



# Article An Investigation on CCT and Ra Optimization for Trichromatic White LEDs Using a Dual-Weight-Coefficient-Based Algorithm

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Abstract: Spectral optimization is applied as an effective tool in designing solid-state lighting devices. Optimization speed, however, has been seldomly discussed in previous reports as regards designing an algorithm for white light-emitting diodes (WLEDs). In this study, we propose a method for trichromatic WLEDs to obtain the optimal Ra under target correlated color temperatures (CCTs). Blue-, yellow-, and red-color monochromatic spectra, produced by the GaN LED chip, YAG:Ce<sup>3+</sup> phosphors, and CdSe/ZnSe quantum dots, respectively, are adopted to synthesize white light. To improve the effectiveness of our method, the concept of dual weight coefficients is proposed, to maintain a numerical gap between the proposed floating CCT and the target CCT. This gap can effectively guarantee that Ra and CCT ultimately move toward the targeting value simultaneously. Mechanisms of interaction between CCT, Ra, and dual-weight coefficients are investigated and discussed in detail. Particularly, a fitting curve is drawn to reveal the linear relationship between weight coefficients and target CCTs. This finding effectively maintains the accuracy and accelerates the optimization process in comparison with other methods with global searching ability. As an example, we only use 29 iterations to achieve the highest Ra of 96.1 under the target CCT of 4000 K. It is hoped that this study facilitates technology development in illumination-related areas such as residential intelligent lighting and smart planting LED systems.

**Keywords:** light-emitting diode; spectral optimization; correlated color temperature (CCT); general color rendering index (Ra)

# 1. Introduction

In comparison with RGB LEDs, light-conversion-material-based white light-emitting diodes (WLEDs) have played leading roles in solid-state illumination, due to their high light-conversion efficiencies in specific wavebands, stability under various junction temperatures, low cost, and feasibility in color tunability [1–3]. Conventionally, the color performance of WLEDs is evaluated from two aspects: the emitting color from the WLED and the releasing color of objects exposed under the WLED. Theoretically, the former is characterized by correlated color temperature (CCT), and the latter is characterized by color rendering property, in which the concept of general color rendering index (Ra) is conventionally adopted for evaluation by the International Commission on Illumination [4]. CCT expresses a warm or cold feeling when we observe the light beam, while Ra reveals the ability of a light source to express the real color of an object. Ra represents the average value of the color rendering index (CRI) of eight general colors in a WLED system. CCT and Ra are functions of monochromatic spectral power distributions (SPDs) of different colors [5]. Therefore,



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). if we intend to adjust the circadian rhythm of humans and plantings or reduce driver's fatigue in a specific scenario, modulating the SPD of the WLED system is effective and indispensable [6]. In trichromatic WLEDs, the white-light SPD (SPD<sub>W</sub>( $\lambda$ )) is conventionally generated by downconverting light-conversion materials with blue LED chips, in which light in short wavebands (such as blue light) can be effectively converted to light in long wavebands (such as red light) under the stokes effect.

In the last few decades, SPD optimization technologies have been widely investigated to facilitate illumination in areas of residential lighting [7], agriculture [8], rehabilitation therapy [9], and visible light communication [10,11]. These technologies can be mainly divided into two catalogs: the first type is to optimize the color rendering property and energy consumption by adjusting peak wavelengths, spectral bandwidth, and intensity by using Gaussian functions; the other is to optimize the color rendering property and energy consumption by adjusting the density of real light in different colors. For the first type, Guo et al. [12] conducted comprehensive numerical simulations of three-hump and four-hump SPDs in WLEDs. The changes in Ra and CCT values with the shifting peak wavelengths of full width at half maximums (FWHMs) were analyzed under different operating temperatures. The relationship between scotopic-photopic ratio and CCT was investigated as well. Wei et al. [13] proposed six-channel-based LEDs to synthesize daylight with high quality by using a genetic algorithm and Gaussian spectral model. For the second type, Zhu et al. [14] conducted a comprehensive study on illumination performances of the perovskite-based LED with four humps. Titkov et al. [15] proposed a semi-hybrid device, which combined monolithic blue-cyan LED with green-red phosphor mixture, exhibiting the highest Ra of 98.6 at CCT of 3400 K. Yuan et al. [16] manufactured a trichromatic WLED, which constitutes of blue-pump carbon dots and phosphor glass, realizing the highest Ra of 92.9 at CCT of 3610 K. Among these studies, a variety of methods are conventionally used, such as the multiple Gaussian function method [17], least-squares method [18], and iterative method of gradient descent [19]. However, these methods focus on improving the accuracy and the feasibility, as well as developing light-conversion material species with superior chromaticity; few of them discuss the improvement strategy of optimization speed for WLEDs.

