### **Original Article**

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# The influence of number of high risk factors on clinical outcomes in patients with early-stage cervical cancer after radical hysterectomy and adjuvant chemoradiation

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#### Objective

The purpose of this study was to evaluate the prognosis according to the number of high risk factors in patients with high risk factors after radical hysterectomy and adjuvant chemoradiation therapy for early stage cervical cancer.

Clinicopathological variables and clinical outcomes of patients with FIGO (International Federation of Gynecology and Obstetrics) stage IB1 to IIA cervical cancer who had one or more high risk factors after radical hysterectomy and adjuvant chemoradiation therapy were retrospectively analyzed. Patients were divided into two groups according to the number of high risk factors (group 1, single high risk factor; group 2, two or more high risk factors).

#### Results

A total of 93 patients were enrolled in the present study. Forty nine out of 93 (52.7%) patients had a single high risk factor, and 44 (47.3%) had two or more high risk factors. Statistically significant differences in stage and stromal invasion were observed between group 1 and group 2. However, age, histology, tumor size, and lymphovascular space invasion did not differ significantly between the groups. Distant recurrence occurred more frequently in group 2, and the probability of recurrence and death was higher in group 2.

Patients with two or more high risk factors had worse prognosis in early stage cervical cancer. For these patients, consideration of new strategies to improve survival may be worthwhile. Conduct of further clinical trials is warranted for development of adjuvant treatment strategies individualized to each risk group.

Keywords: Chemoradiation; High-risk factor; Prognosis; Radical hysterectomy; Uterine cervical neoplasms

#### Introduction

Carcinoma of the uterine cervix is the fourth most common cancer in women worldwide, and the seventh overall, with an estimated 528,000 new cases in 2012, with most of these occurring in the developing world [1]. The definitive treatment for patients with early-stage cervical cancer consists of radiation therapy (RT) or radical hysterectomy with pelvic and /or paraaortic lymph node (LN) dissection [2]. Most patients with early stage cervical cancer undergo surgical treatment. Following surgery, adjuvant therapy is administered to patients with high risk factors such as LN metastases, positive resection Received: 2015.7.8. Revised: 2015.11.9. Accepted: 2015.12.1.

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margin, and parametrium involvement. Currently, concurrent chemoradiation therapy (CCRT) is the postoperative treatment of choice in patients with high-risk factors after radical hysterectomy [3]. However, many early stage cervical cancer patients with high risk factors may have more than one risk factor and are a heterogeneous group with different prognoses [4-6]. For example, when parametrium is involved and pelvic LNs are also positive, the survival rate falls [7,8]. However, for patients with high risk early stage cervical cancer, current treatment strategy consists uniformly of radical hysterectomy followed by CCRT. Therefore, adjuvant treatment may be further individualized to improve survival. Few studies on prognosis according to the number of high risk factors have been reported and strategies for adjuvant therapy for such patients have not been established.

The aim of this study was to evaluate the prognosis according to the number of high risk factors in patients with one or more high risk factor after radical hysterectomy for early stage cervical cancer.

#### **Materials and methods**

We identified patients with cervical cancer stage IB to IIA and having one or more high risk factors who underwent type III radical hysterectomy with pelvic and/or paraaortic LN dissection and adjuvant CCRT from February 2002 to December 2011. Patients who received chemotherapy, RT, or CCRT before surgery and patients who received postoperative adjuvant chemotherapy or RT alone were excluded. Small cell neuroendocrine tumors were also excluded. Demographic, clinicopathologic, and follow-up data were obtained from patients' medical records. These data included patient age, International Federation of Gynecology and Obstetrics (FIGO) stage, histologic subtype, tumor size, lymphovascular space invasion, depth of cervical stromal invasion, resection margin status, parametrial involvement, LN metastases, date and site of recurrence, date of death or last follow-up, and patient status at last follow-up. Patients were classified into two groups according to the number of high risk factors (group 1, single high risk factor; group 2, two or more high risk factors). Recurrent lesions were confirmed with biopsy or imaging studies. Local regional recurrence was defined as recurrent disease in the pelvis or retroperitoneal LN and distant metastasis was defined when the recurrent disease is located in the distant organ including liver, lung, bone and brain. This study was approved by the institutional review board (IRB approval number: GCIRB2013-212).

