LYPD5). The majority of these genes have been demonstrated to be abnormally methylated and expressed in a number of human cancer types, including breast, colorectal and prostate cancer, and were closely associated with patient prognosis and tumor aggressiveness (39-42). However, limited data had been acquired on their functional roles in cancer biology. RPL39L was identified to confer drug resistance in lacrimal gland adenoid cystic carcinoma (43) and the lung cancer A549 cell line (44), but others have not been fully characterized in cancer. Future functional investigation of these genes will assist in developing understanding of the biological implications of the CGI methylation signature of the present study, and for identifying promising epigenetic therapeutic targets in lung ADCs.

Unlike the cancer-specific de novo DNA methylation at CGI regions of genes, the presence and functional roles of CGI hypomethylation have been much less well characterized in cancer biology. The present study identified that CGI hypomethylation of RPL39L was consistently observed in lung ADCs. This epigenetic event may have functional significance in the initiation and progression of lung cancer, as it markedly affected gene transcription and resulted in the upregulation of RPL39L in tumor tissues. In line with the aforementioned data, it was also demonstrated that within TCGA samples, either epigenetic or transcriptional activations of RPL39L were associated with poorer OS time in patients with lung ADCs. Furthermore, the integration of DNA methylation and gene expression data identified a refined subset of tumors with favorable prognoses whose RPL39L gene was epigenetically and transcriptionally repressed. RPL39L is a recently evolved ribosomal protein paralog that exhibits highly specific tissue expression patterns in mice and humans (45). This gene was previously described to be highly expressed in the testis and to be upregulated in multiple cancer cell lines (45). Wong et al (45) had demonstrated that RPL39L was highly upregulated in mouse embryonic stem cells, and that its expression was markedly associated with tumor aggressiveness and vascular invasiveness of hepatocellular carcinomas (45). High expression of RPL39L may also confer the drug-resistant phenotype of lung cancer A549 cell lines (44). However, RPL39L was demonstrated to be associated with hypermethylation and gene inactivation in prostate cancer cell lines (39). Together, these results indicated that epigenetic and transcriptional abnormalities in RPL39L were commonly implicated in the initiation and progression of human cancer. Notably, the data from the present study is of interest as it provides novel evidence for the contributing roles of CGI hypomethylation and gene re-activation in lung cancer. In addition, the data also raise concerns surrounding the current non-specific demethylating anticancer approach, as it may promote cancer development via the exacerbation of cancer-specific hypomethylation. Targeted epigenetic therapy that has distinct effects on

cancer-specific hypermethylation and hypomethylation may be a promising option for the future development of anticancer therapy. Unfortunately, the oncogenic roles of *RPL39L* have not been studied extensively in lung ADCs. Future functional studies may assist in developing targeted therapies against this gene. Finally, the integrated assessment of *RPL39L* may be a promising approach for optimizing risk stratification, and improving personalized medicine in lung ADCs.

There were several limitations to the present study. The incompleteness of certain important clinical information for the included patients, including performance status and treatment modality, compromised the prognostic robustness of the study-specific methylation signature. The clinical and methodological heterogeneity across each dataset may also introduce uncertainty in data interpretation. Other limitations include the relatively small sample size of the validation sets, and the lack of functional validation of those CGI methylation candidates. The results of the present study were preliminary and primarily derived from microarray data analysis. Additional studies will be required to validate these results *in vivo*, and in a clinical setting.

In conclusion, by comparing genome-wide DNA methylation and gene expression profiles of lung ADCs and matched non-tumor tissues from multiple independent datasets, the present study identified a number of cancer-specific CGI methylation changes in lung ADCs, and characterized their associations with gene expression. Those CGI methylation changes may be useful for the identification of novel biomarkers for diagnostic and prognostic purposes in lung ADCs. One example is the identification of a 9-CpG methylation panel that was demonstrated to be a potent prognostic indicator for OS time. Furthermore, the identification of *CGI* hypomethylation and consequent gene re-activation of *RPL39L* provides novel insights into treatment development and risk stratification for lung ADCs.

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Availability of data and materials

The datasets generated and/or analyzed during the present study are available in the following repositories: i) TCGA, (https://tcga-data.nci.nih.gov/tcga/); ii) GEO, (https://www. ncbi.nlm.nih.gov/geo/); iii) R software, (https://www.r-project. org/); iv) UCSC genome reference, (http://genome.ucsc.edu/); v) Entrez Gene ID, (https://www.ncbi.nlm.nih.gov/gene/); vi) Biometric Research Branch-Array Tools, (http://brb.nci. nih.gov/BRB-ArrayTools; vii) DAVID, (https://david.ncifcrf. gov/), with accession nos. GSE32861, GSE32866, GSE62948, GSE63384 and GSE39279.

Authors' contributions

PZY, XHY and HR conceived and designed the study. PZY, XHY and JHW acquired the data. PZY, XHY and SCW analyzed and interpreted the data. PZY and XHY wrote and revised the paper. JHW and SCW provided administrative, technical, or material support. XHY and HR supervised the study.

Ethics approval and consent to participate

All procedures performed in studies involving humans were conducted in accordance with the ethical standards of the institutional research committees and with the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards. Informed consent was obtained from all individual participants as reported by included datasets.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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