With the development of the Internet of Things (IoT) and 5G technologies, the intelligent control technology of illumination lamps becomes imperative for saving energy and increasing productivity [20,21]. Therefore, improving the effectiveness of spectral optimization becomes a key issue in intelligent control. In this study, we propose a convenient method to optimize CCT and Ra values simultaneously for trichromatic WLEDs by using dual-weight coefficients. These coefficients can effectively control the variation range of CCT while searching for the optimal Ra value. Key steps to realize the proposed method is analyzed comprehensively. Compared with other conventional methods used for spectral optimization, the proposed method can greatly accelerate the calculation process while maintaining accuracy.

#### 2. Monochromatic Spectra Preparation and Theory of Algorithms

As shown in Figure 1a, the blue-emissive LED chip ( $302 \times 198 \ \mu\text{m}^2$ , Hualian Co., Ltd., Xiamen, China) was selected as the excitation source, and yellow-emissive cerium-doped yt-trium aluminum garment phosphors (YAG:Ce<sup>3+</sup>, Youyan Rare Earth Co., Ltd., Beijing, China) and red-emissive CdSe/ZnSe quantum dots (Poly OptoElectronics Co., Ltd., Jiangmen, China) were selected as light-conversion materials to fabricate white light. YAG:Ce<sup>3+</sup> phosphors can greatly broaden the white-light spectrum in the visible-light regime, while CdSe/ZnSe quantum dots are able to provide pure red emission with high stability and high quantum yields (QYs). Recently, QYs of YAG:Ce<sup>3+</sup> phosphors and CdSe/ZnSe quantum dots can reach up to 90% and ~100%, respectively [22,23]. Packaging technology of the WLED has been given in [24]. To facilitate our study, spectra of monochromatic blue, yellow, and red light are referred to as SPD<sub>B</sub>( $\lambda$ ), SPD<sub>Y</sub>( $\lambda$ ), and SPD<sub>R</sub>( $\lambda$ ), respectively.



**Figure 1.** (a) The assembly of the trichromatic LED sample and (b) monochromatic spectra of blue, yellow, and red light, which produced by LED chip, YAG:Ce<sup>3+</sup> phosphors, and Cdse/ZnSe quantum dots, respectively.

A 500 mm diameter-integrating sphere (Everfine) was utilized to measure  $\text{SPD}_B(\lambda)$ . To obtain  $\text{SPD}_Y(\lambda)$  and  $\text{SPD}_R(\lambda)$ , we mathematically removed the superposition area of blue light of the original emission spectra produced by phosphors and quantum dots [24].  $\text{SPD}_B(\lambda)$ ,  $\text{SPD}_Y(\lambda)$ , and  $\text{SPD}_R(\lambda)$  were normalized before optimization. From Figure 1b, we observe that the LED chip and CdSe/ZnSe quantum dots generate narrow blue and red peaks with FWHM of 54 nm and 56 nm, respectively. On the other hand, YAG:Ce<sup>3+</sup> phosphors produce a spectrum with FWHM of 125 nm that covers a wide range of visible light. Here, we assumed that the peak wavelength and the FWHM of these monochromatic spectra are independent of the driven current, so  $\text{SPD}_W(\lambda)$  can be described as a linear combination of  $\text{SPD}_B(\lambda)$ ,  $\text{SPD}_Y(\lambda)$ , and  $\text{SPD}_R(\lambda)$ , as described by

$$SPD_W(\lambda) = A_B \cdot SPD_B(\lambda) + A_Y \cdot SPD_Y(\lambda) + A_R \cdot SPD_R(\lambda)$$
(1)

where  $A_B$ ,  $A_Y$ , and  $A_R$  are the proportions of the radiant power of blue, yellow, and red light, respectively.