All patients underwent curative CCRT for cervical cancer after radical hysterectomy. The RT field was designed to include the whole pelvis, including the elective area of the pelvic LN area. The external beam RT was performed with higher energy photon (10 or 15 MV) using the four-field box technique based on the three-dimensional conformal RT planning. The external beam RT field was designed to include the whole pelvis and the fractionation was a 1.8 Gy tumor dose daily with five fractions per week to the pelvis areas. The external beam RT dose to the whole pelvis ranged from 30.6 to 61.2 Gy (median, 50.4 Gy), including the booster dose to the parametrial and/or pelvic LNs. Beyond 45 Gy to the whole pelvis, intracavitary radiotherapy with high-dose rate was administered with a dose of 25 to 35 Gy (median, 30 Gy) in two weekly fractions of 3.5 to 5 Gy prescribed at 0.5 cm from the surface of the applicator. Intracavitary radiotherapy was given to all patients with a positive resection margin involvement and to some patients on the basis of pathology results (close resection margin, pelvic LN involvement, and parametrial involvement) according to physicians' preferences. All patients received 5 fluorouracilcisplatin (FP) combination chemotherapy or weekly cisplatin chemotherapy during RT. The FP chemotherapy regimens consisted of cisplatin 50 mg/m<sup>2</sup> by intravenous infusion given on day 1 and 5-fluorouracil at a dose of 1,000 mg/m<sup>2</sup> per day for five days given as a continuous infusion on days 1 to 5 three times. The FP chemotherapy was repeated every three weeks. Weekly cisplatin was administered on day 1 at a dose of 40 mg/m<sup>2</sup> by intravenous infusion and repeated weekly for 6 weeks.

Recurrence-free survival (RFS) was calculated in months, from the date of surgery to the date of recurrence, censoring, or last follow-up. Overall survival (OS) was defined in months, from the date of surgery to the date of cancer death, censoring, or last follow-up. Patients were categorized into the single high risk factor group and the two or more high risk factors group according to the number of each high risk factor.

The Student's *t*-test was used for evaluation of between group differences in mean and median values. The chi-square test and Fisher's exact test were used for evaluation of differences in proportions. Survival curve and rate were calculated using the Kaplan-Meier method and differences in survival between groups were compared using the log-rank test for

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categorical variables and Cox regression model for continuous variables. Multivariate analysis was performed using the Cox regression model with the stepwise conditional method. Differences were regarded as significant when the *P*-value was less than 0.05. All statistical analyses were performed using SPSS ver. 12.0 (SPSS Inc., Chicago, IL, USA).

### **Results**

Of 404 patients with FIGO stage IB to IIA cervical cancer treated at the Gachon University Gil Medical Center between 2002 and 2011, 93 patients met our eligibility criteria; they had one or more high risk factors, including LN metastases, parametrial involvement, and positive resection margins. The character-

**Table 1.** Patients characteristics (n=93)

Characteristics	Value
Age (yr)	48 (27–77)
FIGO stage	
IB1	47 (50.5)
IB2	31 (33.3)
IIA	15 (16.2)
Histologic subtype	
Squamous cell carcinoma	72 (77.4)
Adenocarcinoma	12 (12.9)
Adenosquamous carcinoma	9 (9.7)
Tumor size (cm, range)	4.7±0.2 (0.8–10.0)
Stromal invasion	
≤1/2	6 (6.5)
>1/2	87 (93.5)
Lymphovascular invasion	74 (79.6)
Parametrial involvement	58 (62.4)
Resection margin involvement	15 (16.1)
Lymph node metastasis	
Pelvic	64 (68.8)
Paraaortic	8 (8.6)
CCRT regimen	
Weekly cisplatin	60 (64.5)
5 Fluorouraci-cisplatin combination chemotherapy	33 (35.5)

Values are presented as median (range) or number (%) unless otherwise indicated.