Before calculation, target CCT, test CCT, and test Ra values are defined as  $CCT_{tar}$ ,  $CCT_{test}$ ,  $Ra_{test}$ , respectively.  $CCT_{test}$  and  $Ra_{test}$  represent current CCT and Ra values in the calculation. Figure 2a illustrates the steps for spectral optimization using the proposed method. For comparison, conventional methods I and II used for spectral optimization are illustrated in Figure 2b,c. Among these three methods, method I directly considers all the possibilities of  $A_B$ ,  $A_Y$ , and  $A_R$  under  $CCT_{tar}$ , while method II randomly selects values of  $A_B$ ,  $A_Y$ , and  $A_R$  until fulfilling the cycle index, which is set as 1000 for methods I and II. Both methods I and II use the bubbling method to obtain the highest Ra within the error range of  $CCT_{tar}$ . For the proposed method, the calculation steps are described as follows:

- First, we initialize the procedure and load original data, such as the spectra of monochromatic light, step lengths for iteration, error ranges of Ra and CCT, and initial values of A<sub>B</sub>, A<sub>Y</sub>, and A<sub>R</sub>;
- (2) Two key problems for CCT optimization are how to adjust  $CCT_{test}$  and how to optimize Ra in the meantime. According to the relationship between CCT and components of different colors, we first set a floating parameter between the initial CCT and  $CCT_{tar}$ , which is named  $CCT_m$ . The relationship between  $CCT_m$  and  $CCT_{test}$  can be expressed as  $CCT_{test} = \delta_1 + CCT_m$ , where  $\delta_1$  is the first weight coefficient in our algorithm. To realize  $CCT_m$ , we only need to modulate the parameter of  $A_B$ ;
- (3) Before realizing  $CCT_{tar}$ , we optimize  $Ra_{test}$  by using the bubbling method. Keeping the proportion of  $A_B$  and  $A_Y$  unchanged, we attempt to modulate  $A_R$  with a small step to observe the change of  $Ra_{test}$ . If the small step helps to increase the value of Ra, we conduct a similar iteration until  $Ra_{test}$  reaches the highest value; otherwise, we

modulate  $A_R$  in the negative direction. The relationship between  $CCT_m$  and  $CCT_{tar}$  can be expressed as  $CCT_{tar} = \delta_2 + CCT_m$ , where  $\delta_2$  is the second weigh coefficient in our algorithm.

(4) When the calculation result meets the required conditions, we export optimized  $A_B$ ,  $A_Y$ , and  $A_R$  values, the optimized WLED spectra, CCT<sub>test</sub>, as well as Ra<sub>test</sub>.



**Figure 2.** Flow diagrams of (**a**) the proposed method, (**b**) method I, and (**c**) method II, in which methods I and II are conventionally used for spectral optimization.

Below is the design philosophy of the proposed algorithm. Particularly, we propose a floating CCT value named CCT<sub>m</sub> and two weight coefficients, named  $\delta_1$  and  $\delta_2$ , to control the variation range of the CCT<sub>test</sub>. There exist two main stages in the optimization process: We first impel CCT<sub>test</sub> to move toward CCT<sub>m</sub> and then optimize Ra<sub>test</sub> and CCT<sub>test</sub> simultaneously, to reach the optimum Ra and CCT<sub>tar</sub>. If we directly search for CCT<sub>tar</sub>, the variation space of Ra<sub>test</sub> is very limited, due to the interaction effect of CCT and Ra. The proposal of CCT<sub>m</sub> can effectively solve this problem, rendering CCT<sub>test</sub> reach a position near CCT<sub>tar</sub> before the optimization of Ra<sub>test</sub>.

Weight coefficients of  $\delta_1$  and  $\delta_2$ , which determine the value of CCT<sub>m</sub> and the shifting range of CCT<sub>test</sub>, are key for the optimization result. If  $\delta_2$  is too small, Ra<sub>test</sub> will not reach the highest value due to the limited shifting space; on the other hand, if  $\delta_2$  is too large, Ra<sub>test</sub> can reach the highest value soon but at the expense of the error between CCT<sub>test</sub> and CCT<sub>tar</sub>. Another problem is how to guarantee that CCT<sub>test</sub> moves toward CCT<sub>tar</sub> instead of the reverse direction while optimizing Ra<sub>test</sub>. To solve this problem, we set the original  $A_B$ ,  $A_Y$ , and  $A_R$  values as 0.1, 0.3, and 0.5, respectively, in which  $A_R$  is large enough to guarantee the decreasing trend of  $A_R$  while optimizing Ra<sub>test</sub>.