FIGO, International Federation of Gynecology and Obstetrics; CCRT, concurrent chemoradiation therapy.

istics of patients are shown in Table 1. All patients with FIGO stage IB1-IIA underwent type III radical hysterectomy with pelvic and/or paraaortic LN dissection and received adjuvant concurrent chemoradiotherapy. Thirty three patients (35.5%) underwent paraaortic LN dissection or sampling. Patients who did not undergo paraaortic LN dissection or sampling showed negative finding on preoperative imaging studies, including pelvic magnetic resonance imaging and positron emission to-mography/computed tomography.

Pathologic examination showed that 74 (79.6%) patients had LN metastases. Eight patients had both pelvic and paraaortic LN metastases. Fifteen (16.1%) had positive resection margins, and 58 (62.4%) had parametrial involvement. Forty nine out of 93 (52.7%) patients had a single high risk factor, 33 (35.5%) had two high risk factors, and 11 (11.8%) had all three high risk factors. In group 1, 28 had LN metastases, 19 had parametrial involvement, and 2 had resection margin involvement. In group 2, 8 had positive resection margin with parametrial involvement, 5 had positive resection margin with LN metastases, and 20 had parametrial involvement with LN metastases. The median follow-up period was 50 months (range, 8 to 118 months). Patients were classified into two groups according to the number of high risk factors (group 1, single high risk factor; group 2, two or more high risk factors). All patients received adjuvant CCRT after surgery and patients who had paraaortic LN metatstasis received extended field RT. Sixty patients received cisplatin based combination chemotherapy and 33 patients received weekly cisplatin chemotherapy during RT. There were 23 cases of cancer recurrence and nine cancer deaths during follow-up. The 5-year OS was 86.6% and 5-year RFS was 67.0%.

Statistically significant differences in stage and stromal invasion were observed between group 1 and group 2. However, age, histologic subtype, tumor size, and lymphovascular space invasion did not differ significantly among the groups (Table 2). Tables 3 and 4 show the results of univariate and multivariate analysis of each variable RFS and OS. The number of high risk factor was statistically significant for both RFS and OS (P<0.001). In the multivariate analysis, parametrial involvement (P=0.032) was statistically significant for RFS (P=0.048), and the number of high risk factors was significant for OS (P=0.031).

The 5-year RFS rates of group 1 and 2 were 83.4 % and 40.5%, respectively (P<0.001) (Fig. 1). The 5-year OS rates of each group were 92.0% and 79.2%, respectively (P=0.016)

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**Table 2.** Distribution of clinicopathologic and intermediate risk factors (n=93)

Variable	Group 1 (n=49)	Group 2 (n=44)	<i>P</i> -value
Age (mean)	48.5±11.1	49.6±11.5	0.659
≤50 yr	29 (59.2)	27 (61.4)	0.830
>50 yr	20 (40.8)	17 (38.6)	
FIGO stage			0.011
IB1	30 (61.2)	17 (38.6)	
IB2	15 (30.6)	16 (36.4)	
IIA	4 (8.2)	11 (25.0)	
Histology			0.642
Squamous cell	37 (75.5)	35 (79.5)	
Non-squamous cell	12 (24.5)	9 (20.5)	
Tumor size			0.559
≤4 cm	23 (46.9)	18 (40.9)	
>4 cm	26 (53.1)	26 (59.1)	
Stromal invasion			0.028
≤1/2	6 (12.2)	0 (0)	
>1/2	43 (87.8)	44 (100)	
Lymphovascular invasion			0.124
No	13 (26.5)	6 (13.6)	
Yes	36 (73.5)	38 (86.4)	

(Fig. 2). The location of tumor recurrence according to the number of high risk factors is shown in Table 5. As patients had more high risk factors, distant metastases were increased. No significant difference was observed between chemotherapeutic regimens in patients with recurrence in both groups.

### **Discussion**

We found that RFS and OS differed significantly and the pattern of recurrence differed among these two risk groups. Results of our multivariate analysis showed that the number of high risk factors was significantly prognostic for survival in patients with early-stage cervical cancer who had one or more high risk factors after radical hysterectomy with LN dissection and CCRT. The probability of recurrence and death were higher in patients with multiple high risk factors.

Among various prognostic factors, LN metastasis, parametrial involvement, or positive resection margins are indications for adjuvant CCRT after radical hysterectomy. LN metastasis has the most important prognostic value [9]. However, prognosis of high risk early stage cervical cancer is heterogenous

and many patients may have more than one high risk factor, therefore, it may be affected by other clinicopathological risk factors. Several studies have reported that an association of additional risk factors with each high risk factor showed significant correlation with survival. Parametrial involvement and number of positive LN (≥2) are associated with prognosis in patients with positive LN in early stage cervical cancer [10]. In addition, non-squamous cell carcinoma histology, tumor size, and parametrial involvement were associated with survival in patients with LN positive early stage cervical cancer [11]. However, few studies have assessed the clinicopathologic factors related to clinical outcomes in patients according to number of high risk factors.

LN metastasis has been shown to have an obvious impact on prognosis [10,12,13]. The LN status is generally considered to be the most important prognostic factor in cervical cancers treated by radical surgery. In our study, both RFS and OS were not significantly different according to LN metastasis. As an explanation, it may be that CCRT could control recurrence by lymphatic spread. The influence of parametrial involvement on the survival of patients with early stage cervical cancer remains somewhat controversial [14]. Some investigators have

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Table 3. Univariate analysis for recurrence-free survival and overall survival

Variable	Recurrence-free su	Recurrence-free survival		Overall survival	
	5-Year survival rate	<i>P</i> -value	5-Year survival rate	<i>P</i> -value	
Age				0.145	
≤50 yr	66.2	0.942	82.3		
>50 yr	68.7		95.8		
FIGO stage	45.3		84.2	0.760	
IB1	78.9	0.106	87.3		
IB2	45.3		84.2		
IIA	73.8		90.9		
Histology				0.089	
SCC	71.6	0.097	88.7		
Non-SCC	51.5				
Tumor size				0.396	
≤4 cm	68.4	0.519	89.1		
>4 cm	67.0		84.8		
Stromal invasion				0.387	
≤1/2	100	0.207	100		
>1/2	65.0		79.9		
Resection margin				0.311	
No	73.7	0.044	87.0		
Yes	44.9		87.7		
Lymphovascular invasion				0.094	
No	86.5	0.122	100		
Yes	61.8		82.8		
Parametrial involvement				0.068	
No	95.5	<0.001	94.4		
Yes	51.0		82.1		
Lymph node metastasis				0.151	
Negative	65.9	0.773	95.0		
Positive	67.6		82.5		
Single high risk factor	87.5	<0.001	93.6	0.016	
≥2 high risk factors	42.6		77.4		

FIGO, International Federation of Gynecology and Obstetrics; SCC, squamous cell carcinoma.

 Table 4. Multivariate analysis (Cox proportional hazard and stepwise conditional analysis)

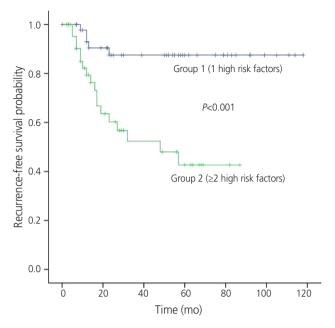
Variable	Recurrence-free survival		Overall survival	
variable	HR (95% CI)	<i>P</i> -value	e HR (95% CI)	<i>P</i> -value
Parametrial involvement	9.625 (1.210–76.539)	0.032	-	
High risk factors	2.699 (0.966–7.544)	0.048	5.692 (1.168–27.749)	0.031

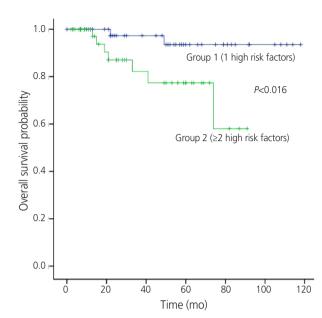
HR, hazard ratio; CI, confidence interval.

reported that parametrial involvement did not show an association with survival in cervical cancer patients with or without

LN metastasis [15,16]. In the current study, parametrial involvement was a more important variable in RFS. In particular,

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**Fig. 1.** Recurrence-free survival by the number of high risk prognostic factors.