### 3. Results and Discussion

# 3.1. Relationship between CCT, Ra, and Other Parameters

Figure 3 illustrates the variation of CCT<sub>test</sub>, Ra<sub>test</sub>, and  $\delta_1$  under different  $\delta_2$  in a 3D coordinate diagram, when CCT<sub>tar</sub> is set as 8000 K. To facilitate discussion, these results are separately presented in Figure 3a,b at different view angles. In Figure 3a, CCT<sub>test</sub> is decreasing with the increase in  $\delta_1$  when  $\delta_2$  equals 3000 K. The reason is that  $\delta_1$  directly influences the difference between CCT<sub>test</sub> and CCT<sub>m</sub>. If  $\delta_1$  is too large, CCT<sub>test</sub> becomes



much smaller than  $CCT_m$ , increasing the difficulty of reaching  $CCT_{tar}$  while optimizing Ra<sub>test</sub>. According to the methodology of the algorithm, optimization will finally stop when we obtain the optimal Ra. By then, the final  $CCT_{test}$  obtained may fail to reach  $CCT_{tar}$ .

**Figure 3.** Shifting trend of CCT<sub>test</sub>, Ra<sub>test</sub>, and  $\delta_1$  under different  $\delta_2$  in a 3D coordinate diagram with CCT<sub>tar</sub> of 8000 K. (**a**,**b**) are the same 3D figure at different view angles.

Secondly, Ra<sub>test</sub> increases with the increase in  $\delta_2$ . Since  $\delta_2$  represents the difference between CCT<sub>m</sub> and CCT<sub>tar</sub>, when we increase  $\delta_2$ , CCT<sub>m</sub> becomes smaller. Thus, larger  $\delta_2$  provides a wider range for Ra optimization, extending the shifting area of Ra<sub>test</sub> within the permitted range of CCT<sub>test</sub>.

From Figure 3b, we observe the variation of CCT<sub>test</sub>, Ra<sub>test</sub>, and  $\delta_1$  under different  $\delta_2$  at the other view angle. When  $\delta_2$  is set as 600 K, 1200 K, and 1800 K, respectively, the curves almost lie in a similar plane with CCT<sub>tar</sub>, equal to 8000 K. However, for  $\delta_2$  = 2400 K and  $\delta_2$  = 3000 K, corresponding curves stretch out of this plane. In other words, their CCT<sub>test</sub> become much smaller than CCT<sub>tar</sub> of 8000 K. Below are the explanation for this phenomenon. For  $\delta_2$  = 2400 K and  $\delta_2$  = 3000 K, we reserve a large variation range of CCT to support the optimization for CCT<sub>m</sub> and Ra<sub>test</sub>, causing the inaccessibility of CCT<sub>tar</sub> when the optimization process of Ra is ending. This explains the phenomenon that those points on the curve are almost far away from 8000 K when  $\delta_2$  = 3000 K. When  $\delta_2$  decreases from 3000 K to 2400 K, a portion of points on the curve return to the plane of 8000 K. To summarize, those points staying near the plane of 8000 K are constrained by CCT<sub>tar</sub>; those points that stretch out from the plane of 8000 K are constrained by optimization conditions of Ra.

As shown in Figure 4a,  $R_{atest}$  increases with an increase in  $\delta_1$  and  $\delta_2$ . If  $\delta_1$  remains unchanged and  $\delta_2$  decreases, it would cost more iteration steps to increase  $A_B$  in order to improve CCT<sub>test</sub>. Hence, even CCT<sub>test</sub> reaches CCT<sub>m</sub>; however, the value of  $A_B$  already becomes very large, which limits the highest Ra the WLED can realize. As lower  $A_B$  helps the prompt enhancement of Ra<sub>test</sub>, overlarge  $A_B$  hinders the improvement of Ra<sub>test</sub>, despite the adjustment of  $A_R$ . On the other hand, if we keep  $\delta_2$  unchanged and decrease  $\delta_1$ , CCT<sub>test</sub> will reach CCT<sub>m</sub> sooner; however, Ra<sub>test</sub> cannot be fully optimized. Therefore, the value of optimal  $A_B$  is influenced by CCT<sub>m</sub> and is finally determined by  $\delta_1$  and  $\delta_2$ . Increasing  $\delta_1$ and  $\delta_2$  under high CCT<sub>test</sub> slightly decreases CCT<sub>m</sub>, enlarging the optimization range of Ra. In Figure 4b, CCT<sub>test</sub> slightly decreases when we increase  $\delta_1$  or  $\delta_2$ , indicating that CCT<sub>test</sub> has a reverse shifting trend, compared with Ra<sub>test</sub> under different  $\delta_1$  and  $\delta_2$  values.