**Fig. 2.** Overall survival by the number of high risk prognostic factors.

**Table 5.** Location of tumor at first recurrence (n=93)

Location of tumor	Group 1 (n=49)	Group 2 (n=44)	<i>P</i> -value
Locoregional	5 (10.2)	5 (11.4)	0.013
Distant	0 (0.0)	8 (18.2)	
Both	0 (0.0)	5 (11.4)	

Number in parentheses is the proportion (%) of patients to total patients in each group.

in patients with two high risk factors, five patients who had involved resection margin, LN metastasis, and negative parametrium showed no recurrence. However, resection margin status and LN metastasis did not show independent association with survival.

Currently, most patients with high risk factors receive adjuvant RT or CCRT without further evaluation of the risk of recurrence or death. Early stage cervical cancer patients with high risk factors are a heterogenous group with different prognosis according to their clinicopathological risk factors, therefore, other treatment options, such as consolidation chemotherapy may be considered for selected patients [17-19].

Patients with multiple-high risk factors also did not show locoregional recurrence but more distant metastasis compared to patients with a single high risk factor, whose majority of recurrence site was locoregional. This appears to be related to failure of systemic control. Indeed, we found that, as the risk factors increased, the rate of both locoregional and distant

failure showed a gradual increase.

With regard to results of adjuvant CCRT, an intergroup trial involving the Gynecologic Oncologic Group, the Southerwestern Oncology Group, and the Radiation Therapy Oncology Group reported that postoperative concurrent chemoradiation had 4-year RFS of 81% [9]. In the current study, 5-year RFS of patients with multiple-high risk factors was 42.6% compared to 87.5% of patients with a single high risk factor. The survival difference observed in the current study may be due to the fact that more poor prognostic factors were associated with patients with multiple-high risk factors.

We found that the prognosis and the pattern of recurrence differed according to the number of risk factors, indicating that treatment strategy should be individualized according to risk. In the single risk factor group, most recurrences were located at locoregional sites, indicating that adjuvant RT or CCRT may be appropriate in order to decrease recurrence. However, in the multiple-high risk group, both locoregional

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recurrence and distant metastasis were common, indicating that systemic adjuvant therapy such as chemotherapy may be appropriate in order to increase survival and to decrease RTrelated complications. Currently, there is insufficient evidence regarding the role of consolidation chemotherapy after CCRT or more effective chemotherapeutic regimens during CCRT. According to the Radiation Therapy Oncology Group trial, CCRT could not decrease the para-aortic recurrence after long-term follow up, and more than 50% of patients with recurrence were found to have distant metastasis after CCRT [20]. In a meta-analysis of 18 randomized trials on the effects of chemoradiotherapy for cervical cancer, an additional benefit from adjuvant chemotherapy after CCRT was suggested [21]. Therefore, it is assumed that consolidation chemotherapy after surgery and adjuvant CCRT may have an important effect and serve as a systemic treatment with eradication of micrometastases.

Because this is a retrospective study, selection bias could not be avoided. And there is bias according to the difference of patient number between two groups. However, in order to minimize bias, we enrolled patients who received postoperative CCRT and excluded patients who underwent other types of adjuvant therapy. The result of our study requires validation by another data set in order to determine whether the chosen parameters are valid.

In conclusion, we found that the number of high risk factors was significant for clinical outcomes in patients with high risk factors. For these patients, consideration of new strategies to improve survival may be worthwhile. Conduct of further clinical trials is warranted for development of adjuvant treatment strategies individualized to each risk group.

#### **Conflict of interest**

No potential conflict of interest relevant to this article was reported.

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