**Figure 4.** (a) Variation in Ra<sub>test</sub> under different  $\delta_1$  values, when  $\delta_2$  equals to 1000 K, 1400 K, and 1800 K, respectively; (b) variation in CCT<sub>test</sub> under different  $\delta_1$  values, when  $\delta_2$  equals to 1000 K, 1400 K, and 1800 K, respectively.

These analyses reveal the significance of  $\delta_1$  and  $\delta_2$  for the optimization result. The WLED with different CCT<sub>tar</sub> values has different reactions under similar  $\delta_1$  and  $\delta_2$ . Thus, balancing the relationship between  $\delta_1$ ,  $\delta_2$ , and CCT<sub>tar</sub> is the next step to accelerate the optimization process.

Figure 5a illustrates the optimized spectra of the trichromatic WLED under conditions of CCT<sub>tar</sub> = 8000 K and  $\delta_1$  = 200 K. When  $\delta_2$  increases from 600 K to 3000 K, peaks of blue and red light slightly decrease, while Ra<sub>test</sub> increases from 66.9 to 89.7. This is because the WLED spectrum is increasingly close to the spectrum of the reference source (black body source) [14]. The shifting trend of Ra<sub>test</sub> matches well with the analysis results of Figure 3a.



**Figure 5.** (a) The optimized spectra of the trichromatic WLED under the condition of  $CCT_{tar}$  of 8000 K and  $\delta_1$  of 200 K. ( $\delta_2$  is ranging from 600–3000 K); (b) the shifting trend of iterations of  $CCT_{test}$  and Ra<sub>test</sub> under different  $CCT_{tar}$  values.

Figure 5b describes the shifting trend of  $CCT_{test}$  and  $Ra_{test}$  in the iteration process under various  $CCT_{tar}$  values. When  $CCT_{tar}$  ranges from 4000 K to 8000 K,  $Ra_{test}$  declines slowly at first, as shown in step 1; thereafter,  $Ra_{test}$  abruptly decreases in a small step, as shown in step 2; finally,  $Ra_{test}$  increases severely until reaching the top point before finishing the optimization steps, as shown in step 3. With the increase in  $CCT_{tar}$ , the highest value of Ra that can be achieved decreases. A similar phenomenon has been observed in [24,25].

When  $CCT_{tar}$  ranges from 4000 K to 8000 K,  $CCT_{test}$  also shows three steps to reach  $CCT_{tar}$ ; however, the shifting trend of  $CCT_{test}$  during the optimization process is different from that of Ra<sub>test</sub>. Ra<sub>test</sub> decreases slowly at first and then increases drastically; on the

other hand, CCT<sub>test</sub> increases slowly at first and then increases drastically. A comparison of Figure 5a with Figure 5b indicates that the increase in CCT<sub>test</sub> in step1 sacrifices the improvement of Ra<sub>test</sub> in the initial time. In step 3, different from the optimization aim of Ra<sub>test</sub>, we only need to find a CCT value near CCT<sub>tar</sub> instead of finding the local optimal value of CCT<sub>test</sub>. It is worth noting that only three iterations are used for optimization when CCT<sub>tar</sub> equals 3000 K, and the value of iterations increases with the increase in CCT<sub>tar</sub>. This is because the initial values of  $A_B$ ,  $A_Y$ , and  $A_R$  are very close to optimized values of  $A_B$ ,  $A_Y$ , and  $A_R$  under low CCT<sub>tar</sub>.

As we mentioned in Figure 3, different optimization results can be obtained under different CCT<sub>tar</sub> with similar  $\delta_1$  and  $\delta_2$  values. To guarantee the achievement of the optimal Ra<sub>test</sub> in all cases, we should initially manage to acquire optimized values for  $\delta_1$  and  $\delta_2$  ( $\delta_{Opt1}$  and  $\delta_{Op2}$ ) under different CCT<sub>tar</sub> values. It is worth noting that there exists a strong relationship between the sum of  $\delta_{Opt1}$  and  $\delta_{Opt2}$  ( $\sum(\delta_{Opt1}, \delta_{Opt2})$ ) and CCT<sub>tar</sub>. As shown in Figure 6,  $\sum(\delta_{Opt1}, \delta_{Opt2})$  is plotted and fitted using a linear function under different CCT<sub>tar</sub> values, which ranges from 3000 K to 12,000 K. It is evident that  $\sum(\delta_{Opt1}, \delta_{Opt2})$  presents a perfect linear increasing trend with the increase in CCT<sub>tar</sub>. The slope of this curve is calculated by using the linear interpolation method, and the curve can be described as

$$\sum (\delta_{\text{Opt1}}, \delta_{\text{Opt2}}) = \alpha \cdot \text{CCT}_{\text{test}}$$
(2)

where  $\alpha$  is calculated to be 0.63 for the proposed WLED. For WLEDs combined with different light-conversion materials, the numerical value of  $\alpha$  should be different. Additionally, the measured data slightly deviates from the fitting curve when CCT<sub>tar</sub> equals 3000 K, which is probably because changes in  $\delta_1$  and  $\delta_2$  do not have visible effects on the optimization result when CCT<sub>tar</sub> is low.



**Figure 6.** Data and fitting curve of  $\sum (\delta_{Opt1}, \delta_{Opt2})$  under different CCT<sub>tar</sub> values.

Once the law between  $\alpha$  and light-conversion materials is identified, it is necessary to select the optimal  $\delta_1$  and  $\delta_2$  under different  $CCT_{tar}$  values before optimization. According to Figure 4, the optimal Ra<sub>test</sub> corresponds to the largest  $\delta_1$  and  $\delta_2$  within the allowed range of  $CCT_{tar}$ . Except for the linear relationship between  $\sum (\delta_{Opt1}, \delta_{Opt2})$  and  $CCT_{tar}$ , the value of  $\delta_2$  should be larger than  $\delta_1$ , to guarantee the operation of the calculation procedure. Therefore, we had better select larger  $\delta_2$  and smaller  $\delta_1$  to satisfy Equation (2). This principle provides us with an effective way to accelerate the spectral optimization speed.

#### 3.2. Comparison between the Proposed Method, Method I, and Method II

Tables 1–3 present calculation parameters of spectral optimization with the proposed method, method I, and method II. In Table 1, optimized  $CCT_{test}$  values are very close to  $CCT_{tar}$ . Among all results, the highest Ra<sub>test</sub> reaches up to 96.1, with  $CCT_{test}$  of 4013 K.  $A_B$ ,  $A_Y$ , and  $A_R$  exhibit a regular shifting trend in which  $A_B$  increases, and  $A_R$  reduces

with the increase in CCT<sub>tar</sub>. The sum of  $\delta_1$  and  $\delta_2$  increases with the increasing CCT<sub>tar</sub>, which is consistent with the discussion and results in Figure 6. Optimization results of the proposed method and method I, in terms of CCT<sub>test</sub>, Ra<sub>test</sub>,  $A_B$ ,  $A_Y$ , and  $A_R$  values, are highly coincident with each other. This coincidence verifies the correctness of the proposed method.

Table 1. Calculation p	parameters of spectral	optimization using t	the proposed method.
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CCT <sub>tar</sub> (K)	CCT <sub>test</sub> (K)	Ra	$\delta_{\mathbf{Opt}1}$	$\delta_{\mathrm{Opt2}}$	$A_B$	$A_Y$	$A_R$
3000	2924	95.1	200	200	0.11	0.30	0.47
4000	4013	96.1	400	300	0.33	0.30	0.30
5000	5011	94.2	1000	300	0.48	0.30	0.23
6000	6036	92.4	1600	300	0.60	0.30	0.20
7000	7018	90.8	2200	300	0.69	0.30	0.18
8000	8044	89.7	3000	200	0.75	0.30	0.15

Table 2. Calculation parameters of spectral optimization using method I.

CCT <sub>tar</sub> (K)	CCT <sub>test</sub> (K)	Ra	$A_B$	$A_Y$	$A_R$
3000	3059	95.3	0.12	0.30	0.46
4000	4013	96.1	0.33	0.30	0.30
5000	4908	94.3	0.46	0.30	0.23
6000	5927	92.7	0.57	0.30	0.18
7000	7011	90.8	0.69	0.30	0.17
8000	7950	89.8	0.72	0.30	0.13

Table 3. Calculation parameters of spectral optimization using method II.

CCT <sub>tar</sub> (K)	CCT <sub>test</sub> (K)	Ra	$A_B$	$A_Y$	$A_R$
3000	3013	95.5	0.13	0.30	0.47
4000	4041	96.0	0.34	0.30	0.30
5000	5007	94.1	0.49	0.30	0.24
6000	6047	91.8	0.62	0.30	0.21
7000	6924	87.2	0.58	0.30	0.07
8000	7917	85.6	0.65	0.30	0.05

In Table 3, calculation results of method II under low CCT<sub>tar</sub> well match those of method I. Compared with the proposed method and method I, we can even obtain better optimization results of Ra<sub>test</sub> under 3000 K by using method II. However, with the increase in CCT<sub>tar</sub>, method II fails to effectively improve Ra<sub>test</sub> to obtain the optimal value. Due to the random selection rule of method II, calculation results of  $A_B$ ,  $A_Y$ , and  $A_R$  listed in Table 3 do not show a similar trend as in Tables 1 and 2. These results reveal that method II cannot effectively optimize WLED spectra under high CCTs. To apply  $A_B$ ,  $A_Y$ , and  $A_R$  in a real scenario for realizing target illumination effects, ref. [24] presented the implementation method in detail.

By using Equation (2) to find  $\delta_{Opt1}$  and  $\delta_{Opt2}$  under different values of CCT<sub>tar</sub>, we accelerate the optimization process. In Figure 7a, the number of iterations of these three methods under different values of CCT<sub>tar</sub> is compared. Obviously, the number of iterations of the proposed method is much less than that of the other two methods. For the proposed method, the number of iterations increases with the increase in CCT<sub>tar</sub>. The accuracy of CCT<sub>test</sub> and Ra<sub>test</sub> for these three methods can be evaluated by using the error range concept. Error ranges of CCT<sub>test</sub> and Ra<sub>test</sub> ( $\epsilon_C$  and  $\epsilon_R$ ) are calculated by  $|CCT_{tar} - CCT_{test}| / CCT_{tar}$  and  $|100 - Ra_{test}| / 100$ , respectively.  $\epsilon_C$  and  $\epsilon_R$  under different values of CCT<sub>tar</sub> are given in Figure 7b,c for comparison. The  $\epsilon_C$  values of these three methods are comparable under different values of CCT<sub>tar</sub>. The  $\epsilon_R$  values of the proposed method and method I are similar,



and they are relatively smaller than that of method II under high  $CCT_{tar}$  values. These results verify the effectiveness and accuracy of the proposed method.

**Figure 7.** (a) Comparison between iteration times of the proposed method, method I, and method II, respectively, under different values of  $CCT_{tar}$ ; (b,c) comparison between errors of  $CCT_{test}$  and  $Ra_{test}$  for the proposed method, method I, and method II, respectively, under different values of  $CCT_{tar}$ .

#### 4. Conclusions

In this study, we propose an effective method to optimize the Ra of trichromatic WLEDs under different CCTs. Compared with conventional methods I and II, the proposed method exhibits superior searching ability to find the optimal Ra under target CCTs. Specifically, the highest Ra of 96.1 under 4013 K can be obtained after only 29 iterations. Three main mechanisms were investigated and analyzed for the proposed method: (1) the influence of  $\delta_1$  and  $\delta_2$  on the calculation results of CCT<sub>m</sub>, CCT<sub>test</sub>, and Ra<sub>test</sub>; (2) the relationship between  $\delta_1$ ,  $\delta_2$ , CCT<sub>m</sub>,  $A_B$ ,  $A_Y$ , and  $A_R$ ; (3) the shifting rule of  $\delta_{\text{Opt1}}$  and  $\delta_{\text{Opt2}}$  under different CCT<sub>tar</sub> values. Particularly, the fitting linear curve that describes the relationship between  $\sum(\delta_{\text{Opt1}}, \delta_{\text{Opt2}})$  and CCT<sub>tar</sub> can provide an effective way to greatly accelerate the optimization process under different CCT<sub>tar</sub> values. This study reveals the shifting mechanism of CCT and Ra values with dual-weight coefficients and greatly enhances the effectiveness of spectral optimization for WLEDs. Our method is hopefully applied in related areas such as residential intelligent lighting and smart planting LED systems.

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